Anomaly and Cobordism Constraints

Beyond Grand Unification: Energy Hierarchy

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Abstract

A recent work [1] suggests that a 4d nonperturbative global anomaly of mod 16 class hinting a possible new hidden gapped topological sector beyond the Standard Model (SM) and Georgi-Glashow su(5) Grand Unified Theory (GUT) with 15n chiral Weyl fermions and a discrete $\mathbb{Z}_{4,X}$ symmetry of $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$. This \mathbb{Z}_{16} class global anomaly is a mixed gauge-gravitational anomaly between the discrete X and spacetime backgrounds. The new topological sector has a GUT scale high energy gap, below its low energy encodes either a 4d noninvertible topological quantum field theory (TQFT). or a 5d short-range entangled invertible TQFT, or their combinations. This hidden topological sector provides the 't Hooft anomaly matching of the missing sterile right-handed neutrinos (3 generations of 16th Weyl fermions), and possibly also accounts for the Dark Matter sector. In the SM and su(5)GUT, the discrete X can be either a global symmetry or gauged. In the so(10) GUT, the X must become gauged, the 5d TQFT becomes noninvertible and long-range entangled (which can couple to dynamical gravity). In this work, we further examine the anomaly and cobordism constraints at higher energy scales above the su(5) GUT to so(10) GUT and so(18) GUT (with Spin(10) and Spin(18) gauge groups precisely). We also find the [1]'s proposal on new hidden gapped topological sectors can be consistent with anomaly matching under the energy/mass hierarchy. Novel ingredients along tuning the energy include various energy scales of anomaly-free symmetric mass generation (i.e., Kitaev-Wen mechanism), the Topological Mass/Energy Gap from anomalous symmetric topological order (attachable to a 5d $\mathbb{Z}_{4,X}$ -symmetric topological superconductor), possible topological quantum phase transitions, and Ultra Unification that includes GUT with new topological sectors.

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Contents

1	Intr	oduction	3
2	Gau	ge Group Embedding and Representation Hierarchy	7
	2.1	Spacetime and internal symmetry group embedding	7
	2.2	Decomposition: Lie algebras to Lie groups, and representation theory	10
		2.2.1 Representation of spacetime symmetry groups	10
		2.2.2 Representation of internal/gauge symmetry groups	11
3	Ene	rgy and Mass Hierarchy: Local and Global Anomaly Constraints	15
	3.1	$so(18)$ GUT without mirror fermion doubling and the energy scale $\Delta_{KW.so(18)}$	16
	3.2	$so(18)$ GUT to $so(10) \times so(8)$ GUT and $\Delta_{KW.so(10) \times so(8)} \dots \dots \dots \dots \dots \dots \dots$	18
	3.3	$so(10) \times so(8)$ GUT to $so(10) \times so(6)$ GUT and $\Delta_{KW,so(10) \times so(6)} \dots \dots \dots \dots \dots$	19
	3.4	$so(10) \times so(8)$ GUT to $so(10) \times so(5)$ GUT, $\Delta_{KW.so(10) \times so(5)}$, and Three Generations	21
	3.5	$so(18)$ GUT to $su(9)$ GUT and $su(5) \times so(6)$ GUT, and $\Delta_{KW,su(5) \times so(6)} \ldots \ldots \ldots$	22
	3.6	$so(18)$ GUT to $su(9)$ GUT and $su(5) \times so(5)$ GUT, $\Delta_{KW,su(5)\times so(5)}$, and Three Generations	24
	3.7	With an additional discrete $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry	26
	3.8	Kinematics vs Dynamics	28
4	Con	clusion:	31
	4.1	Energy hierarchy, possible dynamics, and topological quantum phase transitions	31
	4.2	Energy Scale of Ultra Unification: Grand Unification $+$ Topological Force and Matter $~$	34
\mathbf{A}	Dyn	namical Gauge Anomaly Cancellation	38
	A.1	$SU(5)^3$ for $su(5)$ GUT: 4d local anomaly from 5d $\frac{1}{2}CS_5^{SU(5)}$ and 6d $\frac{1}{2}c_3(SU(5))$	39
	A.2	Witten SU(2) anomaly vs New SU(2) anomaly	40

	A.3 A new $SU(2) = Spin(3) \subset Spin(10) \subset Spin(18)$ anomaly for $so(10)$ and $so(18)$ GUT on non-Spin manifolds	. 41
в	Anomaly Matching for GUT with Extra Symmetries	42
	B.1 X-SU(5) ² : 4d local \mathbb{Z} anomaly or 4d global \mathbb{Z}_2 anomaly from 5d $(\mathcal{A}_{\mathbb{Z}_2})c_2(SU(5))$. 43
	B.2 $\eta(\operatorname{PD}(\mathcal{A}_{\mathbb{Z}_2}))$: 4d \mathbb{Z}_{16} global anomaly $\ldots \ldots \ldots$. 44
С	Bibliography	45
D	Acknowledgements	50

"Lieber Goldberg, spiele mir doch eine meiner Variationen."

"Dear Goldberg, play one of my variations."

Goldberg Variations, BWV 988

Johann Sebastian Bach in 1826

1 Introduction

Based on a recent work [1], the author examined the anomaly and cobordism constraints on Glashow-Salam-Weinberg Standard Models (SM) with a local Lie algebra $su(3) \times su(2) \times u(1)$ [2–4] of four versions of gauge groups¹

$$G_{\mathrm{SM}_q} \equiv \frac{\mathrm{SU}(3) \times \mathrm{SU}(2) \times \mathrm{U}(1)}{\mathbb{Z}_q}, \quad q = 1, 2, 3, 6,$$
 (1.1)

and Georgi-Glashow (GG) su(5) Grand Unification [5], or su(5) Grand Unified Theory (GUT),² with additional symmetry such as the baryon (**B**) minus lepton (**L**) number. The constraints from cobordism include all invertible quantum anomalies involving the given internal gauge groups:³ including all

³The closely related cobordism classifications for SM and GUT are also pursued by recent pioneer works including Ref. [10, 11] based on Atiyah-Hirzebruch spectral sequence (AHSS), and Ref. [12–15] based on Adams spectral sequence (ASS). In particular, Ref. [10] examined no global anomaly for su(5) GUT alone (without extra global symmetries). Ref. [12, 14] also checked and found at most a perturbative \mathbb{Z} class local anomaly for SU(5) chiral fermion theory and at most a nonperturbative \mathbb{Z}_2 class global anomaly for Spin(10) chiral fermion theory (this anomaly is similar to the new SU(2) global anomaly [16]). Ref. [12] finds that these anomalies are absence in su(5) GUT and so(10) GUT. Ref. [11] and [14]

¹The $\mathbb{Z}_q \equiv \mathbb{Z}/(q\mathbb{Z}) \equiv (\mathbb{Z} \mod q)$ denotes the finite abelian cyclic group of order q. We also denote $\mathbb{Z}_q^p \equiv (\mathbb{Z}_q)^p$ as the pth power of \mathbb{Z}_q .

²Follow the mathematical convention, we have used the lowercase letter to represent the local Lie algebra. We use the capital uppercase letter to represent the global Lie group. For example, the su(5) Lie algebra can have a global Lie group SU(5) and others, the so(10) Lie algebra can have a global Lie group SO(10) or Spin(10), etc. The reason is that the pioneer work on the Grand Unification mostly focus on the local Lie algebra structure [6]. For example, the so(10) GUT of Georgi or Fritzsch-Minkowski GUT [7] does not have the SO(10) Lie group but instead requires a double covered Spin(10) Lie group. The so(18) GUT [8,9] does not have the SO(18) Lie group but instead requires a double covered Spin(18) Lie group. Therefore, we decide to stick to this math convention to avoid the confusion between Lie algebra (the lowercase letter) and Lie group (the uppercase letter).

- perturbative local anomalies, classified by \mathbb{Z} classes (known as free classes), and
- nonperturbative global anomalies, classified by \mathbb{Z}_n classes (known as torsion classes).

The computations of cobordism classifications used in the [1] are mostly done in [14], based on Thom-Madsen-Tillmann spectra [17, 18], Adams spectral sequence [19], and Freed-Hopkins theorem [20], and the author's prior work jointly with Wan [13–15].

However, Ref. [10] and [14] suggested a \mathbb{Z}_{16} (a mod 16 class) mixed gauge-gravitational nonperturbative global anomaly, when there is a discrete $\mathbb{Z}_{4,X}$ symmetry together with a spacetime geometry background probe. The X can represent a baryon (**B**) minus lepton (**L**) number in the SM case (1.1), but the X can also represent a modified version of (**B** – **L**) number up to some electroweak hypercharge Y [21] in the su(5) GUT:⁴

$$X \equiv 5(\mathbf{B} - \mathbf{L}) - 4Y. \tag{1.2}$$

In the SM and su(5) GUT, one can consider such an X charge corresponds to the $U(1)_X$ symmetry. At different energy scales, the $U(1)_X$ symmetry may: (i) remain a global symmetry, (ii) gauged or (iii) broken spontaneously or explicitly. But in the Georgi or Fritzsch-Minkowski so(10) GUT [7], it is more natural to keep only a discrete order-4 subgroup out of the continuous $U(1)_X$:

$$\mathbb{Z}_{4,X} \subset \mathrm{U}(1)_X$$

sitting precisely and naturally at the center of Spin(10) gauge group:

$$\mathbb{Z}_{4,X} = Z(\operatorname{Spin}(10)) \subset \operatorname{Spin}(10). \tag{1.3}$$

The Z(G) denotes the center of G. Thus the $\mathbb{Z}_{4,X}$ is at least dynamically gauged in the Spin(10) gauge group for the so(10) GUT. This mixed gauge-gravitational nonperturbative global anomaly of \mathbb{Z}_{16} classes is characterized by a 5d cobordism invariant $\eta(\text{PD}(\mathcal{A}_{\mathbb{Z}_2}))^5$ also called a 5d invertible TQFT (iTQFT or invertible topological order⁶) studied in [1, 10, 14, 25-27]. Its precise 5d partition function (whose boundary has the 4d global anomaly) is explained in [1]:

$$\mathbf{Z}_{\text{5d-iTQFT}} = \exp\left(\frac{2\pi \mathrm{i}}{16} \cdot \left(-N_{\text{generation}}\right) \cdot \eta\left(\mathrm{PD}(\mathcal{A}_{\mathbb{Z}_2})\right)\Big|_{M^5}\right).$$
(1.4)

⁴Note we choose the convention that the U(1)_{EM} electromagnetic charge is $Q_{\rm EM} = T_3 + Y$. The U(1)_{EM} is the unbroken (not Higgsed) electromagnetic gauge symmetry and $T_3 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ is a generator of SU(2)_{weak}, and other conventions of hypercharges can be related by $\tilde{Y} = 3Y_W = 6Y$ [14].

⁵The η is a 4d cobordism invariant of \mathbb{Z}_{16} classe with a Pin⁺ structure [22]. The PD($\mathcal{A}_{\mathbb{Z}_2}$) defines the Poincaré dual (PD) of $\mathcal{A}_{\mathbb{Z}_2}$. The $\mathcal{A}_{\mathbb{Z}_2} \in \mathrm{H}^1(M, \mathbb{Z}_{4,X}/\mathbb{Z}_2^F)$ is locally a $\mathbb{Z}_2 = \mathbb{Z}_{4,X}/\mathbb{Z}_2^F$ gauge field. The \mathbb{Z}_2^F is the fermion parity. See more explanations later.

checked no global anomaly for four versions of SM models given by the internal gauge group (1.1) (for the cases without extra global symmetries).

⁶In principle, the iTQFT is the low energy theory description of some gapped phases of invertible topological order. The intrinsic topological order can be long-range entangled, so some of invertible topological orders are also long-range entangled. But a subclass of invertible topological orders is in fact short-range entangled known as symmetry-protected topological state (SPTs). The definition of long-range entangled vs short-range entangled states are based on the modern definition of gapped quantum matter by Wen [23]. See an overview on the quantum matter terminology [23, 24].

Here $N_{\text{generation}}$ is the number of generations, which is $N_{\text{generation}} = 3$ in the SM. The $\mathcal{A}_{\mathbb{Z}_2} \in \mathrm{H}^1(M, \mathbb{Z}_{4,X}/\mathbb{Z}_2^F)$ is the first cohomology class of $\mathbb{Z}_2 = \mathbb{Z}_{4,X}/\mathbb{Z}_2^F$ (locally and loosely speaking, $\mathcal{A}_{\mathbb{Z}_2}$ is analogous to a 1-form \mathbb{Z}_2 gauge field) for $\mathrm{Spin} \times_{\mathbb{Z}_2} \mathbb{Z}_4 = \mathrm{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X}$ structure.⁷ The \mathbb{Z}_2^F is the fermion parity symmetry shared by the center of the spacetime Spin group and the normal subgroup of $\mathbb{Z}_{4,X}$. The η is a \mathbb{Z}_{16} class of 4d cobordism invariant of Pin⁺ structure [22]. The (1.4) can be detected on a 5-dimensional real projective space \mathbb{RP}^5 by computing the partition function $\mathbb{Z}_{5d-iTQFT}[M^5 = \mathbb{RP}^5]$ [1,25,28,29].

We can read the classifications of (d-1)-dimensional anomalies from the d-th mathematical bordism group denoted as

$$\Omega_d^G,\tag{1.5}$$

and a specific version of cobordism group (firstly defined to classify Topological Phases [TP] in [20])

$$\Omega_G^d \equiv \Omega_{(\frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}})}^d \equiv \text{TP}_d(G).$$
(1.6)

The \ltimes is a twisted product known as a semi-direct product. For example, we can read Eqn. (1.4) as the 5d cobordism invariant listed in Table 4 of Ref. [1] with $G = \text{Spin} \times_{\mathbb{Z}_2} \mathbb{Z}_4 \times G_{\text{SM}_q}$ and $G = \text{Spin} \times_{\mathbb{Z}_2} \mathbb{Z}_4 \times \text{SU}(5)$.

In order to match the non-vanishing anomaly (1.4), it is commonly and näively believed that either of the following scenarios must hold (see the summary in Ref. [1]'s Sec. 4.3 and Sec. 5):

- (i). The $\mathbb{Z}_{4,X}$ symmetry is broken. For example, by the spontaneous or explicit breaking (or by the Dirac or Majorana masses as in the scenario (iii)).
- (ii). There is the 16th Weyl spinor as the sterile right-handed neutrino being gapless (a free theory or a free conformal field theory (CFT)). So the $\mathbb{Z}_{4,X}$ symmetry can be preserved. The total number of Weyl spacetime spinors are 16n where n is an integer $n \in \mathbb{Z}$.
- (iii). There is the 16th Weyl spinor as the sterile right-handed neutrino, but it is gapped by Dirac or Majorana masses, such as in the seesaw mechanism [30,31]. Thus the $\mathbb{Z}_{4,X}$ symmetry is broken.

However, the novelty of [1] is suggesting that *none* of the above needs to be obeyed (the $\mathbb{Z}_{4,X}$ needs not to be broken, *nor* do we need the 16th Weyl spinor as the sterile right-handed neutrino being gapless or having Dirac/Majorana masses). Ref. [1] suggests a new scenario:

(iv). The $\mathbb{Z}_{4,X}$ symmetry can be preserved but the \mathbb{Z}_{16} class anomaly (1.4) needs to be matched by a new gapped topological sector. The new gapped (previously missing) sector can be either a 4d long-range entangled noninvertible topological quantum field theory (TQFT),⁸ or a 5d short-range entangled invertible TQFT, or their combinations. This hidden topological sector provides the 't Hooft anomaly matching of the missing sterile right-handed neutrinos (with 3 generations), and possibly also accounts for the Dark Matter sector.

Previous work [1] checks explicitly that the anomaly and cobordism constraints below and around SM, electroweak and Higgs energy scale to the su(5) GUT scales.

⁷Here the spacetime symmetry is commonly denoted as a Spin group omitting the input of the spacetime dimensions (d+1), either for the Lorentz signature Spin(d, 1) or the Euclidean signature Spin(d+1). See Sec. 2.2.1 for more details.

⁸A TQFT is known as the low energy theory of topological order. The topological order in condensed matter requires an ultraviolet (UV) lattice completion. This phenomenon of symmetric gapped TQFT with 't Hooft anomaly is noticed first in a lower dimension 2+1d boundary of 3+1d bulk in condensed matter Ref. [32], see an overview [24, 33] and a symmetry-extension approach of general construction [33–35] and counter examples [35–37].



Figure 1: Energy and Mass Hierarchy contemporarily confirmed in the Standard Model: In the figure, the breaking structure and hierarchy structure always concern the global Lie group: $\frac{SU(3)\times SU(2)\times U(1)}{\mathbb{Z}_q}$, etc. However, we simply denote the local Lie algebra such as $su(3) \times su(2) \times u(1)$, etc., only for the abbreviation brevity and only to be consistent with the notations of early physics literature. The present work address possible the energy hierarchy in the gray region (with a question mark ?) around the GUT scale above the SM scale and below the Planck scale. See the new proposal in Fig. 4 and Fig. 5.

The purpose of this present work is to check explicitly that the anomaly and cobordism constraints *above* the su(5) GUT scale to the higher energy so(10) GUT scale and a further higher energy so(18) GUT [8, 9] scale.⁹ In addition, we also aim to understand whether the new proposal in [1] is still

⁹The so(10) GUT scale and the so(18) GUT have the so(10) and so(18) gauge Lie algebras, but precisely we need Spin(10) and Spin(18) gauge Lie groups. The reason is that the fermion matter fields are not only the spacetime spinor of the Lorentz group (or Spin group) but also in the spinor representation of the internal symmetry. The so(10) GUT requires the irreducible **16**⁺ spinor representation thus which must be in Spin(10). The so(18) GUT requires the irreducible **256**⁺ spinor representation thus which must be in Spin(10).

consistent with the additional constraints in the higher energy scales.¹⁰ In particular, given the present energy hierarchy phenomenological input shown in Fig. 1, "are we able to provide some nonperturbative proposals on the higher energy scales (the grav region with a question mark? around the GUT scale in Fig. 1), given our knowledge of low energy SM physics, based on the more complete list of anomaly matching and cobordism constraints?" To address this question, we first need to build up tools of the spacetime and internal symmetry group embedding and the representation hierarchy in a systematic careful way in Sec. 2; then we analyze possible scenarios of energy and mass hierarchy from local and global anomaly constraints in Sec. 3. With some phenomenological and mathematical input, we will be able to come back to address this apostrophe-quoted question in Sec. 4 in Conclusion.

$\mathbf{2}$ Gauge Group Embedding and Representation Hierarchy

Spacetime and internal symmetry group embedding 2.1

We first write down the precise symmetry group including the Euclidean/Lorentz spacetime symmetry group $G_{\text{spacetime}}$ and the internal symmetry group G_{internal} in a unified setting as:

$$G = \frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}}.$$
(2.1)

Then we discuss the G symmetry embedding in the web in Fig. 2 and Fig. 3.

In Fig. 2 and Fig. 3, we start from the so(18) GUT (with the Spin(18) internal symmetry group or gauge group). These are two versions of so(18) GUT: One can be placed on manifolds without spin structures (non-spin manifolds, where the second Stiefel-Whitney of spacetime tangent bundle TM to be $w_2(TM) \neq 0$ is nontrivial), and the other can be placed on manifolds with spin structures (spin manifolds, where the second Stiefel-Whitney $w_2(TM) = 0$ is trivial).

In Fig. 2, the Spin $\times_{\mathbb{Z}_2^F}$ Spin(18) implies¹¹ that this so(18) GUT can be placed on non-spin manifolds (which also includes spin manifolds), by setting the second Stiefel-Whitney of spacetime tangent bundle $w_2(TM) = w_2(V_{SO(18)})$ to be the same as the gauge bundle from the associated vector bundle $SO(18) = \frac{Spin(18)}{\mathbb{Z}_2^F}$.¹² When $w_2(TM) = w_2(V_{SO(18)}) = 0$, the theory is on *spin manifolds*. Similarly, the

 $^{^{10}}$ In the present work, we focus on the anomaly involving the internal symmetry group G_{internal} under the spacetime $G_{\text{spacetime}}$ background probes. After gauging the internal symmetry group G_{internal} , there could give rise to higher generalized global n-symmetry [38] whose charged objects are n-dimensional (in the spacetime picture). There could be additional new higher 't Hooft anomalies involving higher *n*-symmetries after gauging G_{internal} . For example, a pure 4d SU(2) Yang-Mills gauge theory at the topological term θ -term (as the second Chern-class c_2 of the SU(2) gauge bundle), with or without Lorentz symmetry enrichment, can have higher 't Hooft anomaly mixing between 1-form \mathbb{Z}_2 electric symmetry and the time-reversal (or CP) symmetry [39–41]; a similar phenomenon happens for a 4d U(1) gauge theory [42, 43].

The additional higher 't Hooft anomalies for SM or GUT, if any, would not affect the consistency conditions based on the dynamical gauge anomaly cancellations that we established (in 1) and the present work). The additional higher 't Hooft anomalies, if any, only implies that the higher n-symmetries may be emergent and not strictly regularized on the n-simplices. The additional higher 't Hooft anomalies for SM or GUT, if any, can be used to constrain the quantum gauge dynamics, see [44].

¹¹Here $\frac{\text{Spin} \times G_{\text{internal}}}{N_{\text{shared}}}$ means $G_{\text{spacetime}} = \text{Spin} \equiv \text{Spin}(D)$ where D is the relevant spacetime dimensions of manifold M^D . ¹²More generally, for $\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(N)$ structure, say for $N \geq 3$, the restriction $w_2(TM) = w_2(V_{\text{SO}(N)})$ also means that all the odd power of the spinor representation matter field of the internal symmetry Spin(N) must be associated with the Lorentz/Euclidean spacetime spinor (spacetime fermions) as it has a nontrivial $w_2(TM)$ indicating the spacetime

 $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(10)$ implies that this so(10) GUT can be placed on *non-spin manifolds* — The so(10) GUT without spin structure is studied in [12, 16].

In Fig. 3, the Spin × Spin(18) implies that this so(18) GUT can be placed only on *spin manifolds*, limiting to those manifolds with the second Stiefel-Whitney of spacetime tangent bundle $w_2(TM) = 0$ to be zero.



