Heating Rates under Fast Periodic Driving beyond Linear Response

Takashi Mori¹

¹RIKEN Center for Emergent Matter Science (CEMS), Wako 351-0198, Japan

Heating under periodic driving is a generic nonequilibrium phenomenon, and it is a challenging problem in nonequilibrium statistical physics to derive a quantitatively accurate heating rate. In this work, we provide a simple formula on the heating rate under fast and strong periodic driving in classical and quantum many-body systems. The key idea behind the formula is constructing a time-dependent dressed Hamiltonian by moving to a rotating frame, which is found by a truncation of the high-frequency expansion of the micromotion operator, and applying the linear-response theory. It is confirmed for specific classical and quantum models that the second-order truncation of the high-frequency expansion yields quantitatively accurate heating rates beyond the linear-response regime. Our result implies that the information on heating dynamics is encoded in the first few terms of the high-frequency expansion, although heating is often associated with an asymptotically divergent behavior of the high-frequency expansion.

Introduction.— Fast periodic driving in thermally isolated many-body systems can stabilize interesting many-body states. Theoretically, by using the high-frequency expansion of the Floquet operator, we can obtain a static effective Hamiltonian that describes the property of such a stabilized state, and interesting phases have been theoretically predicted [1–3]. Recent experimental developments allow us to realize some of those nonequilibrium phases [4–9] and have triggered active research on the Floquet engineering (see Ref. [3] for a recent review).

Stability of such nonequilibrium phases is limited by heating due to periodic driving. It is therefore practically important to evaluate the heating rate. *The rigorous approach* has made significant progress in this field. It is rigorously proved that the heating is exponentially suppressed at high frequencies [10–14]. This phenomenon is known as the Floquet prethermalization [15], which has also been experimentally observed [16, 17]. However, the rigorous approach so far is limited to quantum systems with a bounded energy spectrum (i.e. quantum spin systems) and classical spin systems [18]. Moreover, those rigorous results just give relatively loose upper bounds on the heating rate.

It is a theoretical challenge in nonequilibrium statistical physics to give a quantitatively accurate estimation of the heating rate for a wider class of many-body systems. For this purpose, the statistical approach is promising, in which the heating rate is evaluated by investigating the statistical probability of many-body resonances [19–21]. This approach is not rigorous, but instead, it gives approximate heating rates for generic systems including unbounded quantum and classical systems. Indeed, Floquet prethermalization in classical systems has been first established along this approach [19]. The evaluation of the heating rate using Fermi's golden rule for quantum systems [22, 23] and the energy diffusion theory for classical systems [24] can be categorized to this approach. However, such treatments have successfully given accurate heating rates only for weak driving. For modest or strong driving, which is needed for Floquet engineering, we need a new theoretical idea to achieve the goal.

In this Letter, we develop the statistical approach to the heating dynamics *under strong driving*, and obtain a simple analytical formula on the heating rate. The formula is obtained by finding a rotating frame in which driving looks weak enough. Such a rotating frame is found by using the technique of the high-frequency expansion [1, 2, 25]. The Hamiltonian in the rotating frame is called the *dressed Hamiltonian*, which is still time-periodic but has much weaker driving amplitude. Consequently, the linear response argument is valid for the dressed Hamiltonian rather than for the bare Hamiltonian, even when the original driving field is strong enough to being out of the linear response regime.

In a recent work [26], Fermi's golden rule is extended to strong driving by utilizing the high-frequency expansion, which is conceptually close to the present work. However, our formulation importantly differs from the one in Ref. [26]. The heating-rate formula obtained in Ref. [26] requires the exact Floquet operator (i.e. the time evolution operator over a cycle), which is not desirable feature. As a practical problem, this fact prevents us from applying the theory to classical systems, in which the Floquet operator is not accessible even numerically [18]. On the other hand, the formula given in this Letter does not refer to the exact Floquet operator: the formula is completely written in terms of a truncated highfrequency expansion, which is accessible even for classical systems [18, 27]. This fact tells us that information on heating under fast and strong driving is encoded in the first few terms of the high-frequency expansion, which is an important theoretical observation not found in the previous studies.

In the following, we first describe how to get a dressed Hamiltonian via the high-frequency expansion. We then give linear response formulae on the heating rate in terms of the dressed Hamiltonian. Next, we numerically evaluate heating rates in specific classical and quantum spin systems and compare them with our theoretical predictions. Finally, we conclude our work with some remarks and future prospects.

Dressed Hamiltonian.— For notational simplicity, we first focus on quantum systems, and later discuss classical systems. Suppose a quantum system with a time-periodic Hamiltonian $H(t) = H_0 + V(t)$ with $V(t) = \sum_{m=-\infty}^{\infty} V_m e^{-im\omega t}$ with $V_0 = 0$ and $V_{-m} = V_m^{\dagger}$ (in classical systems \dagger should be interpreted as the complex conjugate), where the frequency is denoted by ω and the period is given by $T = 2\pi/\omega$. The Floquet theorem states that the time evolution operator U_{t,t_0} from time t_0 to t is expressed as $U_{t,t_0} = e^{-iK(t)}e^{-iH_F(t-t_0)/\hbar}e^{iK(t_0)}$, where a time-periodic Hermitian operator K(t) = K(t+T) is called the micromotion operator or the kick operator, and H_F is called the Floquet Hamiltonian [1]. It is noted that the choice of K(t) and H_F is not unique: Defining $e^{iK'(t)} = U^{\dagger}e^{iK(t)}$ and $H'_F = U^{\dagger}H_FU$ for any time-independent unitary operator U, we find $U_{t,t_0} = e^{-iK'(t)}e^{-iH'_F(t-t_0)}e^{iK'(t_0)}$. In the high-frequency limit $\omega \to \infty$, K(t) becomes constant, and hence we require $\lim_{\omega\to\infty} K(t) = 0$ for convenience.

Since U_{t,t_0} satisfies $i\hbar dU_{t,t_0}/dt = H(t)U_{t,t_0}$, the micromotion operator and the Floquet operator are related with each other via the equality

$$H_F = e^{iK(t)} \left[H(t) - i\hbar \frac{d}{dt} \right] e^{-iK(t)}.$$
 (1)

This equation is interpreted as follows. Let us move to the "rotating frame" associated with the unitary transformation $e^{iK(t)}$. The Schrödinger equation $i\hbar d |\psi(t)\rangle / dt = H(t) |\psi(t)\rangle$ is transformed to

$$i\hbar \frac{d |\psi'(t)\rangle}{dt} = H_F |\psi'(t)\rangle, \qquad (2)$$

where $|\psi'(t)\rangle = e^{iK(t)} |\psi(t)\rangle$ is the quantum state in the rotating frame. That is, the Hamiltonian in the rotating frame is given by H_F , and the time dependence of the Hamiltonian is completely removed.

Although H_F contains full information on the long-time evolution including the heating rate, H_F is highly nonlocal and quite complicated in many-body systems [28, 29] and it is difficult to extract dynamical properties from H_F . It is also a hard task to numerically obtain K(t) and H_F exactly.

For fast driving, we can construct high-frequency expansions of K(t) and H_F , which are accessible analytically and numerically. Because of the non-uniqueness of K(t) and H_F , there are various high-frequency expansions [25]. In this work, we focus on the van Vleck expansion [1, 30] because of its analytical simplicity. The formulation given below is also applicable to other high-frequency expansions such as the Floquet-Magnus expansion [11, 12].

