# Thermodynamics of a continuous quantum heat engine: Interplay between population and coherence

Pablo Bayona-Pena

Department of Physics, Tokyo Institute of Technology, Tokyo 152–8551, Japan

Kazutaka Takahashi

Institute of Innovative Research, Tokyo Institute of Technology, Kanagawa 226-8503, Japan

(Dated: January 13, 2022)

We present a detailed thermodynamic analysis of a three-level quantum heat engine coupled continuously to hot and cold reservoirs. The system is driven by an oscillating external field and is described by the Markovian quantum master equation. We use the general form of the dissipator which is consistent with thermodynamics. We calculate the heat, power, and efficiency of the system for the heat-engine operating regime and also examine the thermodynamic uncertainty relation. The efficiency of the system is strongly dependent on the structure of the dissipator, and the correlations between different levels can be an obstacle for ideal operation. In quantum systems, the heat flux is decomposed into the population and coherent parts. The coherent part is specific to quantum systems, and in contrast to the population part, it cannot be expressed by a simple series expansion in the linear-response regime. We discuss how the interplay between the population and coherent parts affects the performance of the heat engine.

#### I. INTRODUCTION

One of the main objectives in the field of quantum thermodynamics is to find the quantum signatures of heat engines. The thermodynamical description is applied even when the system has a few degrees of freedom, which allows us to study the quantum effects on heat and work from a microscopic point of view.

Since the seminal work by Scovil and Schulz-DuBois [1], the microscopic heat engines with a few discrete energy levels have been studied in many works [2–8]. An upper bound on the efficiency of the heat engine is given by the Carnot efficiency, as expected from the general arguments [9, 10]. Experimental examples of quantum heat engines have been realized in a wide range of quantum systems such as trapped ions and ensembles of nitrogen-vacancy centers in diamond [11–13].

It is an important problem to answer the question of whether the quantum coherence enhances the power output of the heat engine. Several works have shown that the answer is positive [7, 14–16], which represents a promising feature for the design of microscopic devices.

Generally speaking, quantum effects arise when the density operator has off-diagonal components in the basis of the Hamiltonian operator. The quantum coherence is characterized by the off-diagonal parts, and the nonequilibrium entropy production can be decomposed into the classical population part and the quantum coherent part [17, 18]. The Markovian dynamics is described by the Gorini–Kossakowski–Lindblad–Sudarshan (GKLS) equation [19–21]. By decomposing the dissipator into two parts, we can introduce the corresponding heat for each part [22].

In the present work, we revisit the three-level quantum heat engine developed by Geva and Kosloff [3] in order to examine the roles of the population and coherent parts of the heat flux. We also study how the result depends on the details of the dissipator part of the GKLS equation. The choice of the dissipator is important for finding thermodynamically consistent results, and we treat a possible general form of the dissipator.

This paper is organized as follows. In Sec. II, we describe the settings of our model and define the heat, work, and efficiency according to the standard scenario. Section III summarizes the stationary solution of the model. We obtain the explicit forms of the heat, power, and efficiency. In Sec. IV, we introduce the concept of population heat currents and coherent heat currents to discuss how the quantum nature affects the performance. We also discuss the thermodynamic uncertainty relation (TUR) in Sec. V. The conclusion is summarized in Sec. VI.

## II. SYSTEM SETTING AND ENERGETIC RELATIONS

## A. GKLS equation

We define a continuous quantum heat engine by using a three-level maser as shown in Fig. 1. The system consists of three states  $|0\rangle$ ,  $|1\rangle$ , and  $|2\rangle$ , and each level has energy  $\omega_0$ ,  $\omega_1$ , and  $\omega_2$ , respectively. We set  $\omega_0 < \omega_1 < \omega_2$ . Two of the states,  $|0\rangle$  and  $|1\rangle$ , are coupled to the cold reservoir with temperature  $T_c = 1/\beta_c$ , and  $|0\rangle$  and  $|2\rangle$ are coupled to the hot reservoir with  $T_h = 1/\beta_h$ , where  $T_h > T_c$ . We study the performance of the heat engine when the system is continuously coupled to the two heat reservoirs. The system is operated by applying a time-oscillating field with frequency  $\omega$ . The field induces transitions between  $|1\rangle$  and  $|2\rangle$ , generating a heat flow, as we discuss below. The system Hamiltonian is given in

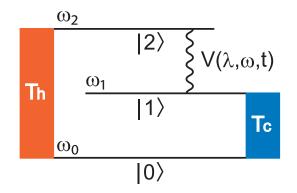


FIG. 1. A schematic view of the three-level quantum heat engine. The system is driven by the time-dependent field  $V(\lambda, \omega, t) = \lambda e^{i\omega t} |1\rangle \langle 2| + \lambda e^{-i\omega t} |2\rangle \langle 1|.$ 

the state basis as

$$\hat{H}(t) = \begin{pmatrix} \omega_0 & 0 & 0\\ 0 & \omega_1 & \lambda e^{i\omega t}\\ 0 & \lambda e^{-i\omega t} & \omega_2 \end{pmatrix}, \quad (1)$$

where  $\lambda$  represents the field intensity. This Hamiltonian is diagonalized as  $\hat{H}(t) = \sum_{n=0}^{2} \epsilon_n |\epsilon_n(t)\rangle \langle \epsilon_n(t)|$ , and the energy eigenvalues are independent of t, as shown in Appendix A. We assume  $\lambda^2 < \omega_{10}\omega_{20}$ , where  $\omega_{10} = \omega_1 - \omega_0$ and  $\omega_{20} = \omega_2 - \omega_0$ , so that the order of the energy levels is unchanged,  $\epsilon_0 < \epsilon_1 < \epsilon_2$ , in the presence of the field.

The present setting is basically the same as discussed in related works [3–6, 8]. A difference arises when we carefully treat the dissipation effect. The state of the system is described by the density operator  $\hat{\rho}(t)$ . We assume the Markovian dynamics, and the time evolution is described by the GKLS equation,

$$\partial_t \hat{\rho}(t) = -i[\hat{H}(t), \hat{\rho}(t)] + \sum_{\alpha=c,h} \hat{D}_{\alpha}[\hat{\rho}(t)].$$
(2)

The dissipation affects the quantum dynamics in three ways. Two of them are described by the dissipator  $\hat{D}_{\alpha}$ . It changes the eigenbasis of the density operator and the population of each basis element [22]. The remaining one shifts only the energy levels of the Hamiltonian  $\hat{H}(t)$  and is called the Lamb shift. Here we simply neglect the Lamb shift term or take  $\hat{H}(t)$  to be a renormalized Hamiltonian.

The dissipator  $\hat{D}_{\alpha}$  is chosen so that the time evolution is a completely positive and trace-preserving map. The explicit form of the dissipator is dependent on coarsegraining procedures. In order to obtain a thermodynamically consistent description for the present problem, we use the form

$$\hat{D}_{\alpha}[\hat{\rho}] = \sum_{\epsilon} \gamma_{\alpha}(\epsilon) \bigg[ \hat{L}_{\alpha}^{\epsilon}(t) \hat{\rho} (\hat{L}_{\alpha}^{\epsilon}(t))^{\dagger} \\ -\frac{1}{2} \left( (\hat{L}_{\alpha}^{\epsilon}(t))^{\dagger} \hat{L}_{\alpha}^{\epsilon}(t) \hat{\rho} + \hat{\rho} (\hat{L}_{\alpha}^{\epsilon}(t))^{\dagger} \hat{L}_{\alpha}^{\epsilon}(t) \right) \bigg], \qquad (3)$$

where  $\hat{L}^{\epsilon}_{\alpha}(t)$  represents projected jump operators

$$\hat{L}_{\alpha}^{\epsilon}(t) = (\hat{L}_{\alpha}^{-\epsilon}(t))^{\dagger}$$
$$= \sum_{m,n=0}^{2} \delta_{\epsilon,\epsilon_{m}-\epsilon_{n}} |\epsilon_{n}(t)\rangle \langle \epsilon_{n}(t) | \hat{L}_{\alpha} |\epsilon_{m}(t)\rangle \langle \epsilon_{m}(t) |, \quad (4)$$

with

$$\hat{L}_{\rm c} = |0\rangle\langle 1|,\tag{5}$$

$$\hat{L}_{\rm h} = |0\rangle\langle 2|. \tag{6}$$

The dissipator coupling  $\gamma_{\alpha}(\epsilon)$  is generally nonnegative and is a system-dependent function of  $\epsilon$  and  $\beta_{\alpha}$ . To construct a thermodynamically consistent theory, we assume the detailed balance condition

$$\gamma_{\alpha}(-\epsilon) = e^{-\beta_{\alpha}\epsilon}\gamma_{\alpha}(\epsilon). \tag{7}$$

Then, the Gibbs distribution becomes an instantaneous stationary solution [21, 22].

We can derive the form of the dissipator in Eq. (3)from a microscopic model by using several approximations such as the Markov approximation and the rotatingwave approximation. In principle, the derivation implies that the present model is justified only within a certain range of parameters. However, the present setting without any additional constraints is completely consistent with the laws of thermodynamics, and we can discuss the performance of the quantum heat engine. The use of the jump operators projected onto the instantaneous eigenstates of the Hamiltonian is an important ingredient to find a thermodynamically consistent theory. It is contrasted to the "local" master-equation approach in which the jump operators are not projected to the eigenstate basis. The local approach is shown to be inconsistent with thermodynamics [23]. Although some ideas to overcome this shortcoming have been discussed [24, 25], here we use the "global" approach defined by Eq. (3).