Figure 2: The full spacetime-internal symmetry $G = \frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}}$ (the precise global symmetry before gauging the G_{internal}) for the hierarchy starting from the so(18) GUT with $\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(18)$, which can be placed on *non-spin manifolds*. Note that $\text{Spin}(6) = \text{SU}(4) \supset \text{Spin}(5) = \text{Sp}(2) = \text{USp}(4)$ and recall $G_{\text{SM}_q} \equiv \frac{\text{SU}(3)_{\text{strong}} \times \text{SU}(2)_{\text{weak}} \times \text{U}(1)_Y}{\mathbb{Z}_q}$. The subscript "3-Family" means there are 3 families (or 3 generations) of matter fields, e.g., quarks and leptons. Here the arrow from $G_1 \rightarrow G_2$ means particularly that $G_1 \supseteq G_2$ contains the later as a subgroup. This shows the web of full symmetry group embedding, similar to Table 4 of [45]. We have computed the cobordism group $\text{TP}_d(G)$ of these spacetime-internal symmetry group G in Ref. [15].

In the present work, we mostly focus on Fig. 2 starting from $\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(18)$, since $\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(18)$ is more general in the following aspects:¹³

 $^{2\}pi$ -rotation of the matter (fermion) gains a (-1)-sign on its state vector (known as the fermion self or spin statistics) which must be cancelled by its nontrivial $w_2(V_{SO(N)})$.

¹³However, the Spin × Spin(18) and its embedding hierarchy in Fig. 3 is also interesting by its own. We leave the embedding and breaking pattern on Fig. 3 in a companion work [15].



Figure 3: The full spacetime-internal symmetry $G = \frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}}$ (the precise global symmetry before gauging the G_{internal}) for the hierarchy starting from the so(18) GUT with Spin × Spin(18), which can be placed on *spin manifolds*. Also we follow the notations/explanations of Fig. 2's caption. We have computed the cobordism group $\text{TP}_d(G)$ of these spacetime-internal symmetry group G in Ref. [15].

- (1). The Spin $\times_{\mathbb{Z}_2^F}$ Spin(18) structure contains *non-spin manifolds* which can be more general. Its cobordism theory may detect more exotic anomalies and constraints. For example,
 - The Spin × Spin(3) = Spin × SU(2) detects only the Z₂ class of 4d familiar SU(2) Witten anomaly [46] by a 5d cobordism invariant on spin manifolds (see also Appendix A.2). The co/bordism groups are [13]

$$\Omega_5^{\text{Spin} \times \text{SU}(2)} = \mathbb{Z}_2, \quad \text{TP}_5(\text{Spin} \times \text{SU}(2)) = \mathbb{Z}_2$$
(2.2)

and its 5d cobordism invariant is [13, 14]:

$$\exp(\mathrm{i}\pi \int c_2(V_{\mathrm{SU}(2)})\tilde{\eta}) \tag{2.3}$$

where the $c_2(V_{SU(2)})$ is the second Chern class of SU(2) gauge bundle and $\tilde{\eta}$ is the 1d eta invariant or a mod 2 index of 1d Dirac operator, as the generator of 1d spin bordism group $\Omega_1^{\text{Spin}} = \mathbb{Z}_2$.

• The Spin $\times_{\mathbb{Z}_2^F}$ Spin(3) = Spin $\times_{\mathbb{Z}_2^F}$ SU(2) detects not merely a \mathbb{Z}_2 class of the familiar 4d SU(2) Witten anomaly [46], but also another new \mathbb{Z}_2 class of the 4d new SU(2) anomaly [16] captured by the co/bordism group (details in Appendix A.2):

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2^F}\operatorname{SU}(2)} = \mathbb{Z}_2^2, \quad \operatorname{TP}_5(\operatorname{Spin}\times_{\mathbb{Z}_2^F}\operatorname{SU}(2)) = \mathbb{Z}_2^2, \tag{2.4}$$

on non-spin manifolds M^5 via another mod 2 class 5d cobordism invariant¹⁴

$$\exp(i\pi \int w_2(TM)w_3(TM)) = \exp(i\pi \int w_2(V_{SO(3)})w_3(V_{SO(3)})).$$
(2.5)

(2). The Spin $\times_{\mathbb{Z}_2^F}$ Spin(18) and Spin $\times_{\mathbb{Z}_2^F}$ Spin(10) structures may be useful for the lattice regularization from the high-energy ultraviolet (UV) based on local bosons [12] (without the requirement of any local fermions). Moreover, upon (global symmetry or gauge) group breaking, when the 2π rotation sits at the \mathbb{Z}_2 normal subgroup of the internal symmetry Spin group is absent, we can generate

dynamical spin structures [16] with emergent fermions [12, 16].

For example, $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(18) \to \operatorname{Spin} \times \operatorname{SU}(9)$ and $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(10) \to \operatorname{Spin} \times \operatorname{SU}(5)$ generate dynamical spin structures [16].

2.2 Decomposition: Lie algebras to Lie groups, and representation theory

For the convenience of checking the anomaly matching from the cobordism theory, let us set up some representation (abbreviated as Rep) theory notations for GUT and SM.

2.2.1 Representation of spacetime symmetry groups

Fermions as Lorentz or Euclidean spinors in the spacetime: Fermions are the spinor fields, as the sections of the spinor bundles of the spacetime manifold M. The left-handed (chiral) Weyl spinor Ψ_L is a doublet **2** or the so-called spin-1/2 representation (Rep.) of spacetime symmetry group $G_{\text{spacetime}}$ (Minkowski/Lorentz Spin(3, 1) in 3+1d or Euclidean Spin(4) in 4d), denoted as

$$(3,1)d \quad \Psi_{\mathrm{L}} \sim \mathbf{2}_{L} \text{ of } \mathrm{Spin}(3,1) = \mathrm{SL}(2,\mathbb{C}), \text{ complex Rep.}$$
(2.6)

$$(4,0)d \quad \Psi_L \sim \mathbf{2}_L \text{ of } \operatorname{Spin}(4) = \operatorname{SU}(2)_L \times \operatorname{SU}(2)_R, \text{ pseudoreal Rep.}$$
(2.7)

The Spin group, Spin(3, 1) or Spin(4), is a double-cover or universal-cover of the Lorentz group SO(3, 1)₊ or Euclidean rotation SO(4), extended by the fermion parity \mathbb{Z}_2^F which acts on fermion as $(-1)^F : \Psi \to -\Psi$.

We will also consider the 5d co/bordism invariants as 5d invertible TQFTs, which can be obtained from integrating out some massive fermions [48] in 4+1d Lorentz or in 5d Euclidean spacetime:

$$(4,1)d \quad \Psi \quad \sim \quad \mathbf{4} \quad \text{of Spin}(4,1) = \text{Sp}(1,1), \text{ pseudoreal Rep.}$$
(2.8)

$$(5,0)d \quad \Psi \quad \sim \quad \mathbf{4} \quad \text{of Spin}(5) = \text{USp}(4) = \text{Sp}(2), \text{ pseudoreal Rep.}$$
(2.9)

In the following of this article, we shall use the 5d Euclidean signature's invertible TQFTs to capture the anomalies of 3+1d Lorentz signature's quantum field theory (QFT). We use the fact [20] that the

¹⁴**Notations**: We denote the Stiefel-Whitney class of the spacetime tangent bundle TM of spacetime manifold M as $w_j \equiv w_j(TM)$; if we do not specify w_j with which bundle, then we implicitly mean TM. We denote $w_j(V_{SO(n)}) \equiv w_j(SO(n))$ is the *j*-th-Stiefel-Whitney class for the associated vector bundle of an SO(n) gauge bundle.

Throughout the article, we use the standard notation for characteristic classes [47]: w_i for the Stiefel-Whitney class, c_i for the Chern class, p_i for the Pontryagin class, and e_n for the Euler class. Note that the Euler class only appears in the total dimension of the vector bundle. We may also use the notation $w_i(G)$, $c_i(G)$, $p_i(G)$, and $e_n(G)$ to denote the characteristic classes of the associated vector bundle of the principal G bundle (usually denoted as $w_i(V_G)$, $c_i(V_G)$, $p_i(V_G)$, and $e_n(V_G)$).

unitarity of Lorentz QFT is analogous to the reflection positivity of Euclidean QFT. Therefore, we see a relation that:

the *d*d invertible TQFT in Euclidean signature with the reflection positivity

- \Rightarrow captures the anomaly of (d-1)d Euclidean QFT with the reflection positivity
- \Rightarrow captures the anomaly of (d-2,1)d Lorentz QFT with the unitary. (2.10)

If we take the d = 5, we obtain the relation used in this work: the 5d invertible TQFT (from 5d co/bordism invariants) in Euclidean signature with the reflection positivity classifies the (invertible) anomaly of (3, 1)d Lorentz QFT with the unitary. Throughout this article, we may simply denote 5d for Euclidean signature, and 4d = 3+1d for Lorentz signature. When we refer to a spacetime spinor in 4d = 3+1d, in general we mean the spinor in (2.6) for Lorentz signature; when we refer to a spacetime spinor in 5d = 4+1d, in general we take the spinor in (2.9) for Euclidean signature, because we intend to use the relation (2.10).

Here the spacetime symmetry is commonly denoted as a Spin group omitting the spacetime dimensions, either for the Lorentz signature Spin(d, 1) or the Euclidean signature Spin(d + 1). The readers should still recall that we have implicitly made the representation of fermions as spacetime spinors in the spacetime symmetry group as what we have already done in Sec. 2.2.1. In the following, when we discuss fermions, we mainly focus on their representation of internal/gauge symmetry group.

2.2.2 Representation of internal/gauge symmetry groups

- [I]. Standard Model SM_q with q = 1, 2, 3, 6: The local gauge structure of Standard Model is the Lie algebra $su(3) \times su(2) \times u(1)$. This means that the Lie algebra valued 1-form gauge fields take values in the Lie algebra generators of $su(3) \times su(2) \times u(1)$. There are 8 + 3 + 1 = 12 Lie algebra generators. The 1-form gauge fields are the 1-connections of the principals $G_{\text{internal-bundles}}$.
- [II]. Standard Model SM_q with fermions: In the first generation of SM, the matter fields as left-handed (L) or right-handed (R) Weyl spinors contain:
 - The left-handed up and down quarks (u and d) form a doublet $\begin{pmatrix} u \\ d \end{pmatrix}_L$ in **2** for the SU(2)_{weak}, and they are in **3** for the SU(3)_{strong}.
 - The right-handed up and down quarks, each forms a singlet, u_R and d_R , in **1** for the SU(2)_{weak}. They are in **3** for the SU(3)_{strong}.
 - The left-handed electron and neutrino form a doublet $\binom{\nu_e}{e}_L$ in **2** for the SU(2)_{weak}, and they are in **1** for the SU(3)_{strong}.
 - The right-handed electron forms a singlet e_R in 1 for the SU(2)_{weak}, and it is in 1 for the SU(3)_{strong}.

There are two more generations of quarks: charm and strange quarks (c and s), and top and bottom quarks (t and b). There are also two more generations of leptons: muon and its neutrino (μ and ν_{μ}), and tauon

and its neutrino (τ and ν_{τ}). So there are three generations (i.e., families) of quarks and leptons:

$$\begin{pmatrix} \begin{pmatrix} u \\ d \end{pmatrix}_{L} \times \mathbf{3}_{color}, & u_{R} \times \mathbf{3}_{color}, & d_{R} \times \mathbf{3}_{color}, & \begin{pmatrix} \nu_{e} \\ e \end{pmatrix}_{L}, & e_{R} \end{pmatrix},$$

$$\begin{pmatrix} \begin{pmatrix} c \\ s \end{pmatrix}_{L} \times \mathbf{3}_{color}, & c_{R} \times \mathbf{3}_{color}, & s_{R} \times \mathbf{3}_{color}, & \begin{pmatrix} \nu_{\mu} \\ \mu \end{pmatrix}_{L}, & \mu_{R} \end{pmatrix},$$

$$\begin{pmatrix} \begin{pmatrix} t \\ b \end{pmatrix}_{L} \times \mathbf{3}_{color}, & t_{R} \times \mathbf{3}_{color}, & b_{R} \times \mathbf{3}_{color}, & \begin{pmatrix} \nu_{\tau} \\ \tau \end{pmatrix}_{L}, & \tau_{R} \end{pmatrix}. \quad (2.11)$$

In short, for all of them as three generations, we can denote them as:

$$\left(\begin{pmatrix} u \\ d \end{pmatrix}_L \times \mathbf{3}_{\text{color}}, \qquad u_R \times \mathbf{3}_{\text{color}}, \qquad d_R \times \mathbf{3}_{\text{color}}, \qquad \begin{pmatrix} \nu_e \\ e \end{pmatrix}_L, \qquad e_R \end{pmatrix} \times 3 \text{ generations. (2.12)}$$

We can also denote this (2.12) as the left-handed spacetime Weyl spinor representation as:

$$\begin{pmatrix} q_L \times \mathbf{3}_{\text{color}}, & \bar{u}_R \times \mathbf{3}_{\text{color}}, & \bar{d}_R \times \mathbf{3}_{\text{color}}, & l_L, & \bar{e}_R \end{pmatrix} \times 3 \text{ generations} \quad (2.13)$$
$$\equiv \begin{pmatrix} q_L \times \mathbf{3}_{\text{color}}, & u^c \times \mathbf{3}_{\text{color}}, & d^c \times \mathbf{3}_{\text{color}}, & l_L, & e^c \end{pmatrix} \times 3 \text{ generations} \quad (2.14)$$

In fact, all the following *four* kinds of $G_{\text{SM}_q} = \frac{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)}{\mathbb{Z}_q}$ with q = 1, 2, 3, 6 are compatible with the above representations of fermion fields. (See an excellent exposition in a recent work by Tong [49].) These 15×3 Weyl spinors can be written in the following more succinct forms of representations for any of the internal symmetry group G_{internal} with q = 1, 2, 3, 6:

$$\left((\mathbf{3}, \mathbf{2}, 1/6)_L, (\mathbf{3}, \mathbf{1}, 2/3)_R, (\mathbf{3}, \mathbf{1}, -1/3)_R, (\mathbf{1}, \mathbf{2}, -1/2)_L, (\mathbf{1}, \mathbf{1}, -1)_R \right) \times 3 \text{ generations}$$

$$\Rightarrow \left((\mathbf{3}, \mathbf{2}, 1/6)_L, (\mathbf{\overline{3}}, \mathbf{1}, -2/3)_L, (\mathbf{\overline{3}}, \mathbf{1}, 1/3)_L, (\mathbf{1}, \mathbf{2}, -1/2)_L, (\mathbf{1}, \mathbf{1}, 1)_L \right) \times 3 \text{ generations.}$$
(2.15)

Each of the triplet given above is listed by their representations:

$$(SU(3) \text{ representation}, SU(2) \text{ representation}, hypercharge Y).$$
 (2.16)

[III]. su(5) **GUT** with su(5) Lie algebra and **SU(5)** Lie group: If we include the $3 \times 2 + 3 + 3 + 2 + 1 = 15$ left-handed Weyl spinors from one single generation in (2.15), we can combine them as a multiplet of $\overline{5}$ and 10 left-handed Weyl spinors of SU(5). Recall that the SM matter field contents can be embedded into SU(5) as follows, in terms of their representations:

$$(\overline{\mathbf{3}}, \mathbf{1}, 1/3)_L \oplus (\mathbf{1}, \mathbf{2}, -1/2)_L \sim \overline{d}_R \oplus l_L \sim d^c \oplus l \sim \overline{\mathbf{5}} \text{ of SU(5).}$$
$$(\mathbf{3}, \mathbf{2}, 1/6)_L \oplus (\overline{\mathbf{3}}, \mathbf{1}, -2/3)_L \oplus (\mathbf{1}, \mathbf{1}, 1)_L \sim q_L \oplus \overline{u}_R \oplus \overline{e}_R \sim q \oplus u^c \oplus e^c \sim \mathbf{10} \text{ of SU(5).}$$
(2.17)

More explicitly, in terms of the anti-fundamental 5 and the anti-symmetric matrix representation 10:

$$\overline{\mathbf{5}} \text{ of } \mathrm{SU}(5) = \begin{pmatrix} \psi_{\alpha} \\ \psi_{i} \end{pmatrix} = \begin{pmatrix} \overline{d}_{R,\alpha} \\ \begin{pmatrix} \nu_{e} \\ e \end{pmatrix}_{L} \end{pmatrix} = \begin{pmatrix} d_{R,r} \\ \overline{d}_{R,g} \\ \overline{d}_{R,b} \\ \nu_{e,L} \\ e_{L} \end{pmatrix}.$$
(2.18)

$$\mathbf{10} \text{ of } SU(5) = \begin{pmatrix} 0 & \psi^{\alpha\beta} & -\psi^{\alpha\beta} & \psi^{\alpha i} & \psi^{\alpha i} \\ -\psi^{\alpha\beta} & 0 & \psi^{\alpha\beta} & \psi^{\alpha i} & \psi^{\alpha i} \\ \psi^{\alpha\beta} & -\psi^{\alpha\beta} & 0 & \psi^{\alpha i} & \psi^{\alpha i} \\ -\psi^{\alpha i} & -\psi^{\alpha i} & -\psi^{\alpha i} & 0 & \psi^{ij} \\ -\psi^{\alpha i} & -\psi^{\alpha i} & -\psi^{\alpha i} & \psi^{ij} & 0 \end{pmatrix} = \begin{pmatrix} 0 & \bar{u} & -\bar{u} & d & u \\ -\bar{u} & 0 & \bar{u} & d & u \\ \bar{u} & -\bar{u} & 0 & d & u \\ -d & -d & -d & 0 & \bar{e} \\ -u & -u & -u & -\bar{e} & 0 \end{pmatrix}.$$
(2.19)

Hence these are matter field representations of the su(5) GUT with an SU(5) gauge group.

[IV]. Break SU(5) to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$ and to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$: Other than the electroweak Higgs ϕ_H , we also need to introduce a different GUT Higgs field ϕ_{GG} to break down SU(5) to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$. The ϕ_{GG} can be $\phi_{su(5)_{24}} = 24$ in the adjoint representation of SU(5) as

$$\phi_{su(5)_{24}} \sim \mathbf{24} \text{ of } SU(5)$$

= $(\mathbf{8}, \mathbf{1}, Y = 0) \oplus (\mathbf{1}, \mathbf{3}, Y = 0) \oplus (\mathbf{1}, \mathbf{1}, Y = 0) \oplus (\mathbf{3}, \mathbf{2}, Y = -\frac{5}{6}) \oplus (\bar{\mathbf{3}}, \mathbf{2}, Y = \frac{5}{6}) \text{ of } \frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}.$
(2.20)

Moreover, to give fermion mass by Yukawa-Higgs Dirac term via a Higgs mechanism, we can introduce additional new Higgs fields for the electroweak Higgs ϕ_H which further breaks SU(5) to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$:

 $\phi_{su(5)_{5}} \sim \mathbf{5} \text{ of SU}(5), \quad \text{also} \quad \phi_{su(5)_{45}} \sim \mathbf{45} \text{ of SU}(5).$ (2.21)

In short, for su(5) GUT, the GUT Higgs field is in the adjoint **24**, while the electroweak Higgs field is in **5**, and also another **45**.¹⁵

We can add a right-handed neutrino ν_R (or ν^c known as the sterile neutrino which does not interact with any SU(5) gauge bosons) into the SU(5) with a trivial representation:

$$(\mathbf{1},\mathbf{1},0)_L \sim \bar{\nu}_R \sim \nu^c \sim \mathbf{1} \text{ of SU}(5),$$
 (2.22)

[V]. so(10) **GUT with** so(10) Lie algebra and Spin(10) Lie group: If we include the $3 \times 2 + 3 + 3 + 2 + 1 = 15$ left-handed Weyl spinors from one single generation, and also a right-handed neutrino (2.22), we can combine them as a multiplet of 16 left-handed Weyl spinors:

$$\Psi_L \sim \mathbf{16}^+ \text{ of Spin}(10), \tag{2.23}$$

which sits at the 16-dimensional irreducible spinor representation of Spin(10). The two irreducible spinor representations together

$$16^+ \oplus 16^- = 32 \tag{2.24}$$

¹⁵To choose an electroweak Higgs ϕ_H , we check that Yukawa-Higgs-Dirac term $\phi_H \psi_R^{\dagger} \psi_L + h.c.$ or $\phi_H^* \psi_R^{\dagger} \psi_L + h.c.$ gives the trivial representation in SU(5). We know the forms of electron mass term $\bar{e}_R e_L \sim \mathbf{10} \otimes \mathbf{\overline{5}}$, the up quark mass term $\bar{u}_R u_L \sim \mathbf{10} \otimes \mathbf{10}$, and the down mass term $\bar{d}_R d_L \sim \mathbf{\overline{5}} \otimes \mathbf{10}$. Together with the fact $\mathbf{10} \otimes \mathbf{\overline{5}} = \mathbf{5} \oplus \mathbf{45}$ and $\mathbf{10} \otimes \mathbf{10} = \mathbf{\overline{5}} \oplus \mathbf{\overline{45}} \oplus \mathbf{\overline{50}}$ implies that $\phi_H^* \bar{e}_R e_L + h.c.$, $\phi_H \bar{u}_R u_L + h.c.$ and $\phi_H^* \bar{d}_R d_L + h.c.$ give the correct Yukawa-Higgs-Dirac terms choosen from either $\phi_{su(5)_5} \sim \mathbf{5}$ (by using the fact $\mathbf{\overline{5}} \otimes \mathbf{5} = \mathbf{1} \oplus \mathbf{24}$ contains 1) or $\phi_{su(5)_{45}} \sim \mathbf{45}$ (by using the fact $\mathbf{45} \otimes \mathbf{\overline{45}}$ contains 1).

form a **32**-dimensional reducible spinor representation of Spin(10). Namely, we must regard the so(10) GUT with a Spin(10) gauge group. Based on the Nielsen-Ninomiya *fermion doubling* of the free fermion theory, the $\mathbf{16}^+ \oplus \mathbf{16}^-$ can be regarded as the realization of

the chiral matter 16^+ and the mirror matter 16^-

(anti-chiral with complex conjugated representation). Based on a generalization of gapping mirror fermion [50] by suitable nonperturbative interactions (see an overview from [51–55]), References [12,56–58] suggested that the mirror matter 16^- can be fully gapped without breaking the Spin(10) group. It is shown in [12] that the gapping 16^- without breaking Spin(10) is consistent with the classification of all invertible local and global anomalies from the cobordism classification [12,13]. (We will explain more details in Sec. A.3.) It is also shown in [12] that the gapping 16^- without breaking Spin(10) is consistent with the perspective of Seiberg's deformation class of quantum field theories (QFT) [59].