The van Vleck high-frequency expansion is formally written in the following form:

$$K(t) = \sum_{k=1}^{\infty} \frac{\Lambda_k(t)}{\omega^k}, \quad H_F = H_0 + \sum_{k=1}^{\infty} \frac{\Omega_k}{\omega^k}.$$
 (3)

The first two terms of the expansions are explicitly given by

$$i\hbar\Lambda_1(t) = -\sum_{m\neq 0} \frac{V_m}{m} e^{-im\omega t}, \quad \Omega_1 = \sum_{m\neq 0} \frac{[V_{-m}, V_m]}{2m\hbar}$$
(4)

and

$$\begin{cases} i\hbar^{2}\Lambda_{2}(t) = \sum_{m\neq 0} \left(\frac{[V_{m}, H_{0}]}{m^{2}} + \sum_{n\neq 0,m} \frac{[V_{n}, V_{m-n}]}{mn} \right) e^{-im\omega t}, \\ \Omega_{2} = \sum_{m\neq 0} \left(\frac{[[V_{-m}, H_{0}], V_{m}]}{2\hbar^{2}m^{2}} + \sum_{n\neq 0,m} \frac{[[V_{-m}, V_{m-n}], V_{n}]}{3\hbar^{2}mn} \right). \end{cases}$$
(5)

Additional details on the van Vleck expansion as well as an explicit form of $\Lambda_3(t)$ are given in Supplementary Material (SM) [?] (also see Ref. [25]).

It should be noted that this expansion is an asymptotic expansion in the thermodynamic limit [11], and hence we should truncate the expansion to obtain a meaningful result. We define the *n*th order truncation of the expansion of K(t) and H_F as $K^{(n)}(t) = \sum_{k=1}^{n} \Lambda_k / \omega^k$ and $H_F^{(n)} = \sum_{k=0}^{n} \Omega_k / \omega^k$, respectively.

Let us move to the rotating frame associated with $K^{(n)}(t)$ rather than K(t). The Hamiltonian in this rotating frame is given by

$$e^{-iK^{(n)}(t)}\left[H(t) - i\hbar\frac{d}{dt}\right]e^{iK^{(n)}t} \simeq \tilde{H}^{(n)}(t) \tag{6}$$

up to $O(\omega^{-n})$, where the *n*th order *dressed Hamiltonian* $\tilde{H}^{(n)}$ is given by

$$\tilde{H}^{(n)}(t) = H_F^{(n)} + V^{(n)}(t).$$
(7)

Its static part is nothing but the *n*th order truncation of the Floquet Hamiltonian. In SM [?], it is shown that the dressed driving $V^{(n)}(t)$ is expressed as

$$V^{(n)}(t) = \frac{\hbar}{\omega^{n+1}} \frac{d\Lambda_{n+1}(t)}{dt}.$$
(8)

We find that $V^{(n)}(t)$ satisfies the desirable property of periodic driving: $V^{(n)}(t) = V^{(n)}(t+T)$ and $\int_0^T dt V^{(n)}(t) = 0$. Moreover, the dressed driving field is strongly weakened at high frequencies: the amplitude of $V^{(n)}(t)$ is smaller by a factor of $(g/\hbar\omega)^n$ compared with the bare driving field V(t), where g denotes a characteristic local energy scale of the Hamiltonian H(t) [31]. It is therefore expected that even if the driving is strong in the original frame, it looks weak in the rotating frame, and we can carry out the linear response calculation in the latter.

We remark that higher-order terms omitted in Eq. (6) are smaller by a factor of $(g/\hbar\omega)^{n+1}$, and hence Eq. (6) is justified when $(g/\hbar\omega)^{n+1} \ll 1$. On the other hand, our approximation breaks down when $g \gtrsim \hbar\omega$ (e.g. when the amplitude ξ of periodic driving is greater than $\hbar\omega$).

The dressed Hamiltonian can also be constructed in classical systems. Analytical expressions of $\Lambda_k(t)$ and Ω_k in quantum systems contain commutators of operators, and their classical counterparts are just obtained by replacing the commutator by the Poisson bracket, $(1/i\hbar)[\cdot, \cdot] \rightarrow \{\cdot, \cdot\}_{PB}$. This procedure is justified by formally applying Floquet theory to the classical

Liouville equation $d\rho(z_t)/dt = \{H(t; z_t), \rho(z_t)\}_{PB}$, where z_t represents the set of all the coordinates and all the momenta of the classical system at time t, $\rho(z_t)$ is the probability distribution in the classical phase space, and $H(t; z_t)$ denotes the classical Hamiltonian. See Ref. [18] for more details.

Heating-rate formula.— We now give the heating rate by using the linear response theory for the dressed Hamiltonian $\tilde{H}^{(n)}(t) = H_F^{(n)} + V^{(n)}(t)$. Now we interpret the static part $H_F^{(n)}$ of $\tilde{H}^{(n)}(t)$ as the energy of the system, and the heating rate is given by $\kappa = d(E/N)/dt$, where *N* denotes the system size (the number of particles/spins). Here, $V^{(n)}(t)$ is decomposed as $V^{(n)}(t) = \sum_{m=-\infty}^{\infty} V_m^{(n)} e^{-im\omega t}$. According to the linear response theory [32, 33], the heating

According to the linear response theory [32, 33], the heating rate κ at the energy E under the external field $V^{(n)}(t)$ is evaluated in terms of auto-correlation functions of $\{\dot{V}_m^{(n)}\}$, where for classical systems $\dot{V}_m^{(n)} = \{V_m^{(n)}, H_F^{(n)}\}_{\text{PB}}$ and for quantum systems $\dot{V}_m^{(n)} = (1/i\hbar)[V_m^{(n)}, H_F^{(n)}]$. The formula is given by

$$\kappa = \frac{\beta}{2N} \sum_{m \neq 0} C_m(\omega), \tag{9}$$

where $\beta = \partial S(E)/\partial E$ denotes the microcanonical temperature [*S*(*E*) is the microcanonical entropy]. For classical systems, the function $C_m(\omega)$ is defined as

$$C_m(\omega) = \int_{-\infty}^{\infty} dt \, \left\langle \dot{V}_m^{(n)\dagger}(z_t) \dot{V}_m^{(n)}(z_0) \right\rangle e^{im\omega t}, \qquad (10)$$

where $\langle \cdot \rangle$ denotes the microcanonical average and the trajectory $\{z_t\}_t$ is generated by the static Hamiltonian $H_F^{(n)}$, i.e., $dz_t/dt = \{z_t, H_F^{(n)}(z_t)\}_{\text{PB}}$. For quantum systems,

$$\begin{split} C_m(\omega) &= \int_{-\infty}^{\infty} dt \frac{1}{\beta} \int_0^{\beta} d\lambda \, \left\langle \dot{V}_m^{(n)\dagger}(t - i\hbar\lambda) \dot{V}_m^{(n)}(0) \right\rangle e^{im\omega t} \\ &= \frac{1 - e^{-\beta m\omega}}{\beta m\omega} \int_{-\infty}^{\infty} dt \left\langle \dot{V}_m^{(n)\dagger}(t) \dot{V}_m^{(n)}(0) \right\rangle e^{im\omega t}, \ (11) \end{split}$$

where $\dot{V}_{m}^{(n)\dagger}(t) = e^{(i/\hbar)H_{F}^{(n)}t}\dot{V}_{m}^{(n)}e^{-(i/\hbar)H_{F}^{(n)}t}$. The derivation of Eqs. (9), (10) and (11) is given in SM [?].

The Wiener-Khinchin theorem states that $C_m(\omega)$ is identical to the power spectrum of $\dot{V}_m^{(n)}(z_t)$ for classical systems [32]. It is also extended to quantum systems; see Ref. [34] for the calculation of the Fourier transform of auto-correlation functions via a Wiener-Khinchin-like theorem for quantum systems.

When the frequency is large enough, $C_m(\omega)$ decays exponentially in $|m\omega|$ [10, 24]. Therefore, the contribution from $m = \pm 1$ is dominant, and the heating rate is approximated by

$$\kappa \simeq \frac{\beta}{2} [C_1(\omega) + C_{-1}(\omega)]. \tag{12}$$

Equations (9) and (12) are our main result.

It should be noted that when n = 0, our formula is reduced to the conventional linear response result. For $n \ge 1$, our result is regarded as its extension to fast and strong driving. It is expected that increasing *n* improves accuracy up to a certain order $n_0 \propto \hbar \omega/g$, but increasing *n* further for $n > n_0$ will be rather harmful because of the divergence of the high-frequency expansion [11, 12].