In principle, the present model has four types of the dissipator coupling,  $\gamma_{\rm c}(\epsilon_{10})$ ,  $\gamma_{\rm c}(\epsilon_{20})$ ,  $\gamma_{\rm h}(\epsilon_{10})$ , and  $\gamma_{\rm h}(\epsilon_{20})$ , where  $\epsilon_{10} = \epsilon_1 - \epsilon_0$  and  $\epsilon_{20} = \epsilon_2 - \epsilon_0$ . In previous works, only  $\gamma_{\rm c}(\epsilon_{10})$  and  $\gamma_{\rm h}(\epsilon_{20})$  were kept nonzero [3, 4]. As we mentioned above,  $\gamma_{\alpha}(\epsilon)$  is a system-dependent function and is obtained from the correlation function of a bath operator. A typical form is represented by using a Lorentzian function. In the following, we do not assume any functional form of  $\gamma_{\alpha}(\epsilon)$  and consider three possible cases:

(i) Resonant coupling

$$\gamma_{\rm c}(\epsilon_{10}) = \gamma_{\rm h}(\epsilon_{20}) > \gamma_{\rm c}(\epsilon_{20}) = \gamma_{\rm h}(\epsilon_{10}) = 0. \tag{8}$$

(ii) Intermediate coupling

$$\gamma_{\rm c}(\epsilon_{10}) = \gamma_{\rm h}(\epsilon_{20}) > \gamma_{\rm c}(\epsilon_{20}) = \gamma_{\rm h}(\epsilon_{10}) > 0.$$
(9)

(iii) Uniform coupling

$$\gamma_{\rm c}(\epsilon_{10}) = \gamma_{\rm h}(\epsilon_{20}) = \gamma_{\rm c}(\epsilon_{20}) = \gamma_{\rm h}(\epsilon_{10}) > 0.$$
(10)

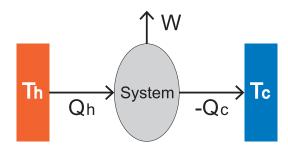


FIG. 2. An expected heat flow.  $W = Q_{\rm h} + Q_{\rm c}$ , and the efficiency is given by Eq. (13).

The resonant-coupling case corresponds to the preceding works.

## B. Heat, work, and efficiency

According to the standard scenario, we define the heat flux from the reservoirs to the system as

$$\dot{Q}(t) = \operatorname{Tr}\left[\partial_t \hat{\rho}(t) \hat{H}(t)\right] = \sum_{\alpha} \operatorname{Tr}\left[\hat{D}_{\alpha}[\hat{\rho}(t)] \hat{H}(t)\right].$$
(11)

The last expression allows us to write  $\dot{Q}(t) = \sum_{\alpha} \dot{Q}_{\alpha}(t)$ , where  $\dot{Q}_{\alpha}(t)$  represents the heat flux from each reservoir. When the system is operated periodically, the energy goes back to the original value after one period such that the work done by the system in the one period is given by

$$W = \sum_{\alpha} Q_{\alpha} = \sum_{\alpha} \int_{T}^{T+T_0} dt \, \dot{Q}_{\alpha}(t), \qquad (12)$$

where  $T_0 = 2\pi/\omega$ . When the system acts as a heat engine, the relations  $Q_{\rm h} > 0$ ,  $Q_{\rm c} < 0$ , and W > 0 hold, as we see in Fig. 2, and the efficiency is defined as

$$\eta = \frac{W}{Q_{\rm h}} = 1 + \frac{Q_{\rm c}}{Q_{\rm h}}.\tag{13}$$

The second law of thermodynamics is derived from the nonnegativity of the entropy production [10]. For the present model we obtain

$$-\sum_{\alpha}\beta_{\alpha}Q_{\alpha} \ge 0, \tag{14}$$

and as a result, the efficiency is bounded from above by the Carnot efficiency

$$\eta^{\rm C} = 1 - \frac{T_{\rm c}}{T_{\rm h}}.\tag{15}$$

The nonnegativity of the entropy production holds even in the present model [22], which confirms the previous result on the upper bound on the efficiency [1-6].

## III. POWER AND EFFICIENCY

#### A. Stationary solution

We use the stationary solution of the GKLS equation in the present setting to evaluate the performance of the heat engine. We note that the stationary solution means that the system settles down to a stable periodic behavior after transient evolutions during the first several periods. The eigenstate decomposition of the jump operator  $\langle \epsilon_n(t) | \hat{L}_\alpha | \epsilon_m(t) \rangle$  is shown to be time independent, which gives a simple stationary result. We describe the details in Appendix B. Here we summarize the result.

The stationary solution of the GKLS equation was studied in the preceding works. As we stressed above, the crucial difference is that the dissipator is represented by four types of dissipator couplings. Correspondingly, the explicit form of the dissipator is parametrized by four types of coupling functions,

$$g_1 = \gamma_{\rm c}(\epsilon_{10}) \frac{1 + \cos\theta}{2} + \gamma_{\rm h}(\epsilon_{10}) \frac{1 - \cos\theta}{2}, \qquad (16)$$

$$g_2 = \gamma_{\rm h}(\epsilon_{20}) \frac{1 + \cos\theta}{2} + \gamma_{\rm c}(\epsilon_{20}) \frac{1 - \cos\theta}{2}, \qquad (17)$$

$$g_{1}^{-} = \gamma_{\rm c}(-\epsilon_{10})\frac{1+\cos\theta}{2} + \gamma_{\rm h}(-\epsilon_{10})\frac{1-\cos\theta}{2},$$
 (18)

$$g_2^- = \gamma_{\rm h}(-\epsilon_{20}) \frac{1+\cos\theta}{2} + \gamma_{\rm c}(-\epsilon_{20}) \frac{1-\cos\theta}{2},$$
 (19)

where  $\theta$  is defined by the relation

$$\tan \theta = \frac{2\lambda}{\omega_2 - \omega_1}.\tag{20}$$

 $\theta$  represents a rotation angle for the diagonalization of the Hamiltonian, as shown in Appendix A. In the resonant-coupling limit, relations  $g_1^- = e^{-\beta_c \epsilon_{10}}g_1$  and  $g_2^- = e^{-\beta_h \epsilon_{20}}g_2$  hold, and the dissipator is characterized by  $g_1$  and  $g_2$ . In the general case, no trivial relations hold between  $g_1$ ,  $g_2$ ,  $g_1^-$ , and  $g_2^-$ , although we still have the detailed balance condition in Eq. (7).

We also introduce dimensionless nonnegative parameters

$$q_1 = \frac{\gamma_{\rm h}(\epsilon_{10})\frac{1-\cos\theta}{2}}{\gamma_{\rm c}(\epsilon_{10})\frac{1+\cos\theta}{2} + \gamma_{\rm h}(\epsilon_{10})\frac{1-\cos\theta}{2}},\tag{21}$$

$$q_2 = \frac{\gamma_{\rm c}(\epsilon_{20})\frac{1-\cos\theta}{2}}{\gamma_{\rm h}(\epsilon_{20})\frac{1+\cos\theta}{2} + \gamma_{\rm c}(\epsilon_{20})\frac{1-\cos\theta}{2}},\tag{22}$$

to characterize thermodynamic quantities in the following. For the resonant-coupling case these quantities collapse to zero  $q_1 = q_2 = 0$ .

At the stationary limit, the heat flux from each reservoir is given by

$$\dot{Q}_{\rm c}(t) \to \left[\frac{\epsilon_{20}}{\epsilon_{21}}q_2 - \frac{\epsilon_{10}}{\epsilon_{21}}(1-q_1)\right]P - \rho_0 P_0, \quad (23)$$

$$\dot{Q}_{\rm h}(t) \rightarrow \left[\frac{\epsilon_{20}}{\epsilon_{21}}(1-q_2) - \frac{\epsilon_{10}}{\epsilon_{21}}q_1\right]P + \rho_0 P_0,$$
 (24)

where  $\epsilon_{mn} = \epsilon_m - \epsilon_n$  and

$$P = \epsilon_{21} \frac{\omega^2 \sin^2 \theta}{2G} \left( \frac{g_2^-}{g_2} - \frac{g_1^-}{g_1} \right) \frac{1}{Z},$$
 (25)

$$Z = \left[1 + \frac{\omega^2 \sin^2 \theta}{2G} \left(\frac{1}{g_1} + \frac{1}{g_2}\right)\right] \left(1 + \frac{g_1^-}{g_1} + \frac{g_2^-}{g_2}\right)$$

$$\omega^2 \sin^2 \theta \left(1 - \frac{1}{g_1}\right) \left(g_2^- - g_2^-\right)$$

$$+\frac{\omega^{-}\sin^{-}\theta}{2G}\left(\frac{1}{g_{1}}-\frac{1}{g_{2}}\right)\left(\frac{g_{2}}{g_{2}}-\frac{g_{1}}{g_{1}}\right),$$
(26)

$$G = \frac{1}{2}(g_1 + g_2) + \frac{(\epsilon_{21} - \omega \cos \theta)^2}{\frac{1}{2}(g_1 + g_2)},$$
(27)

$$\rho_0 = \frac{1}{1 + \frac{g_1}{g_1} + \frac{g_2}{g_2}} \left[ 1 - \left(\frac{1}{g_1} - \frac{1}{g_2}\right) \frac{P}{\epsilon_{21}} \right], \quad (28)$$

$$P_{0} = \epsilon_{10}g_{1}q_{1}(1-q_{1})\left(e^{-\beta_{h}\epsilon_{10}} - e^{-\beta_{c}\epsilon_{10}}\right) +\epsilon_{20}g_{2}q_{2}(1-q_{2})\left(e^{-\beta_{h}\epsilon_{20}} - e^{-\beta_{c}\epsilon_{20}}\right).$$
(29)

All the quantities introduced above are independent of t.  $\rho_0$  and G are always positive, irrespective of the choice of the parameters. We show below that P represents the power of the heat engine and  $\rho_0$  represents the groundstate component of the density operator,  $\langle 0|\hat{\rho}(t)|0\rangle$ . The term  $\rho_0 P_0$  represents a direct flow from the hot reservoir to the cold reservoir, and  $P_0$  goes to zero when the temperature difference  $\beta_c - \beta_h$  disappears. Further details are discussed below.