[VI]. Break Spin(10) to SU(5): To break the Lie group Spin(10) \rightarrow SU(5) (which is a stronger statement than the breaking of Lie algebra $so(10) \rightarrow su(5)$), we can implement the following Higgs fields (see Fig. 4 and Fig. 5):

(i).

$$\phi_{so(10)_{16}} \sim 16 \text{ of Spin}(10),$$
 (2.25)

which **16** is also in **16**⁺. Conventionally, an old wisdom said that $\phi_{so(10)_{16}}$ requires an additional (17th) Weyl fermion [6] (in a trivial representation **1** of Spin(10)) to pair with the 16th Weyl fermion in **16** and $\phi_{so(10)_{16}} \sim \mathbf{16}$ to give it a mass via Higgs mechanism.¹⁶ However, as we learned, Ref. [1] suggested the \mathbb{Z}_{16} anomaly cannot be matched by all 17 Weyl fermions.

A new more promising proposal from Ref. [1] is that we can still use the vacuum expectation value (vev) of $\phi_{so(10)_{16}} = 16$ to break Spin(10) \rightarrow SU(5), but we introduce a new 4d TQFT sector (but keep only 16 Weyl fermions in 16^+ , without introducing the 17th Weyl fermion in 1) with a huge energy gap Δ_{TQFT} of GUT scale shown in Fig. 4 and Fig. 5. The Δ_{TQFT} means the energy gap between the ground state $|\Psi_{g.s.}\rangle$ of its topological order and the first excited state(s) $|\Psi_{1\text{st excited}}\rangle$ of fractionalized excitations (anyonic strings with fractional braiding statistics of 4d TQFT [60–65]). So that the energy difference between $|\Psi_{1\text{st excited}}\rangle$ and $|\Psi_{g.s.}\rangle$ is the TQFT/topological order gap defined as:

$$\Delta_{\text{TQFT}} \equiv E_{|\Psi_{1\text{st excited}}\rangle} - E_{|\Psi_{g.s.}\rangle}.$$
(2.26)

The \mathbb{Z}_{16} anomaly is compensated by the 4d TQFT (replacing the 16th Weyl fermion of the sterile righthanded neutrino) plus the remaining 15 Weyl fermions of su(5) GUT.

(ii).

$$\phi_{so(10)_{126}} \sim 126 \text{ of Spin}(10).$$
 (2.27)

Alternatively, we can introduce the Majorana mass to the 16th Weyl fermion in 16^+ by the Higgs field $\phi_{so(10)_{126}}$ via Yukawa-Higgs mechanism with a Yukawa-Higgs-Majorana mass term.¹⁷

¹⁶This is simply based on the Yukawa-Higgs-Dirac term $\phi_{so(10)_{16}}^*\psi_R^{\dagger}\psi_L \sim \overline{\mathbf{16}} \otimes \mathbf{16}$ and the fact $\overline{\mathbf{16}} \otimes \mathbf{16} = \mathbf{1} \oplus \mathbf{45} \oplus \mathbf{210}$ contains the trivial **1** allowed for Yukawa-Higgs-Dirac term. But the 17th Weyl fermion in **1** has an disadvantage to mismatch the \mathbb{Z}_{16} anomaly if we wish to maintain the \mathbb{Z}_4 discrete subgroup of $X \equiv 5(\mathbf{B} - \mathbf{L}) - 4Y$ [1]. So Ref. [1] proposes a new way out using a new 4d TQFT (without adding any 17th Weyl fermion) which can match the \mathbb{Z}_{16} anomaly, while the vev of $\phi_{so(10)_{16}} \sim \mathbf{16}$ can still break Spin(10) \rightarrow SU(5).

¹⁷Recall a Majorana mass term $\psi\psi \sim \mathbf{16} \otimes \mathbf{16}$ and the fact $\mathbf{16} \otimes \mathbf{16} = \mathbf{10} \oplus \mathbf{120} \oplus \overline{\mathbf{126}}$ where $\mathbf{126}$ is complex, self-dual, total anti-symmetric 5-index tensor in Spin(10). Then a Yukawa-Higgs-Majorana term $\phi_{so(10)_{126}}\psi\psi \sim \mathbf{126} \otimes \mathbf{16} \otimes \mathbf{16}$ can contain $\mathbf{126} \otimes \overline{\mathbf{126}}$, which can also contain the desired trivial 1. (Note that $\mathbf{126} \otimes \overline{\mathbf{126}} = \mathbf{1} \oplus \mathbf{45} \oplus \mathbf{210} \oplus \mathbf{770} \oplus \mathbf{5940} \oplus \mathbf{8910}$.)

- [VII]. Break Spin(10) to SU(5) then to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$ and to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$: We have explained breaking Spin(10) to SU(5) in [VI] and breaking SU(5) to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$ in [IV].
 - (i). The Spin(10) can be further broken down to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$ by adding a new Higgs condensate in addition to the Higgs $\phi_{so(10)_{16}}$ or $\phi_{so(10)_{126}}$ of [IV] which already breaks Spin(10) to SU(5). The new Higgs condensate can be

$$\phi_{so(10)_{45}} \sim 45 \text{ of } \operatorname{Spin}(10) = \mathbf{1} \oplus \mathbf{10} \oplus \overline{\mathbf{10}} \oplus \mathbf{24} \text{ of } \operatorname{SU}(5),$$

$$(2.28)$$

since the branching rule contains $\phi_{su(5)_{24}} \sim 24$ of SU(5) that breaks SU(5) to $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$. Another option is the $\phi_{so(10)_{54}} \sim 54$ that also contains $\phi_{su(5)_{24}} \sim 24$ of SU(5):

$$\phi_{so(10)54} \sim 54 \text{ of } \text{Spin}(10) = 15 \oplus \overline{15} \oplus 24 \text{ of } \text{SU}(5).$$
 (2.29)

(ii). The Spin(10) can be further broken down to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$ just as SU(5) broken to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_3}$ by $\phi_{su(5)_5} \sim \mathbf{5}$ and/or $\phi_{su(5)_{45}} \sim \mathbf{45}$ of SU(5). To do so, we look at the Yukawa-Higgs Dirac mass term $\phi^* \psi_B^{\dagger} \psi_L + h.c.$ and see the fermion bilinear $\mathbf{16} \otimes \mathbf{16} = \mathbf{10} \oplus \mathbf{120} \oplus \overline{\mathbf{126}}$ see that

$$\phi_{so(10)_{10}} \sim \mathbf{10} \text{ of } \operatorname{Spin}(10) = \mathbf{5} \oplus \overline{\mathbf{5}} \text{ of } \operatorname{SU}(5),$$

$$(2.30)$$

$$\phi_{so(10)_{120}} \sim \mathbf{120} \text{ of } \operatorname{Spin}(10) = \mathbf{5} \oplus \overline{\mathbf{5}} \oplus \mathbf{10} \oplus \overline{\mathbf{10}} \oplus \mathbf{45} \oplus \overline{\mathbf{45}} \text{ of } \operatorname{SU}(5),$$
 (2.31)

 $\begin{array}{rcl} \phi_{so(10)_{120}} & \sim & \mathbf{120} \text{ of } \operatorname{Spin}(10) = \mathbf{5} \oplus \mathbf{5} \oplus \mathbf{10} \oplus \mathbf{10} \\ \phi_{so(10)_{\overline{126}}} & \sim & \overline{\mathbf{126}} \text{ of } \operatorname{Spin}(10) = \mathbf{5} \oplus \overline{\mathbf{5}} \text{ of } \operatorname{SU}(5). \end{array}$ (2.32)

We see all $\phi_{so(10)_{10}}, \phi_{so(10)_{120}}$ and $\phi_{so(10)_{\overline{126}}}$ contain the Higgs $\phi_{su(5)_{5}} \sim 5$ and/or $\phi_{su(5)_{45}} \sim 45$ of SU(5) thus they can suit the job, breaking down to $\frac{SU(3) \times U(1)_{EM}}{\mathbb{Z}_2}$.

[VIII]. so(18) GUT with so(18) Lie algebra and Spin(18) Lie group: The 256 left-handed Weyl spinors (each as a 2-component spacetime spinor) has 16 copies of 16^+ of Spin(10)

$$\Psi_L \sim 256^+ \text{ of Spin}(18),$$
 (2.33)

sits at the 256-dimensional irreducible spinor representation of Spin(18). The two irreducible spinor representations together

$$256^+ \oplus 256^- = 512 \tag{2.34}$$

form a **512**-dimensional reducible spinor representation of Spin(18).

We also know $\text{Spin}(6) = \text{SU}(4) \supset \text{Spin}(5) = \text{Sp}(2) = \text{USp}(4)$, thus $\text{SU}(9) \supset \text{SU}(5) \times \text{SU}(4) \supset \text{SU}(5) \times \text{SU}(5)$ Spin(4). With the above information and [1, 14, 15] and some basics of GUT [66, 67] in mind, we obtain the embedding web in Fig. 2 and Fig. 3.

3 Energy and Mass Hierarchy: Local and Global Anomaly Constraints

With Ref. [1] and Sec. 2 in mind, we suggest that the anomaly can be matched at different energy or mass scales in different Scenarios, see Fig. 4 and Fig. 5. We enlist several Scenarios [I], [II], [II], [IV], at different energy scales into subsections.

3.1 so(18) GUT without mirror fermion doubling and the energy scale $\Delta_{KW.so(18)}$

[I]. so(18) GUT without mirror fermion doubling and the energy gap scale $\Delta_{KW,so(18)}$:

In fact, based on [12], for so(18) GUT with fermions in the spinor representation of Spin(18) we can consider a quantum model with UV completion (but without gravity) of the followings:

(1) We can start from a 4d Universe with fermion doublings $\Psi_L^{\text{Spin}(18)} \sim 256^+$ and $\Psi_R^{\text{Spin}(18)} \sim 256^-$. Then we can gap $\Psi_R^{\text{Spin}(18)} \sim 256^-$ by nonperturbative interactions without breaking Spin(18). The reason is that for the all *G*-anomaly-free theory (as we can check so(18) GUT with Spin(18) chiral fermions is fully anomaly free [12], see also later in Sec. A.3), we can gap the theory as a *G*-symmetric gapped boundary of a trivial *G*-symmetric gapped bulk. Here the "trivial" means a trivial SPT state thus a trivial cobordism class. The gapped boundary is in fact an gapped interface between a "trivial *G*-symmetric gapped bulk" and a "trivial *G*-symmetric gapped vacuum." Thus, the trivial *G*-symmetric gapped bulk can be smoothly crossed over to the trivial vacuum without breaking *G* symmetry and without closing the energy gap at the *G*-symmetric gapped interface.

(2) We can start from a 4d Universe without fermion doublings and with only $\Psi_L^{\text{Spin}(18)} \sim 256^+$. This is true since we can simply choose to start with a *G*-symmetric gapped boundary on the mirror sector for the bulk with a trivial cobordism class in *G* [12] (whose boundary contains any all-*G*-anomaly-free theory [12]).

To establish this claim, we can check that so(18) GUT is free from the \mathbb{Z}_2 class global anomaly [12, 14] (which turns out to be the same \mathbb{Z}_2 class anomaly of so(10) GUT if we embed $Spin(10) \subset Spin(18)$:

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2}\operatorname{Spin}(18)} = \Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2}\operatorname{Spin}(10)} = \mathbb{Z}_2,$$
(3.1)

$$TP_5(Spin \times_{\mathbb{Z}_2} Spin(18)) = TP_5(Spin \times_{\mathbb{Z}_2} Spin(10)) = \mathbb{Z}_2.$$
(3.2)

This potential \mathbb{Z}_2 class 4d global anomaly in Spin(18) and Spin(10) chiral fermion theory is analogous to the 4d new SU(2) anomaly [16] occurred in (2.4), based on SU(2) \subset Spin(3) \subset Spin(10) \subset Spin(18). Each is respectively generated by 5d cobordism invariants (see footnote 14 for notations):

$$\exp(i\pi \int w_2(V_{SO(18)})w_3(V_{SO(18)})) \quad \text{and} \quad \exp(i\pi \int w_2(V_{SO(10)})w_3(V_{SO(10)})).$$
(3.3)

Follow [12,16], we can explicitly check these anomalies (3.3) are absent in the so(10) and so(18) GUT (also shown later in Sec. A.3). The new SU(2) anomaly requires an isospin-3/2 fermion of SU(2) = Spin(3) (i.e., in the representation **4** of SU(2)) to realize the anomaly [16]. However, the fermions in so(10) GUT and so(18) GUT are in the spinor representation **16**⁺ of Spin(10) and **256**⁺ of Spin (18), which after projection to the Spin(3) can be decomposed as the direct sums of 8 copies of **2** (an isospin-1/2 fermion) of SU(2), and 128 copies of **2** (an isospin-1/2 fermion) of SU(2) respectively. Same for the **16**⁻ of Spin(10) and **256**⁻ of Spin (18). Namely, we obtain

$$\Psi_L \sim \mathbf{256}^+ \text{ of Spin}(18) \text{ or } \Psi_R \sim \mathbf{256}^- \text{ of Spin}(18) \sim 128 \cdot \mathbf{2} \text{ of Spin}(3) = \mathrm{SU}(2).$$
 (3.4)

$$\Psi_L \sim \mathbf{16}^+ \text{ of Spin}(10) \text{ or } \Psi_R \sim \mathbf{16}^- \text{ of Spin}(10) \sim 8 \cdot \mathbf{2} \text{ of Spin}(3) = \mathrm{SU}(2).$$
 (3.5)

So the so(10) GUT and so(18) GUT both have some even numbers of isospin-1/2 fermions, which do not have the familiar Witten SU(2) anomaly [46], nor do they have the new SU(2) anomaly [12, 16] in the absence of 4 of SU(2). So the so(10) GUT and so(18) GUT can have gapped mirror sectors without the unwanted fermion doubling [12].

In any case, the gapped mirror sector via (1) or (2) must have a huge energy gap of GUT scale somehow slightly higher than the so(18) GUT scale but much lower than $M_{\text{Planck}} \sim \sqrt{\frac{\hbar c}{G}} \sim 10^{19} \text{GeV}$, which we call

$$\Delta_{\mathrm{KW}.so(18)}.\tag{3.6}$$



Figure 4: Energy and Mass Hierarchy Proposal: Follow Fig. 1, the breaking structure and hierarchy structure always concern the global Lie group: $\text{Spin}(18), \text{SU}(5), \frac{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)}{\mathbb{Z}_q}, etc.$ However, we simply denote the local Lie algebra such as $so(18), su(5), su(3) \times su(2) \times u(1), etc.$, only for the abbreviation brevity and only to be consistent with the notations of early physics literature.

Here KW stands for Kitaev-Wen (KW) mechanism due to the original pioneer work of [56, 68–71]. The KW.so(18) means the *first* Kitaev-Wen mechanism that we implement starting around from the scale of so(18) GUT. This $\Delta_{\text{KW}.so(18)}$ must be in a larger energy gap than the other dynamical gauge symmetry breaking or GUT Higgs scales. See our Fig. 4 and Fig. 5.

$$M_{\text{Planck}} \sim \sqrt{\frac{w}{G}} \sim 10^{10} \text{GeV}$$

$$M_{\text{so}(13)} \approx \frac{1}{G} \sim 10^{10} \text{GeV}$$

$$M_{\text{so}(10) \times so(6)}$$

$$M_{\text{so}(10) \times so(6)} = \Delta_{\text{KW}, so(10) \times so(6)} = \Delta_{\text{KW}, so(10) \times so(6)}$$

$$M_{\text{so}(10) \times so(6)} = \Delta_{\text{KW}, so(10) \times so(6)} =$$

Figure 5: Energy and Mass Hierarchy Proposal: Follow Fig. 1 and Table 4's notations/explanations, what we have in mind about is always the global Lie group: $\frac{\text{Spin}(10) \times \text{Spin}(5)}{\mathbb{Z}_2}$, etc. However, we simply denote the local Lie algebra such as $so(10) \times so(5)$, etc.

3.2 so(18) **GUT to** so(10) × so(8) **GUT and** $\Delta_{KW.so(10) \times so(8)}$

[II]. Break so(18) GUT to $so(10) \times so(8)$ GUT:

Suppose the breaking $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(18) \to \operatorname{Spin} \times_{\mathbb{Z}_2^F} (\operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(8))$ occurs at the energy scale $M_{so(10)\times so(8)}$,¹⁸ we have the representation branching rule decomposition for fermions in the spinor repre-

 $^{^{18}}$ It is possible to achieve the breaking by *dynamical symmetry breaking* or by *Higgs mechanism*. We will not pursuit the details of the possibility of dynamical symmetry breaking in this article. Instead, as the old wisdom goes, we can simply follow the similar route of the breaking analysis by Higgs field as performed in [57,66]. We leave the analysis for synthesizing

sentations of internal symmetry groups:

$$\Psi_L^{\text{Spin}(18)} \sim \mathbf{256^+} \text{ of } \text{Spin}(18) \sim (\mathbf{16^+}, \mathbf{8^+}) \oplus (\mathbf{16^-}, \mathbf{8^-}) \text{ of } \text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(8),$$
(3.7)

$$\Psi_R^{\text{Spin}(18)} \sim \mathbf{256}^- \text{ of } \text{Spin}(18) \sim (\mathbf{16}^+, \mathbf{8}^-) \oplus (\mathbf{16}^-, \mathbf{8}^+) \text{ of } \text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(8).$$
(3.8)

Again the mirror fermion $\Psi_R^{\text{Spin}(18)} \sim 256^- \sim (16^+, 8^-) \oplus (16^-, 8^+)$ is already fully gapped out, on and above the scale $\Delta_{\text{KW}.so(18)}$ in Scenario [I].

Follow the similar idea of Ref. [57], we could check whether the Kitaev-Wen analogous mechanism can gap $(\mathbf{16}^-, \mathbf{8}^-)$ without breaking $(\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(8))$ by checking whether all the anomalies vanish for the $(\mathbf{16}^-, \mathbf{8}^-)$ chiral fermion. First, the cobordism classification of the anomalies show [15]:

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(8)}{\mathbb{Z}_2}} = \mathbb{Z}_2^2, \tag{3.9}$$

$$\operatorname{TP}_{5}(\operatorname{Spin} \times_{\mathbb{Z}_{2}} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(8)}{\mathbb{Z}_{2}}) = \mathbb{Z}_{2}^{2}.$$
(3.10)

The \mathbb{Z}_2^2 are two \mathbb{Z}_2 classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations)

$$\exp(i\pi \int \left(n_{10} w_2(V_{SO(10)}) w_3(V_{SO(10)}) + n_8 w_2(V_{SO(8)}) w_3(V_{SO(8)}) \right)), \tag{3.11}$$

where $(n_{10}, n_8) \in \mathbb{Z}_2^2$. These \mathbb{Z}_2^2 class anomalies are similar to the new SU(2) anomaly [16] thanks to Spin(10) \supset Spin(8) \supset Spin(3). Follow the same projection checking in Scenario [I], Ref. [57] wishes to gap the fermions in the spinor representation $\mathbf{16}^-$ of Spin(10) and $\mathbf{8}^-$ of Spin (8), which after projection to the Spin(3) can be decomposed as the direct sums of 8 copies of **2** (an isospin-1/2 fermion) of SU(2), and 4 copies of **2** (an isospin-1/2 fermion) of SU(2) respectively. Namely, we obtain

$$16^{-} \text{ of } \text{Spin}(10) \sim 8 \cdot 2 \text{ of } \text{Spin}(3) = \text{SU}(2). \tag{3.12}$$

$$\mathbf{8}^{-} \text{ of Spin}(8) \sim 4 \cdot \mathbf{2} \text{ of Spin}(3) = SU(2). \tag{3.13}$$

So the so(10) GUT and so(18) GUT both have some even numbers of isospin-1/2 fermions **2** of SU(2) (**4**) of SU(2), which do not have the familiar Witten SU(2) anomaly [46], nor do they have the new SU(2) anomaly [12, 16] in the absence of isospin-3/2 fermions **4** of SU(2). So the $(\mathbf{16}^-, \mathbf{8}^-)$ can be a gapped sector without breaking the symmetry, agreeing with [57]. If there is an energy gap scale for this gapping $(\mathbf{16}^-, \mathbf{8}^-)$ scenario, we can name it as:

$$\Delta_{\mathrm{KW}.so(10)\times so(8)},\tag{3.14}$$

which is around the breaking scale $M_{so(10)\times so(8)}$.