Before going on to numerical results, it should be emphasized that it is crucial in our formulation to consider the highfrequency expansion of the *micromotion operator*. Since the micromotion operator describes fast oscillations rather than long-time slow dynamics, it is often neglected. However, in our formulation, a truncation of the high-frequency expansion of the micromotion operator yields a dressed driving field $V^{(n)}(t)$, which contributes to a finite heating rate.

Classical model.— We now present numerical results for classical spin systems. The classical spin at *i*th site is denoted by $s_i = (s_i^x, s_i^y, s_i^z)$ satisfying $|s_i|^2 = 1$, and $z = (s_1, s_2, ..., s_N)$ represents the set of all the classical spin variables. The Hamiltonian $H(t; z) = H_0(z) + V(t; z)$ is given by

$$H_0(z) = -\sum_{i=1}^{N} \left[J s_i^z s_{i+1}^z + h_x s_i^x + h_z s_i^z \right]$$
(13)

and

$$V(t;z) = -\xi \left[\cos(\omega t) \sum_{i=1}^{N} s_i^z s_{i+1}^z + \sin(\omega t) \sum_{i=1}^{N} s_i^x \right].$$
 (14)

By defining the local effective field $\tilde{h}_i(t) = -\partial H(t)/\partial s_i$, the classical equations of motion are given by

$$\frac{ds_i}{dt} = 2s_i \times \tilde{h}_i(t), \tag{15}$$

which is the classical limit of the Heisenberg equations of motion for Pauli matrices. In this work, we fix J = 1, $h_x = 0.77$, $h_z = 0.49$, T = 0.5 ($\omega = 2\pi/T \approx 12.6$), and the system size N = 100.

The heating rate is calculated as follows. First s_i^y and s_i^z for i = 1, 2, ..., N are sampled independently from the uniform distribution between 0 and 0.1, and s_i^x is fixed as $s_i^x = \sqrt{1 - s_i^y(0)^2 - s_i^z(0)^2}$. We then randomly choose $\tau \in [1000, 2000]$ and the spin variables evolve over the time τ without driving, $\xi = 0$. This is our initial state $\{s_i(0)\}_{i=1}^N$. Next, we solve Eq. (15). We measure two times t_1 and t_2 , which are defined as $t_i = \min_{n \in \mathbb{N}} \{t = nT : H_0(z_t) \ge N\varepsilon_i\}$ for i = 1, 2. We fix $\varepsilon_1 = -0.6$ and $\varepsilon_2 = -0.5$ (the corresponding inverse temperature is $\beta \approx 1.1$). The heating rate is then given by $\kappa = (\varepsilon_2 - \varepsilon_1)/(t_2 - t_1)$. We repeat this procedure 500 times, and compute the average heating rate.

We compare it with the heating rate calculated by our formula. We perform the van Vleck high-frequency expansion and analytically obtain $\tilde{H}^{(n)}(t)$ up to n = 2. We then evaluate the heating rate by using Eq. (12) with the help of the Wiener-Khinchin theorem. The technical detail of the calculation is explained in SM [?].

Our numerical results are displayed in Fig. 1. The heating rate calculated by solving Eq. (15) shows non-monotonic





FIG. 1. Heating rate in the classical spin system against the driving amplitude ξ . The system size is set to be N = 100. Blue triangles show the heating rate estimated by exactly solving the equations of motion (15). The solid line, the dotted line, and the dashed line show the heating rates calculated by our formula (12) for n = 0, 1, and 2, respectively. Error bars are smaller than the symbols.

behavior: the heating is suppressed for strong driving [26]. On the other hand, for n = 0 and 1, our formula (12) does not reproduce non-monotonicity. When n = 0, our formula is reduced to the linear response expression, and hence the heating rate is proportional to ξ^2 . When n = 1, our formula agrees with the exact heating rate at weak and strong driving, but does not show non-monotonicity. We clearly see that our formula for n = 2 well reproduces a curve of the exact heating rate, including characteristic non-monotonic behavior.

Frequency dependences of the heating rate are given in SM [?], in which we find that the non-monotonicity occurs at ξ which is large but independent of ω . Therefore, this non-monotonicity should be distinguished from dynamical freezing phenomena [35–37], in which heating is suppressed due to an emergent symmetry at ultra-strong driving with $\xi \propto \omega$.

Quantum model.— We also verify our formula in quantum systems. We consider a quantum spin-1/2 chain with the Hamiltonian

$$\begin{pmatrix}
H_0 = -\sum_{i=1}^{N} [J_z \sigma_i^z \sigma_{i+1}^z + J_x \sigma_i^x \sigma_{i+1}^x + h \sigma_i^z], \\
V(t) = -\xi \operatorname{sgn}[\cos(\omega t)] \sum_{i=1}^{N} \sigma_i^x,
\end{cases}$$
(16)

where σ^{α} ($\alpha = x, y, z$) denotes the Pauli matrix. We fix $J_z = 1$, $J_x = 0.77$, h = 0.6, and T = 0.5 ($\omega \approx 12.6$).

We prepare an initial state as a canonical thermal pure quantum state [38]: we generate a random vector $|r\rangle$ whose elements are i.i.d. Gaussian of mean 0 and unit variance, and construct a state $|\beta\rangle = e^{-\beta H/2} |r\rangle / \langle r|e^{-\beta H}|r\rangle$. We then solve the Schrödinger equation $i\hbar d |\psi(t)\rangle / dt = H(t) |\psi(t)\rangle$ with $|\psi(0)\rangle = |\beta\rangle$, where we set $\hbar = 1$ in numerical calculations. The heating rate is calculated in the same way

FIG. 2. Heating rate in the quantum spin system against the driving amplitude ξ . Blue triangles show the heating rate estimated by exactly solving the Scrödinger equation for N = 16. The solid line and the dashed line show the heating rates calculated by our formula (12) for N = 14 with n = 0 and 2, respectively (in the present model, n = 1 gives an identical result to n = 0). Error bars are smaller than the symbols.

as in classical systems: we measure t_1 and t_2 satisfying $t_i = \min_{n \in \mathbb{N}} \{t = nT : \langle \psi(t) | H_0 | \psi(t) \rangle \ge N \varepsilon_i\}$ with $\varepsilon_1 = -0.5$ and $\varepsilon_2 = -0.48$ (the corresponding inverse temperature is $\beta \simeq 0.23$). The heating rate is given by $(\varepsilon_2 - \varepsilon_1)/(t_2 - t_1)$. For the system size N = 16, we repeat the above procedure (the generation of an initial state, solving the Schrödinger equation, and measuring the heating rate) 10 times, and compute the average heating rate.

The heating rate is also evaluated for N = 14 by using our formula with n = 0 and 2 (in the present model, it is shown that n = 1 gives the identical result to n = 0). Details are explained in SM [?].

Numerical results are shown in Fig. 2. We can see that the heating rate again shows non-monotonic behavior, which implies that the system is not in the linear response regime. Our formula with n = 2 reproduces this behavior.

Conclusion and Outlook.— We have derived a formula on the heating rate under fast driving with arbitrary driving strength. Our idea is based on considering the problem in a rotating frame in which driving looks weak. Such a rotating frame is found by using the high-frequency expansion of the micromotion operator. Our formulation is valid for both classical and quantum systems.

It is often argued that a truncation of the high-frequency expansion of the Floquet Hamiltonian describes dynamics in a prethermal regime before the heating takes place [11, 12, 26], whereas an asymptotic divergent behavior of the highfrequency expansion is related to heating. Contrary to this argument, our formulation tells us that the information on heating under fast and strong periodic driving is encoded in a truncation of the high-frequency expansions of the Floquet Hamiltonian *and* the micromotion operator. Considering the micromotion operator is crucial in our formulation, although it is often neglected in investigating heating dynamics because of the fact that the micromotion describes fast oscillations rather than long-time slow dynamics.

Both in classical and quantum systems, we have found nonmonotonic heating rates as a function of the driving amplitude. Such non-monotonicity has also been found in the previous study [26], and it looks universal in some extent. It is a future problem to understand universal features of the heating dynamics by using our formulation.