#### B. Power and efficiency

Anticipating  $Q_{\rm h} > 0$ ,  $Q_{\rm c} < 0$ , and W > 0, we can obtain the explicit form of the work done by the system. The power of the heat engine, which is defined by the work divided by the cycle period, is given by

$$\frac{W}{T_0} \to P$$
 (30)

at the stationary limit. P is given in Eq. (25).

The corresponding efficiency is obtained as

$$\eta = \eta^{\text{SSD}} \frac{\frac{1}{\eta^{\text{SSD}}} P}{\frac{1}{\eta^{\text{SSD}}} P + \rho_0 P_0},\tag{31}$$

where

$$\eta^{\text{SSD}} = \frac{1}{1 - q_2 - \frac{\epsilon_{10}}{\epsilon_{20}} q_1} \left( 1 - \frac{\epsilon_{10}}{\epsilon_{20}} \right). \tag{32}$$

 $\eta^{\text{SSD}}$  is reminiscent of the Scovil–Schulz-DuBois efficiency [1]. This expression is simplified when we consider the resonant-coupling limit  $\gamma_{c}(\epsilon_{20}) = \gamma_{h}(\epsilon_{10}) = 0$ . In this case, we find  $q_1 = 0$ ,  $q_2 = 0$ , and  $P_0 = 0$ , and the efficiency coincides with the Scovil–Schulz-DuBois efficiency

$$\eta = \eta^{\text{SSD}} \to 1 - \frac{\epsilon_{10}}{\epsilon_{20}}.$$
(33)

This result is consistent with the preceding works [2–8]. The efficiency in the general case is dependent on various parameters such as the temperatures and the frequency and is bounded from above by Eq. (33).

#### C. Heat engine conditions

The above results in the present section are exact and hold irrespective of the choice of parameters. When we require that the system works as a heat engine, the relations  $Q_{\rm h} > 0$ ,  $Q_{\rm c} < 0$ , and P > 0 must hold.

As we see from Eq. (25), P > 0 holds when

$$\frac{g_1^-}{g_1} < \frac{g_2^-}{g_2}.$$
 (34)

This condition is satisfied only when

$$\beta_{\rm c}\epsilon_{10} > \beta_{\rm h}\epsilon_{20}.\tag{35}$$

This is a necessary condition in general and is the necessary and sufficient condition in the resonant-coupling case. In the general case, Eq. (34) is rewritten as

$$\frac{q_1}{q_{10}} + \frac{q_2}{q_{20}} < 1, \tag{36}$$

where

$$q_{10} = \frac{e^{-\beta_h \epsilon_{20}} - e^{-\beta_c \epsilon_{10}}}{e^{-\beta_h \epsilon_{10}} - e^{-\beta_c \epsilon_{10}}},$$
(37)

$$q_{20} = \frac{e^{-\beta_h \epsilon_{20}} - e^{-\beta_c \epsilon_{10}}}{e^{-\beta_h \epsilon_{20}} - e^{-\beta_c \epsilon_{20}}}.$$
(38)

The coupling-constant parameters in the dissipator are taken so that Eq. (36) is satisfied. We note that  $\eta^{\text{SSD}}$  in Eq. (32) becomes positive in that case. The condition in Eq. (35) determines possible values of parameters in the Hamiltonian for a given  $\eta^{\text{C}}$  as

$$\frac{\omega_{20}}{\omega_{10}} < \frac{1}{1 - \eta^{\rm C}},$$
(39)

$$\lambda^{2} < \frac{\left\lfloor \frac{\omega_{20}}{\omega_{10}} - (1 - \eta^{C}) \right\rfloor \left[ 1 - (1 - \eta^{C}) \frac{\omega_{20}}{\omega_{10}} \right]}{(2 - \eta^{C})^{2}}.$$
 (40)

Equation (35) shows that the power becomes negative when the temperature difference is too small. In that case, the system does not work as a heat engine, and we can observe a heat flow from the low-temperature reservoir to the high-temperature one as  $Q_{\rm h} < 0$  and  $Q_{\rm c} > 0$ . This behavior implies that the present system cannot be understood from the standard linear-response theory in which the heat flow arises due to the temperature difference. We discuss the origin of this quantum nature in the next section.

#### D. Plot of the results

At small frequency values, the power P is proportional to  $\omega^2$ . The efficiency  $\eta$  is also proportional to  $\omega^2$  provided  $P_0 > 0$ , as we see from Eq. (31). We see that the frequency-independent result in Eq. (33) is specific to the resonant coupling and is unusual.

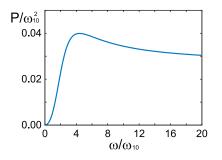


FIG. 3. Typical behavior of the power *P* as a function of the frequency  $\omega$ . *P* has a peak at the frequency given in Eq. (41) and approaches a positive finite value at the limit  $\omega \to \infty$ . We set  $\beta_c \omega_{10} = 5.0$ ,  $\beta_h \omega_{10} = 1.0$ ,  $\frac{\gamma_c(\epsilon_{10})}{\omega_{10}} = \frac{\gamma_h(\epsilon_{20})}{\omega_{10}} = 2.0$ ,  $\gamma_c(\epsilon_{20}) = \gamma_h(\epsilon_{10}) = 0$ ,  $\frac{\lambda}{\omega_{10}} = 0.5$ , and  $\frac{\omega_{20}}{\omega_{10}} = 2.5$ .

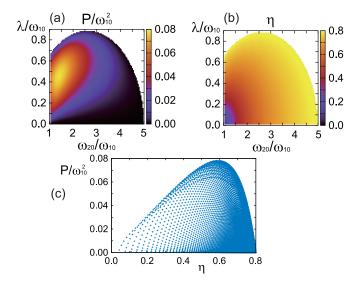


FIG. 4. The power P and the efficiency  $\eta$  at the resonant coupling  $\frac{\gamma_{\rm c}(\epsilon_{10})}{\omega_{10}} = \frac{\gamma_{\rm h}(\epsilon_{20})}{\omega_{10}} = 2.0$  and  $\gamma_{\rm c}(\epsilon_{20}) = \gamma_{\rm h}(\epsilon_{10}) = 0$ . We set  $\beta_{\rm c}\omega_{10} = 5.0$  and  $\beta_{\rm h}\omega_{10} = 1.0$ . The frequency is chosen as in Eq. (41). (a) P as a function of the parameters in the Hamiltonian. (b)  $\eta$  as a function of the parameters in the Hamiltonian. (c) Distributions of  $(\eta, P)$ . We note that the Carnot efficiency is given by  $\eta^{\rm C} = 0.8$  in the present choice of parameters.

P as a function of  $\omega$  has a Fano resonant form and is plotted in Fig 3. It is maximized at

$$\omega = \frac{\epsilon_{21}^2 + \frac{1}{4}(g_1 + g_2)^2}{\omega_2 - \omega_1}.$$
(41)

Interestingly, the efficiency  $\eta$  is also maximized at this frequency. The magnitude of the optimal frequency is basically determined by the energy gap  $\epsilon_{21}$ . The dissipation effect enhances the resonant frequency slightly.

We plot the power P and the efficiency  $\eta$  for the optimal frequency  $\omega$  in Eq. (41) in Fig. 4 (resonant coupling), Fig. 5 (intermediate coupling), and Fig. 6 (uniform coupling). We set  $\beta_c \omega_{10} = 5.0$  and  $\beta_h \omega_{10} = 1.0$ , which gives the Carnot efficiency  $\eta^{\rm C} = 0.8$ . P and  $\eta$  are plotted as

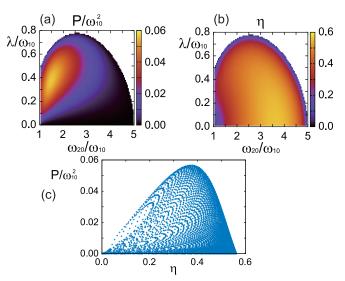


FIG. 5. The power P and the efficiency  $\eta$  at the intermediate coupling  $\frac{\gamma_{\rm c}(\epsilon_{10})}{\omega_{10}} = \frac{\gamma_{\rm h}(\epsilon_{20})}{\omega_{10}} = 2.0$  and  $\frac{\gamma_{\rm c}(\epsilon_{20})}{\omega_{10}} = \frac{\gamma_{\rm h}(\epsilon_{10})}{\omega_{10}} = 0.5$ . See the caption of Fig. 4 for other remarks.