3.3 $so(10) \times so(8)$ **GUT to** $so(10) \times so(6)$ **GUT and** $\Delta_{KW,so(10) \times so(6)}$

[III]. Motivated by the dynamical symmetry breaking and/or Higgs mechanism of the so(18) GUT [8,9,57,66], we can consider the following breaking pattern:

$$\begin{aligned} \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(8)) \\ & \longrightarrow \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(6) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(2))) \\ & \longrightarrow \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(6)), \quad (3.15) \end{aligned}$$

the old idea of dynamical symmetry breaking and the new idea of nonperturbative anomaly/cobordism constraints, together with heavy color, technicolor, or hypercolor types of ideas [72–75], in a future work.

where Spin(6) = SU(4) and Spin(2) = U(1). The $\mathbf{8}^+$ and $\mathbf{8}^-$ in (3.7) are the 8-dimensional representations of Spin(8). There are three 8-dimensional representations: the vector representation $\mathbf{8}_v$, the spinor representation $\mathbf{8}_s$, and the conjugate of spinor representation $\mathbf{8}_c$ related by the Spin(8) triality. The $\mathbf{8}_v, \mathbf{8}_s$, and $\mathbf{8}_c$ can be transformed to each other via the outer automorphism S_3 , which is the symmetric group of the order 3! = 6 as the permutation of 3 elements. In particular, for the convenience of obtaining a desirable breaking pattern, we choose that $\mathbf{8}^+$ is $\mathbf{8}_v$ and $\mathbf{8}^-$ is $\mathbf{8}_c$, also we choose the decomposition branching rules for $\text{Spin}(8) \longrightarrow (\text{Spin}(6) \times_{\mathbb{Z}_5^r} \text{Spin}(2)) \longrightarrow \text{Spin}(6)$ as

$$\begin{aligned}
\mathbf{8}_{v} \text{ of } \operatorname{Spin}(8) &= (\mathbf{1}, 2) \oplus (\mathbf{1}, -2) \oplus (\mathbf{6}, 0) \text{ of } (\operatorname{Spin}(6) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(2)) = \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6} \text{ of } \operatorname{Spin}(6).\\
\mathbf{8}_{s} \text{ of } \operatorname{Spin}(8) &= (\mathbf{4}, -1) \oplus (\overline{\mathbf{4}}, 1) \text{ of } (\operatorname{Spin}(6) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(2)) = \mathbf{4} \oplus \overline{\mathbf{4}} \text{ of } \operatorname{Spin}(6).\\
\mathbf{8}_{c} \text{ of } \operatorname{Spin}(8) &= (\mathbf{4}, 1) \oplus (\overline{\mathbf{4}}, -1) \text{ of } (\operatorname{Spin}(6) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(2)) = \mathbf{4} \oplus \overline{\mathbf{4}} \text{ of } \operatorname{Spin}(6). \end{aligned} \tag{3.16}$$

After $(\mathbf{16}^-, \mathbf{8}^-)$ can be already gapped out by Scenario [II], we can check whether any additional sector of $(\mathbf{16}^+, \mathbf{8}^+)$ of Spin $(10) \times_{\mathbb{Z}_2^F}$ Spin(8) can be gapped out by Kitaev-Wen analogous mechanism. The $\mathbf{16}^+$ of Spin $(10) \sim 8 \cdot \mathbf{2}$ of Spin(3) = SU(2) is free from the old (familiar Witten) SU(2) anomaly [46] and the new SU(2) anomaly [16]. The $\mathbf{8}^+ = \mathbf{8}_v$ of Spin(8) can be decomposed as $\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6}$ of Spin(6). Thus we can check whether some of the components in

$$(\mathbf{16}^+, \mathbf{8}^+) \text{ of } \operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(8) = (\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6}) \text{ of } \operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(6)$$
(3.17)

are free from the anomalies classified by the cobordism group [15]:

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(6)}{\mathbb{Z}_2}} = \mathbb{Z}_2^2, \tag{3.18}$$

$$\operatorname{TP}_{5}(\operatorname{Spin} \times_{\mathbb{Z}_{2}} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(6)}{\mathbb{Z}_{2}}) = \mathbb{Z}_{2}^{2}.$$
(3.19)

The \mathbb{Z}_2^2 are two \mathbb{Z}_2 classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations):

$$\exp(i\pi \int \left(n_{10} w_2(V_{SO(10)}) w_3(V_{SO(10)}) + n_6 w_2(V_{SO(6)}) w_3(V_{SO(6)}) \right)), \tag{3.20}$$

where $(n_{10}, n_6) \in \mathbb{Z}_2^2$. These \mathbb{Z}_2^2 class anomalies are similar to the new SU(2) anomaly [16] thanks to $\operatorname{Spin}(10) \supset \operatorname{Spin}(6) \supset \operatorname{Spin}(3)$. We can project the $\operatorname{Spin}(6)$ to $\operatorname{Spin}(3) = \operatorname{SU}(2)$ representation

$$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6} \text{ of } \operatorname{Spin}(6) = \mathbf{1} \oplus \mathbf{1} \oplus (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2}) \text{ of } \operatorname{Spin}(3) = \operatorname{SU}(2).$$
(3.21)

In particular, the **6** of Spin(6) as $(\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2})$ of Spin(3) = SU(2),¹⁹ due to an even number of **2** and no **4** of SU(2) (thus their mod 2 classes are zeros), is now confirmed to be free from the mod 2 classes of old and the new SU(2) anomalies. Thus the $(\mathbf{16}^+, \mathbf{6})$ of Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(6) is free from all anomalies of (3.19).²⁰ In summary, we can gap $(\mathbf{16}^+, \mathbf{6})$ by nonperturbative interactions without breaking the Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(6) symmetry, but we keep the gapless $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1})$ intact. If there is an energy gap scale for this gapping $(\mathbf{16}^+, \mathbf{6})$ scenario, we can name it as:

$$\Delta_{\mathrm{KW},so(10)\times so(6)},\tag{3.22}$$

which is around the breaking scale $M_{so(10)\times so(6)}$. However, this is not desirable because we are left with the nearly gapless $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1})$ with only *two* generations instead of *three* generations of quarks and leptons.

¹⁹Depending on how do we embed Spin(6) \supset Spin(3), it is possible that we can obtain **6** of Spin(6) as $(\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2})$ of Spin(3), or **6** of Spin(6) as $(\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{3})$ of Spin(3). In any case, it still has an even number of **2** and an even number of **4** of SU(2), which we confirm to be free from the old and the new SU(2) anomalies.

²⁰Similarly, the $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1})$ of Spin $(10) \times_{\mathbb{Z}_2^F}$ Spin(6) is also free from all anomalies of (3.19) and thus can be gapped, but we wish to keep the $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \ldots)$ intact for the nearly gapless sector for the SM phenomenology.

3.4 $so(10) \times so(8)$ GUT to $so(10) \times so(5)$ GUT, $\Delta_{KW,so(10) \times so(5)}$, and Three Generations

[IV]. We can also consider the following breaking pattern:

$$\begin{split} \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(8)) & \longrightarrow \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(5) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3))) & \longrightarrow \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} (\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(5)), \quad (3.23) \end{split}$$

where $\operatorname{Spin}(5) = \operatorname{Sp}(2) = \operatorname{USp}(4)$ and $\operatorname{Spin}(3) = \operatorname{SU}(2)$. Again, for the convenience of obtaining a desirable breaking pattern, we choose that $\mathbf{8}^+$ is $\mathbf{8}_v$ and $\mathbf{8}^-$ is $\mathbf{8}_c$, also we choose the decomposition branching rules for $\operatorname{Spin}(8) \longrightarrow (\operatorname{Spin}(5) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(3)) \longrightarrow \operatorname{Spin}(5)$ as

$$\begin{aligned} \mathbf{8}_{v} \text{ of } \operatorname{Spin}(8) &= (\mathbf{1}, \mathbf{3}) \oplus (\mathbf{5}, \mathbf{1}) \text{ of } (\operatorname{Spin}(5) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3)) = \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{5} \text{ of } \operatorname{Spin}(5). \\ \mathbf{8}_{s} \text{ of } \operatorname{Spin}(8) &= (\mathbf{4}, \mathbf{2}) \text{ of } (\operatorname{Spin}(5) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3)) = \mathbf{4} \oplus \mathbf{4} = 2 \cdot \mathbf{4} \text{ of } \operatorname{Spin}(5). \\ \mathbf{8}_{c} \text{ of } \operatorname{Spin}(8) &= (\mathbf{4}, \mathbf{2}) \text{ of } (\operatorname{Spin}(5) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3)) = \mathbf{4} \oplus \mathbf{4} = 2 \cdot \mathbf{4} \text{ of } \operatorname{Spin}(5). \end{aligned}$$
(3.24)

Similar to Scenario [III], after $(\mathbf{16}^-, \mathbf{8}^-)$ is already gapped out by Scenario [II], we can check whether any additional sector of $(\mathbf{16}^+, \mathbf{8}^+)$ of Spin $(10) \times_{\mathbb{Z}_2^F}$ Spin(8) can be gapped out by Kitaev-Wen analogous mechanism. The $\mathbf{16}^+$ of Spin $(10) \sim 8 \cdot \mathbf{2}$ of Spin(3) = SU(2) is free from the old (familiar Witten) SU(2) anomaly [46] and the new SU(2) anomaly [16]. The $\mathbf{8}^+ = \mathbf{8}_v$ of Spin(8) can be decomposed as $\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{5}$ of Spin(5). Thus we can check whether some of the components in

$$(\mathbf{16}^+, \mathbf{8}^+) \text{ of } \operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(8) = (\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{5}) \text{ of } \operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(5)$$
(3.25)

are free from the anomalies classified by the cobordism group [15]:

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(5)}{\mathbb{Z}_2}} = \mathbb{Z}_2^2, \tag{3.26}$$

$$\operatorname{TP}_{5}(\operatorname{Spin} \times_{\mathbb{Z}_{2}} \frac{\operatorname{Spin}(10) \times \operatorname{Spin}(5)}{\mathbb{Z}_{2}}) = \mathbb{Z}_{2}^{2}.$$
(3.27)

The \mathbb{Z}_2^2 are two \mathbb{Z}_2 classes of 4d nonperturbative global anomalies generated by 5d cobordism invariants (see footnote 14 for notations)

$$\exp(i\pi \int \left(n_{10} w_2(V_{SO(10)}) w_3(V_{SO(10)}) + n_5 w_2(V_{SO(5)}) w_3(V_{SO(5)}) \right)), \tag{3.28}$$

where $(n_{10}, n_5) \in \mathbb{Z}_2^2$, similar to the new SU(2) anomaly [16] thanks to Spin(10) \supset Spin(5) \supset Spin(3). We can project the Spin(5) to Spin(3) = SU(2) representation

$$\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{5} \text{ of } \operatorname{Spin}(5) = \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus (\mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2}) \text{ of } \operatorname{Spin}(3) = \operatorname{SU}(2).$$
(3.29)

In particular, the **5** of Spin(5) as $(\mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2})$ of Spin(3) = SU(2),²¹ due to an even number of **2** and no **4** of SU(2) (thus their mod 2 classes are zeros), is now confirmed to be free from the old and the new SU(2) anomalies. Thus the $(\mathbf{16}^+, \mathbf{5})$ of Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(5) is free from all anomalies of (3.27).²² In summary, we can gap $(\mathbf{16}^+, \mathbf{5})$ by nonperturbative interactions, but we keep the gapless

$$(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}) \tag{3.30}$$

²¹Depending on how do we embed Spin(5) \supset Spin(3), it is possible that we can obtain **5** of Spin(5) as $(\mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2})$ of Spin(3); it may also be possible to choose the **5** of Spin(5) as the $(\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{3})$ of Spin(3) = SU(2). In any case, it still has an even number of **2** and an even number of **4** of SU(2), which we confirm to be free from the old and the new SU(2) anomalies.

²²Similarly, the $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1})$ of Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(5) is also free from all anomalies of (3.27) and thus can be gapped, but we wish to keep the $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1})$ intact for the nearly gapless sector for the SM phenomenology.

intact, while preserving the Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(5) symmetry. If there is an energy gap scale for this gapping (16⁺, 5) scenario, we can name it as:

$$\Delta_{\mathrm{KW}.so(10)\times so(5)},\tag{3.31}$$

which is around the breaking scale $M_{so(10)\times so(5)}$. This seems to be desirable because we are left with the nearly gapless $(\mathbf{16}^+, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1})$ with exactly three generations of quarks and leptons.

3.5 so(18) GUT to su(9) GUT and su(5) × so(6) GUT, and $\Delta_{KW.su(5) \times so(6)}$

[V]. Break so(18) GUT from Spin(18) to SU(9), to SU(5) × SU(4) = SU(5) × Spin(6) and their GUT: Here we attempt to make the historical so(18) GUT to su(9) GUT and su(5) × su(4) GUT (or su(5) × so(6) GUT, by the Lie algebra su(4) = so(6)) breaking process in [8, 9, 57] more mathematically precise, by considering the embedding web Fig. 2. Breaking Spin $\times_{\mathbb{Z}_2^F}$ Spin(18) \rightarrow Spin × SU(9), we have the representation branching rule:

$$\Psi_L^{\text{Spin}(18)} \sim \mathbf{256^+} \text{ of } \text{Spin}(18) \sim [0] \oplus [2] \oplus [4] \oplus [6] \oplus [8] = \mathbf{1} \oplus \mathbf{36} \oplus \mathbf{126} \oplus \mathbf{\overline{84}} \oplus \mathbf{\overline{9}} \text{ of } \text{SU}(9).$$
(3.32)

Follow the setup and notation in [57], the [N] is an N-index anti-symmetric tensor from the fundamental representation of SU(9). Let us decompose the above matter field representations from the viewpoint of $\text{Spin} \times \text{SU}(9) \rightarrow \text{Spin} \times \text{SU}(5) \times \text{SU}(4) = \text{Spin} \times \text{SU}(5) \times \text{Spin}(6)$. Below we follow the notations in [57], the subscript [N] of the 2-tuple "(SU(5) representation, Spin(6) representation)_[N]" means where the 2-tuple is from the [N] of SU(9). We see that part of 120 Weyl fermions in **256**⁺ is analogous to matter fields,

Representation of $SU(5) \times SU(4) = SU(5) \times Spin(6)$:

$$(\overline{\mathbf{5}}, \mathbf{1})_{[8]} \oplus (\overline{\mathbf{5}}, \mathbf{1})_{[4]} \oplus (\overline{\mathbf{5}}, \mathbf{6})_{[6]} \oplus (\mathbf{10}, \mathbf{1})_{[2]} \oplus (\mathbf{10}, \mathbf{1})_{[6]} \oplus (\mathbf{10}, \mathbf{6})_{[4]}$$

= $(\overline{\mathbf{5}}, \mathbf{1}) \oplus (\overline{\mathbf{5}}, \mathbf{1}) \oplus (\overline{\mathbf{5}}, \mathbf{6}) \oplus (\mathbf{10}, \mathbf{1}) \oplus (\mathbf{10}, \mathbf{1}) \oplus (\mathbf{10}, \mathbf{6}) = ((\overline{\mathbf{5}} \oplus \mathbf{10}), \ (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6})).$ (3.33)

We also see that additional part of 120 Weyl fermions in 256^+ is analogous to extra matter fields,

Representation of SU(5) × SU(4) = SU(5) × Spin(6) :

$$(\mathbf{5}, \mathbf{4})_{[4]} \oplus (\mathbf{5}, \overline{\mathbf{4}})_{[2]} \oplus (\overline{\mathbf{10}}, \mathbf{4})_{[4]} \oplus (\overline{\mathbf{10}}, \overline{\mathbf{4}})_{[6]}$$

$$= (\mathbf{5}, \mathbf{4}) \oplus (\mathbf{5}, \overline{\mathbf{4}}) \oplus (\overline{\mathbf{10}}, \mathbf{4}) \oplus (\overline{\mathbf{10}}, \overline{\mathbf{4}}) = ((\mathbf{5} \oplus \overline{\mathbf{10}}), (\mathbf{4} \oplus \overline{\mathbf{4}})).$$
(3.34)

There is an additional part of 16 Weyl fermions in 256^+ analogous to 16 copies of a right-handed "sterile" neutrino,

Representation of SU(5) × SU(4) = SU(5) × Spin(6) :

$$(\mathbf{1}, \mathbf{1})_{[0]} \oplus (\mathbf{1}, \mathbf{1})_{[4]} \oplus (\mathbf{1}, \mathbf{4})_{[6]} \oplus (\mathbf{1}, \overline{\mathbf{4}})_{[8]} \oplus (\mathbf{1}, \mathbf{6})_{[2]}$$

$$(\mathbf{1}, \mathbf{1}) \oplus (\mathbf{1}, \mathbf{1}) \oplus (\mathbf{1}, \mathbf{4}) \oplus (\mathbf{1}, \overline{\mathbf{4}}) \oplus (\mathbf{1}, \mathbf{6}) = (\mathbf{1}, \ (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{4} \oplus \overline{\mathbf{4}} \oplus \mathbf{6})).$$
(3.35)

The $(\mathbf{1}, \mathbf{1})_{[0]}$ and $(\mathbf{1}, \mathbf{1})_{[4]}$ are indeed sterile and they carry no gauge charge under $\mathrm{SU}(5) \times \mathrm{Spin}(6)$ (thus not charged under G_{SM_6} and SM gauge forces). But the $(\mathbf{1}, \mathbf{4})_{[6]}$, $(\mathbf{1}, \overline{\mathbf{4}})_{[8]}$, and $(\mathbf{1}, \mathbf{6})_{[2]}$ are only sterile under $\mathrm{SU}(5)$ (thus sterile to SM forces), but they do carry gauge charges as fundamental, anti-fundamental, or spinor presentations of $\mathrm{SU}(4) = \mathrm{Spin}(6)$.

We can check that whether the Spin × SU(5) × Spin(6) chiral fermion theory of additional matter $((\mathbf{5} \oplus \mathbf{\overline{10}}), (\mathbf{4} \oplus \mathbf{\overline{4}}))$ are free from the anomaly classified by [15]

$$\Omega_5^{\text{Spin}\times\text{SU}(5)\times\text{Spin}(6)} = 0, \qquad (3.36)$$

$$TP_5(Spin \times SU(5) \times Spin(6)) = \mathbb{Z}^2.$$
(3.37)

The \mathbb{Z}^2 are two \mathbb{Z} classes of 4d perturbative local anomalies captured by one-loop triangle Feynman diagrams and generated by 5d cobordism invariants (see footnote 14 for notations):

$$\exp(i \int \left(n_{su(5)} \frac{1}{2} CS_5(V_{SU(5)}) + n_{so(6)} \frac{1}{2} CS_{5,e}(V_{SO(6)}) \right)),$$
(3.38)

where $(n_{su(5)}, n_5) \in \mathbb{Z}^2$. They are also related to the 6d anomaly polynomial of the 6th bordism group Ω_6 , generated by the 3rd Chern class of SU(5) gauge bundle [11,12] and the 6th Euler class of Spin(6) or SO(6) gauge bundle [11],²³ see more details in [15].

- It is straightforward to check the representation multiplet in (3.34), equivalently as $(\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4})$ and $(\mathbf{5} \oplus \overline{\mathbf{10}}, \overline{\mathbf{4}})$, is free from the 4d perturbative local anomaly of 5d $\frac{1}{2}CS_5(V_{SU(5)})$, see Sec. A.1 for the exemplary calculation. In fact, generally a $\mathbf{5} \oplus \overline{\mathbf{10}}$ has no 4d perturbative local anomaly of 5d $\frac{1}{2}CS_5(V_{SU(5)})$.
- It is straightforward to check the representation multiplet in (3.34), equivalently as $(\mathbf{5}, \mathbf{4} \oplus \overline{\mathbf{4}})$ and $(\overline{\mathbf{10}}, \mathbf{4} \oplus \overline{\mathbf{4}})$, is also free from the 4d perturbative local anomaly of 5d $\frac{1}{2}CS_{5,e}(V_{SO(6)})$. For example, we can show that $(\mathbf{5}, \mathbf{4} \oplus \overline{\mathbf{4}})$ and $(\overline{\mathbf{10}}, \mathbf{4} \oplus \overline{\mathbf{4}})$ of the $\mathbf{2}_L$ left-handed Weyl fermions can be regarded as $(\mathbf{5}, \mathbf{4}) \oplus (\overline{\mathbf{10}}, \mathbf{4})$ of the $\mathbf{2}_L$ left-handed Weyl spinors and $(\mathbf{5}, \mathbf{4}) \oplus (\overline{\mathbf{10}}, \mathbf{4})$ of the $\mathbf{2}_R$ right-handed Weyl spinors of the Spin(3,1) Lorentz spinors. Since the

$$(\mathbf{5}, \mathbf{4}) \oplus (\overline{\mathbf{10}}, \mathbf{4})$$
 of $\mathbf{2}_L \oplus \mathbf{2}_R$ Weyl spinors

have the same quantum number but the opposite chirality, they do not have the 4d perturbative chiral anomaly of 5d $\frac{1}{2}$ CS_{5,e}($V_{SO(6)}$).

• We can also ask: How many, among the 16 copies of the 16th Weyl fermions in (3.35), $(1, (1 \oplus 1 \oplus 4 \oplus \overline{4} \oplus 6))$, can be gapped out while still preserving the Spin × SU(5) × Spin(6) symmetry?

Clearly, there are two copies of (1, 1) neutral under all gauge forces. (The only possible anomalies for the gauge neutral matter (1, 1) are the gravitational anomalies, if any.) It is easy to see that (1, 1)is free from all the anomalies in (3.37) and (3.38). Therefore we can gap (1, 1) without breaking Spin × SU(5) × Spin(6) symmetry, for example, by adding it a Majorana mass. However, as shown in Ref. [1], if we wish to preserve an extra $\mathbb{Z}_{4,X} \supset \mathbb{Z}_2^F$, we cannot gap (1, 1) by adding a single Majorana mass which breaks $\mathbb{Z}_{4,X}$, thus there must be an additional anomaly.

We also confirm that $(\mathbf{1}, \mathbf{4} \oplus \overline{\mathbf{4}}) = (\mathbf{1}, \mathbf{4}) \oplus (\mathbf{1}, \overline{\mathbf{4}})$ of the $\mathbf{2}_L$ left-handed Weyl spinors can be written as $(\mathbf{1}, \mathbf{4})$ of the $\mathbf{2}_L \oplus \mathbf{2}_R$, left-handed and right-handed Weyl spinors, thus they are free from the perturbative chiral anomaly of the Spin \times SU(5) \times Spin(6) symmetry in (3.38). In short, the $(\mathbf{1}, \mathbf{4} \oplus \overline{\mathbf{4}})$ can also be gapped out while still preserving the Spin \times SU(5) \times Spin(6) symmetry.

²³Follow [11], we use $CS_{2n-1}(V)$ to denote the Chern-Simons 2n - 1-form for the Chern class (if V is a complex vector bundle) or the Pontryagin class (if V is a real vector bundle) where $p_i(V) = (-1)^i c_{2i}(V \otimes \mathbb{C})$. The relation between the Chern-Simons form and the Chern class is $c_n(V) = dCS_{2n-1}(V)$ where the d is the exterior differential and the $c_n(V)$ is regarded as a closed differential form in de Rham cohomology. There is another kind of Chern-Simons form for Euler class $e_{2n}(V)$, we denote its Chern-Simons form by $CS_{2n-1,e}(V)$, it satisfies $e_{2n}(V) = dCS_{2n-1,e}(V)$.

If we do not preserve an extra symmetry (such as the $\mathbb{Z}_{4,X}$) but only preserve the $\operatorname{Spin} \times \operatorname{SU}(5) \times \operatorname{Spin}(6)$, then the

$$\left({f 1},\; ({f 1}\oplus {f 1}\oplus {f 4}\oplus \overline {f 4})
ight)$$

can be fully gapped because they are free from all the anomalies in (3.37) and (3.38).

The $((\mathbf{5} \oplus \mathbf{\overline{10}}), \mathbf{6})$ or $(\mathbf{1}, \mathbf{6})$ has the **6** in the vector Rep of Spin(6) and SO(6) whose perturbative local anomaly is captured by $\exp(i \int \frac{1}{2} CS_{5,e}(V_{SO(6)}))$ with a coefficient $A(\mathbf{R}) = N - 4 = 0$ for the matter field in the anti-symmetric Rep **R** of SU(N). Since $A(\mathbf{R}) = 0$ at N = 4 is anomaly free, the **6** can be gapped while preserving the Spin × Spin(6) symmetry.

• On the other hand, the $((\mathbf{5} \oplus \overline{\mathbf{10}}), \mathbf{4})$ or $(\mathbf{1}, \mathbf{4})$ has the $\mathbf{4}$ in the irreducible spinor Rep of Spin(6) and SO(6) whose perturbative local anomaly captured by $\exp(i \int \frac{1}{2} CS_{5,e}(V_{SO(6)}))$ with a coefficient $A(\mathbf{R}) = 1$ for the matter in a fundamental Rep \mathbf{R} of SU(N). Since $A(\mathbf{R}) = 1$ is anomalous, the $\mathbf{4}$ alone (also $\overline{\mathbf{4}}$ alone) cannot be gapped while preserving the Spin × Spin(6) symmetry.