Some recent studies have also attempted to use aperiodic driving (random or quasiperiodic one) for controlling quantum many-body systems [39–43], and some rigorous results have begun to appear [44, 45]. It will be a fascinating open problem to give a simple and accurate heating-rate formula for fast and strong quasiperiodic driving.

Fruitful discussions with Wade Hodson, Tatsuhiko N. Ikeda, and Christopher Jarzynski are gratefully acknowledged. This work was supported by JSPS KAKENHI Grant Numbers JP19K14622, JP21H05185.

- M. Bukov, L. D'Alessio, and A. Polkovnikov, Universal highfrequency behavior of periodically driven systems: From dynamical stabilization to Floquet engineering, Adv. Phys. 64, 139–226 (2015), arXiv:1407.4803.
- [2] A. Eckardt, Colloquium: Atomic quantum gases in periodically driven optical lattices, Rev. Mod. Phys. 89, 011004 (2017).
- [3] T. Oka and S. Kitamura, Floquet engineering of quantum materials, Annu. Rev. Condens. Matter Phys. 10, 387–408 (2019), arXiv:1804.03212.
- [4] A. Zenesini, H. Lignier, D. Ciampini, O. Morsch, and E. Arimondo, Coherent control of dressed matter waves, Phys. Rev. Lett. 102, 100403 (2009), arXiv:0809.0768.
- [5] M. Aidelsburger, M. Atala, M. Lohse, J. T. Barreiro, B. Paredes, and I. Bloch, Realization of the hofstadter hamiltonian with ultracold atoms in optical lattices, Phys. Rev. Lett. 111, 185301 (2013), arXiv:1308.0321.
- [6] H. Miyake, G. A. Siviloglou, C. J. Kennedy, W. C. Burton, and W. Ketterle, Realizing the Harper Hamiltonian with Laser-Assisted Tunneling in Optical Lattices, Phys. Rev. Lett. 111, 185302 (2013), arXiv:1308.1431.
- [7] G. Jotzu, M. Messer, R. Desbuquois, M. Lebrat, T. Uehlinger, D. Greif, and T. Esslinger, Experimental realization of the topological Haldane model with ultracold fermions, Nature 515, 237–240 (2014).
- [8] A. G. Grushin, Á. Gómez-León, and T. Neupert, Floquet Fractional Chern Insulators, Phys. Rev. Lett. 112, 156801 (2014).
- [9] F. Meinert, M. J. Mark, K. Lauber, A. J. Daley, and H. C. Nägerl, Floquet Engineering of Correlated Tunneling in the Bose-Hubbard Model with Ultracold Atoms, Phys. Rev. Lett. 116, 205301 (2016), arXiv:1602.02657.
- [10] D. A. Abanin, W. De Roeck, and F. Huveneers, Exponentially Slow Heating in Periodically Driven Many-Body Systems, Phys. Rev. Lett. 115, 256803 (2015), arXiv:1507.01474.
- [11] T. Mori, T. Kuwahara, and K. Saito, Rigorous Bound on Energy Absorption and Generic Relaxation in Periodically Driven Quantum Systems, Phys. Rev. Lett. 116, 120401 (2016).
- [12] T. Kuwahara, T. Mori, and K. Saito, Floquet-Magnus theory

and generic transient dynamics in periodically driven manybody quantum systems, Ann. Phys. (N. Y). **367**, 96–124 (2016), arXiv:1508.05797.

- [13] D. Abanin, W. De Roeck, W. W. Ho, and F. Huveneers, A Rigorous Theory of Many-Body Prethermalization for Periodically Driven and Closed Quantum Systems, Commun. Math. Phys. 354, 809–827 (2017), arXiv:1509.05386.
- [14] D. A. Abanin, W. De Roeck, W. W. Ho, and F. Huveneers, Effective Hamiltonians, prethermalization, and slow energy absorption in periodically driven many-body systems, Phys. Rev. B 95, 014112 (2017), arXiv:1510.03405.
- [15] T. Mori, T. N. Ikeda, E. Kaminishi, and M. Ueda, Thermalization and prethermalization in isolated quantum systems: A theoretical overview, J. Phys. B At. Mol. Opt. Phys. 51, 112001 (2018), arXiv:1712.08790.
- [16] A. Rubio-Abadal, M. Ippoliti, S. Hollerith, D. Wei, J. Rui, S. L. Sondhi, V. Khemani, C. Gross, and I. Bloch, Floquet Prethermalization in a Bose-Hubbard System, Phys. Rev. X 10, 021044 (2020), arXiv:2001.08226.
- [17] P. Peng, C. Yin, X. Huang, C. Ramanathan, and P. Cappellaro, Floquet prethermalization in dipolar spin chains, Nat. Phys. 10.1038/s41567-020-01120-z (2021).
- [18] T. Mori, Floquet prethermalization in periodically driven classical spin systems, Phys. Rev. B 98, 104303 (2018), arXiv:1804.02165.
- [19] A. Rajak, R. Citro, and E. G. Dalla Torre, Stability and prethermalization in chains of classical kicked rotors, J. Phys. A Math. Theor. 51, 465001 (2018), arXiv:1801.01142.
- [20] A. Rajak, I. Dana, and E. G. Dalla Torre, Characterizations of prethermal states in periodically driven many-body systems with unbounded chaotic diffusion, Phys. Rev. B 100, 100302(R) (2019), arXiv:1905.00031.
- [21] E. G. Dalla Torre and D. Dentelski, Statistical Floquet prethermalization of the Bose-Hubbard model, SciPost Phys. 11, 040 (2021), arXiv:2005.07207.
- [22] K. Mallayya and M. Rigol, Heating Rates in Periodically Driven Strongly Interacting Quantum Many-Body Systems, Phys. Rev. Lett. 123, 240603 (2019), arXiv:1907.04261.
- [23] A. Rakcheev and A. M. Läuchli, Estimating Heating Times in Periodically Driven Quantum Many-Body Systems via Avoided Crossing Spectroscopy, arXiv:2011.06017.
- [24] W. Hodson and C. Jarzynski, Energy diffusion and absorption in chaotic systems with rapid periodic driving, Phys. Rev. Res. 3, 013219 (2021), arXiv:2102.13211.
- [25] T. Mikami, S. Kitamura, K. Yasuda, N. Tsuji, T. Oka, and H. Aoki, Brillouin-Wigner theory for high-frequency expansion in periodically driven systems: Application to Floquet topological insulators, Phys. Rev. B 93, 144307 (2016), arXiv:1511.00755.
- [26] T. N. Ikeda and A. Polkovnikov, Fermi's golden rule for heating in strongly driven Floquet systems, Phys. Rev. B 104, 134308 (2021), arXiv:2105.02228.
- [27] S. Higashikawa, H. Fujita, and M. Sato, Floquet engineering of classical systems, arXiv:1810.01103.
- [28] L. D'Alessio and M. Rigol, Long-time behavior of isolated periodically driven interacting lattice systems, Phys. Rev. X 4, 041048 (2014).
- [29] A. Lazarides, A. Das, and R. Moessner, Equilibrium states of generic quantum systems subject to periodic driving, Phys. Rev. E 90, 012110 (2014), arXiv:1403.2946.
- [30] A. Eckardt and E. Anisimovas, High-frequency approximation for periodically driven quantum systems from a Floquet-space perspective, New J. Phys. 17, 093039 (2015), arXiv:1502.06477.
- [31] For strong driving with amplitude ξ much larger than any other

- [32] R. Kubo, M. Toda, and N. Hashitsume, *Statistical Physics II* (Springer, Berlin, 1991).
- [33] R. Kubo, Statistical Mechanical Theory of Irreversible Processes. I. General Theory and Simple Applications to Magnetic and Conduction Problems, J. Phys. Soc. Japan 12, 570–586 (1957).
- [34] H. Ikeuchi, H. De Raedt, S. Bertaina, and S. Miyashita, Computation of ESR spectra from the time evolution of the magnetization: Comparison of autocorrelation and Wiener-Khinchinrelation-based methods, Phys. Rev. B 92, 214431 (2015).
- [35] A. Das, Exotic freezing of response in a quantum many-body system, Phys. Rev. B 82, 172402 (2010).
- [36] A. Haldar, R. Moessner, and A. Das, Onset of Floquet thermalization, Phys. Rev. B 97, 245122 (2018), arXiv:1803.10331.
- [37] A. Haldar, D. Sen, R. Moessner, and A. Das, Dynamical Freezing and Scar Points in Strongly Driven Floquet Matter: Resonance vs Emergent Conservation Laws, Phys. Rev. X 11, 021008 (2021).
- [38] S. Sugiura and A. Shimizu, Canonical thermal pure quantum state, Phys. Rev. Lett. 111, 010401 (2013), arXiv:1302.3138.
- [39] S. Nandy, A. Sen, and D. Sen, Aperiodically driven integrable systems and their emergent steady states, Phys. Rev. X 7, 031034 (2017), arXiv:1701.07596.