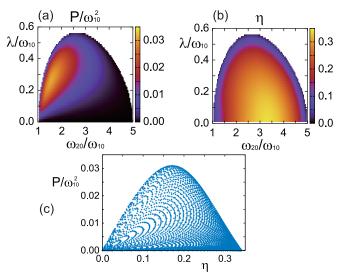


FIG. 6. The power *P* and the efficiency  $\eta$  at the uniform coupling  $\frac{\gamma_c(\epsilon_{10})}{\omega_{10}} = \frac{\gamma_h(\epsilon_{20})}{\omega_{10}} = \frac{\gamma_c(\epsilon_{20})}{\omega_{10}} = \frac{\gamma_h(\epsilon_{10})}{\omega_{10}} = 2.0$ . See the caption of Fig. 4 for other remarks.

functions of  $\omega_{20}$  and  $\lambda$  under the heat-engine conditions in Eqs. (36), (39), and (40).

We observe that the power is maximized at small  $\omega_{20}/\omega_{10}$  (> 1) and at a moderate value of  $\lambda$ . Although the possible parameter range for a heat engine is dependent on the dissipator couplings as well as the temperatures, the contour map is basically insensitive to the parameters.

In contrast to the power, the efficiency exhibits a stronger dependence on the dissipator coupling. As shown in Fig. 4(b) for the resonant coupling, the efficiency attains its maximum at the boundary where the power goes to zero. This behavior is totally reversed in comparison to the other dissipator coupling cases in Figs. 5(b) and 6(b), where the efficiency is minimized at the boundary. We also find that the efficiency and the power are reduced when we move away from the resonant coupling.

We plot the distribution  $(\eta, P)$  in Figs. 4(c), 5(c), and 6(c). We find that the envelope curve of the distributions has a tendency towards being symmetric around the efficiency at maximum power as we approach the uniform coupling. We note that the efficiency at maximum power cannot be understood from Curzon–Ahlborn efficiency even in the linear-response regime [26, 27]. As we mentioned above, the present system does not exhibit a heat-engine behavior at the linear response regime.

Summarizing the present result, we find that the performance of the present model as a heat engine worsens when we move away from the resonant coupling. In the next section, we discuss the origin of this behavior.

## IV. DECOMPOSITION OF HEAT

Compared to the resonant coupling, we see that the decreasing of the efficiency in extended couplings is understood from the presence of  $\rho_0 P_0$  in Eq. (31). As we also see in Eqs. (23) and (24), it represents a direct flow from the hot reservoir to the cold reservoir, which clearly reduces the performance of the heat engine.  $P_0$  goes to zero when the temperature difference is zero and remains positive even at the zero-field limit  $\lambda \to 0$ . This implies that the direct flow has a classical interpretation.

The quantum nature of the system can be understood by realizing that the basis of the density operator is different from that of the Hamiltonian. The density operator is diagonalized as

$$\hat{\rho}(t) = \sum_{n} p_n |\rho_n(t)\rangle \langle \rho_n(t)|.$$
(42)

The eigenvalues of the density operator obey the masterequation-like relation

$$\partial_t p_n = \sum_{\alpha} \langle \rho_n(t) | \hat{D}_{\alpha}[\hat{\rho}(t)] | \rho_n(t) \rangle, \qquad (43)$$

and the basis of the density operator obeys the unitary time evolution

$$i\partial_t |\rho_n(t)\rangle = \tilde{\xi}(t)|\rho_n(t)\rangle,$$
(44)

where  $\hat{\xi}(t)$  represents a generator of the time evolution [22]. The explicit forms of the eigenvalues and the eigenstates of the density operator are given in Appendix C.

Accordingly, the heat flux in Eq. (11) is decomposed into the diagonal and nondiagonal parts as  $\dot{Q}_{\alpha}(t) = \dot{Q}_{\alpha}^{\rm d}(t) + \dot{Q}_{\alpha}^{\rm nd}(t)$ , where

$$\dot{Q}_{\alpha}^{d}(t) = \sum_{n} \langle \rho_{n}(t) | \hat{D}_{\alpha}[\hat{\rho}(t)] | \rho_{n}(t) \rangle \langle \rho_{n}(t) | \hat{H}(t) | \rho_{n}(t) \rangle$$
(45)

and  $\dot{Q}^{\rm nd}_{\alpha}(t)$  is defined by the residual contribution of  $\dot{Q}_{\alpha}(t)$ . The diagonal part is related to the population dynamics in Eq. (43), and the nondiagonal part is related to the coherent dynamics. In the present setting, the eigenvalue  $p_n$  is independent of t. As a result, we obtain

$$\dot{Q}_{\rm h}^{\rm d} + \dot{Q}_{\rm c}^{\rm d} = 0.$$
 (46)

This relation shows that the diagonal part of the heat flux just goes through the system as  $\dot{Q}_{\rm h}^{\rm d} = -\dot{Q}_{\rm c}^{\rm d}$  and does not contribute to the work. This direct flow reduces the efficiency of the heat engine.

To quantify the diagonal and nondiagonal contributions, we decompose the efficiency as

$$\eta = \eta^{\rm d} \frac{Q_{\rm h}^{\rm d}}{Q_{\rm h}} + \eta^{\rm nd} \frac{Q_{\rm h}^{\rm nd}}{Q_{\rm h}},\tag{47}$$

where

$$\eta^{\rm d} = 1 + \frac{Q_{\rm c}^{\rm d}}{Q_{\rm h}^{\rm d}},\tag{48}$$

$$\eta^{\rm nd} = 1 + \frac{Q_{\rm c}^{\rm nd}}{Q_{\rm h}^{\rm nd}}.$$
(49)

 $\eta^{\rm d}$  denotes the contribution from the diagonal part of the heat flux, and  $\eta^{\rm nd}$  denotes the contribution from the nondiagonal part. Equation (46) shows that  $\eta^{\rm d} = 0$  in the present case. The efficiency is given by

$$\eta = \eta^{\mathrm{nd}} \frac{\frac{1}{\eta^{\mathrm{nd}}} P}{\frac{1}{\eta^{\mathrm{SD}}} P + \rho_0 P_0},\tag{50}$$

and the explicit form of  $\eta^{nd}$  is

$$\frac{1}{\eta^{\mathrm{nd}}} = \frac{g_1 q_1 G_1 + g_2 (1 - q_2) G_2}{g_1 G_1 + g_2 G_2} + \frac{-g_1 (1 - q_1) \frac{q_1}{q_{10}} + g_2 (1 - q_2) \frac{q_2}{q_{20}}}{1 - \frac{q_1}{q_{10}} - \frac{q_2}{q_{20}}} \frac{G_1 + G_2}{g_1 G_1 + g_2 G_2},$$
(51)

where  $G_1 = G + \frac{4\lambda^2 \omega^2}{g_1 \epsilon_{21}^2}$  and  $G_2 = G + \frac{4\lambda^2 \omega^2}{g_2 \epsilon_{21}^2}$ . From Eq. (50), we can identify the diagonal and nondiagonal heat flows, respectively, as

$$\frac{Q_{\rm h}^{\rm d}}{T_0} = -\frac{Q_{\rm c}^{\rm d}}{T_0} = \left(\frac{1}{\eta^{\rm SSD}} - \frac{1}{\eta^{\rm nd}}\right)P + \rho_0 P_0, \quad (52)$$
$$\frac{Q_{\rm h}^{\rm nd}}{P_{\rm h}} - \frac{1}{2}P \quad (53)$$

$$\frac{2_{\rm h}^{\rm nd}}{T_0} = \frac{1}{\eta^{\rm nd}} P.$$
 (53)

We plot  $1/\eta_{\rm nd}$  as a function of  $\lambda$  in Fig. 7. From the value of  $1/\eta_{\rm nd}$ , we can understand the pattern of the heat flow. When  $\eta_{\rm nd} > 1$ , both  $Q_{\rm c}^{\rm nd}$  and  $Q_{\rm h}^{\rm nd}$  are positive, and we can obtain the ideal heat flow as a heat engine. The case  $\eta_{\rm nd} > 1$  is represented by pattern (ii) in Fig. 7.  $\eta_{\rm nd}$  has a purely quantum-mechanical origin and can exceed the Carnot efficiency. However, we cannot neglect the

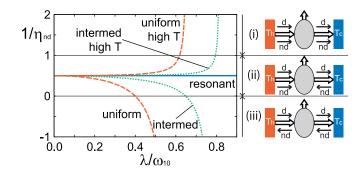


FIG. 7. Left:  $1/\eta^{\text{nd}}$  as a function of  $\lambda$  for  $\omega_{20}/\omega_{10} = 2.6$ . We take  $\beta_c \omega_{10} = 5.0$ ,  $\beta_h \omega_{10} = 1.0$  for plots of decreasing functions and  $\beta_c \omega_{10} = 1.0$ ,  $\beta_h \omega_{10} = 0.2$  for plots of increasing functions with high *T*. We use the parametrizations of the dissipator couplings in Fig. 4 (for "resonant"), Fig. 5 (for "intermed"), and Fig. 6 (for "uniform"). The frequency is chosen as in Eq. (41). Right: Sketch of heat flows. Each arrow denotes the direction of the flow. "d" denotes the diagonal part, and "nd" denotes the nondiagonal part.