In summary, above we have shown that the extra matter multiplet in (3.34) is free from all anomalies classified in (3.37) and (3.38). Thus we can gap $(\mathbf{5}, \mathbf{4}) \oplus (\mathbf{\overline{10}}, \mathbf{4}) \oplus (\mathbf{\overline{10}}, \mathbf{\overline{4}}) = ((\mathbf{5} \oplus \mathbf{\overline{10}}), (\mathbf{4} \oplus \mathbf{\overline{4}}))$ by nonperturbative interactions, but we keep the (3.33) gapless

$$\left((\overline{\mathbf{5}}\oplus\mathbf{10}),\ (\mathbf{1}\oplus\mathbf{1}\oplus\mathbf{6})
ight)$$

intact, while preserving the Spin × SU(5) × Spin(6) symmetry. If there is an energy gap scale for this gapping $((\mathbf{5} \oplus \overline{\mathbf{10}}), (\mathbf{4} \oplus \overline{\mathbf{4}}))$ scenario, we can name it as:

$$\Delta_{\mathrm{KW}.su(5)\times so(6)},\tag{3.39}$$

which is around the breaking scale $M_{su(5)\times so(6)}$. This seems to be fine because we are left with the nearly gapless $((\overline{\mathbf{5}} \oplus \mathbf{10}), (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{6}))$ more than three generations of quarks and leptons in SM, which we can gap out some of them further if we break down Spin(6) to a smaller subgroup Spin(5) in the next Sec. 3.6.

3.6 so(18) GUT to su(9) GUT and $su(5) \times so(5)$ GUT, $\Delta_{KW,su(5)\times so(5)}$, and Three Generations

[VI]. Break so(18) GUT from Spin(18) to SU(5) × Spin(5) and their GUT:

From another viewpoint, we consider $\text{Spin} \times \text{SU}(9) \rightarrow \text{Spin} \times \text{SU}(5) \times \text{Spin}(5) = \text{Spin} \times \text{SU}(5) \times \text{USp}(4) = \text{Spin} \times \text{SU}(5) \times \text{Sp}(2)$, we break the vector representation **6** of Spin(6) to a vector and a trivial representation $\mathbf{1} \oplus \mathbf{5}$ in Spin(5). We also reduce the spinor representation $\mathbf{4}$ and $\overline{\mathbf{4}}$ of Spin(6) to the same spinor representation $\mathbf{4}$ in Spin(5). As proposed by [57], the 240 Weyl fermions from (3.33) and (3.34) out of the **256**⁺ have the representation of $\text{SU}(5) \times \text{Spin}(5)$ as

Representation of $SU(5) \times Spin(5)$:

$$(\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}) \oplus (\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5}) \oplus (\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4} \oplus \mathbf{4})$$

= $\left((\overline{\mathbf{5}} \oplus \mathbf{10}), \ (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{5}) \right) \oplus \left((\mathbf{5} \oplus \overline{\mathbf{10}}), \ (\mathbf{4} \oplus \mathbf{4}) \right)$ (3.40)

The $(\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1})$ forms precisely the fermion matter of 3 families of su(5) GUT from the spacetime-internal symmetry structure $(\text{Spin} \times \text{SU}(5))_{3-\text{Family}}$.

Traditional approaches use *hypercolor* or *technicolor* type of ideas [72–75] to conceal the additional matter; however, the dynamics of hypercolor and dynamical symmetry breaking is not fully understood. Ref. [57] proposed that we can gap the additional matter ($\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5}$) \oplus ($\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{4} \oplus \mathbf{4}$) via Kitaev-Wen (KW) mechanism. Here we can check explicitly by a cobordism theory. To establish this claim, we can check that the Spin × SU(5) × Spin(5) chiral fermion theory of additional matter are free from the anomaly [15]

$$\Omega_5^{\operatorname{Spin}\times\operatorname{SU}(5)\times\operatorname{Spin}(5)} = \mathbb{Z}_2, \tag{3.41}$$

$$TP_5(Spin \times SU(5) \times Spin(5)) = \mathbb{Z} \times \mathbb{Z}_2.$$
(3.42)

In fact, the \mathbb{Z} class is a 4d perturbative local anomaly, captured by a perturbative one-loop triangle Feynman diagram calculation and by a 5d cobordism invariant $\frac{1}{2}CS_5(V_{SU(5)})$. The \mathbb{Z}_2 class is a 4d nonperturbative global anomaly, captured by a mod 2 class similar to the 4d Witten $SU(2) = Spin(3) \subset Spin(5)$ anomaly, which requires an odd number of isospin-1/2 fermion **2** of SU(2) = Spin(3) to realize the anomaly.

- We can easily see both $(\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5})$ and $(\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4} \oplus \mathbf{4})$ is free from the 4d local anomaly of $\frac{1}{2}$ CS₅ $(V_{SU(5)})$, since we can check that the $\overline{\mathbf{5}} \oplus \mathbf{10}$ and $\mathbf{5} \oplus \overline{\mathbf{10}}$ multiplets have the $\frac{1}{2}$ CS₅ $(V_{SU(5)})$ anomaly cancelled, see Sec. A.1 for instance.
- Next we check that the (5 ⊕ 10, 5) and (5 ⊕ 10, 4 ⊕ 4) are free from the Z₂ class global anomaly of Spin × SU(5) × Spin(5) in (3.42). We can do a branching rule to decompose the 5 of Spin(5) as (1 ⊕ 1 ⊕ 3) of Spin(3) = SU(2), and we decompose the 4 of Spin(5) as (2 ⊕ 2) of Spin(3) = SU(2).²⁴ Then we can confirm that the 5 and 4⊕4 of Spin(5) both have an even number of 2 and no 4 of SU(2) (so their mod 2 classes are zeros), thus they are free from the old [46] and the new SU(2) anomalies [16]. The 5 and 4⊕4 of Spin(5) are thus free from the Z₂ class global anomaly of Spin × Spin(5) in (3.42). Therefore, we achieve the proof of the initial statement.

In summary, above we have established the KW analogous mechanism via establishing the all anomaly free conditions hold for both $(\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5})$ and $(\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4} \oplus \mathbf{4})$, free from all anomalies classified in (3.42). Thus we can gap $(\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5})$ and $(\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4} \oplus \mathbf{4})$ by nonperturbative interactions.

Moreover, we can ask among the remaining 16 Weyl fermions in 256^+ analogous to 16 copies of a right-handed "sterile" neutrino from the (3.35), now in a new Spin(6) \rightarrow Spin(5) representation:

Representation of $SU(5) \times Spin(5)$:

$$= (\mathbf{1}, \ (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1} \oplus \mathbf{4} \oplus \mathbf{4} \oplus \mathbf{5})), \tag{3.43}$$

whether part of the multiplet can be gapped without breaking $\text{Spin} \times \text{SU}(5) \times \text{Spin}(5)$? Recall that we had answered among $(\mathbf{1}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{4} \oplus \overline{\mathbf{4}} \oplus \mathbf{6}))$ of $\mathbf{2}_L$ Weyl fermion in the $\text{Spin} \times \text{SU}(5) \times \text{Spin}(6)$ in (3.35), the $(\mathbf{1}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{4} \oplus \overline{\mathbf{4}}))$ can be gapped.

After breaking $(\mathbf{1}, \mathbf{6})$ of SU(5) × Spin(6) down to $(\mathbf{1}, \mathbf{1} \oplus \mathbf{5})$ of SU(5) × Spin(5), which we find that $\mathbf{1}$ of SU(5) does not carry the perturbative \mathbb{Z} class anomaly in 3.42 the $\mathbf{1} \oplus \mathbf{5}$ of Spin(5) does not carry the nonperturbative \mathbb{Z}_2 class anomaly in 3.42 based on the derivation in footnote 21 and 24. In fact, based on the similar argument, we find that each of the $(\mathbf{1}, \mathbf{1})$, $(\mathbf{1}, \mathbf{5})$, and $(\mathbf{1}, \mathbf{4} \oplus \mathbf{4})$ in (3.43) can be gapped out while preserving Spin × SU(5) × Spin(5).

²⁴See the footnote 21, there could be other ways of decompositions, such as the **5** of Spin(5) as $(\mathbf{1} \oplus \mathbf{2} \oplus \mathbf{2})$ of Spin(3) and the **4** of Spin(5) as $(\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{2})$ of Spin(3). Thus the the $\mathbf{4} \oplus \mathbf{4}$ of Spin(5) as $4(\mathbf{1}) \oplus 2(\mathbf{2})$ of Spin(3). Then we can still confirm that the **5** and $\mathbf{4} \oplus \mathbf{4}$ of Spin(5) both have an even number of **2** and no **4** of SU(2) (thus their mod 2 classes are zeros), thus they are free from the old and the new SU(2) anomalies. The **5** and $\mathbf{4} \oplus \mathbf{4}$ are thus free from the \mathbb{Z}_2 class global anomaly in (3.42).

Moreover, we prefer to keep the remaining

$$\left((\overline{\mathbf{5}}\oplus\mathbf{10}),\ (\mathbf{1}\oplus\mathbf{1}\oplus\mathbf{1})\right)$$
 (3.44)

gapless intact, while preserving the Spin × SU(5) × Spin(5) symmetry. Gapping the extra matter ($\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{5}$) \oplus ($\overline{\mathbf{5}} \oplus \mathbf{10}, \mathbf{4} \oplus \mathbf{4}$) gives an energy scale

$$\Delta_{\mathrm{KW}.su(5)\times so(5)} \tag{3.45}$$

that we show in Fig. 4.

3.7 With an additional discrete $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry

There is an embedding from the so(10) GUT to Georgi-Glashow su(5) GUT with a discrete $\mathbb{Z}_{4,X}$ of $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry (such that $\mathbb{Z}_{4,X} = Z(\text{Spin}(10))$), as follows [1, 10, 14],

$$\frac{\operatorname{Spin}(d) \times \operatorname{Spin}(10)}{\mathbb{Z}_2^F} \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_2} \mathbb{Z}_4 \times \operatorname{SU}(5) \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_2} \mathbb{Z}_4 \times \frac{\operatorname{SU}(3) \times \operatorname{SU}(2) \times \operatorname{U}(1)}{\mathbb{Z}_6}.$$
 (3.46)

Here we can generalize the above embedding from the so(18) GUT to su(9) GUT with a discrete $\mathbb{Z}_{4,X}$ of $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry (such that $\mathbb{Z}_{4,X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$) as follows,

$$\frac{\operatorname{Spin}(d) \times \operatorname{Spin}(18)}{\mathbb{Z}_{2}^{F}} \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_{2}} \mathbb{Z}_{4} \times \operatorname{SU}(9) \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_{2}} \mathbb{Z}_{4} \times \operatorname{SU}(5) \times \operatorname{Spin}(6) \supset$$
$$\operatorname{Spin}(d) \times_{\mathbb{Z}_{2}} \mathbb{Z}_{4} \times \operatorname{SU}(5) \times \operatorname{Spin}(5) \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_{2}} \mathbb{Z}_{4} \times \operatorname{SU}(5) \supset \operatorname{Spin}(d) \times_{\mathbb{Z}_{2}} \mathbb{Z}_{4} \times \frac{\operatorname{SU}(3) \times \operatorname{SU}(2) \times \operatorname{U}(1)}{\mathbb{Z}_{6}}.$$
$$(3.47)$$

By (3.47), we can modify Fig. 2's spacetime-internal symmetry group embedding web to include the discrete $\mathbb{Z}_{4,X}$ sector. We obtain Fig. 6.

We compute the full list of cobordism group $\operatorname{TP}_d(G)$ of these spacetime-internal symmetry group G of Fig. 6 in Ref. [15]. A crucial fact is that now many of these G of Fig. 6 suitable for su(5) GUT contain also $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X}$, we know that part of their bordism group Ω_5 and their cobordism group TP_5 :

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2^F}\mathbb{Z}_{4,X}} = \mathbb{Z}_{16}, \qquad \operatorname{TP}_5(\operatorname{Spin}\times_{\mathbb{Z}_2^F}\mathbb{Z}_{4,X}) = \mathbb{Z}_{16}.$$
(3.48)

We can check this (3.48) implies a constraint from the \mathbb{Z}_{16} class 4d nonperturbative global anomaly (1.4) [1,10]. This implies that several previously discussed KW-type mechanisms in Sec. 3.5 - Sec. 3.6 may not work unless we have some multiple of 16 Weyl spinors $\mathbf{2}_L$ of Lorentz spacetime. For example, if we aim to gap the extra matter $\mathbf{5} \oplus \mathbf{\overline{10}}$ of SU(5) in (3.34)-(3.40), or the **1** of SU(5) in (3.35)-(3.43) under the extra $\mathbb{Z}_{4,X}$ symmetry while still matching the \mathbb{Z}_{16} anomaly, we need to choose either one of the following ways:

1. Combine $\mathbf{5} \oplus \mathbf{\overline{10}}$ with $\mathbf{1}$ of SU(5) to form a 16 Weyl Lorentz spinors $\mathbf{2}_L$, in order to let the combined $\mathbf{5} \oplus \mathbf{\overline{10}} \oplus \mathbf{1}$ of SU(5) be free from the \mathbb{Z}_{16} anomaly. Then we can apply the KW mechanism on the anomaly free sector of 16 Weyl spinors.



Figure 6: The full spacetime-internal symmetry $G = \frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}}$ (the precise global symmetry before gauging the G_{internal}) for the hierarchy starting from the so(18) GUT with Spin $\times_{\mathbb{Z}_2}$ Spin(18), which can be placed on non-spin manifolds. The setup is similar to Fig. 2, but now we include the additional discrete symmetry sector $\mathbb{Z}_{4,X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ sitting at the center $Z(G_{\text{internal}})$ normal subgroup of $G_{\text{internal}} = \text{Spin}(10)$ and Spin(18). We follow the notations/explanations of Fig. 2's caption. We compute the cobordism group $\operatorname{TP}_d(G)$ of these spacetime-internal symmetry group G in Ref. [15]. The arrow $G_1 \to G_2$ G_2 (with the condition $G_1 \supseteq G_2$) shows that a possible breaking process. We explore the two possible breaking patterns on the left-hand side (l.h.s) and right-hand side (r.h.s), with their possible energy hierarchy shown in Fig. 4 and Fig. 5. Some of the arrows have a subtitle "possible TQFT generated," which means that a noninvertible TQFT may be generated to match the 4d anomaly, especially from the cobordism group $\operatorname{TP}_5(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X}) = \mathbb{Z}_{16}$. The l.h.s breaking pattern suggests (at least) three possible breaking steps to generate a possible TQFT. In particular, thanks to mathematical and phenomenological constraints, the l.h.s step $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(5) \to (\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5))_{3-\operatorname{Family}}$ and the r.h.s step $(\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(10))_{3-\text{Family}} \to (\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5))_{3-\text{Family}}$, these two steps seem to be the most promising energy scale denoted Δ_{TQFT} to generate a TQFT with a gap size Δ_{TQFT} . A possible interpretation of topological quantum phase transition(s) around this energy scale $M_{su(5)\times\mathbb{Z}_{4,X}}$ 3-Family is given in Table 1. We also enlist other sequences of possible energy scales analogous to Kitaev-Wen (KW) mechanism, gapping the fully anomaly-free extra matter. We denote these KW-type energy scales as $\Delta_{\rm KW}$. See Fig. 4 and Fig. 5.

- 2. If we want to gap only 5 ⊕ 10 of SU(5) in (3.34)-(3.40) alone, then we need to go beyond the KW mechanism. We can seek for the anomalous symmetric 3+1d TQFT construction to match the anomaly ν = 15 = −1 ∈ Z₁₆, such as using the symmetry extension approach [33]. This 3+1d TQFT is a generalization of 2+1d anomalous symmetric surface topological order (see a review [24]) to the 3+1d case.
- 3. If we want to gap only 1 of SU(5) in (3.35)-(3.43) alone, then we need to go beyond the KW

mechanism. We can seek for the anomalous symmetric 3+1d TQFT construction to match the anomaly $\nu = +1 \in \mathbb{Z}_{16}$, such as using the symmetry extension approach [33]. This 3+1d TQFT is a generalization of 2+1d anomalous symmetric surface topological order (see a review [24]) to the 3+1d case.

Therefore, other than KW-type energy scales $\Delta_{\rm KW}$, we may have another energy scale $\Delta_{\rm TQFT}$, for the anomalous symmetric 3+1d TQFT (the energy gap of 3+1d topological order, there are fractionalized excitations such as anyonic strings above the gap, e.g. see [60–65] and References therein). We show several candidate $\Delta_{\rm KW}$ and $\Delta_{\rm TQFT}$ energy scales in Fig. 6, in companion with Fig. 4 and Fig. 5.

3.8 Kinematics vs Dynamics

We should remind ourselves that the anomaly can be determined from the *kinematics* of QFT — namely, given the action, partition function, or path integral of QFT, we could already determine whether the anomaly occurs. For example, for perturbative local anomalies, see Fig. 1 of Ref. [1]:

- When $G = \left(\frac{G_{\text{spacetime}} \ltimes G_{\text{internal}}}{N_{\text{shared}}}\right)$ is treated as a global symmetry, then we determine the (d-1)d 't Hooft anomalies of such a global symmetry G by turning on all possible background fields via the cobordism group $\text{TP}_d(G)$ in (1.6), e.g., Fig. 1 (2) of Ref. [1].
- When G_{internal} is dynamically gauged, part of the anomalies of the cobordism group $\text{TP}_d(G)$ in (1.6) become dynamical gauge anomalies, e.g., Fig. 1 (1) of Ref. [1], while part of the anomalies become to be interpreted as the ABJ type anomalies, e.g., Fig. 1 (3) of Ref. [1],

In this subsection, we organize previous statements on the constraints from anomalies and cobordisms in Sec. 3.1 - Sec. 3.7 into a list. A priori based on our anomaly and cobordism analysis alone, we *cannot* fully determine the gauge dynamics, but we *can* suggest possible dynamics. We shall comment on how the anomalies obtained from the *kinematics* of QFT can constrain the *dynamics* of QFT at different energy scales afterward in Sec. 4:

- (1). When $G = \text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(18)$, the following Weyl fermion matter field $\mathbf{2}_L$ in the Spin(18) representation is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the 256^{-} in (3.4) can be gapped so without fermion doubling suggested in [12].
 - the **256**⁺ can be gapped, but we keep **256**⁺ nearly gapless to match the lower energy hierarchy and SM phenomenology.
- (2). When $G = \text{Spin} \times_{\mathbb{Z}_2^F} (\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(8))$, the following Weyl fermion matter field $\mathbf{2}_L$ in the $(\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(8))$ representation is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $(\mathbf{16^+}, \mathbf{8^-})$ and $(\mathbf{16^-}, \mathbf{8^+})$ in (3.8), but they can be already gapped out as $\mathbf{256^-}$ in $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(18)$ in (1) at a higher energy.
 - the (16⁻, 8⁻) in (3.7).

- the $(16^+, 8^+)$ in (3.7) can be gapped, but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology.
- (3). When $G = \text{Spin} \times_{\mathbb{Z}_2^F} (\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(6))$, the following Weyl fermion matter field $\mathbf{2}_L$ in the $(\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(6))$ representation is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - $(16^+, 6)$ in (3.17).
 - (16⁺, 1 ⊕ 1) in (3.17) can be gapped, but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology. The disadvantage is that there are only two generations of SM particles in (16⁺, 1 ⊕ 1).
- (4). When $G = \text{Spin} \times_{\mathbb{Z}_2^F} (\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(5))$, the following Weyl fermion matter field $\mathbf{2}_L$ in the $(\text{Spin}(10) \times_{\mathbb{Z}_2^F} \text{Spin}(5))$ representation is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $(16^+, 5)$ in (3.25).
 - the (16⁺, 1 ⊕ 1 ⊕ 1) in (3.25), but we keep it nearly gapless to match the lower energy hierarchy and SM phenomenology. Its advantage is that there are three generations of SM particles.
- (5). When $G = \text{Spin} \times \text{SU}(5) \times \text{Spin}(6)$, the following Weyl fermion matter field $\mathbf{2}_L$ in the SU(5) \times Spin(6) representation individually is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $((\mathbf{5} \oplus \overline{\mathbf{10}}), (\mathbf{4} \oplus \overline{\mathbf{4}}))$ in (3.34).
 - the $(\mathbf{1}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{4} \oplus \overline{\mathbf{4}}))$ in (3.35).
 - the $((\overline{5} \oplus 10), (1 \oplus 1))$ in (3.33), but we can keep it nearly gapless to match SM phenomenology.
 - the $((\overline{5} \oplus 10), 6)$ in (3.33).
 - the (1, 6) in (3.35).

The following Weyl fermion matter field $\mathbf{2}_L$ in the SU(5) × Spin(6) representation by itself individually is *not G*-anomaly-free thus *cannot* be gapped by KW mechanism alone while preserving *G* due to some non-vanishing anomaly:

- the $((\mathbf{5} \oplus \overline{\mathbf{10}}), \mathbf{4})$ alone or $((\mathbf{5} \oplus \overline{\mathbf{10}}), \overline{\mathbf{4}})$ alone in (3.35).
- the (1, 4) alone or $(1, \overline{4})$ alone in (3.34).
- (6). When $G = \text{Spin} \times \text{SU}(5) \times \text{Spin}(5)$, the following Weyl fermion matter field $\mathbf{2}_L$ in the SU(5) × Spin(5) representation is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $(\overline{5} \oplus 10, 5)$ in (3.40).
 - the $(\mathbf{5} \oplus \overline{\mathbf{10}}, \mathbf{4} \oplus \mathbf{4})$ in (3.40).
 - the $(\overline{5} \oplus 10, 1 \oplus 1 \oplus 1)$ in (3.40), but we prefer to keep it nearly gapless to match SM phenomenology.
 - the (1,1) and any number (e.g.,1,2,3) of copies of it in (1,1⊕1⊕1) in (3.43). This (1,1) degree of freedom relates to the right-hand sterile neutrino. The conventional phenomenology suggests to use (1) Dirac mass or (2) Majorana mass and seesaw mechanism to gap this (1,1). We will also consider (3) Topological Mass from TQFT to gap this (1,1).
 - the (1, 5) in (3.43) proposed in [1].