- [40] H. Zhao, F. Mintert, and J. Knolle, Floquet time spirals and stable discrete-time quasicrystals in quasiperiodically driven quantum many-body systems, Phys. Rev. B 100, 134302 (2019), arXiv:1906.06989.
- [41] P. J. D. Crowley, I. Martin, and A. Chandran, Half-Integer Quantized Topological Response in Quasiperiodically Driven Quantum Systems, Phys. Rev. Lett. **125**, 100601 (2020), arXiv:1908.08062.
- [42] H. Zhao, F. Mintert, R. Moessner, and J. Knolle, Random Multipolar Driving: Tunably Slow Heating through Spectral Engineering, Phys. Rev. Lett. **126**, 040601 (2021), arXiv:2007.07301.
- [43] D. M. Long, P. J. D. Crowley, and A. Chandran, Nonadiabatic Topological Energy Pumps with Quasiperiodic Driving, Phys. Rev. Lett. **126**, 106805 (2021), arXiv:2010.02228.
- [44] D. V. Else, W. W. Ho, and P. T. Dumitrescu, Long-Lived Interacting Phases of Matter Protected by Multiple Time-Translation Symmetries in Quasiperiodically Driven Systems, Phys. Rev. X 10, 021032 (2020), arXiv:1910.03584.
- [45] T. Mori, H. Zhao, F. Mintert, J. Knolle, and R. Moessner, Rigorous Bounds on the Heating Rate in Thue-Morse Quasiperiodically and Randomly Driven Quantum Many-Body Systems, Phys. Rev. Lett. **127**, 050602 (2021).

Supplemental Material

Takashi Mori¹

¹RIKEN Center for Emergent Matter Science (CEMS), Wako 351-0198, Japan

A. DERIVATION OF THE LINEAR RESPONSE FORMULA ON THE HEATING RATE

In this section, we give linear response formulae on the heating rate. The material in this section is rather standard and not new, but we provide it for convenience.

Quantum systems

Let us consider the Hamiltonian $H(t) = H_0 + V(t)$ with $V(t) = \sum_{m \neq 0} V_m e^{-im\omega t}$. We consider a quantum system below, but it is straightforward to extend the analysis to a classical system.

Let us define $\Phi_{mn}(t)$ as the response function of the quantity V_m to the external field proportional to V_n . That is, when V(t) is small, the expectation value of V_m at time t is written as

$$\langle V_m \rangle_t - \langle V_m \rangle_{\text{eq}} = \sum_{n \neq 0} \int_0^\infty ds \, \Phi_{mn}(s) e^{-in\omega(t-s)} = \sum_{n \neq 0} e^{-in\omega t} \int_0^\infty ds \, \Phi_{mn}(s) e^{in\omega s} =: \sum_{n \neq 0} e^{-in\omega t} \chi_{mn}(n\omega), \tag{S1}$$

where $\langle \cdot \rangle_{eq}$ denotes the equilibrium average without perturbation V(t).

The energy absorption rate κ is given by

$$\kappa = \frac{1}{N} \sum_{m \neq 0} e^{-im\omega t} \frac{d \langle V_m \rangle_t}{dt} = \frac{1}{N} \sum_{m \neq 0} im\omega \chi_{m,-m}(-m\omega) = -\frac{i}{N} \sum_{m \neq 0} m\omega \chi_{-m,m}(m\omega),$$
(S2)

where $\overline{f(t)} = (1/T) \int_0^T dt f(t)$ denotes the time average. According to the linear response theory [S1], $\Phi_{nm}(t)$ is given by

$$\Phi_{mn}(t) = \int_0^\beta d\lambda \, \left\langle \dot{V}_n(-i\hbar\lambda)V_m(t) \right\rangle. \tag{S3}$$

Therefore, we have

$$\kappa = -\frac{1}{N} \sum_{m \neq 0} im\omega \int_0^\infty dt \int_0^\beta d\lambda \, \langle \dot{V}_m(-i\hbar\lambda) V_m^{\dagger}(t) \rangle \, e^{im\omega t} \,. \tag{S4}$$

By integrating by part, we obtain

$$\kappa = \frac{1}{N} \sum_{m \neq 0} \int_0^\infty dt \int_0^\beta d\lambda \, \left\langle \dot{V}_m(-i\hbar\lambda) \dot{V}_m^{\dagger}(t) \right\rangle e^{im\omega t}. \tag{S5}$$

By taking the complex conjugate and changing the integration variable $t \rightarrow -t$, we have

$$\int_{0}^{\infty} dt \int_{0}^{\beta} d\lambda \, \langle \dot{V}_{m}(-i\hbar\lambda)\dot{V}_{m}^{\dagger}(t) \rangle \, e^{im\omega t} = \int_{-\infty}^{0} dt \int_{0}^{\beta} d\lambda \, \langle \dot{V}_{m}(-t)\dot{V}_{m}^{\dagger}(i\hbar\lambda) \rangle \, e^{im\omega t} = \int_{-\infty}^{0} dt \int_{0}^{\beta} d\lambda \, \langle \dot{V}_{m}(-i\hbar\lambda)\dot{V}_{m}^{\dagger}(t) \rangle \, e^{im\omega t}.$$
(S6)

We thus obtain

$$\kappa = \frac{1}{2N} \sum_{n \neq 0} \int_{-\infty}^{\infty} dt \int_{0}^{\beta} d\lambda \, \left\langle \dot{V}_{m}(-i\hbar\lambda) \dot{V}_{m}^{\dagger}(t) \right\rangle e^{im\omega t}. \tag{S7}$$

By changing $t \to -t$ and $m \to -m$, κ is also written as

$$\kappa = \frac{1}{2N} \sum_{m \neq 0} \int_{-\infty}^{\infty} dt \int_{0}^{\beta} d\lambda \, \left\langle \dot{V}_{m}^{\dagger}(t - i\hbar\lambda) \dot{V}_{m}(0) \right\rangle e^{im\omega t}.$$
(S8)

This is identical to the formula given in the main text.

Let us write $H_0 = \sum_a E_a |a\rangle \langle a|$, and the equilibrium density matrix $\rho_{eq} = \sum_a p_a |a\rangle \langle a|$ with $p_a \ge 0$ and $\sum_a p_a = 1$. κ is then written as

$$\begin{aligned} \kappa &= \frac{1}{2N} \sum_{m\neq 0} \int_{-\infty}^{\infty} dt \int_{0}^{\beta} d\lambda \sum_{a,b} e^{\lambda (E_a - E_b)} e^{(i/\hbar)(E_a - E_b + m\hbar\omega)t} |\langle b|\dot{V}_m|a\rangle|^2 p_a \\ &= \frac{1}{2N} \sum_{m\neq 0} \sum_{a,b} \frac{1 - e^{-\beta(E_b - E_a)}}{E_b - E_a} 2\pi\hbar\delta(E_b - E_a - m\hbar\omega) |\langle b|\dot{V}_m|a\rangle|^2 p_a \\ &= \frac{1}{2N} \sum_{m\neq 0} \sum_{a,b} \frac{1 - e^{-\beta m\hbar\omega}}{m\hbar\omega} 2\pi\hbar\delta(E_b - E_a - n\hbar\omega) |\langle b|\dot{V}_m|a\rangle|^2 p_a \\ &= \frac{1}{2N} \sum_{m\neq 0} \frac{1 - e^{-\beta m\hbar\omega}}{m\hbar\omega} \int_{-\infty}^{\infty} dt \langle \dot{V}_m^{\dagger}(t)\dot{V}_m(0)\rangle e^{im\omega t}. \end{aligned}$$
(S9)