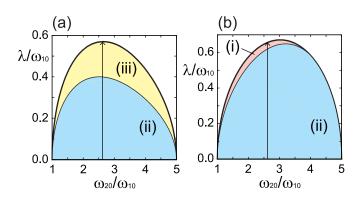


FIG. 8. Three possible patterns of heat flow drawn in Fig. 7 in the uniform-coupling case. (a)  $\beta_c \omega_{10} = 5.0$  and  $\beta_h \omega_{10} = 1.0$ . (b)  $\beta_c \omega_{10} = 1.0$  and  $\beta_h \omega_{10} = 0.2$ . The arrows denote red dashed lines in Fig. 7.

diagonal contribution, which reduces the total efficiency. As a result, even in the quantum system, the efficiency in Eq. (50) is bounded from above by the Carnot efficiency.

In the resonant-coupling case,  $\eta_{nd}$  is always larger than unity, which leads to a high-performance result in Fig. 4. The situation is significantly changed when we move away from the resonant-coupling case. As we increase  $\lambda$ , the nondiagonal heat flow changes, and we observe the reduction of the efficiency as a result. Dependent on the parameters in the equation, we can observe pattern (i) and pattern (iii) in Fig. 7 within the heat-engine domain.

In Fig. 8, we plot the patterns of the heat flow in the uniform-coupling case. We can find patterns (i) and (iii) around the boundary where the efficiency becomes small. This behavior is consistent with that in Fig. 6.

The decomposition of the diagonal part and the nondiagonal part has been discussed in some works [17, 18]. It is a difficult problem to observe each one as an independent contribution. However, the nondiagonal part goes to zero at  $\lambda \omega \to 0$ . The diagonal part is insensitive to the parameter and can be extracted in the weak-field regime.

### V. THERMODYNAMIC UNCERTAINTY RELATION

As a final subject to study, we examine the TUR [28, 29]. The standard form of the TUR is represented as

$$\langle \dot{\sigma} \rangle \frac{\operatorname{var} P}{P^2} \ge 2,$$
 (54)

where  $\langle \dot{\sigma} \rangle$  is the entropy production rate averaged over one cycle and var *P* is the variance of the power. The variance is bounded from below, and the bound is determined by the entropy production. Although this relation was shown in a broad range of classical systems, the relation does not necessarily hold, and the violation can be found especially in quantum systems. The quantum TUR is modified by a different bound [30]. For the present three-level system, the violation of the standard TUR was shown in [31, 32]. Here we examine how this result is affected by the modification of the dissipator.

The entropy production rate at each t is given by  $\dot{\sigma}(t) = -\text{Tr} \partial_t \hat{\rho}(t) \ln \hat{\rho}(t) - \sum_{\alpha} \beta_{\alpha} \dot{Q}_{\alpha}(t)$ . The first term comes from the von Neumann entropy of the system and goes to zero when we take the average over one period. The average of the entropy production rate  $\langle \dot{\sigma} \rangle = \lim_{T \to \infty} \int_T^{T+T_0} dt \, \dot{\sigma}(t) / T_0$  is calculated as

$$\langle \dot{\sigma} \rangle = (\beta_{\rm c} - \beta_{\rm h}) \left[ \left( \frac{1}{\eta^{\rm SSD}} - \frac{1}{\eta^{\rm C}} \right) P + \rho_0 P_0 \right].$$
 (55)

The variance of the power var P is calculated in Appendix D. It is decomposed as var  $P = (\text{var}P)_1 + (\text{var}P)_2 + (\text{var}P)_3 + (\text{var}P)_4$ , and each part is respectively given as

$$(\operatorname{var} P)_1 = \epsilon_{21} \frac{\frac{g_1^-}{g_1} + \frac{g_2^-}{g_2}}{\frac{g_2^-}{g_2} - \frac{g_1^-}{g_1}} P,$$
 (56)

$$(\operatorname{var} P)_{2} = -\left[\frac{\frac{1}{g_{1}} + \frac{1}{g_{2}} + \left(\frac{1}{g_{1}} - \frac{1}{g_{2}}\right)\left(\frac{g_{2}^{-}}{g_{2}} - \frac{g_{1}^{-}}{g_{1}}\right)}{1 + \frac{g_{1}^{-}}{g_{1}} + \frac{g_{2}^{-}}{g_{2}}} + \frac{1}{g_{1}} + \frac{1}{g_{2}} - \frac{4}{g_{1} + g_{2}} + \frac{4}{G}\right]P^{2}, \quad (57)$$

$$(\operatorname{var} P)_{3} = \left[ \left( \frac{1}{g_{1}} - \frac{1}{g_{2}} \right)^{2} + \left( \frac{1}{g_{1}} + \frac{1}{g_{2}} \right) \left( \frac{1}{g_{1}} + \frac{1}{g_{2}} - \frac{4}{g_{1} + g_{2}} + \frac{4}{G} \right) \\ \times \left( 1 + \frac{g_{1}^{-}}{g_{1}} + \frac{g_{2}^{-}}{g_{2}} \right) \right] \frac{P^{3}}{\epsilon_{21} \left( \frac{g_{2}^{-}}{g_{2}} - \frac{g_{1}^{-}}{g_{1}} \right)}, \quad (58)$$

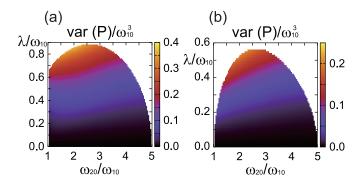


FIG. 9. The variance of the power. (a) Resonant-coupling case in Fig. 4. (b) Uniform-coupling case in Fig. 6.

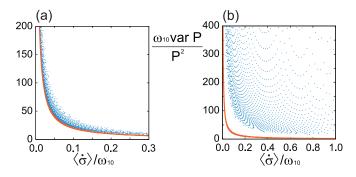


FIG. 10. The thermodynamic uncertainty relation. (a) Resonant-coupling case in Fig. 4. (b) Uniform-coupling case in Fig. 6. The red lines denote the bound  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2 = 2$ .

$$(\operatorname{var} P)_{4} = \left[ \left( \frac{1}{g_{1}} + \frac{1}{g_{2}} \right) \left( 1 + \frac{1}{1 + \frac{g_{1}^{-}}{g_{1}} + \frac{g_{2}^{-}}{g_{2}}} \right) + \left( \frac{1}{g_{1}} - \frac{1}{g_{2}} \right) \left( 1 + \frac{\frac{g_{2}^{-}}{g_{2}} - \frac{g_{1}^{-}}{g_{1}}}{1 + \frac{g_{1}^{-}}{g_{1}} + \frac{g_{2}^{-}}{g_{2}}} \right) + \frac{1}{g_{1}} + \frac{1}{g_{2}} - \frac{4}{g_{1} + g_{2}} + \frac{4}{G} \right] \frac{\left( \frac{1}{g_{1}} - \frac{1}{g_{2}} \right) P^{3}}{\epsilon_{21}}.$$

$$(59)$$

We note that  $(\operatorname{var} P)_1$  and  $(\operatorname{var} P)_3$  are nonnegative. We also see that  $(\operatorname{var} P)_2$  is negative, and  $(\operatorname{var} P)_4$  is zero at  $g_1 = g_2$ .

We plot var P in Fig. 9. The variance is basically an increasing function of  $\lambda/\omega_{10}$  and is insensitive to  $\omega_{20}/\omega_{10}$ . This result holds irrespective of the choice of the dissipator coupling. The corresponding behavior of the TUR is shown in Fig. 10. As we see Fig. 10, the TUR bound is strictly satisfied with the present choice of parameters. The bound is tight in the case of the resonant coupling and is loosened as we move away from the resonant coupling.

All of the results in Figs. 9 and 10 satisfy the standard TUR in Eq. (54). The optimal frequency in Eq. (41) is used there, and the result is changed by considering the frequency dependence in Fig. 11. We observe a violation

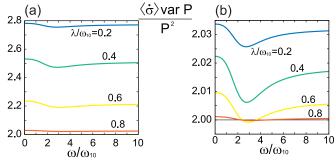


FIG. 11. The frequency dependence of the thermodynamic uncertainty product  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2$  in the resonant-coupling case with  $\omega_{20}/\omega_{10} = 2.6$ . (a)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (5.0, 1.0)$ . (b)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (1.0, 0.2)$ .

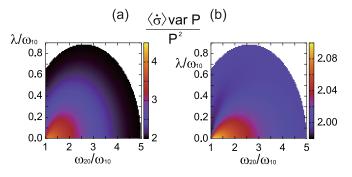


FIG. 12. The thermodynamic uncertainty product  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2$  in the resonant-coupling case. (a)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (5.0, 1.0)$ . (b)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (1.0, 0.2)$ . See the caption of Fig. 4 for the choice of the other parameters.

of the standard TUR. We show the contour maps of the uncertainty product in Figs. 12 and 13. The violation occurs in a tiny range of parameters in the resonantcoupling case.