- the $(1, 4 \oplus 4)$ in (3.43).
- (7). When $G = \operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(6)$ with an additional discrete $\mathbb{Z}_{4,X}$ in contrast to Scenario (5), due to a \mathbb{Z}_{16} class 4d nonperturbative global anomaly from (3.48), we are no longer allowed to gap $((\mathbf{5} \oplus \overline{\mathbf{10}}), (\mathbf{4} \oplus \overline{\mathbf{4}}))$ or gap $(\mathbf{1}, (\mathbf{4} \oplus \overline{\mathbf{4}}))$ individually alone in Scenario (5), because they have only 15n Weyl fermions and 1n Weyl fermions, instead of 16n Weyl fermions. However, we are allowed to gap their combination $((\mathbf{5} \oplus \overline{\mathbf{10}}) \oplus \mathbf{1}, (\mathbf{4} \oplus \overline{\mathbf{4}}))$ with 16n Weyl fermions. We can no longer take the gapping conditions in Scenario (5), but we can modify them The following 16n Weyl fermion matter field $\mathbf{2}_L$ in the Spin $\times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(6)$ representation²⁵ is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $((\mathbf{5} \oplus \overline{\mathbf{10}}) \oplus \mathbf{1}, (\mathbf{4} \oplus \overline{\mathbf{4}})).$
 - the $((\overline{5} \oplus 10) \oplus 1, 1)$ and their multiple copies, but we can keep them nearly gapless to match SM phenomenology.
 - the $((\overline{\mathbf{5}} \oplus \mathbf{10}) \oplus \mathbf{1}, \mathbf{6})$.

The following 16n Weyl fermion matter field $\mathbf{2}_L$ in the Spin $\times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times SU(5) \times Spin(6)$ representation by itself individually is anomaly free from the \mathbb{Z}_{16} anomaly of (3.48), but *not* fully *G*-anomaly-free, thus *cannot* be gapped by KW mechanism alone while preserving *G* due to some non-vanishing anomaly:

- the $((\mathbf{5} \oplus \overline{\mathbf{10}}) \oplus \mathbf{1}, \mathbf{4})$ alone or $((\mathbf{5} \oplus \overline{\mathbf{10}}) \oplus \mathbf{1}, \mathbf{4})$ alone.
- (8). When $G = \operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(5)$ with an additional discrete $\mathbb{Z}_{4,X}$ in contrast to (6), due to a \mathbb{Z}_{16} class 4d nonperturbative global anomaly from (3.48), we can no longer take the gapping conditions in Scenario (6), but we can modify them The following 16n Weyl fermion matter field $\mathbf{2}_L$ in the $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(5)$ representation with an odd $\mathbb{Z}_{4,X}$ charge is fully *G*-anomaly-free thus can be gapped by KW mechanism while preserving *G* (without breaking *G*) by generic nonperturbative interactions:
 - the $((\overline{\mathbf{5}} \oplus \mathbf{10}) \oplus \mathbf{1}, \mathbf{5})$.
 - the $((\mathbf{5} \oplus \overline{\mathbf{10}}) \oplus \mathbf{1}, \mathbf{4} \oplus \mathbf{4}).$
 - the ((5 ⊕ 10) ⊕ 1, 1) and their multiple copies, but we may prefer to keep part of it nearly gapless to match SM phenomenology.

The above we have summarized what degrees of freedom are fully G-anomaly free for various given G at different energy scales (in the hierarchy of Fig. 4 and Fig. 5). Based on the modern understanding [12,59], the G-anomaly free degrees of freedom can be fully gapped while still preserving the G-symmetry, for example by adding nonperturbative G-symmetric interactions to gapless modes. In fact, the anomaly derived from the **kinematics of QFT** only suggest many possible fates of **dynamics of QFT** at long distances.

The desirable task is: Could we reveal more information and eliminate some of possibilities to constrain more on the *dynamics of QFT* at different energy scales? We address this in the Sec. 4.

²⁵All the Weyl fermions carry an odd $\mathbb{Z}_{4,X}$ charge, see Table 1 in Ref. [1].

4 Conclusion:

4.1 Energy hierarchy, possible dynamics, and topological quantum phase transitions

We had mentioned there are some primary goals and questions in this work:

- •1). Study the anomalies systematically from the classification by the cobordism group we take into account the perturbative local and nonperturbative global anomalies. Check the full theory of various GUT models can be consistent under (i) dynamical gauge anomaly-free conditions and (ii) 't Hooft anomaly-matching conditions.
- •2). Given a spacetime-internal symmetry group G and (a subset or the full set of) matter fields in some representations of \mathbf{R} , we can ask are there anomalies associated with this set of matter fields? We especially consider the three separate cases for (i) the chiral matter associated with SM, (ii) extra matter, and (iii) mirror matter in Sec. 3
- •3). Are there **non-perturbative constraints** from anomalies and cobordism, given the **low energy physics at SM**, guiding us toward discovering something **heavy at higher energy**? (We especially ask this question under the Consideration •2) (i) chiral matter, (ii) extra matter, and (iii) mirror matter. If they have anomalies (or not), how could they manifest their dynamics at different energy scales?)

Considerations \bullet 1) and \bullet 2) are mostly answer in the earlier sections (and also in Appendices). Now we focus on Consideration \bullet 3). Given our previous results in Sec. 2 and Sec. 3, indeed we could attempt to address this Consideration \bullet 3), if we take these additional phenomenology and math/theoretical inputs into account:

- 1). Phenomenology inputs of 15n Weyl fermions: From Standard Model physics, we already know that there are nearly gapless degrees of freedom of 15n Weyl fermions (15n of Lorentz spinor $\mathbf{2}_L$ with n = 3 for 3 generations) whose masses are smaller than the electroweak scale $v \sim 246 \text{ GeV}$, while we are exploring around or above the su(5) GUT and other GUT scales (conventionally for the gauge coupling unification $\sim 10^{16}$ GeV in Fig. 4 and Fig. 5).
- 2). Phenomenology inputs of 16th Weyl fermion and right-handed neutrinos: We do not or have not yet observed the 16th Weyl fermions in any of 3 generations, which is commonly referred to be the sterile right-handed neutrinos. As summarized in Ref. [1], since the 16th Weyl fermions are not observed at the SM or TeV energy scales, we shall give them a higher energy gap by:
 - (1). **Dirac mass** (and the seesaw mechanism).
 - (2). Majorana mass (and the seesaw mechanism).
 - (3). Topological Mass from the excitation energy gap of a 4d noninvertible TQFT: In this way, the 16th Weyl fermion(s) would be missing from the vacua of our Universe the TQFT degrees of freedom cannot be described by any particle-like QFT or a perturbative (nearly free) quadratic QFT description conventionally used in particle physics. The 16th Weyl fermion degrees of freedom would be smeared out to a long-range entangled 4d topological order (whose low energy is the 4d TQFT). For example, we may apply the method of symmetry-extension or higher-symmetry-extension in [33, 35]. See Sec. 5 of Ref. [1] for details.

- (4). Topological Mass in an extra dimension from a 5d invertible TQFT: This approach is valid when there is an 4d invertible anomaly associated with the missing 16th Weyl fermion(s). In that case, we can do the anomaly-matching by introducing a gapped 5d invertible TQFT (or 5d SPTs in condensed matter) to cancel the missed anomaly. See Sec. 5 of Ref. [1] for details.
- 3). Phenomenology inputs of mirror fermions and extra matter: We do not observe any mirror fermions and extra matter so we better introduce ways to gap them. If the extra matter carries no G-anomaly, we can gap them while preserving G via:
 - (5). Kitaev-Wen (KW) mechanism or an anomaly-free symmetric mass/energy gap. The KW mechanism is also used in the chiral fermion or chiral gauge theory problem [12,53–57,76,77]).

If the extra matter carries some 't Hooft anomaly in G, we may attempt to gap them via the aforementioned Topological Mass from (3) and (4) while still preserving G.

- 4). From the so(18) GUT, breaking the Spin(18) via the r.h.s route in Fig. 6, let us do a comparison of Sec. 3.3 and Sec. 3.4. We may ask whether breaking to Spin(10) ×_{Z₂^F} Spin(6) or Spin(10) ×_{Z₂^F} Spin(5) is favored dynamically and at which range of energy scales? (Here and below we assume and apply the old wisdom [8,9,57] that dynamical symmetry breaking may make these Scenarios [III] and [IV] happened.) Sine Spin(10) ×_{Z₂^F} Spin(6) can have either 2 generations of 16 Weyl fermions or extra matter in (3.17), while Spin(10) ×_{Z₂^F} Spin(5) can have exactly the 3 generations of 16 Weyl fermions (16⁺, 1 ⊕ 1 ⊕ 1) in (3.30), we expect that eventually the Spin(10) ×_{Z₂^F} Spin(5) is a more viable option at a wider energy sale, before we encounter (Spin ×_{Z₂^F} Spin(10))_{3-Family}. Below Spin(18), it is possible to firstly encounter Spin(10) ×_{Z₂^F} Spin(6) at a higher energy, but it shall be broken down to Spin(10) ×_{Z₂^F} Spin(5) before eventually we encounter the energy scale of 3 generations of 16 Weyl fermions at (Spin ×_{Z₂^F} Spin(10))_{3-Family}. This gives a partial reasoning for the energy hierarchy presented on the r.h.s in Fig. 6.
- 5). From the so(18) GUT, breaking the Spin(18) via the l.h.s route in Fig. 6, let us do a comparison of Sec. 3.5, Sec. 3.6, and Sec. 3.7. We may ask whether breaking to SU(5) × Spin(6) or SU(5) × Spin(5) is favored dynamically and at which range of energy scales? Sine SU(5) × Spin(6) can have either 2 generations of 15 (or +1) Weyl fermions or extra matter in (3.33), while SU(5) × Spin(5) can have exactly the 3 generations of 15 (or +1) Weyl fermions ((5 ⊕ 10), (1 ⊕ 1 ⊕ 1)) in (3.44), we expect that eventually the SU(5) × Spin(5) is a more viable option for a wider energy sale, before we encounter (SU(5))_{3-Family}.

Moreover, in Sec. 3.7, by taking into account the extra discrete $\mathbb{Z}_{4,X}$ of $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry with the enriched spacetime structure $\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X}$ in (3.47), we have to also match the extra \mathbb{Z}_{16} anomaly in (3.48). Together with 2)'s *Phenomenology inputs of 16th Weyl fermion and neutrinos*, we suggest that there is a huge mass gap associated with the unobserved 16th Weyl fermion above the scale of $(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \frac{\operatorname{SU}(3) \times \operatorname{SU}(2) \times \operatorname{U}(1)}{\mathbb{Z}_6})_{3-\operatorname{Family}}$.

So the $((\overline{\mathbf{5}} \oplus \mathbf{10}), (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}))$ in (3.44) alone cannot be enough to match the \mathbb{Z}_{16} anomaly in (3.48). We suggest schematically, following Sec. 6 of Ref. [1], the anomaly can be matched by hidden sectors:

$$3 \cdot (15 \text{ Weyl fermions}) + n_{\nu} \cdot (16 \text{th Weyl fermions}) + \nu_{4d} \cdot (4 \text{ TQFT}) + \nu_{5d} \cdot (5 \text{d iTQFT})$$
(4.1)

with the anomaly matching condition for the \mathbb{Z}_{16} :

L

$$\nu = \nu_{\rm 5d} - \nu_{\rm 4d} - n_{\nu} = -N_{\rm generation} = -3 \mod 16.$$
 (4.2)

• The n_{ν} means the number of the right-handed neutrinos (=16th Weyl fermions).²⁶

²⁶Accidentally, there is a collision of the notations: the ν may refer to as the neutrino such as ν_e, ν_μ, ν_τ , or as the topological index ν in the class of $\nu \in \mathbb{Z}_{16}$.

- The $\nu_{4d} \in \mathbb{Z}_{16}$ implies the anomaly index of the 4d TQFT, if the 4d TQFT is realized in the theory at a certain energy scale.
- The $\nu_{5d} \in \mathbb{Z}_{16}$ implies the anomaly index of the 5d iTQFT, if the 5d iTQFT is realized in the theory at a certain energy scale.

In Table 1, we present only a possible set of data of $(n_{\nu}, \nu_{4d}, \nu_{5d})$ obeying the anomaly matching condition in (4.1) plausibly with different values of $(n_{\nu}, \nu_{4d}, \nu_{5d})$ at different energy scales.

Theory (l.h.s)	Theory (r.h.s)	$n_{ u}$	$ u_{ m 4d}$	$\nu_{\rm 5d}$	ν				
$\operatorname{Spin} \times_{\mathbb{Z}_2^F}$	$\operatorname{Spin}(18)$	3	0	0	-3				
$\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(9)$	Spin $\times_{\mathbb{Z}_2^F}$ (Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(8))	$n_{\nu}^{\prime\prime\prime\prime}$ vs 3	$3 - n_{\nu}^{\prime\prime\prime\prime} + \nu_{5d}^{\prime\prime} vs 0$	$\nu_{\rm 5d}''$	-3				
$\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \times \operatorname{Spin}(6)$	Spin $\times_{\mathbb{Z}_2^F}$ (Spin(10) $\times_{\mathbb{Z}_2^F}$ Spin(6))	$n_{\nu}^{\prime\prime\prime} \text{ vs } 3$	$3 - n_{\nu}''' + \nu_{5d}'' \text{ vs } 0$	$\nu_{\rm 5d}''$	-3				
$\overline{\operatorname{Spin} \times_{\mathbb{Z}F} \mathbb{Z}_{4,Y} \times \operatorname{SU}(5) \times \operatorname{Spin}(5)}$	$\operatorname{Spin} \times_{\mathbb{Z}_2^F} (\operatorname{Spin}(10) \times_{\mathbb{Z}_2^F} \operatorname{Spin}(5))$	n''_{\cdot} vs 3	$3 - n''_{\mu} + \nu''_{\tau_1} \ge 0$	$\nu_{\rm 5d}''$	-3				
\mathbb{Z}_2^{-1}	$(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \operatorname{Spin}(10))_{3-\operatorname{Family}}$		ο <i>π</i> _ν + ν _{5d} + σ σ	$\nu_{\rm 5d}''$	-3				
$(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X})$	\times SU(5)) _{3-Family}	$n'_{ u}$	$3 - n'_{\nu} + \nu'_{\rm 5d}$	$\nu_{\rm 5d}'$	-3				
$(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \overset{\mathrm{SU}}{=}$	$(\operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \frac{\operatorname{SU}(3) \times \operatorname{SU}(2) \times \operatorname{U}(1)}{\mathbb{Z}_6})_{3-\operatorname{Family}}$								
(Spin $\times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \mathbb{Z}_2^F$	n'_{ν}	$3 - n'_{\nu} + \nu'_{\rm 5d}$	$\nu'_{\rm 5d}$	-3					

Table 1: We show only a possible set of data of $(n_{\nu}, \nu_{4d}, \nu_{5d})$ obeying the anomaly matching condition in (4.1) and (4.2) so that $\nu = \nu_{5d} - \nu_{4d} - n_{\nu} = -N_{\text{generation}} = -3 \mod 16$, at different energy scales, see Fig. 4, Fig. 5, and Fig. 6. We present possible different results of $(n_{\nu}, \nu_{4d}, \nu_{5d})$ for the l.h.s and r.h.s route between the so(18) GUT and SM shown in Fig. 6. Whenever we show distinct possibilities of data for l.h.s versus r.h.s, we write in the entry as the l.h.s data vs the r.h.s data. The apostrophe ',","","" on $(n_{\nu}, \nu_{4d}, \nu_{5d})$ implies possible different sets of data. A possible interpretation can be that $(n'_{\nu} = 0, \nu'_{4d} = 3, \nu'_{5d} = 0)$ below the 4d TQFT gap scale Δ_{TQFT} which occurs naturally around the energy scale of (Spin $\times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times SU(5)$)_{3-Family}, Spin $\times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times SU(5) \times \text{Spin}(5)$, and (Spin $\times_{\mathbb{Z}_2^F} \text{Spin}(10)$)_{3-Family}. Topological quantum phase transition(s) may happen around these energy scale (above $M_{su(5)\times\mathbb{Z}_{4,X}}$ 3-Family in Fig. 4 and Fig. 5) drawn with the double horizontal lines (hlines) between the rows. If we eventually climb to the so(18) GUT scale with the spacetime-internal structure Spin $\times_{\mathbb{Z}_2^F} \text{Spin}(18)$, then it is naturally to have some multiple of **16** of Spin(10), so we have all the right-handed neutrino $n_{\nu} = 3$ joining the 3 \cdot **16**, so ($n_{\nu} = 3, \nu_{4d} = 0, \nu_{5d} = 0$). Tuning the energy scale from the low energy SM or su(5) GUT to a higher energy so(18) GUT may result in a topological quantum phase transition: The $\nu_{4d} = 3$ on one end with a long-range entangled 4d TQFT (intrinsic topological order), and the $n_{\nu} = 3$ on another end with three generations of right-handed neutrinos in some multiple of **16**.

6). Topological Mass and TQFT energy gap scale Δ_{TQFT} in (2.26): We argue that it is more natural to generate the 4d TQFT gap scale Δ_{TQFT} between these energy scales: $(\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \frac{\text{SU}(3) \times \text{SU}(2) \times \text{U}(1)}{\mathbb{Z}_6})_{3\text{-Family}}$, $(\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5))_{3\text{-Family}}$, and $\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5) \times \text{Spin}(5)$. The Δ_{TQFT} shall also be below the scale of $(\text{Spin} \times_{\mathbb{Z}_2^F} \text{Spin}(10))_{3\text{-Family}}$. In short, we may tentatively propose that

$$M_{su(3)\times su(2)\times u(1) \text{ 3-Family}} < M_{su(5)\times\mathbb{Z}_{4,X} \text{ 3-Family}} \lesssim \Delta_{\text{TQFT}} \lesssim M_{so(10) \text{ 3-Family}} \text{ or } M_{su(5)\times so(5)}.$$
(4.3)

Around the Δ_{TQFT} scale may be where the Grand Unification + Topological Force and Matter, proposed as Ultra Unification [1] manifest itself. Let us comment briefly why the hierarchy (4.3) makes sense:

- The Δ_{TQFT} is above $M_{su(3)\times su(2)\times u(1)}$ and $M_{su(5)\times\mathbb{Z}_{4,X}}$ are for the $(\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \frac{\text{SU}(3)\times \text{SU}(2)\times \text{U}(1)}{\mathbb{Z}_6})_{3\text{-Family}}$ and $(\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5))_{3\text{-Family}}$ spacetime-internal structure), because there are only 15 Weyl fermions and the $(\mathbf{5} \oplus \mathbf{\overline{10}})$ of SU(5) around those energy scales.
- The Δ_{TQFT} is likely below $M_{so(10)}$ _{3-Family} because it is natural to have the 4d TQFT transforming to right-handed neutrino(s) to become part of a multiple of **16** of Spin(10) in $(\text{Spin} \times_{\mathbb{Z}_{2}^{F}} \text{Spin}(10))_{3-\text{Family}}$ around those energy scales.
- The Δ_{TQFT} is likely below $M_{su(5)\times so(5)}$ and $M_{su(5)\times so(6)}$, likewise below $M_{so(10)\times so(5)}$ and $M_{so(10)\times so(6)}$. Why?

 $\diamond 1$) One reason is that the three generation multiplet $((\overline{\mathbf{5}} \oplus \mathbf{10}) \oplus \mathbf{1}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}))$ appears naturally in $M_{su(5) \times so(5)}$ but not in $M_{su(5) \times so(6)}$; the $(\mathbf{16}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}))$ appears naturally in $M_{so(10) \times so(5)}$ but not in $M_{so(10) \times so(6)}$.

 $\diamond 2$) Another reason is that there is an inclusion²⁷

$$\begin{split} \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(18) \supset \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \left(\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \left(\operatorname{Spin}(5+\varepsilon) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3-\varepsilon) \right) \right) \supset \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \left(\operatorname{Spin}(10) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(5+\varepsilon) \right) \supset \dots \\ \cup \\ (\operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \mathbb{Z}_{4,X} \times \operatorname{SU}(5)) \times_{\mathbb{Z}_{2}^{F}} \left(\operatorname{Spin}(5+\varepsilon) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(3-\varepsilon) \right) \supset \left(\operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \mathbb{Z}_{4,X} \times \operatorname{SU}(5) \right) \times_{\mathbb{Z}_{2}^{F}} \operatorname{Spin}(5+\varepsilon) \supset \dots, \\ (4.4) \end{split}$$

here \supset or \cup means the former includes the later as a subgroup/subset. This inclusion implies the analogous embedding arrow in Fig. 6. The ε can be chosen to be $\varepsilon = 0$ for $(\text{Spin}(5) \times_{\mathbb{Z}_2^F} \text{Spin}(3))$ or $\varepsilon = 1$ for $(\text{Spin}(6) \times_{\mathbb{Z}_2^F} \text{Spin}(2))$ respectively for our purpose. When $\varepsilon = 0$, not only we have the Spin(5) that suits for the multiplet $(\mathbf{16}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}))$ or $((\overline{\mathbf{5}} \oplus \mathbf{10}) \oplus \mathbf{1}, (\mathbf{1} \oplus \mathbf{1} \oplus \mathbf{1}))$, but also the internal subgroup Spin(3) is highly relevant for the gauge structure of the required candidate 4d TQFT Ref. [1]. In particular, a certain dimensional-reduced analogous 3d TQFT requires an Spin(3) = SU(2) or more precisely the SO(3) = Spin(3)/\mathbb{Z}_2 gauge group as 3d Chern-Simons TQFTs (CS₃) [78,79] denoted as:

$$Spin(3)_6 CS_3 = SU(2)_6 CS_3, \text{ or } SO(3)_3 CS_3.$$
 (4.5)

 $\diamond 3$) The last reason is that $\text{Spin}(8) \supset (\text{Spin}(5) \times_{\mathbb{Z}_2^F} \text{Spin}(3))$, where the triality plays an important rule in Spin(8). The triality of representation in Sec. 3.4 likely hints that there is a quantum phase transition with emergent and enlarge symmetry so that the triality can be generated. These three reasons motivate us to suggest the Δ_{TQFT} is around the energy scale $M_{su(5)\times so(5)}$ at the $\varepsilon = 0.^{28}$

4.2 Energy Scale of Ultra Unification: Grand Unification + Topological Force and Matter

With these phenomenology inputs 1), 2), and 3), and theoretical or mathematical inputs 4), 5), 6), we can provide some tentative but more restricted answers for Consideration \bullet 3): Are there non-perturbative

²⁷Caveat: Part of this embedding is *different* from Fig. 6, so we have $(\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5)) \times_{\mathbb{Z}_2^F} (\text{Spin}(5+\varepsilon) \times_{\mathbb{Z}_2^F} \text{Spin}(5-\varepsilon)) \supset (\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5)) \times_{\mathbb{Z}_2^F} \text{Spin}(5+\varepsilon) \text{ instead of } (\text{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \text{SU}(5)) \times \text{Spin}(5+\varepsilon) \text{ of Fig. 6.}$

²⁸Three family (three generation) puzzle: Ref. [57] suggests the structure $\text{Spin}(8) \supset (\text{Spin}(5) \times_{\mathbb{Z}_2^F} \text{Spin}(3))$ from Spin(18) may be one key to resolve the family puzzle, once we apply the **Kitaev-Wen** type mechanism for the **anomaly-free symmetric mass generation**. What Ref. [1] proposes was possibly another key: **Topological mass** mechanism from the **anomalous symmetric gapped topological order** absorbs part of the gauge structure Spin(3) in $\text{Spin}(8) \supset$ $(\text{Spin}(5) \times_{\mathbb{Z}_2^F} \text{Spin}(3))$, since Spin(3) = SU(2) or $\text{SO}(3) = \text{Spin}(3)/\mathbb{Z}_2$ in 3d CS theories (4.5).

constraints from anomalies and cobordism, given the low energy physics at SM, guiding us toward discovering something heavy at higher energy? Together with Ref. [1], we suggest that the anomaly can be matched at different energy scales in different manners:

- <u>1</u>]. In SM, electroweak and Higgs energy scales: Around $M_{su(3)\times u(1)_{\text{EM}}3\text{-Family}}$ and below $M_{su(3)\times su(2)\times u(1)}$ are a scalar or energy scales: Around $M_{su(3)\times u(1)_{\text{EM}}3\text{-Family}}$ and below $M_{su(3)\times su(2)\times u(1)}$ are a scalar or energy scales: Around $M_{su(3)\times u(1)_{\text{EM}}3\text{-Family}}$ and below mass term. The \mathbb{Z}_{16} anomaly in (3.48) is manifestly matched (in fact killed) once the $\mathbb{Z}_{4,X}$ is broken.
- 2]. In su(5) GUT energy scale: Above $M_{su(5) 3\text{-Family}}$ and around $M_{su(5) \times \mathbb{Z}_{4,X} 3\text{-Family}}$ in Fig. 4 and Fig. 5, the $\mathbb{Z}_{4,X}$ symmetry can be restored and regarded a global symmetry. Conventionally, the \mathbb{Z}_{16} anomaly (3.48) can be matched by the 16th Weyl fermion with heavy Dirac or Majorana masses by a seesaw mechanism, but those conventional symmetry-breaking masses again breaks the $\mathbb{Z}_{4,X}$.