By using $\langle b|\dot{V}_m|a\rangle = \frac{E_b - E_a}{i\hbar} \langle b|V_m|a\rangle$, we can also express κ as

$$\kappa = \frac{1}{N} \frac{\pi}{\hbar} \sum_{m \neq 0} \sum_{a,b} (1 - e^{-\beta m \hbar \omega}) m \hbar \omega \delta(E_b - E_a - m \hbar \omega) |\langle b|V_m|a \rangle|^2 p_a.$$
(S10)

When p_a is the canonical distribution $p_a = e^{-\beta E_a}/Z$, we have

$$\kappa = \frac{1}{N} \frac{\pi}{\hbar} \sum_{m \neq 0} \sum_{a,b} (p_a - p_b) m \hbar \omega \delta(E_b - E_a - m \hbar \omega) |\langle b|V_m|a \rangle|^2,$$
(S11)

which is identical to the heating rate for the canonical ensemble calculated by Fermi's golden rule.

Classical systems

In a classical system, by taking the limit of $\hbar \rightarrow 0$, we obtain

$$\kappa = \frac{\beta}{2N} \sum_{m \neq 0} \int_{-\infty}^{\infty} dt \, \left\langle \dot{V}_m^*(z_t) \dot{V}_m(z_0) \right\rangle e^{im\omega t},\tag{S12}$$

where z_t is the set of all the canonical variables describing the classical system at time t.

By using Wiener-Khinchin theorem [S2], the Fourier transform of the auto-correlation function

$$C_m(\omega) = \int_{-\infty}^{\infty} dt \, \left\langle \dot{V}_m^{\dagger}(z_t) \dot{V}_m(z_0) \right\rangle e^{im\omega t} \tag{S13}$$

is evaluated by computing the power spectrum of $\dot{V}_n(z_t)$. Let us define

$$a_k = \frac{1}{\tau} \int_0^\tau dt \, A(z_t) e^{-i\omega_k t}, \quad \omega_k = \frac{2\pi k}{\tau}$$
(S14)

with k an integer. The Wiener-Khinchin theorem states that

$$\int_{-\infty}^{\infty} dt \, \langle A^*(z_t) A(z_0) \rangle \, e^{i\,\omega t} = \lim_{\tau \to \infty, \, \omega_k \to \, \omega} \tau \, \langle |a_k|^2 \rangle \,. \tag{S15}$$

By using this relation, we can compute $C_m(\omega)$ through the power spectrum of $\dot{V}_m(z_t)$.

B. VAN VLECK HIGH-FREQUENCY EXPANSION

In this section, we review the van Vleck high-frequency expansion [S3, S4]. As is explained in the main text, the Floquet Hamiltonian and the micromotion operator satisfy the equality

$$H_F = e^{iK(t)} \left[H(t) - i\hbar \frac{d}{dt} \right] e^{-iK(t)}.$$
(S16)

Here, we rewrite $e^{iK(t)}H(t)e^{-iK(t)}$ and $e^{iK(t)}i\hbar(d/dt)e^{-iK(t)}$ as follows:

$$e^{iK(t)}H(t)e^{-iK(t)} = \sum_{n=0}^{\infty} \frac{1}{n!} \mathrm{ad}_{iK(t)}^{n}H(t),$$
(S17)

where $ad_A := [A, \cdot]$, and

$$e^{iK(t)}i\hbar(d/dt)e^{-iK(t)} = e^{iK(t)}i\hbar \int_0^1 dx \, e^{-iK(t)(1-x)} \left(-i\frac{dK(t)}{dt}\right)e^{-iK(t)x}$$

$$= \hbar \int_0^1 dx \, e^{iK(t)x}\frac{dK(t)}{dt}e^{-iK(t)x}$$

$$= \hbar \int_0^1 dx \, \sum_{n=0}^\infty \frac{x^n}{n!} \mathrm{ad}_{iK(t)}^n \frac{dK(t)}{dt}$$

$$= \hbar \sum_{n=0}^\infty \frac{1}{(n+1)!} \mathrm{ad}_{iK(t)}^n \frac{dK(t)}{dt}.$$
 (S18)

Equation (S16) is thus rewritten as

$$H_F = \sum_{n=0}^{\infty} \operatorname{ad}_{iK(t)}^n \left[\frac{1}{n!} H(t) - \frac{\hbar}{(n+1)!} \frac{dK(t)}{dt} \right].$$
(S19)

The van Vleck high-frequency expansion corresponds to the expansion

$$K(t) = \sum_{k=1}^{\infty} \frac{\Lambda_k(t)}{\omega^k}, \quad H_F = H_0 + \sum_{k=1}^{\infty} \frac{\Omega_k}{\omega^k}$$
(S20)

with the boundary condition

$$\int_{0}^{T} dt \, K(t) = 0.$$
 (S21)

By substituting Eq. (S20) into Eq. (S19) and compare the left-hand side and the right-hand side order by order, we obtain an explicit expression of $\{\Lambda_k(t)\}$ and $\{\Omega_k\}$. Here, $\Lambda_k(t)$ is periodic in time, $\Lambda_k(t) = \Lambda_k(t+T)$, and hence the time derivative in Eq. (S19) should be treated as the order ω^{-1} .

At the lowest order, we have

$$H_0 = H_0 + V(t) - i\frac{\hbar}{\omega}\frac{d}{dt}\left[-i\Lambda_1(t)\right],\tag{S22}$$

which yields

$$\frac{\hbar}{\omega}\frac{d}{dt}\Lambda_1(t) = V(t).$$
(S23)

From Eq. (S21) and the periodicity $\Lambda_1(t) = \Lambda_1(t+T)$ with $T = 2\pi/\omega$, $\Lambda_1(t)$ is expressed as

$$\Lambda_1(t) = \sum_{m \neq 0} \Lambda_{1,m} e^{-im\omega t}.$$
(S24)

By substituting it into Eq. (S23), we have $\Lambda_{1,m} = iV_m/(m\hbar)$ and thus

$$i\hbar\Lambda_1(t) = -\sum_{m\neq 0} \frac{V_m}{m} e^{-im\omega t}.$$
(S25)

At the next order $O(\omega)$, we have

$$\Omega_1 = \left[i\Lambda_1(t), H_0 + V(t)\right] - \frac{\hbar}{\omega} \frac{d\Lambda_2(t)}{dt} - \frac{\hbar}{2\omega} \left[i\Lambda_1(t), \frac{d\Lambda_1(t)}{dt}\right] = \left[i\Lambda_1(t), H_0 + \frac{1}{2}V(t)\right] - \frac{\hbar}{\omega} \frac{d\Lambda_2(t)}{dt},$$
(S26)

$$\Omega_1 = \frac{1}{T} \int_0^T dt \left[i\Lambda_1(t), \frac{V(t)}{2} \right] = \sum_{m \neq 0} \frac{[V_{-m}, V_m]}{2m\hbar}.$$
(S27)

We can also determine $d\Lambda_2(t)/dt$ as

$$\frac{\hbar}{\omega} \frac{d\Lambda_2(t)}{dt} = [i\Lambda_1(t), H_0] + \frac{1}{2} [i\Lambda_1(t), V(t)] - \Omega_1$$

= $-\sum_{m\neq 0} \frac{[V_m, H_0]}{m\hbar} e^{-im\omega t} - \frac{1}{2} \sum_{m\neq 0} \sum_{n\neq 0,m} \frac{[V_n, V_{m-n}]}{n\hbar} e^{-im\omega t}.$ (S28)