These numerical results can be understood from the analytical expression. Basically, the bound comes from Eq. (56). In the resonant-coupling case, we find

$$\langle \dot{\sigma} \rangle \frac{(\operatorname{var} P)_1}{P^2} = \frac{\beta_{\mathrm{c}} \epsilon_{10} - \beta_{\mathrm{h}} \epsilon_{20}}{\tanh \frac{\beta_{\mathrm{c}} \epsilon_{10} - \beta_{\mathrm{h}} \epsilon_{20}}{2}} \ge 2.$$
(60)

We numerically find that the other parts are very small, at least with the present choice of parameters. The small violation of the TUR in Fig. 11 is understood from a negative contribution of  $(\operatorname{var} P)_2$ . We note that the contributions  $(\operatorname{var} P)_1$  and  $(\operatorname{var} P)_2$  are similar to the result in Ref. [31], where the variance takes the form

$$\operatorname{var} P = AP - BP^2. \tag{61}$$

A and B are positive, and the second term leads to a violation of the TUR in a certain range of parameters. We note that the local approach is used in Ref. [31] and the form of the dissipator is different from the present model. Our model includes additional contributions,  $(\operatorname{var} P)_3$ 

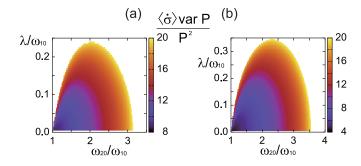


FIG. 13. The thermodynamic uncertainty product  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2$  in the uniform-coupling case. We plot the region where  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2 \leq 20$ . (a)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (5.0, 1.0)$ . (b)  $(\beta_c \omega_{10}, \beta_h \omega_{10}) = (1.0, 0.2)$ . See the caption of Fig. 6 for the choice of the other parameters.

and  $(\operatorname{var} P)_4$ , but we numerically find that these contributions are small and do not play any significant role.

In the case of the other dissipator coupling, we can understand the loose bound from the expression of the entropy production rate. The last term in Eq. (55) includes  $P_0$ , which makes the uncertainty product  $\langle \dot{\sigma} \rangle \operatorname{var}(P)/P^2$  very large.

## VI. CONCLUSIONS

We have presented a detailed thermodynamic analysis of a continuous quantum heat engine based on the global form of the quantum master equation. We found that the performance of the heat engine is strongly dependent on the form of the dissipator. The quantum coherence does

- H. E. D. Scovil and E. O. Schulz-DuBois, Three-Level Masers as Heat Engines, Phys. Rev. Lett. 2, 262 (1959).
- [2] R. Kosloff, A quantum mechanical open system as a model of a heat engine, J. Chem. Phys. 80, 1625 (1984).
- [3] E. Geva and R. Kosloff, Three-level quantum amplifier as a heat engine: A study in finite-time thermodynamics, Phys. Rev. E 49, 3903 (1994).
- [4] E. Geva and R. Kosloff, The quantum heat engine and heat pump: An irreversible thermodynamic analysis of the three-level amplifier, J. Chem. Phys. 104, 7681 (1996).
- [5] E. Boukobza and D. J. Tannor, Thermodynamics of bipartite systems: Application to light-matter interactions, Phys. Rev. A 74, 063823 (2006).
- [6] E. Boukobza and D. J. Tannor, Three-Level Systems as Amplifiers and Attenuators: A Thermodynamic Analysis, Phys. Rev. Lett. 98, 240601 (2007).
- [7] R. Uzdin, A. Levy, and R. Kosloff, Equivalence of Quantum Heat Machines, and Quantum-Thermodynamic Signatures, Phys. Rev. X 5, 031044 (2015).
- [8] V. Singh, Optimal operation of a three-level quantum heat engine and universal nature of efficiency, Phys. Rev. Res. 2, 043187 (2020).

not necessarily enhance the efficiency of the heat engine.

The quantum coherent heat flow cannot be understood from the laws of thermodynamics. It produces a nontrivial heat flow even in the linear-response regime. Although the coherent flow has the potential ability to enhance the efficiency of the heat engine, the efficiency is affected by the population heat flow, which prevents the system from violating the second law of thermodynamics. In the present model, the population heat flow does not contribute to the power of the heat engine but is required to keep the system within the heat-engine operation regime.

The decomposition of the efficiency in Eq. (47) allowed us to discuss the interplay between the population and coherent parts. We compared  $\eta^{d}$  and  $\eta^{nd}$  to find which part is important for the system to work as a heat engine. In the present setting, we found  $\eta^{d} = 0$ . Microscopically, this property is due to the time independence of the eigenvalues of the Hamiltonian. When we consider a more general form of the Hamiltonian, the eigenvalue is generally dependent on t, and the population heat flow contributes to the power generation. It will be an interesting problem to study the performance of the heat engine and the interplay between the population and coherent parts in that case.

## ACKNOWLEDGEMENTS

The authors are grateful to Yuki Izumida, Yusuke Nishida, Yasuhiro Tokura, and Yasuhiro Utsumi for useful discussions and comments. P.B.-P. acknowledges the Japanese Government (MEXT) scholarship for undergraduate students for financial support. K.T. was supported by JSPS KAKENHI Grants No. JP20K03781 and No. JP20H01827.

- [9] H. Spohn, Entropy production for quantum dynamical semigroups, J. Math. Phys. 19, 1227 (1978).
- [10] R. Alicki, The quantum open system as a model of the heat engine, J. Phys. A 12, L103 (1979).
- [11] J. Roßnagel, S. T. Dawkins, K. N. Tolazzi, O. Abah, E. Lutz, F. Schmidt-Kaler, and K. Singer, A single-atom heat engine, Science **352**, 325 (2016).
- [12] G. Maslennikov, S. Ding, R. Hablützel, J. Gan, A. Roulet, S. Nimmrichter, J. Dai, V. Scarani, and D. Matsukevich, Quantum absorption refrigerator with trapped ions, Nat. Commun. 10, 202 (2019).
- [13] J. Klatzow, J. N. Becker, P. M. Ledingham, C. Weinzetl, K. T. Kaczmarek, D. J. Saunders, J. Nunn, I. A. Walmsley, R. Uzdin, and E. Poem, Experimental Demonstration of Quantum Effects in the Operation of Microscopic Heat Engines, Phys. Rev. Lett. **122**, 110601 (2019).
- [14] M. O. Scully, M. S. Zubairy, G. S. Agarwal, and H. Walther, Extracting Work from a Single Heat Bath via Vanishing Quantum Coherence, Science 299, 862 (2003).
- [15] M. O. Scully, K. R. Chapin, K. E. Dorfman, M. B. Kim, and A. Svidzinsky, Quantum heat engine power can be increased by noise-induced coherence, Proc. Natl. Acad. Sci. U.S.A. **108**, 15097 (2011).

- [16] S. Rahav, U. Harbola, and S. Mukamel, Heat fluctuations and coherences in quantum heat engines, Phys. Rev. A 86, 043843 (2012).
- [17] T. Baumgratz, M. Cramer, and M. B. Plenio, Quantifying Coherence, Phys. Rev. Lett. 113, 140401 (2014).
- [18] J. P. Santos, L. C. Céleri, G. T. Landi, and M. Paternostro, The role of quantum coherence in non-equilibrium entropy production, npj Quantum Inf. 5, 23 (2019).
- [19] V. Gorini, A. Kossakowski, and E. C. G. Sudarshan, Completely positive dynamical semigroups of *N*-level systems, J. Math. Phys. **17**, 821 (1976).
- [20] G. Lindblad, On the generators of quantum dynamical semigroups, Commun. Math. Phys. 48, 119 (1976).
- [21] H. P. Breuer and F. Petruccione, *The Theory of Open Quantum Systems* (Oxford University Press, Oxford, 2002).
- [22] K. Funo, N. Shiraishi, and K. Saito, Speed limit for open quantum systems, New J. Phys. 21, 013006 (2019).
- [23] A. Levy and R. Kosloff, The local approach to quantum transport may violate the second law of thermodynamics, Europhys. Lett. 107, 2(2014).
- [24] A. Hewgill, G. D. Chiara, and A. Imparato, Quantum thermodynamically consistent local master equations, Phys. Rev. Res. 3, 013165(2021).
- [25] G. D. Chiara, G. Landi, A. Hewgill, B. Reid, A. I. Ferraro, A. J. Roncaglia, and M. Antezza, Reconciliation of quantum local master equations with thermodynamics, New J. Phys. 20, 113024 (2018).
- [26] F. L. Curzon and B. Ahlborn, Efficiency of a Carnot engine at maximum power output, Am. J. Phys. 43, 22 (1975).
- [27] C. Van den Broeck, Thermodynamic Efficiency at Maximum Power, Phys. Rev. Lett. 95, 190602 (2005).
- [28] A. C. Barato and U. Seifert, Thermodynamic Uncertainty Relation for Biomolecular Processes, Phys. Rev. Lett. 114, 158101, (2015).
- [29] T. R. Gingrich, J. M. Horowitz, N. Perunov, and J. L. England, Dissipation Bounds All Steady-State Current Fluctuations, Phys. Rev. Lett. **116**, 120601 (2016).
- [30] Y. Hasegawa, Quantum Thermodynamic Uncertainty Relation for Continuous Measurement, Phys. Rev. Lett. 125, 050601 (2020).
- [31] A. A. S. Kalaee, A. Wacker, and P. P. Potts, Violating the thermodynamic uncertainty relation in the three-level maser, Phys. Rev. E 104, L012103 (2021).
- [32] P. Menczel, E. Loisa, K. Brandner, and C. Flindt, Thermodynamic uncertainty relations for coherently driven open quantum systems, J. Phys. A 54, 314002 (2021).