Ref. [1] suggests an alternative to assume the $\mathbb{Z}_{4,X}$ is preserved and the \mathbb{Z}_{16} anomaly (3.48) can still be matched by 4d TQFT or 5d iTQFT (with 't Hooft anomaly) replacing the gapless or gapped 16th Weyl fermion. Topological mass here is a **symmetry-preserving** mass. Ref. [1] also suggests a linear combination of the three scenarios: Dirac mass + Majorana mass + Topological mass, to match the (4.1) and (4.2).

- <u>3</u>]. In so(10) GUT energy scale: The $\mathbb{Z}_{4,X} = Z(\text{Spin}(10))$ symmetry as the center of Spin(10) is dynamically gauged, since the so(10) GUT has dynamical Spin(10) gauge fields. The $\mathbb{Z}_{4,X}$ gauge field $\mathcal{A}_{\mathbb{Z}_4} \in \mathrm{H}^1(M, \mathbb{Z}_{4,X})$ is locally a one-form mod 4 gauge field (or a $\mathbb{Z}_{4,X}$ -valued 1-cocycle).
 - Since $\mathbb{Z}_{4,X} \supset \mathbb{Z}_2^F$, the fermion parity $(-1)^F$ symmetry is also gauged at a higher so(10) GUT scale, the fermionic system becomes *bosonized* by gauging Spin(10) in Spin $\times_{\mathbb{Z}_2^F}$ Spin(10).
 - Another way to say this is that dynamical spin structure is generated when breaking so(10) GUT to su(5) GUT at the lower energy scale [16].

The $\mathbb{Z}_{4,X}$ gauge field can couple and communicate between 'the 4d SM or GUT sector' and 'the 4d TQFT sector or 5d iTQFT sector.' See the quantum communication by Topological Force of the $\mathbb{Z}_{4,X}$ gauge field in Ref. [1]'s Sec. 6.2. Since all the quarks and leptons in SM and all the **16** of Spin(10) carries an odd $\mathbb{Z}_{4,X}$ charge $q_X = 1 \mod 4$ (see Table 1 of [1]), the SM/GUT sectors, say with an action $S_{4d-SM/GUT}$, in fact couple to $\mathbb{Z}_{4,X}$ gauge field $\mathcal{A}_{\mathbb{Z}_4}$ in this way: The covariant derivative should be promoted from the SM/GUT coupling to:

$$(\nabla_{\mu} - ig_{SM/GUT}A_{\mu})\psi \Longrightarrow (\nabla_{\mu} - ig_{SM/GUT}A_{\mu} - iq_X\mathcal{A}_{\mathbb{Z}_4})\psi$$
(4.6)

with $\mathcal{A}_{\mathbb{Z}_4} \in \mathrm{H}^1(M, \mathbb{Z}_{4,X})$ and $\mathcal{A}_{\mathbb{Z}_2} = (\mathcal{A}_{\mathbb{Z}_4} \mod 2) \in \mathrm{H}^1(M, \mathbb{Z}_{4,X}/\mathbb{Z}_2^F)$, where the restriction may be formulated by a Lagrange multiplier constraint of BF theory term [64]. The schematic partition function defined via summing all inequivalent gauge configurations in the path integral thus includes a contribution, see Sec. 6.1 of [1],

$$\mathbf{Z}_{\substack{5d-iTQFT/\\4d-QFT}}[\mathcal{A}_{\mathbb{Z}_4}] = \exp(\frac{2\pi i}{16} \cdot \nu_{5d} \cdot \eta(PD(\mathcal{A}_{\mathbb{Z}_2}))|_{M^5}) \cdot \int [\mathcal{D}\psi][\mathcal{D}\bar{\psi}][\mathcal{D}A][\mathcal{D}\phi_H][\mathcal{D}\mathscr{A}][\mathcal{D}\mathscr{A}][\mathcal{D}\mathscr{B}]\cdots \\ \exp(i S_{4d-SM/GUT}^{(n_\nu)}[\psi,\bar{\psi},A,\phi_H,\ldots,\mathcal{A}_{\mathbb{Z}_4}]|_{M^4} + i S_{4d-TQFT}^{(\nu_{4d})}[\mathscr{A},\mathscr{B},\ldots,\mathcal{A}_{\mathbb{Z}_4}]|_{M^4})\Big|_{\nu=\nu_{5d}-\nu_{4d}-n_\nu=-N_{generation}}.$$

$$(4.7)$$

The $S_{4d-SM/GUT}$ is the 4d SM or GUT action. The $\psi, \bar{\psi}, A, \phi_H$ are SM and GUT quantum fields, where $\psi, \bar{\psi}$ are the 15 or 16 Weyl spinor fermion fields, the A are gauge bosons (with 12 components in SM,

24 in su(5) GUT, 45 in so(10) GUT, etc.) given by gauge group Lie algebra generators, and ϕ_H is the Higgs (electroweak and GUT Higgs). The $S_{4d-TQFT}^{(\nu_{4d})}$ is a 4d noninvertible TQFT outlined in [1]. The \mathscr{A} and \mathscr{B} (and possibly others fields) are TQFT gauge fields (locally differential 1-form and 2-form anti-symmetric tensor gauge connections). The theory of (4.7) includes the physics and mathematical constructions of

- [i]. 3+1d Maxwell (U(1)) and Yang-Mills (SU(N) and Spin(N)) gauge theory with some gauge group G_{internal} and gauge fields A: The gauge field is a gauge connection on a G_{internal} -bundle.
- [ii]. 3+1d fermion field theory of **Dirac** spinors (the complex $\mathbf{4}_{\mathbb{C}}$), **Weyl** spinors ψ or $\bar{\psi}$ (the complex $\mathbf{2}_{\mathbb{C}}$ as left-handed $\mathbf{2}_L$ or right-handed $\mathbf{2}_R$), or **Majorana** spinors (the real $\mathbf{4}_{\mathbb{R}}$) in the representation of Lorentzian spacetime Spin(3,1), and in various representation \mathbf{R} of the gauge group G_{internal} . Mathematically, the spinors are the sections of the spinor bundle with odd-degree fibers in supergeometry or spin spacetimemanifold geometry.
- [iii]. Higgs boson ϕ_H scalar field theory. The ϕ_H is a scalar 1 in Lorentzian spacetime Spin(3,1), and again in some representation **R** of the gauge group G_{internal} . The action contains possible Higgs potential term $U(\phi_H)$ such as quadratic or quartic terms. The action can also contain some Yukawa-Higgs Dirac terms or Yukawa-Higgs Majorana terms.
- [iv]. The θ -term, well-known as $F \wedge F$ or $F\tilde{F}$ in the particle physics community, is in fact related to the second Chern class $c_2(V_G)$ and the square of the first Chern class $c_1(V_G)$ of the associated vector bundle of the gauge group G:

$$\theta c_2(V_G) = -\frac{\theta}{8\pi^2} \operatorname{Tr}(\widehat{F} \wedge \widehat{F}) + \frac{\theta}{8\pi^2} (\operatorname{Tr}\widehat{F}) \wedge (\operatorname{Tr}\widehat{F}) = -\frac{\theta}{8\pi^2} \operatorname{Tr}(\widehat{F} \wedge \widehat{F}) + \frac{\theta}{2} c_1 (V_G)^2$$
$$\Rightarrow \frac{\theta}{8\pi^2} \operatorname{Tr}(\widehat{F} \wedge \widehat{F}) = \frac{\theta}{2} c_1 (V_G)^2 - \theta c_2 (V_G). \quad (4.8)$$

In particular, here we consider G as the U(N) or SU(N) gauge group, so we can define the Chern characteristic classes associated with complex vector bundles. This θ -term is a topological term, but it is summed over as a weighted factor to define a Yang-Mills gauge theory partition function [80] [39, 41]. This θ -term is not a quantum phase of matter by itself, so it is very different from the 4d TQFT with intrinsic topological order and 5d iTQFT with SPTs (as certain quantum phases of matter).

- [v]. 4d TQFT is mathematically a 4d non-invertible TQFT whose partition function \mathbb{Z} on some closed manifold M has an absolute value $|\mathbb{Z}(M)| \neq 1$. In the case $M = M^3 \times S^1$, the $\mathbb{Z}(M^3 \times S^1) = \text{GSD} =$ dim \mathcal{H}_{M^3} is known as the number of ground states (GSD: ground state degeneracy) or the dimension of TQFT Hilbert space \mathcal{H} on the spatial M^3 . In general, GSD $\neq 1$ on a spatial M^3 is related to the counting of distinct topological superselection sectors of fractionalized excitations (from particles of 1-line operators or strings of 2-surface operators). The 4d TQFT is the low energy field theory description of some intrinsic topological order in the sense of quantum matter. The gauge fields for 4d TQFT here are cocycles in differential cohomology. This 4d TQFT is a new addition from [1] to SM and particle physics.
- [vi]. 5d iTQFT is mathematically a 5d invertible TQFT whose partition function \mathbf{Z} on any closed manifold $M = M^5$ has an absolute value $|\mathbf{Z}(M)| = 1$. So that the number $\mathbf{Z}(M)^* = \mathbf{Z}(M)^{\dagger} = \mathbf{Z}(M)^{-1}$ defines an inverted phase of the original iTQFT $\mathbf{Z}(M)$. The combined phase $\mathbf{Z}(M)^*\mathbf{Z}(M) = 1$ describes a trivial phase with no SPT nor topological order. This 5d iTQFT is a new addition from [1] to SM and particle physics. Is is an analogous interacting \mathbb{Z}_{16} class of topological superconductor in condensed matter physics [70, 81–84] [45] but now in one higher dimension in 4+1d.

We should emphasize repeatedly that this topological θ -term is totally *different* from the new topological sector (4d TQFT or 5d iTQFT) introduced in [1]. The previous Grand Unification contains a

framework to include [i], [ii], [iii], [iv], but the Ultra Unification is proposed to include Grand Unification plus additional new topological sectors of TQFTs in [v] and [vi].

Some more comments:

- If only the $\mathbb{Z}_{4,X}$ gauge field are dynamical and summed over in the partition function, then we deal with a QFT problem with SM/GUT and 4d TQFT or 5d iTQFT sector as in [1].
- If not only the $\mathbb{Z}_{4,X}$ gauge field but also the η invariant together with the underlying spacetime topology/geometry are dynamical and summed over in the partition function (i.e., the $\eta(\text{PD}(\mathcal{A}_{\mathbb{Z}_2}))$ in (1.4) are summed over), then we will have to deal with a QFT coupling to a dynamical gravity problem: a more challenging topological or quantum gravity issue.

Ref. [1] proposal ends at the su(5) GUT and below the so(10) GUT scale. In the present work, we continue to explore higher energy spectra to the hypothetical so(18) GUT scale (compare with Fig. 4, Fig. 5, Fig. 6, and Table 1):

- <u>4</u>]. Above the $M_{su(5) 3\text{-Family}}$ and below $M_{su(5) \times \mathbb{Z}_{4,X} 3\text{-Family}}$, if there are Dirac or Majorana masses given to the sterile neutrinos, then their masses could be around these scales. So the $\mathbb{Z}_{4,X}$ is broken below $M_{su(5) \times \mathbb{Z}_{4,X} 3\text{-Family}}$ due to the explicit Dirac/Majorana masses.
- <u>5</u>]. Above the $M_{su(5)\times\mathbb{Z}_{4,X}}$ 3-Family and below $M_{so(10)}$ 3-Family (on the r.h.s of Fig. 6), or below $M_{su(5)\times so(5)}$ (on the l.h.s of Fig. 6), there could be a 4d TQFT gap scale Δ_{TQFT} given by (2.26) for the 4d TQFT described in [v]

In addition, the KW mechanism can take place, at a scale $\Delta_{KW,su(9)\times so(5)}$, to gap out extra matter.

<u>6</u>]. Above the $M_{so(10) 3\text{-Family}}$ and $M_{so(10)\times so(5)}$ (on the r.h.s of Fig. 6) or above $M_{su(5)\times so(5)}$ (on the l.h.s of Fig. 6), below the $M_{so(10)\times so(6)}$ (on the r.h.s of Fig. 6) or $M_{su(5)\times so(6)}$ (on the l.h.s of Fig. 6), there could be a topological quantum phase transition (ideally tuning at the zero temperature T = 0, increasing the energy scale at T = 0 but by probing the shorter distance). The topological quantum phase transition occurs due to that part of the 4d TQFT degrees of freedom may become a nearly free-particle description of 16th Weyl fermion (right-handed neutrino).

In addition, the KW mechanism can take place, at scales $\Delta_{KW.su(9)\times so(6)}$ and $\Delta_{KW.so(10)\times so(6)}$, etc. in sequence, to gap out extra matter, steps by steps.

<u>7</u>]. Above the $M_{so(10)\times so(6)}$ or $M_{su(5)\times so(6)}$ (respectively on the r.h.s and l.h.s of Fig. 6), below the $M_{so(10)\times so(8)}$ or $M_{su(9)}$ (respectively on the r.h.s and l.h.s of Fig. 6), there could be additional topological quantum phase transitions due to that other remained part of the 4d TQFT degrees of freedom may eventually become nearly free-particle description of 16th Weyl fermion(s) coupling to GUT gauge fields.

In addition, the KW mechanism can take place, at the scale $\Delta_{KW.so(10)\times so(8)}$, etc. in sequence, to gap out extra matter, steps by steps.

8]. At $M_{so(18)}$, if all matter fields are eventually in **256**⁺, then it may be possible that (4.1) and (4.2) are satisfied by $(n_{\nu} = 3, \nu_{4d} = 0, \nu_{5d} = 0)$.

In addition, the KW mechanism can take place, at the scale $\Delta_{\text{KW.so}(18)}$ above $M_{so(18)}$, to gap out the **256**⁻ mirror matter, steps by steps.

9]. If $\nu_{5d} \neq 0$ for any scale above $M_{so(10)}$, then, since $\mathbb{Z}_{4,X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ is dynamically gauged above the scale $M_{so(10)}$, then there is a topological force mediated between 4d SM/GUT to

5d gauged theory. (Note: Gauging the $\mathbb{Z}_{4,X}$ of 5d iTQFT (1.4) becomes a 5d noninvertible TQFT [plus gravity if the $\eta(\text{PD}(\mathcal{A}_{\mathbb{Z}_2}))$ in (1.4) are also dynamical and summed over].) This agrees with the proposal in [1].

10]. Dark Matter as Topological Matter from Extended Objects? Above the $M_{su(5)\times\mathbb{Z}_{4,X}}$ 3-Family and below $M_{so(10)}$ 3-Family or below $M_{su(5)\times so(5)}$ (respectively on the r.h.s or l.h.s of Fig. 6), the possible 4d TQFT gap scale Δ_{TQFT} in (2.26) is precisely the *energy gap* of heavy fractionalized extended object excitations from 4d intrinsic topological order. (See the previous remark [v].) It is possible these heavy fractionalized extended objects (from particles of 1-line operators or strings of 2-surface operators) can account for the heavy Dark Matter. If so, the Dark Matter is not formulated in terms of the conventional point-particle QFT physics, but the Dark Matter may be formulated in terms of extended objects of the (4d or 5d) TQFT physics.

In summary, in this work, we had checked explicitly that the anomaly can be matched by novel scenarios, not only in the energy scales below su(5) GUT, but also between su(5) GUT and so(10) GUT, and to so(18) GUT, for various scenarios in the proposal [1]. In the Appendices, we list down some additional explicit computations of anomaly matching.

A Dynamical Gauge Anomaly Cancellation

In Appendix A, we include the calculations of dynamical gauge anomaly cancellations for the su(5) GUT, the two version (on spin or non-spin manifolds) of the so(10) GUTs and so(18) GUT. See Table 2 for the anomalies classified by cobordism, including

- perturbative local anomalies, classified by \mathbb{Z} classes (known as free classes), and
- nonperturbative global anomalies, classified by \mathbb{Z}_n classes (known as torsion classes).

Let us check explicitly that the dynamical gauge anomaly cancellation holds for su(5) GUT and two version of so(10) and so(18) GUTs. In fact, there is only a local \mathbb{Z} class anomaly captured by Feynman-Dyson graph for su(5) GUT, and a global \mathbb{Z}_2 class anomaly for so(10) and so(18) GUT placed on non-Spin manifolds. Let us check below.

	Cobor	dism group $\operatorname{TP}_d(G)$ for Grand Unifications						
dd	classes	cobordism invariants						
		$G = \text{Spin} \times \text{SU}(5) \text{ for } su(5) \text{ GUT}$						
5d	\mathbb{Z}	$rac{1}{2}\mathrm{CS}^{\mathrm{SU}(5)}_{5}$						
		$G = \operatorname{Spin} \times \operatorname{Spin}(N) \text{ for } N \ge 7,$						
e.g. $\operatorname{Spin}(N) = \operatorname{Spin}(10)$ or $\operatorname{Spin}(18)$ for $so(10)$ or $so(18)$ GUT								
5d	0	None						
		$G = \operatorname{Spin} \times_{\mathbb{Z}_2} \operatorname{Spin}(N) \text{ for } N \ge 7,$						
e.g. $\operatorname{Spin}(N) = \operatorname{Spin}(10)$ or $\operatorname{Spin}(18)$ for $so(10)$ or $so(18)$ GUT								
5d	\mathbb{Z}_2	$w_2(TM)w_3(TM) = w_2(V_{SO(N)})w_3(V_{SO(N)})$						

Table 2: The 4d anomalies can be written as 5d cobordism invariants of $\Omega_G^{d=5} \equiv \text{TP}_{d=5}(G)$, which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [14]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for the su(5) GUT and the two versions of so(10) GUT (placed on Spin vs non-Spin manifolds). See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

A.1 SU(5)³ for su(5) GUT: 4d local anomaly from 5d $\frac{1}{2}$ CS^{SU(5)} and 6d $\frac{1}{2}c_3$ (SU(5))

For $G = \text{Spin} \times \text{SU}(5)$ of su(5) GUT, we read from Ref. [14] and Table 2 for a \mathbb{Z} class of 5d cobordism invariants of the following: 5d $\frac{1}{2}\text{CS}_5^{\text{SU}(5)}$ and 6d $\frac{1}{2}c_3(\text{SU}(5))$. These 5d cobordism invariants correspond to the 4d perturbative local anomalies captured by the one-loop Feynman graph:



This is the 4d anomaly $SU(5)^3$ from 5d $\frac{1}{2}CS_5^{SU(5)}$, which also descends from 6d $\frac{1}{2}c_3(SU(5))$ of bordism group Ω_6 in Ref. [14]. We can check the anomaly (A.1) vanishes, by taking all of the SU(5) generators. It is sufficient to take the diagonal SU(5) generator Y as

$$\hat{Y} = \frac{1}{2}\hat{Y}' = \frac{1}{6}\hat{\tilde{Y}} = \begin{pmatrix} -1/3 & 0 & 0 & 0 & 0\\ 0 & -1/3 & 0 & 0 & 0\\ 0 & 0 & -1/3 & 0 & 0\\ 0 & 0 & 0 & 1/2 & 0\\ 0 & 0 & 0 & 0 & 1/2 \end{pmatrix} = \frac{1}{6} \begin{pmatrix} -2 & 0 & 0 & 0 & 0\\ 0 & -2 & 0 & 0 & 0\\ 0 & 0 & -2 & 0 & 0\\ 0 & 0 & 0 & 3 & 0\\ 0 & 0 & 0 & 0 & 3 \end{pmatrix}.$$
 (A.2)

We can check the anomaly of su(5) GUT with matter $\overline{5} + 10$ indeed cancels:

$$\operatorname{Tr}\hat{Y}^{3}\Big|_{\overline{5}} + \operatorname{Tr}\hat{Y}^{3}\Big|_{10} = \left(\frac{1}{6}\right)^{3} \left(\left(3(2)^{3} + 2(-3)^{3}\right) + \left(3(-2-2)^{3} + 6(-2+3)^{3} + (6)^{3}\right) \right) = 0.$$
(A.3)

Other Lie algebra generators for the $\overline{5} + 10$ also cancel.