By using the boundary condition $\int_0^T dt \Lambda_2(t) = 0$, we have

$$i\hbar^{2}\Lambda_{2}(t) = \sum_{m\neq 0} \frac{[V_{m}, H_{0}]}{m^{2}} e^{-im\omega t} + \sum_{m\neq 0} \sum_{n\neq 0,m} \frac{[V_{n}, V_{m-n}]}{mn} e^{-im\omega t}.$$
 (S29)

By repeating the above procedure to higher order terms, we can recursively determine $\{\Omega_k\}$ and $\{\Lambda_k\}$. For Ω_2 and $\Lambda_3(t)$, we only give the final result [S5]:

$$\hbar^2 \Omega_2 = \sum_{m \neq 0} \frac{[[V_{-m}, H_0], V_m]}{2m^2} + \sum_{m \neq 0} \sum_{n \neq 0, m} \frac{[[V_{-m}, V_{m-n}], V_n]}{3mn},$$
(S30)

and

$$i\hbar^{3}\Lambda_{3}(t) = -\sum_{m\neq 0} \frac{[[V_{m}, H_{0}], H_{0}]}{m^{3}} e^{-im\omega t} + \sum_{m\neq 0} \sum_{n\neq 0} \frac{[V_{m}, [V_{-n}, V_{n}]]}{4m^{2}n} e^{-im\omega t} - \sum_{m\neq 0} \sum_{n\neq 0,m} \frac{[[V_{n}, H_{0}], V_{m-n}]}{2mn^{2}} e^{-im\omega t} - \sum_{m\neq 0} \sum_{n\neq 0,m} \frac{[[V_{n}, V_{m-n}], H_{0}]}{2m^{2}n} e^{-im\omega t} - \sum_{m\neq 0} \sum_{n\neq 0, n\neq 0} \sum_{l\neq 0, n, m} \frac{[[V_{n}, V_{l-n}], V_{m-l}]}{4mnl} e^{-im\omega t} - \sum_{m\neq 0} \sum_{n\neq 0} \sum_{l\neq 0, m-n} \frac{[V_{n}, [V_{l}, V_{m-n-l}]]}{12mnl} e^{-im\omega t}.$$
 (S31)

C. DRESSED HAMILTONIAN

In the main text, we define the dressed Hamiltonian

$$\tilde{H}^{(n)}(t) = e^{iK^{(n)}(t)} \left[H(t) - i\hbar \frac{d}{dt} \right] e^{-iK^{(n)}(t)} = H_0^{(n)} + V^{(n)}(t) + O(\omega^{-(n+1)}),$$
(S32)

where $K^{(n)}(t) = \sum_{k=1}^{n} \Lambda_k / \omega^k$ is the *k*th order truncation of the van Vleck expansion of K(t), and $V^{(n)}(t)$ satisfies $V^{(n)}(t) = V^{(n)}(t+T)$ and $\int_0^T dt V^{(n)}(t) = 0$. We now prove

$$H_0^{(n)} = H_F^{(n)} := \sum_{k=0}^n \frac{\Omega_k}{\omega^k},$$
(S33)

i.e. the static part of the dressed Hamiltonian is nothing but the *n*th order truncation of the high-frequency expansion of H_F , and

$$V^{(n)}(t) = \frac{\hbar}{\omega^{n+1}} \frac{d\Lambda_{n+1}(t)}{dt}.$$
 (S34)

For the proof, we use the following expression corresponding to Eq. (S19):

$$\tilde{H}^{(n)}(t) = \sum_{m=0}^{\infty} \operatorname{ad}_{iK^{(n)}(t)}^{m} \left[\frac{1}{m!} H(t) - \frac{\hbar}{(m+1)!} \frac{dK^{(n)}(t)}{dt} \right].$$
(S35)

By comparing it with Eq. (S19), $\tilde{H}^{(n)}(t)$ and H_F are identical up to $O(\omega^{-n})$ except for the term $-(\hbar/\omega^{n+1})d\Lambda_{n+1}(t)/dt$ (remember that the time derivative should be regarded as $O(\omega)$). Therefore, we have

$$\tilde{H}^{(n)}(t) = H_F^{(n)} + \frac{\hbar}{\omega^{n+1}} \frac{d\Lambda_{n+1}(t)}{dt},$$
(S36)

which implies Eq. (S34).

D. EXPLICIT EXPRESSION OF THE DRESSED HAMILTONIAN

We give an explicit expression of the dressed Hamiltonian for the models discussed in the main text. For the classical model

$$\begin{cases} H_0(z) = -\sum_{i=1}^{N} \left[J s_i^z s_{i+1}^z + h_x s_i^x + h_z s_i^z \right], \\ V(t;z) = -\xi \left[\cos(\omega t) \sum_{i=1}^{N} s_i^z s_{i+1}^z + \sin(\omega t) \sum_{i=1}^{N} s_i^x \right], \end{cases}$$
(S37)

the high-frequency expansion of the Floquet Hamiltonian is given by $H_F = H_0 + \Omega_1/\omega + \Omega_2/\omega^2 + ...$ with

$$\frac{1}{\omega}\Omega_1 = -\frac{\xi^2}{\omega} \sum_{i=1}^N \left(s_i^y s_{i+1}^z + s_i^z s_{i+1}^y \right),$$
(S38)

$$\frac{1}{\omega^2}\Omega_2 = \frac{\xi^2}{\omega^2} \sum_{i=1}^N \left[h_x \left(s_i^x (s_{i+1}^z)^2 + (s_i^z)^2 s_{i+1}^x + 2s_{i-1}^z s_i^x s_{i+1}^z \right) + 2J (s_i^z s_{i+1}^z - s_i^y s_{i+1}^y) + h_z s_i^z \right].$$
(S39)

The driving field in the dressed Hamiltonian is expressed as $V^{(n)}(t) = \sum_{m} V_m^{(n)} e^{-im\omega t}$. Since only the terms of $m = \pm 1$ give dominant contributions to the heating rate, we approximate $V^{(n)}(t) \approx V_1^{(n)} e^{-i\omega t} + V_{-1}^{(n)} e^{i\omega t}$ with $V_{-1}^{(n)} = V_1^{(n)*}$. It is given by

$$V^{(1)}(t) \approx \frac{i\xi}{\omega} \sum_{i=1}^{N} \left[(h_x + iJ)(s_i^y s_{i+1}^z + s_i^z s_{i+1}^y) + ih_z s_i^y \right] e^{i\omega t} + (c.c),$$
(S40)

$$V^{(2)}(t) \approx \frac{2\xi}{\omega^2} \sum_{i=1}^{N} \left[(h_x + iJ)J\left(s_i^x (s_{i+1}^z)^2 + (s_i^z)^2 s_{i+1}^x + 2s_{i-1}^z s_i^x s_{i+1}^z\right) + 2(h_x + iJ)h_x (s_i^y s_{i+1}^y - s_i^z s_{i+1}^z) + (h_x + 2iJ)h_z (s_i^x s_{i+1}^z + s_i^z s_{i+1}^x) - ih_x h_z s_i^z + ih_z^2 s_i^x \right] e^{i\omega t} - \frac{7i\xi^3}{12\omega^2} \sum_{i=1}^{N} \left[s_i^x (s_{i+1}^z)^2 + (s_i^z)^2 s_{i+1}^x + 2s_{i-1}^z s_i^x s_{i+1}^z - 2i(s_i^y s_{i+1}^y - s_i^z s_{i+1}^z) \right] e^{i\omega t} + (c.c.).$$
(S41)

Next, we consider the quantum model

$$\begin{cases} H_0 = -\sum_{i=1}^{N} [J_z \sigma_i^z \sigma_{i+1}^z + J_x \sigma_i^x \sigma_{i+1}^x + h \sigma_i^z], \\ V(t) = -\xi \operatorname{sgn}[\cos(\omega t)] \sum_{i=1}^{N} \sigma_i^x. \end{cases}$$
(S42)

The high-frequency expansion of $H_F = H_0 + \Omega_1/\omega + \Omega_2/\omega^2 + \dots$ is given by

$$\frac{1}{\omega}\Omega_1 = 0, \tag{S43}$$

$$\frac{1}{\omega^2}\Omega_2 = \frac{\pi^2\xi^2}{6\omega^2} \sum_{i=1}^N \left[2J_z(\sigma_i^z \sigma_{i+1}^z - \sigma_i^y \sigma_{i+1}^y) + h\sigma_i^z \right].$$
 (S44)

The driving field in the dressed Hamiltonian, $V^{(n)}(t) \approx V_1^{(n)} e^{-i\omega t} + V_{-1}^{(n)} e^{i\omega t}$ is given by

$$V^{(1)}(t) = \sum_{m \neq 0} \frac{[H_0, V_m]}{m\hbar\omega} e^{-im\omega t}$$
(S45)

$$V^{(2)}(t) \approx \frac{8i\xi}{\pi\omega^2} \sum_{i=1}^{N} \left[2J_z^2 \sigma_{i-1}^z \sigma_i^x \sigma_{i+1}^z + J_z J_x (\sigma_{i-1}^y \sigma_i^y \sigma_{i+1}^x + \sigma_{i-1}^x \sigma_i^y \sigma_{i+1}^y - \sigma_{i-1}^z \sigma_i^z \sigma_{i+1}^x - \sigma_{i-1}^x \sigma_i^z \sigma_{i+1}^z) + h_z (2J_z - J_x) (\sigma_i^x \sigma_{i+1}^z + \sigma_i^z \sigma_{i+1}^x) + (2J_z^2 + h_z^2) \sigma_i^x \right] e^{i\omega t} + (\text{c.c}).$$
(S46)

It should be noted that the heating rate for n = 1 evaluated by using the formula (S10) is exactly identical to that for n = 0. It is shown as follows. The first-order dressed Hamiltonian is given by $\tilde{H}^{(1)}(t) = H_0 + V^{(1)}(t)$ with Eq. (S45). In Eq. (S10), matrix elements $\langle b|[H_0, V_m]|a\rangle$ appear, which gives $m\hbar\omega \langle b|V_m|a\rangle$ because of the condition $E_b - E_a = m\hbar\omega$. The factor $m\hbar\omega$ cancels by the denominator of Eq. (S45), and hence $[H_0, V_m]/m\hbar\omega$ in Eq. (S45) can be replaced by V_m . Consequently, as for the calculation of the heating rate, the first-order dressed Hamiltonian $\tilde{H}^{(1)}(t) = H_0 + V^{(1)}(t)$ is equivalent to the bare Hamiltonian $H(t) = H_0 + V(t)$, and hence the heating-rate formula for n = 1 is exactly identical to that for n = 0 in the present model.

E. DETAILS ON NUMERICAL CALCULATIONS

Our formula on the heating rate presented in the main text corresponds to the linear response formula [Eq. (S8) for a quantum system and Eq. (S12) for a classical system] with the replacement of $H_0 \rightarrow H_F^{(n)}$ and $V(t) \rightarrow V^{(n)}(t)$.

Quantum systems

For numerical calculations, we used Eq. (S10) with the microcanonical distribution

$$p_{a} = \begin{cases} \frac{1}{\Sigma(E)} & \text{when } E_{a} \in [E - \Delta E, E], \\ 0 & \text{otherwise,} \end{cases}$$
(S47)

where E_a and $|a\rangle$ are an eigenvalue and the corresponding eigenstate of $H_F^{(n)}$, and $\Sigma(E)$ is the number of eigenstates of $H_F^{(n)}$ with an eigenvalue between $E - \Delta E$ and E.

We fix the inverse temperature β ($\beta = 0.23$ in our numerical calculation presented in the main text) and calculate $E = \sum_{a} E_{a} e^{-\beta E_{a}}/Z$, where $Z = \sum_{a} e^{-\beta E_{a}}$. This energy *E* is used for the microcanonical distribution. The energy width ΔE is fixed as $\Delta E = 0.1N$ in numerical calculations.

In Eq. (S10), the delta function appears. In numerics, the delta function is approximated as

$$\delta(x) \approx \begin{cases} \frac{1}{\delta E} & \text{if } |x| \le \frac{\delta E}{2}, \\ 0 & \text{otherwise.} \end{cases}$$
(S48)

Classical systems

Since the Fourier transform of the auto-correlation function of $\dot{V}^{(n)}(z_t)$ equals that of $V^{(n)}(z_t)$ multiplied by ω^2 , we used the following formula to calculate the heating rate:

$$\kappa = \frac{\beta}{2N} \sum_{m \neq 0} (m\omega)^2 \int_{-\infty}^{\infty} dt \, \left\langle V_m^{(n)*}(z_t) V_m^{(n)}(z_0) \right\rangle e^{im\omega t}.$$
(S49)

By using the Wiener-Khinchin theorem, the auto-correlation function in the above equation is evaluated through the power spectrum of $V^{(n)}(z_t)$. The inverse temperature β is fixed to be 0.9.

Frequency dependences

We show numerical results on the heating rate for different frequencies. In Fig. S1 (a), for the same classical model discussed in the main text, we compare the heating rate calculated by solving the equations of motion and that evaluated by our formula with n = 2. We see that our formula reproduces the actual heating rate. For lower frequencies, we see larger deviations, which is consistent with the fact that our formula is based on the high-frequency expansion.

In Fig. S1 (b), we show the frequency dependence of the heating rate. We see that heating is exponentially suppressed at high frequencies. It is noted that exponential suppression of the heating is rigorously established in quantum lattice systems [S6–S8]. In Ref. [S9], it is argued that it also occurs in classical systems. The present numerical result is consistent with those previous studies.

The heating rate at high frequencies thus behaves as $\kappa \sim e^{-c\omega}$ with c > 0. From Fig. S1, we see that the coefficient *c* depends on the driving amplitude ξ .



7



FIG. S1. (a) Heating rates calculated by exactly solving the equations of motion (symbols) and those estimated by our formula with n = 2 (dashed lines). Different colors imply different period (frequency) of the driving. (b) Frequency dependence of the heating rate for weak ($\xi = 0.5$) and strong ($\xi = 2.5$) driving. Dashed lines correspond to the heating rate estimated by our formula with n = 2.

- [S1] R. Kubo, Statistical Mechanical Theory of Irreversible Processes. I. General Theory and Simple Applications to Magnetic and Conduction Problems, J. Phys. Soc. Japan 12, 570–586 (1957).
- [S2] R. Kubo, M. Toda, and N. Hashitsume, Statistical Physics II (Springer, Berlin, 1991).
- [S3] M. Bukov, L. D'Alessio, and A. Polkovnikov, Universal high-frequency behavior of periodically driven systems: From dynamical stabilization to Floquet engineering, Adv. Phys. 64, 139–226 (2015), arXiv:1407.4803.
- [S4] A. Eckardt and E. Anisimovas, High-frequency approximation for periodically driven quantum systems from a Floquet-space perspective, New J. Phys. 17, 093039 (2015), arXiv:1502.06477.
- [S5] T. Mikami, S. Kitamura, K. Yasuda, N. Tsuji, T. Oka, and H. Aoki, Brillouin-Wigner theory for high-frequency expansion in periodically driven systems: Application to Floquet topological insulators, Phys. Rev. B 93, 144307 (2016), arXiv:1511.00755.
- [S6] T. Kuwahara, T. Mori, and K. Saito, Floquet-Magnus theory and generic transient dynamics in periodically driven many-body quantum systems, Ann. Phys. (N. Y). 367, 96–124 (2016), arXiv:1508.05797.
- [S7] T. Mori, T. Kuwahara, and K. Saito, Rigorous Bound on Energy Absorption and Generic Relaxation in Periodically Driven Quantum Systems, Phys. Rev. Lett. 116, 120401 (2016).
- [S8] D. Abanin, W. De Roeck, W. W. Ho, and F. Huveneers, A Rigorous Theory of Many-Body Prethermalization for Periodically Driven and Closed Quantum Systems, Commun. Math. Phys. 354, 809–827 (2017), arXiv:1509.05386.
- [S9] W. Hodson and C. Jarzynski, Energy diffusion and absorption in chaotic systems with rapid periodic driving, Phys. Rev. Res. 3, 013219 (2021), arXiv:2102.13211.