### Appendix A: Diagonalization of the Hamiltonian

The Hamiltonian in Eq. (1) is easily diagonalized as  $\hat{H}(t) = \sum_{n} \epsilon_{n} |\epsilon_{n}(t)\rangle \langle \epsilon_{n}(t)|$ . The eigenvalues are independent of t and are given by

$$\epsilon_0 = \omega_0, \tag{A1}$$

$$\epsilon_1 = \frac{\omega_0 + \omega_1}{2} - \sqrt{\left(\frac{\omega_2 - \omega_1}{2}\right)^2 + \lambda^2}, \qquad (A2)$$

$$\epsilon_2 = \frac{\omega_0 + \omega_1}{2} + \sqrt{\left(\frac{\omega_2 - \omega_1}{2}\right)^2 + \lambda^2}.$$
 (A3)

 $\epsilon_2$  is the largest eigenvalue under the condition  $\omega_0 < \omega_1 < \omega_2$ . The additional relation  $\epsilon_0 < \epsilon_1$  holds when we assume  $\lambda^2 < (\omega_1 - \omega_0)(\omega_2 - \omega_0)$ .

The corresponding eigenstates are given by

$$\epsilon_0(t)\rangle = |0\rangle,$$
 (A4)

$$|\epsilon_1(t)\rangle = |1\rangle \cos\frac{\theta}{2} - |2\rangle e^{-i\omega t} \sin\frac{\theta}{2},$$
 (A5)

$$\langle \epsilon_2(t) \rangle = |1\rangle e^{i\omega t} \sin \frac{\theta}{2} + |2\rangle \cos \frac{\theta}{2},$$
 (A6)

where  $\theta$  is defined in Eq. (20).

### Appendix B: Stationary solution of the GKLS equation

We obtain the stationary solution of the GKLS equation in Eq. (2). Multiplying  $\langle \epsilon_m(t) |$  from the left and  $|\epsilon_n(t) \rangle$  from the right in Eq. (2), we obtain the expression

$$\partial_t \langle \epsilon_m(t) | \hat{\rho}(t) | \epsilon_n(t) \rangle$$
  
=  $-i(\epsilon_m - \epsilon_n) \langle \epsilon_m(t) | \hat{\rho}(t) | \epsilon_n(t) \rangle$   
+  $\sum_{\alpha} \langle \epsilon_m(t) | \hat{D}_{\alpha}[\hat{\rho}(t)] | \epsilon_n(t) \rangle$   
+  $\langle \partial_t \epsilon_m(t) | \hat{\rho}(t) | \epsilon_n(t) \rangle$  +  $\langle \epsilon_m(t) | \hat{\rho}(t) | \partial_t \epsilon_n(t) \rangle$ . (B1)

Due to the property  $|\epsilon_0(t)\rangle = |0\rangle$  and our choice of the jump operators in Eqs. (5) and (6),  $\langle 0|\hat{\rho}(t)|\epsilon_1(t)\rangle$  and  $\langle 0|\hat{\rho}(t)|\epsilon_2(t)\rangle$ , their conjugates do not couple to the other components and decay exponentially as a function of t. We write the off-diagonal component

$$\langle \epsilon_1(t) | \hat{\rho}(t) | \epsilon_2(t) \rangle = e^{i\omega t} \left( \Delta_1(t) + i \Delta_2(t) \right),$$
 (B2)

where  $\Delta_1$  and  $\Delta_2$  are real, to obtain

$$\partial_t \langle 0|\hat{\rho}|0\rangle = g_1 \langle \epsilon_1|\hat{\rho}|\epsilon_1\rangle + g_2 \langle \epsilon_2|\hat{\rho}|\epsilon_2\rangle - (g_1^- + g_2^-) \langle 0|\hat{\rho}|0\rangle,$$
(B3)

$$\partial_t \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle = -g_1 \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle + g_1^- \langle 0 | \hat{\rho} | 0 \rangle - \omega \Delta_2 \sin \theta, \quad (B4)$$
$$\partial_t \langle \epsilon_2 | \hat{\rho} | \epsilon_2 \rangle = -g_2 \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle + g_2^- \langle 0 | \hat{\rho} | 0 \rangle + \omega \Delta_2 \sin \theta, \quad (B5)$$

$$\partial_t \left( \Delta_1 + i\Delta_2 \right) = \frac{i\omega\sin\theta}{2} \left( \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle - \langle \epsilon_2 | \hat{\rho} | \epsilon_2 \rangle \right) \\ + \left[ i(\epsilon_{21} - \omega\cos\theta) - \frac{1}{2}(g_1 + g_2) \right] \left( \Delta_1 + i\Delta_2 \right).$$
(B6)

Due to the normalization of the density operator, the first three equations are not independent from each other. We can write

$$\begin{pmatrix} 1\\ \partial_t \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle\\ \partial_t \langle \epsilon_2 | \hat{\rho} | \epsilon_2 \rangle\\ \partial_t \Delta_1\\ \partial_t \Delta_2 \end{pmatrix} = \mathcal{L} \begin{pmatrix} \langle 0 | \hat{\rho} | 0 \rangle\\ \langle \epsilon_1 | \hat{\rho} | \epsilon_1 \rangle\\ \langle \epsilon_2 | \hat{\rho} | \epsilon_2 \rangle\\ \Delta_1\\ \Delta_2 \end{pmatrix}, \quad (B7)$$

where

$$\mathcal{L} = \begin{pmatrix} 1 & 1 & 1 & 0 & 0 \\ g_1^- & -g_1 & 0 & 0 & -\omega \sin \theta \\ g_2^- & 0 & -g_2 & 0 & \omega \sin \theta \\ 0 & 0 & 0 & -g & -\tilde{\epsilon} \\ 0 & \frac{\omega}{2} \sin \theta & -\frac{\omega}{2} \sin \theta & \tilde{\epsilon} & -g \end{pmatrix}.$$
 (B8)

Here we use the notation  $g = (g_1 + g_2)/2$  and  $\tilde{\epsilon} = \epsilon_{21} - \omega \cos \theta$ . Since each component of  $\mathcal{L}$  is independent of t, the stationary solution is obtained by neglecting the derivative on the left-hand side of Eq. (B7). Solving the equation algebraically, we obtain at the stationary limit

$$\langle 0|\hat{\rho}(t)|0\rangle \to \rho_0,$$
 (B9)

$$\langle \epsilon_1(t)|\hat{\rho}(t)|\epsilon_1(t)\rangle \to \frac{g_1^-}{g_1}\rho_0 - \frac{\omega\sin\theta}{g_1}\Delta_0,$$
 (B10)

$$\langle \epsilon_2(t)|\hat{\rho}(t)|\epsilon_2(t)\rangle \to \frac{g_2^-}{g_2}\rho_0 + \frac{\omega\sin\theta}{g_2}\Delta_0,$$
 (B11)

$$\Delta_2(t) \to \Delta_0, \tag{B12}$$

where

$$\Delta_0 = -\frac{\omega \sin\theta}{2G} \left(\frac{g_2^-}{g_2} - \frac{g_1^-}{g_1}\right) \frac{1}{Z},\tag{B13}$$

and  $\rho_0$  is given in Eq. (28). The heat flux in Eq. (11) is expressed by using the above relations as

$$\dot{Q}(t) = -\epsilon_{10} \left( g_1 \langle \epsilon_1(t) | \hat{\rho}(t) | \epsilon_1(t) \rangle - g_1^- \langle 0 | \hat{\rho}(t) | 0 \rangle \right) -\epsilon_{20} \left( g_2 \langle \epsilon_2(t) | \hat{\rho}(t) | \epsilon_2(t) \rangle - g_2^- \langle 0 | \hat{\rho}(t) | 0 \rangle \right).$$
(B14)

By using Eqs.(16)–(19), we decompose this expression into two parts to write Eqs. (23) and (24). In a similar way, the work done by the system can be calculated as

$$\dot{W}(t) = -\text{Tr}\left[\hat{\rho}(t)\partial_t \hat{H}(t)\right] = -2\lambda\omega\Delta_2(t), \quad (B15)$$

which leads to the expression of the power in Eq. (25). It is reasonable to find that the off-diagonal component  $\langle \epsilon_1(t) | \hat{\rho}(t) | \epsilon_2(t) \rangle$  drives the system to make a finite amount of the work.

#### Appendix C: Density operator at stationary

At the stationary limit, the density operator is written as

$$\hat{\rho}(t) = \sum_{n=0}^{2} |\epsilon_n(t)\rangle \langle \epsilon_n(t)|\hat{\rho}(t)|\epsilon_n(t)\rangle \langle \epsilon_n(t)| + |\epsilon_1(t)\rangle e^{i\omega t} (\Delta_1 + i\Delta_2) \langle \epsilon_2(t)| + |\epsilon_2(t)\rangle e^{-i\omega t} (\Delta_1 - i\Delta_2) \langle \epsilon_1(t)|.$$
(C1)

We diagonalize this operator as  $\hat{\rho}(t)$  $\sum_{n} p_{n} |\rho_{n}(t)\rangle \langle \rho_{n}(t)|$ . The eigenvalues are given by

$$p_0 = \rho_0, \tag{C2}$$

$$p_1 = \frac{1 + \cos\Theta}{2\cos\Theta}\rho_1 - \frac{1 - \cos\Theta}{2\cos\Theta}\rho_2, \tag{C3}$$

$$p_2 = -\frac{1 - \cos\Theta}{2\cos\Theta}\rho_1 + \frac{1 + \cos\Theta}{2\cos\Theta}\rho_2, \qquad (C4)$$

where  $\rho_n = \langle \epsilon_n | \hat{\rho} | \epsilon_n \rangle$  and

$$\tan \Theta = \frac{|\Delta_1 + i\Delta_2|}{\frac{1}{2}(\rho_2 - \rho_1)}.$$
 (C5)

At the stationary limit, these eigenvalues are independent of t.

The corresponding eigenstates are given by

$$\rho_0(t)\rangle = |0\rangle,\tag{C6}$$

$$|\rho_1(t)\rangle = |\epsilon_1(t)\rangle \cos\frac{\Theta}{2} - |\epsilon_2(t)\rangle e^{-i\Phi(t)} \sin\frac{\Theta}{2}, \quad (C7)$$

$$|\rho_2(t)\rangle = |\epsilon_1(t)\rangle e^{i\Phi(t)} \sin\frac{\Theta}{2} + |\epsilon_2(t)\rangle \cos\frac{\Theta}{2},$$
 (C8)

where

$$\Phi(t) = \omega t + \arg(\Delta_1 + i\Delta_2). \tag{C9}$$

### **Appendix D: Power fluctuation**

The higher-order correlations of heat flows can be calculated by the introduction of the counting field [31, 32]. The dissipator is modified as

$$\hat{D}^{\chi}_{\alpha}[\hat{\rho}] = \sum_{\epsilon} \gamma_{\alpha}(\epsilon) \bigg[ e^{-\chi_{\alpha}\epsilon} \hat{L}^{\epsilon}_{\alpha}(t) \hat{\rho}(\hat{L}^{\epsilon}_{\alpha}(t))^{\dagger} \\ -\frac{1}{2} \left( (\hat{L}^{\epsilon}_{\alpha}(t))^{\dagger} \hat{L}^{\epsilon}_{\alpha}(t) \hat{\rho} + \hat{\rho}(\hat{L}^{\epsilon}_{\alpha}(t))^{\dagger} \hat{L}^{\epsilon}_{\alpha}(t) \right) \bigg].$$
(D1)

 $\chi_{\alpha}$  represents the counting field. The average power is calculated by setting  $\chi_{c} = \chi_{h} = \chi$  as

$$P = \lim_{T \to \infty} \frac{1}{T} \left. \frac{\partial}{\partial \chi} \operatorname{Tr} \hat{\rho}^{\chi}(T) \right|_{\chi=0}.$$
 (D2)

In the same way, the variance of the power is given by

$$\operatorname{var} P = \lim_{T \to \infty} \frac{1}{T} \left[ \left( \frac{\partial}{\partial \chi} \right)^2 \operatorname{Tr} \hat{\rho}^{\chi}(T) \bigg|_{\chi = 0} - (PT)^2 \right].$$
(D3)

The GKLS equation with the dissipator in Eq. (D1) is solved perturbatively. The density operator and the dissipator are expanded with respect to the counting field  $\chi_{\alpha}$  as  $\hat{\rho}^{\chi}(t) = \hat{\rho}^{\chi}(t) + \hat{\rho}^{\chi}_{1}(t) + \hat{\rho}^{\chi}_{2}(t) + \cdots$  and  $\hat{D}^{\chi}_{\alpha}[\hat{\rho}] = \hat{D}_{\alpha}[\hat{\rho}] + \hat{D}^{\chi}_{\alpha 1}[\hat{\rho}] + \hat{D}^{\chi}_{\alpha 2}[\hat{\rho}(t)] + \cdots$ , respectively. Taking the trace of the GKLS equation at first order in  $\chi$ , we obtain

$$\partial_t \operatorname{Tr} \hat{\rho}_1^{\chi}(t) = \sum_{\alpha} \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}(t)] = \sum_{\alpha} \chi_{\alpha} \operatorname{Tr} \hat{J}_{\alpha}(t) \hat{\rho}(t),$$
(D4)

where

$$\hat{J}_{\alpha}(t) = -\sum_{\epsilon} \epsilon \gamma_{\alpha}(\epsilon) (\hat{L}_{\alpha}^{\epsilon}(t))^{\dagger} \hat{L}_{\alpha}^{\epsilon}(t).$$
 (D5)

 $\hat{J}_{\alpha}(t)$  is interpreted as the current operator, which justifies the use of the dissipator in Eq. (D1). Then, by

setting  $\chi_{\alpha} = \chi$  and by using the stationary solution, we obtain

$$\partial_t \operatorname{Tr} \hat{\rho}_1^{\chi}(t) \to \chi P.$$
 (D6)

This result is consistent with that in Eq. (30).

Next, we consider the trace of the GKLS equation at second order given by

$$\partial_t \operatorname{Tr} \hat{\rho}_2^{\chi}(t) = \sum_{\alpha} \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi} [\hat{\rho}_1^{\chi}(t)] + \sum_{\alpha} \operatorname{Tr} \hat{D}_{\alpha 2}^{\chi} [\hat{\rho}(t)].$$
(D7)

In order to solve the equation, we need to find the explicit form of  $\hat{\rho}_1^{\chi}$ , not of Tr $\hat{\rho}_1^{\chi}$ . The GKLS equation at first order in  $\chi$  is given by

$$\mathcal{L}\begin{pmatrix} \langle 0|\hat{\rho}_{1}^{\chi}|0\rangle \\ \langle \epsilon_{1}|\hat{\rho}_{1}^{\chi}|\epsilon_{1}\rangle \\ \langle \epsilon_{2}|\hat{\rho}_{1}^{\chi}|\epsilon_{2}\rangle \\ \Delta_{2}^{\chi} \end{pmatrix} = \begin{pmatrix} \operatorname{Tr}\hat{\rho}_{1}^{\chi} \\ \partial_{t}\langle \epsilon_{1}|\hat{\rho}_{1}^{\chi}|\epsilon_{1}\rangle - \sum_{\alpha}\langle \epsilon_{1}|\hat{D}_{\alpha1}^{\chi}[\hat{\rho}]|\epsilon_{1}\rangle \\ \partial_{t}\langle \epsilon_{2}|\hat{\rho}_{1}^{\chi}|\epsilon_{2}\rangle - \sum_{\alpha}\langle \epsilon_{1}|\hat{D}_{\alpha1}^{\chi}[\hat{\rho}]|\epsilon_{1}\rangle \\ \partial_{t}\Delta_{1}^{\chi} \\ \partial_{t}\Delta_{2}^{\chi} \end{pmatrix}, \quad (D8)$$

where  $\mathcal{L}$  is given in Eq. (B8). As we have shown above,  $\hat{\rho}_1^{\chi}$  is a linear function of t. This means that the first component of the vector on the right-hand side of Eq. (D8)

is proportional to t and the other components are independent of t. By using Eqs. (B7) and (D4), we can write the solution as

$$\begin{pmatrix} \langle 0|\hat{\rho}_{1}^{\chi}|0\rangle \\ \langle \epsilon_{1}|\hat{\rho}_{1}^{\chi}|\epsilon_{1}\rangle \\ \langle \epsilon_{2}|\hat{\rho}_{1}^{\chi}|\epsilon_{2}\rangle \\ \Delta_{2}^{\chi} \end{pmatrix} = t \begin{pmatrix} \langle 0|\hat{\rho}|0\rangle \\ \langle \epsilon_{1}|\hat{\rho}|\epsilon_{1}\rangle \\ \langle \epsilon_{2}|\hat{\rho}|\epsilon_{2}\rangle \\ \Delta_{1} \\ \Delta_{2} \end{pmatrix} \sum_{\alpha} \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}] + \mathcal{L}^{-1} \sum_{\alpha} |\psi_{\alpha}^{\chi}\rangle, \tag{D9}$$

where

$$|\psi_{\alpha}^{\chi}\rangle = \begin{pmatrix} 0 \\ \langle \epsilon_{1}|\hat{\rho}|\epsilon_{1}\rangle \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}] - \langle \epsilon_{1}|\hat{D}_{\alpha 1}^{\chi}[\hat{\rho}]|\epsilon_{1}\rangle \\ \langle \epsilon_{1}|\hat{\rho}|\epsilon_{1}\rangle \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}] - \langle \epsilon_{1}|\hat{D}_{\alpha 1}^{\chi}[\hat{\rho}]|\epsilon_{1}\rangle \\ \Delta_{1} \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}] \\ \Delta_{2} \operatorname{Tr} \hat{D}_{\alpha 1}^{\chi}[\hat{\rho}] \end{pmatrix}. \quad (D10)$$

This solution is inserted into Eq. (D7). Tr  $\hat{\rho}_2^{\chi}(t)$  is a quadratic function of t. The  $t^2$  term represents the disconnected part of the fluctuation and is canceled out by subtracting the square of the average as in Eq. (D3). We obtain the expression of the variance given in the main text.