There is a similar calculation of $G = \text{Spin} \times \text{SU}(9)$ for the su(9) GUT because the cobordism group $\text{TP}_5(G) = \mathbb{Z}$. It is a 4d local anomaly from 5d $\frac{1}{2}\text{CS}_5^{\text{SU}(9)}$ and 6d $\frac{1}{2}c_3(\text{SU}(9))$. We can easily check that the su(9) GUT descended from the so(18) GUT in Fig. 6 is free from this 4d local anomaly.

A.2 Witten SU(2) anomaly vs New SU(2) anomaly

We summarize the 't Hooft anomalies of 4d SU(2) = Spin(3) symmetry theory in (A.4) and Table 3. When SU(2) is gauged, these anomalies become dynamical gauge anomalies. There are two kinds of SU(2) anomalies, both are nonperturbative global anomalies. We will use the Witten SU(2) anomaly [46] and the new SU(2) anomaly [16] in 4d to characterize the anomalies in the so(N) GUT for $N \ge 7$, such as N = 10, 18. The \checkmark mark in (A.4) means the anomaly exists for that matter representation **R**.

SU(2) isospin	0	$\frac{1}{2}$	1	$\frac{3}{2}$	2	$\frac{5}{2}$	3	$\frac{7}{2}$	$\mod 4$	$2r + \frac{1}{2}$	$4r + \frac{3}{2}$	$\mod 4$	_
SU(2) Rep R (dim)	1	2	3	4	5	6	7	8	$\mod 8$	4r + 2	8r + 4	$\mod 8$	(<u>)</u>
Witten SU(2) anomaly [46]		\checkmark				\checkmark				\checkmark			- (A.4
New $SU(2)$ anomaly [16]				\checkmark							\checkmark		_

For a 4d SU(2) symmetry theory, Eqn. (A.4) shows that:

- when the fermions (the spacetime spinors) are in the SU(2) isospin $2r + \frac{1}{2}$ (namely the SU(2) representation dimension **R** is 4r+2 for some integer r), we have the Witten SU(2) anomaly [46] as 't Hooft anomaly detectable on both Spin × SU(2) and Spin ×_{Z₂} SU(2) spacetime-internal structures. When SU(2) is gauged, the dynamical SU(2) gauge theory becomes inconsistent even on spin manifolds.
- when the fermions (the spacetime spinors) are in the SU(2) isospin $4r + \frac{3}{2}$ (namely the SU(2) representation dimension **R** is 8r + 4), we have the new SU(2) anomaly [16] as 't Hooft anomaly detectable only on Spin $\times_{\mathbb{Z}_2}$ SU(2) spacetime-internal structures. When SU(2) is gauged, the dynamical SU(2) gauge theory can still be consistent on Spin or Spin^c manifolds; the dynamical SU(2) gauge theory becomes inconsistent *only* on certain non-spin manifolds.

	Cobordi	sm group $\operatorname{TP}_d(G)$ for $\operatorname{SU}(2)$ anomalies							
$d\mathbf{d}$	classes cobordism invariants								
	<i>G</i> =	$= \operatorname{Spin} \times \operatorname{Spin}(3) = \operatorname{Spin} \times \operatorname{SU}(2)$							
5d	\mathbb{Z}_2	$c_2(V_{{ m SU}(2)}) ilde\eta$							
	G = S	$\operatorname{Spin} \times_{\mathbb{Z}_2} \operatorname{Spin}(3) = \operatorname{Spin} \times_{\mathbb{Z}_2} \operatorname{SU}(2)$							
5d	$(\mathbb{Z}_2)^2$	$(N_0^{\prime(5)} \mod 2), w_2(TM)w_3(TM) = w_2(V_{\text{SO}(3)})w_3(V_{\text{SO}(3)})$							

Table 3: The 4d anomalies can be written as 5d cobordism invariants of $\Omega_G^{d=5} \equiv \mathrm{TP}_{d=5}(G)$, which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [13]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for two versions of SU(2) symmetric theory (placed on Spin vs non-Spin manifolds). One of the \mathbb{Z}_2 class global anomaly is the familiar Witten SU(2) anomaly [46], captured by $c_2(V_{\mathrm{SU}(2)})\tilde{\eta}$ or $N_0^{\prime(5)} \mod 2$. The $N_0^{\prime(5)}$ is the number of the zero modes of the Dirac operator in 5d. The $N_0^{\prime(5)} \mod 2$ is a spin-topological invariant known as the mod 2 index defined in [16,46]. (We find that the cobordism invariant of $N_0^{\prime(5)} \mod 2$ read from Adams chart has the similar form related to \tilde{w}_3 Arf, where Arf is an Arf invariant [85] and \tilde{w}_3 is a twisted version of the third Stiefel-Whitney class w_3 .) Another \mathbb{Z}_2 class global anomaly is the new SU(2) anomaly [16]. The $\tilde{\eta}$ is a mod 2 index of 1d Dirac operator. See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

A.3 A new SU(2) = Spin(3) \subset Spin(10) \subset Spin(18) anomaly for so(10) and so(18) GUT on non-Spin manifolds

There is \mathbb{Z}_2 classification of possible anomaly for SO(10) and so(18) GUT shown in Table 2,

$$\Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2}\operatorname{Spin}(10)} = \Omega_5^{\operatorname{Spin}\times_{\mathbb{Z}_2}\operatorname{Spin}(18)} = \mathbb{Z}_2, \tag{A.5}$$

$$\operatorname{TP}_{5}(\operatorname{Spin} \times_{\mathbb{Z}_{2}} \operatorname{Spin}(10)) = \operatorname{TP}_{5}(\operatorname{Spin} \times_{\mathbb{Z}_{2}} \operatorname{Spin}(18)) = \mathbb{Z}_{2}.$$
 (A.6)

This implies that there is only a 5-dimensional topological invariant written in terms of a bulk partition function on a 5-manifold M^5 ,

$$\mathbf{Z} = \exp(i\pi \int_{M^5} w_2(TM) \cup w_3(TM)) = \exp(i\pi \int_{M^5} w_2(V_{\mathrm{SO}(3)}) \cup w_3(V_{\mathrm{SO}(3)})),$$
(A.7)

where $w_n(TM)$ is the *n*th-Stiefel-Whitney class for the tangent bundle of 5d spacetime manifold M^5 , and the \cup is the cup product (which we may omit writing \cup). We note that on M^5 , we have a $\frac{\operatorname{Spin}(D=5)\times\operatorname{Spin}(N)}{\mathbb{Z}_2^F}$ connection — a mixed gravitational and gauge connection, rather than a pure gravitational $\operatorname{Spin}(D = 5)$ connection. The mixed gravitational and gauge structure in $\operatorname{Spin} \times_{\mathbb{Z}_2} \operatorname{Spin}(3)$ gives a constraint $w_2(TM) = w_2(V_{\operatorname{SO}(N)})$ and $w_3(TM) = w_3(V_{\operatorname{SO}(N)})$, where $w_n(V_{\operatorname{SO}(N)})$ is the *n*th-Stiefel-Whitney class for an $\operatorname{SO}(N)$ gauge bundle. Thus, M^5 can be a non-spin manifold due to $w_2(TM) \neq 0$ (note that a spin manifold iff $w_2(TM) = 0$).

We can detect the 5d cobordism invariant by its 4d boundary state. In our case, the 5d state has a boundary described by 4d Spin(N) chiral Weyl fermion theory with Weyl fermion as the Lorentz spinor $\mathbf{2}_L$ of the spacetime structure Spin(3,1). Then we can detect the 5d cobordism invariant via the Spin(N) representation of the chiral Weyl fermions on the boundary. Here we use a fact that the 5d cobordism invariant can be detected by restricting to a subgroup $SU(2) = Spin(3) \subseteq Spin(N)$ [12,16]: Let n_j be the number of isospin-*j* representations of $SU(2) = Spin(3) \subseteq Spin(N)$ (so the dimension of representation is $\mathbf{R} = 2j + 1$) for 4d boundary chiral Weyl fermions, then the 5d cobordism invariant is absent if the following two numbers are zero mod 2:

$$\sum_{r=0}^{\infty} n_{2r+\frac{1}{2}} = 0 \mod 2, \qquad \sum_{r=0}^{\infty} n_{4r+\frac{3}{2}} = 0 \mod 2. \tag{A.8}$$

To check how the representation of Spin(N) reduces to the representations of Spin(3), let us study the representation of Spin(N) (the spinor representation of Spin(N)), assuming $N \in \text{even}$. We first introduce γ -matrices γ_a , $a = 1, \dots, N$:

$$\gamma_{2k-1} = \underbrace{\sigma^0 \otimes \cdots \otimes \sigma^0}_{\frac{N}{2} - k \ \sigma^{0's}} \otimes \sigma^1 \otimes \underbrace{\sigma^3 \otimes \cdots \otimes \sigma^3}_{k-1 \ \sigma^{3's}},$$

$$\gamma_{2k} = \underbrace{\sigma^0 \otimes \cdots \otimes \sigma^0}_{\frac{N}{2} - k \ \sigma^{0's}} \otimes \sigma^2 \otimes \underbrace{\sigma^3 \otimes \cdots \otimes \sigma^3}_{k-1 \ \sigma^{3's}},$$
(A.9)

 $k = 1, \dots, \frac{N}{2}$, which satisfy $\{\gamma_a, \gamma_b\} = 2\delta_{ab}$ and $\gamma_a^{\dagger} = \gamma_a$. Here σ^0 is the rank-2 identity matrix, and σ^l with l = 1, 2, 3 are the rank-2 Pauli matrices. The $\frac{N(N-1)}{2}$ hermitian matrices $\gamma_{ab} = \frac{i}{2}[\gamma_a, \gamma_b] = i\gamma_a\gamma_b$ for a < b, generate a $2^{N/2}$ -dimensional representation of Spin(N). The above $2^{N/2}$ -dimensional representation is reducible. To obtain an irreducible representation, we introduce

$$\gamma_{\rm FIVE} = (-i)^{N/2} \gamma_1 \cdots \gamma_N = \underbrace{\sigma^3 \otimes \cdots \otimes \sigma^3}_{\frac{N}{2} \sigma^{3} \cdot s}.$$
 (A.10)

We have $(\gamma_{\text{FIVE}})^2 = 1$, its trace $\text{Tr}(\gamma_{\text{FIVE}}) = 0$, and $\{\gamma_{\text{FIVE}}, \gamma_a\} = [\gamma_{\text{FIVE}}, \gamma_{ab}] = 0$. This allows us to obtain two $2^{N/2-1}$ -dimensional irreducible representations: one representation survive under the projection $\frac{1+\gamma_{\text{FIVE}}}{2}$ (known as the original chiral matter in physics), the other representation survive under the projection $\frac{1-\gamma_{\text{FIVE}}}{2}$ (known as the mirror matter in physics).

Now, let us consider an SU(2) = Spin(3) subgroup of Spin(N), generated by $\gamma_{12} = I \otimes \sigma^0 \otimes \sigma^3$, $\gamma_{23} = I \otimes \sigma^1 \otimes \sigma^1$, and $\gamma_{31} = I \otimes \sigma^1 \otimes \sigma^2$, where I is an identity matrix from σ^0 . We see that the $2^{N/2-1}$ -dimensional irreducible representation of Spin(N) becomes $2^{N/2-2}$ isospin-1/2 representations ($\mathbf{R} = \mathbf{2}$) of SU(2). This means

the $2^{N/2-1}$ -dimensional irreducible spinor representation of $\operatorname{Spin}(N) \sim 2^{(N/2)-2} \cdot (2)$ of $\operatorname{Spin}(3) = \operatorname{SU}(2)$.

In short, we see that for an even $N \ge 8$, the 4d boundary chiral Weyl fermions only reduces to an even number of isospin-1/2 representations ($\mathbf{R} = 2$) of SU(2), and, according to (A.8), the 5d cobordism invariant $e^{i\pi \int_{M^5} w_2(TM)w_3(TM)}$ is absent. Thus the 4d $so(N \ge 8)$ GUTs including the so(10) and so(18)GUT are free from all dynamical gauge anomalies. These GUTs are free from perturbative local anomalies are well-known since 1970-80s, but these GUTs are free from nonperturbative global anomalies are known only recently in [12, 16].

B Anomaly Matching for GUT with Extra Symmetries

For the su(5) GUT, we can introduce the $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry as an $U(1)_X$ or $\mathbb{Z}_{4,X}$ symmetry. This gives an Spin^c or Spin $\times_{\mathbb{Z}_2} \mathbb{Z}_4$ structure respectively. See Table 4 for the anomalies classified by cobordism. For so(10) and so(18) GUT, the $\mathbb{Z}_{4,X} = Z(\text{Spin}(10)) = Z(\text{Spin}(18))$ is part of the gauge group, so we already classify all possible anomalies of $so(N \ge 7)$ GUT including $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry in Table 2.

С	Cobordism group $\operatorname{TP}_d(G)$ for Grand Unifications with extra symmetries							
$d\mathbf{d}$	classes cobordism invariants							
	$G = \operatorname{Spi}$	$\operatorname{in} \times_{\mathbb{Z}_2} \mathbb{Z}_4 \times \operatorname{SU}(5) = \operatorname{Spin} \times_{\mathbb{Z}_2^F} \mathbb{Z}_{4,X} \times \operatorname{SU}(5)$						
5d	$\mathbb{Z} \times \mathbb{Z}_2 \times \mathbb{Z}_{16}$	$\frac{(\mathcal{A}_{\mathbb{Z}_2})^2 \mathrm{CS}_3^{\mathrm{SU}(3)} + \mathrm{CS}_5^{\mathrm{SU}(3)}}{2}, (\mathcal{A}_{\mathbb{Z}_2}) c_2(\mathrm{SU}(5)), \eta(\mathrm{PD}(\mathcal{A}_{\mathbb{Z}_2}))$						
	G = S	$\operatorname{Spin}^{c} \times \operatorname{SU}(5) = \operatorname{Spin} \times_{\mathbb{Z}_{2}^{F}} \operatorname{U}(1)_{X} \times \operatorname{SU}(5)$						
5d	\mathbb{Z}^4	captured by perturbative local anomalies.						

Table 4: Setup follows Table 2. The 4d anomalies can be written as 5d cobordism invariants of $\Omega_G^{d=5} \equiv \mathrm{TP}_{d=5}(G)$, which are 5d iTQFTs. These 5d cobordism invariants/iTQFTs are derived in [14, 15]. We summarized the group classifications of 4d anomalies and their 5d cobordism invariants for the su(5) GUT with $\mathrm{U}(1)_X$ or $\mathbb{Z}_{4,X}$ symmetry. For so(10) GUT, the $\mathbb{Z}_{4,X}$ is part of the gauge group, so we only need to look at Table 2's result. See our notational conventions in [1] and in Sec. 1 and Sec. 1.2.4 of Ref. [14].

It is well-known that su(5) GUT with $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry is free from all perturbative local anomalies, perhaps since 1970s-80s. (Namely, the \mathbb{Z} class anomalies in Table 4 would vanish in the su(5) GUT.) However, it is not clear whether su(5) GUT with $X = 5(\mathbf{B} - \mathbf{L}) - 4Y$ symmetry is free from all non-perturbative global anomalies. Recent attempts to check global anomalies of su(5) GUT with X symmetry can be found in Ref. [10, 11] and [14]. We will check the 4d \mathbb{Z}_2 global anomaly from 5d $(\mathcal{A}_{\mathbb{Z}_2})c_2(\mathrm{SU}(5))$ in Sec. B.1, and check 4d \mathbb{Z}_{16} global anomaly from $\eta(\mathrm{PD}(\mathcal{A}_{\mathbb{Z}_2}))$ in Sec. B.2.

B.1 X-SU(5)²: 4d local \mathbb{Z} anomaly or 4d global \mathbb{Z}_2 anomaly from 5d $(\mathcal{A}_{\mathbb{Z}_2})c_2(SU(5))$

Recall the U(1)_{**B**-**L**} is not a proper symmetry of su(5) GUT. The "baryon minus lepton number symmetry" of su(5) GUT is U(1)_X. Plug in to check 4d local anomaly of X-SU(5)²:



we find the anomaly factor contributed from the representation **R** of fermions in SU(5) as the antifundamental $\mathbf{R} = \overline{\mathbf{5}}$ and anti-symmetric $\mathbf{R} = 10$, from the 15 Weyl fermions $\overline{\mathbf{5}} \oplus \mathbf{10}$ in one generation. Let us check the X current conservation or violation by ABJ type anomaly:

$$d \star (j_X) \propto \sum_{\mathbf{R}} X_R \cdot \operatorname{Tr}_{\mathbf{R}}[F_{\mathrm{SU}(5)} \wedge F_{\mathrm{SU}(5)}] \propto \sum_{\mathbf{R}} X_R \cdot c_2(\mathrm{SU}(5)).$$
(B.2)

Here $c_2(SU(5))$ is the second Chern class of SU(5), which is also related to the 4d instanton number of SU(5) gauge bundle. For $\overline{\mathbf{5}} \oplus \mathbf{10}$ with $N_{\text{generation}}$, we get the $U(1)_X$ charges for

$$X_{\overline{\mathbf{5}}} = -3, \quad X_{\mathbf{10}} = 1,$$

 so^{29}

$$d \star (j_X) \propto N_{\text{generation}} \left(X_{\bar{\mathbf{5}}} \text{Tr}_{\bar{\mathbf{5}}}[F \wedge F] + X_{\mathbf{10}} \text{Tr}_{\mathbf{10}}[F \wedge F] \right) = N_{\text{generation}} \cdot 0 = 0$$
(B.5)

vanishes. We confirm that the $U(1)_X$ symmetry is ABJ anomaly free at least perturbatively in su(5) GUT.

This anomaly matching is also true when we break down $U(1)_X$ to $\mathbb{Z}_{4,X}$, so that the mod 2 class 4d anomaly from 5d $(\mathcal{A}_{\mathbb{Z}_2})c_2(\mathrm{SU}(5))$ is still matched.

B.2 $\eta(\mathbf{PD}(\mathcal{A}_{\mathbb{Z}_2}))$: 4d \mathbb{Z}_{16} global anomaly

The 4d \mathbb{Z}_{16} global anomaly from a 5d cobordism invariant $\eta(\text{PD}(\mathcal{A}_{\mathbb{Z}_2}))$ in [1, 14] and Table 4 counts the number mod 16 of 4d left-handed Weyl spinors ($\Psi_L \sim \mathbf{2}_L$ of Spin(3, 1) or $\Psi_L \sim \mathbf{2}_L$ of Spin(4) = $\text{SU}(2)_L \times \text{SU}(2)_R$). Given $N_{\text{generation}}$ (e.g., 3 generations), for each generation, we have:

$$3 \cdot 2 + 3 \cdot 1 + 3 \cdot 1 + 1 \cdot 2 + 1 \cdot 1 = 15 = -1 \mod 16. \tag{B.6}$$

For 1 generation, we need to saturates the anomaly with an index ν :

$$\nu = -1 \mod 16.$$

For 3 generations, we need

$$3\left(3\cdot 2 + 3\cdot 1 + 3\cdot 1 + 1\cdot 2 + 1\cdot 1\right) = 45 = -3 \mod 16.$$

Therefore we need to saturate the anomaly:

$$\nu = -3 \mod 16.$$

 29 To evaluate the c_2 or the instanton number in different representations, \mathbf{R}_1 and \mathbf{R}_2 , we use the fact that

$$\operatorname{Tr}_{\mathbf{R}_1}[F \wedge F]/\operatorname{Tr}_{\mathbf{R}_2}[F \wedge F] = (d(\mathbf{R}_1)C_2(\mathbf{R}_1))/(d(\mathbf{R}_2)C_2(\mathbf{R}_2)) = (d(G)C(\mathbf{R}_1))/(d(G)C(\mathbf{R}_2)) = C(\mathbf{R}_1)/C(\mathbf{R}_2), \quad (B.3)$$

here $d(\mathbf{R})$ and $C_2(\mathbf{R})$ are respectively the dimension and the quadratic Casimir of an irreducible representation \mathbf{R} . Here d(G) is the dimension of group and $C(\mathbf{R})$ is the Dynkin index. We use a relation $d(\mathbf{R})C_2(\mathbf{R}) = \mathbf{d}(\mathbf{G})C(\mathbf{R})$ for a representation \mathbf{R} . For the representation \mathbf{R} of SU(N) with $d(G) = N^2 - 1$, we have

R
$$d(\mathbf{R})$$
 $C_2(\mathbf{R})$ $C(\mathbf{R})$ Fundamental N $\frac{N^2-1}{2N}$ $\frac{1}{2}$.Antisymmetric $N(N-1)/2$ $\frac{(N+1)(N-2)}{N}$ $\frac{N-2}{2}$.

For SU(5) with N = 5, we get $\operatorname{Tr}_{10}[F \wedge F] = (N - 2)\operatorname{Tr}_{\bar{5}}[F \wedge F] = 3\operatorname{Tr}_{\bar{5}}[F \wedge F].$

For $N_{\text{generation}}$ generations, we need to saturate the anomaly:

$$\nu = -N_{\text{generation}} \mod 16. \tag{B.7}$$

This anomaly can be canceled by adding new degrees of freedom

$$\nu = N_{\text{generation}} \cdot (N_{\nu_R} = 1) \mod 16. \tag{B.8}$$

This \mathbb{Z}_{16} anomaly matching can be matched by adding a right-handed neutrino (the 16th Weyl spinor) per generation. This also shows the robustness if we break down U(1)_{**B**-**L**} or U(1)_X down to $\mathbb{Z}_{4,\mathbf{B}-\mathbf{L}}$ or to $\mathbb{Z}_{4,X}$. Again this \mathbb{Z}_4 as the center Z(Spin(10)) = Z(Spin(18)) is important for the so(10) or so(18)GUT.

Are there other ways to match the anomaly other than introducing the right-handed neutrino (the 16th Weyl spinor) per generation? Ref. [1] introduces a new scenario by introducing a 4d TQFT or 5d TQFT in (4.7) to match the anomaly with a constraint (4.2). In general, (4.7) schematically shows the combinations of solutions by adding right-handed neutrino, or adding 4d non-invertible TQFT, or 5d invertible TQFT to match the anomaly constraint (4.2).

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³⁰ Instead of writing or drawing an image of the author's mental conditions, a piece of Ludwig van Beethoven's music "Piano Sonata No. 23 in F minor, Op. 57 Appassionata - the 2nd movement - Andante con moto" may illuminate this well. Listen:





