The Brain Tumor Sequence Registration (BraTS-Reg) Challenge: Establishing Correspondence Between Pre-Operative and Follow-up MRI Scans of Diffuse Glioma Patients

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Abstract

Registration of longitudinal brain Magnetic Resonance Imaging (MRI) scans containing pathologies is challenging due to dramatic changes in tissue appearance. Although there has been considerable progress in developing general-purpose medical image registration techniques, they have not yet attained the requisite precision and reliability for this task, highlighting its inherent complexity. Here we describe the Brain Tumor Sequence Registration (BraTS-Reg) challenge, as the first public benchmark environment for deformable registration algorithms focusing on estimating correspondences between pre-operative and follow-up scans of the same patient diagnosed with a diffuse brain glioma. The challenge was conducted in conjunction with both the IEEE International Symposium on Biomedical Imaging (ISBI) 2022 and the International Conference on Medical Image Computing and Computer-Assisted Intervention (MICCAI) 2022. The BraTS-Reg data comprise de-identified multi-institutional multi-parametric MRI (mpMRI) scans, curated for size and resolution according to a canonical anatomical template, and divided into training, validation, and testing sets. Clinical experts annotated ground truth (GT) landmark points of anatomical locations distinct across the temporal domain. The training data with their GT annotations, were publicly released to enable the development of registration algorithms. The validation data, without their GT annotations, were also released to allow for algorithmic evaluation prior to the testing phase, which only allowed submission of containerized algorithms for evaluation on hidden hold-out testing data. Quantitative evaluation and ranking was based on the Median Euclidean Error (MEE), Robustness, and the determinant of the Jacobian of the displacement field. The top-ranked methodologies yielded similar performance across all evaluation metrics and shared several methodological commonalities, including pre-alignment, deep neural networks, inverse consistency analysis, and test-time instance optimization per-case basis as a post-processing step. The top-ranked method attained the MEE at or below that of the inter-rater variability for approximately 60% of the evaluated landmarks, underscoring the scope for further accuracy and robustness improvements, especially relative to human experts. The aim of BraTS-Reg is to continue to serve as an active resource for research, with the data and online evaluation tools accessible at https://bratsreg.github.io/.

Keywords: BraTS-Reg, Registration, Glioma, MRI, Longitudinal, Diffuse, glioma, Glioblastoma

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1. Introduction

Registration is a fundamental problem in medical image analysis (Sotiras et al., 2013; Ou et al., 2014) that aims to find spatial correspondences between two images and align them for various downstream applications. Accurate longitudinal image registration between pre-operative and follow-up scans is particularly crucial for patients with brain tumors. Such registration can aid in analyzing the characteristics of healthy tissue, potentially identifying tumor recurrence (Han et al., 2020). Furthermore, it can enhance our understanding of underlying pathophysiological processes and improve treatment response assessment. Diffuse glioma, and specifically Isocitrate Dehydrogenase (IDH)-wildtype glioblastoma as per the World Health Organization (WHO) classification of tumors of the central nervous system (CNS WHO grade 4) (WHO Classification of Tumours Editorial Board, 2021), is the most common and aggressive malignant adult brain tumor that heavily and heterogeneously infiltrates and deforms its surrounding brain tissue. Finding imaging signatures in the pre-operative setting that can predict tumor infiltration and subsequent tumor recurrence is very important in the treatment and management of brain diffuse glioma patients (Akbari et al., 2016), as it could influence treatment decisions even at baseline patient evaluations (Kwon et al., 2015).

The registration between pre-operative and follow-up multi-parametric Magnetic Resonance Imaging (mpMRI) scans of patients with diffuse glioma is important yet challenging due to i) large deformations in the brain tissue, caused by the tumor's mass effect, ii) missing correspondences between the apparent tumor in the pre-operative baseline scan and the resection cavity in the follow-up scans, and iii) inconsistent intensity profiles between the acquired scans, as MRI acquisition does not depend on standardized units unlike, for example, Computed Tomography (CT) that depends on Hounsfield units. Fig. 1 shows a baseline pre-operative and follow-up scan of the same glioma patient, with the resection cavity, tumor, and peritumoral edematous regions marked. The peritumoral tissue labeled as 'edema' in the pre-operative scan, is known to also include infiltrated tumor cells that may lead to recurring tumors apparent in follow-up scans. Thus, corresponding brain tissue regions can have highly heterogeneous intensity profiles across the same brain. This raises the need for the development of accurate deformable registration algorithms to establish spatial correspondences between the pre-operative and follow-up mpMRI brain scans. This would facilitate mapping follow-up information onto baseline scans to elucidate imaging signatures that can be used for detection of recurrence in future unseen cases (Akbari et al., 2020). Such algorithms must be able to account for the large deformations in the pre-operative scan due to the tumor's mass effect, as well as their relaxation in follow-up scans after tumor resection. Additional complexities arise from alterations introduced by tumor resection, follow-up radiation treatment, and, in many cases, local tumor progression. Addressing these challenges remains open despite several decades of research in image registration, even specific to this problem (Kwon et al., 2013, 2015; Han et al., 2018; Ou et al., 2014). Prior work has typically explored modifying standard registration algorithms by including cost-function masking (i.e., masking out the tumor or cavity areas from the alignment process) and interpolating displacements of the underlying tissue from nearby healthy areas. However, no comprehensive analysis of these approaches is currently available. Importantly, there is a lack of efforts to measure local alignment errors comprehensively.

This paper describes the design and outcomes of the Brain Tumor Sequence Registration (BraTS-Reg) challenge, which provided a substantial collection of retrospective multi-institutional mpMRI data, representing patient populations with distinct demographics. The primary aim of BraTS-Reg was to establish a public benchmark environment for deformable registration algorithms. The objectives of the challenge were to: i) identify the most effective registration algorithm for the specific task, and ii) establishing a quantitative baseline by assessing the accuracy of current state-of-the-art algorithms. The rest of the manuscript provides an overview of prior work in this field, followed by a

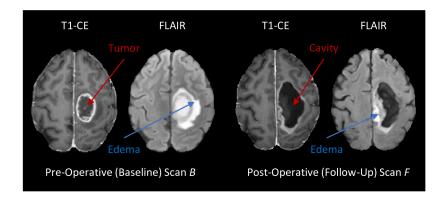


Figure 1: Example of a pre-operative baseline and its corresponding follow-up MRI scan. The contrast-enhanced T1-weighted (T1-CE), and the T2 Fluid Attenuated Inversion Recovery (FLAIR) baseline scan clearly show the tumor and the edema, respectively. Similarly, the T1-CE follow-up scan shows the resection cavity, whereas the edema is visible in the FLAIR scan.

complete summary of the BraTS-Reg challenge conducted in conjunction with both the IEEE International Symposium on Biomedical Imaging (ISBI) 2022 and the International Conference on Medical Image Computing and Computer-Assisted Intervention (MICCAI) 2022. It describes all the details including, but not limited to, the data description, the evaluation approach, the summary of participating methods, and the meta-analysis of the obtained results.

2. Prior Work

2.1. Approaches for Baseline-to-follow-up Registration

Few approaches have been proposed to tackle the task of image registration between pre-operative and followup mpMRI scans. In 2013, Kwon et al. (Kwon et al., 2013) developed PORTR, a registration approach combining registration and segmentation through an expectation-maximization optimization approach coupled with a biophysical tumor growth modeling framework. They continued their research using patient-specific templates to estimate brain anatomy prior to tumor evolution (Kwon et al., 2015). (Chen et al., 2015) coupled image registration and automatic detection of regions with missing correspondences and (Feng et al., 2015) modeled region correspondences between images using graph matching. An approach for combining image registration with reconstruction was presented by (Han et al., 2018) and a method for finite element biomechanical modeling for registration using image-guided neurosurgery was developed in (Drakopoulos and Chrisochoides, 2016). Biomechanical models can benefit brain deformation analysis as well as tumor progression evaluation, as Lipkova et al. (Lipková et al., 2022) and Ezhov et al. (Ezhov et al., 2023) demonstrated. (Waldmannstetter et al., 2020) utilized deep reinforcement learning for re-detecting landmarks in preand post-operative brain scans. There has also been some development in the field of deformable registration of images with pathologies in general, including the simulation of tumor mass effect like in (Zacharaki et al., 2008), where patientspecific brain anatomy is imitated and in (Han et al., 2020), where a deep network is utilized to reconstruct images with pathologies by disentangling the tumor mass effect from the reconstruction of quasi-normal images. Integrating image registration with biophysical modeling is a field with numerous advances (Kwon et al., 2013, 2015; Scheufele et al., 2019). Nevertheless, there is still research required in this field, especially when it comes to fully automatic registration algorithms. Moreover, most of the referenced methods have been tested on limited amounts of local data and have not been benchmarked to demonstrate stable performance across common multi-institutional datasets.

2.2. Related Challenges and Benchmarks

In the last few years, multiple challenges and benchmarks related to image registration, or brain tumors, have taken place. Starting in 2012 and ongoing to this date, the Brain Tumor Segmentation (BraTS) Benchmark (Menze et al., 2014; Bakas et al., 2017c, 2018, 2017a,b; Baid et al., 2021) has shown a remarkable impact on the development of algorithms for the segmentation of brain tumors. For a subset of the training and testing data of BraTS, several scans are available acquired at pre- and post-operative timepoints. In the field of image registration, multiple challenges and benchmarks have been designed. Learn2Reg (Hering et al., 2022) yearly organizes data for several registration tasks. The Continuous Registration Challenge (Marstal et al., 2019) provides a platform for benchmarking registration methods for the registration of lung CT and brain MRI scans in a fully automated way. Various other challenges exist, addressing the evaluation of MRI-to-ultrasound registration methods for brain shift correction (CuRIOUS) (Xiao et al., 2019), the automated registration of histological images (ANHIR) (Borovec et al., 2020), the automated registration of breast cancer tissue (ACROBAT) (Weitz et al.), and the evaluation of registration methods on thoracic CT (EMPIRE10) (Murphy et al., 2011).

2.3. Existing Datasets for Deformable Registration and Baseline-to-follow-up Registration

Several datasets suitable for the task of deformable, and baseline-to-follow-up registration, are publicly available.

QIN GBM Treatment Response: MRI data from 54 newly diagnosed glioblastoma patients, including pre- and post-operative images. Post-operative scans were acquired after surgery, but prior to therapy start (Prah et al., 2015; Mamonov and Kalpathy-Cramer, 2016a,b).

IvyGap: 390 studies for 39 patients including pre-operative, post-operative and follow-up mpMRI scans. Genomic information and digitized histopathology tissue sections are also provided (Puchalski et al., 2018a; Shah et al., 2016a).

UPenn-GBM: MRI data from 60 patients with pre-operative and follow-up scans, which were acquired after a second resection. The dataset includes information on genomics, radiomics, and digitized tissue sections for a comprehensive analysis (Bakas et al., 2022, 2021).

LUMIERE: Longitudinal MRI data of 91 glioblastoma patients with pre-operative and follow-up scans. Additional provided information includes expert ratings according to the RANO guidelines, patient age at the time of diagnosis, sex, overall survival time, tumor segmentations, radiomics, and pathology information (Suter et al., 2022).

Nevertheless, the amount of patient data including pre- and post-operative scans offered by each of these datasets is limited (<100 patients). This is particularly limiting for deep learning algorithms, which typically require a larger dataset for effective training and evaluations. Importantly, none of the listed datasets include expert landmark annotations.

3. Challenge Description

3.1. Overview

We organized the first BraTS-Reg challenge focusing on estimating correspondences between baseline pre-operative and follow-up scans of patients with brain gliomas and intended to establish a benchmark environment for deformable registration algorithms. The challenge was first organized in March 2022, and conducted as a virtual event in conjunction with the IEEE ISBI. It was later held as an in-person event in September 2022, in conjunction with the MICCAI conference in Singapore. Interested participants were required to register on the online evaluation portal, which was also previously utilized as the evaluation platform for the BraTS challenge from 2017 to 2021 (Menze et al., 2014;

Bakas et al., 2017c, 2018; Baid et al., 2021; Bakas et al., 2017a,b). Upon registration, participants were granted access to i) training data including GT annotations, and ii) validation data without GT annotations. They were also provided with the necessary resources for the quantitative evaluation of their containerized algorithms. The portal automatically computed and returned detailed case-wise performance scores to participants for their submissions. We further maintained an unranked live leaderboard ¹ for the training and validation phases. Participants were given 3.5 months from the challenge start date to submit the containerized algorithm and short paper describing their method and results. The organizers evaluated the containers on testing data and reviewed the paper to ensure it contained sufficient details required to understand and reproduce the algorithm within 30 days.

The participants who secured top rankings in the testing phase of both the ISBI and MICCAI challenges were invited to orally present their methods. The final challenge rankings were officially announced during the respective conferences. Participants from both the ISBI and MICCAI challenges who submitted functioning containerized algorithms were given the opportunity to extend their papers. Accepted papers, after a double-blind review process, were published in Springer Lecture Notes in Computer Science (LNCS) (Mok and Chung, 2022b; Wodzinski et al., 2022; Großbröhmer et al., 2022; Canalini et al., 2022; Meng et al., 2022a; Almahfouz Nasser et al., 2022; Abderezaei et al., 2022; Zeineldin et al., 2022; Yan and Yan, 2022).

3.2. Multi-institutional Data Sources

The mpMRI data utilized in the BraTS-Reg challenge consisted of curated and pre-processed retrospective multi-institutional data obtained from routine clinical practice. Specifically, the BraTS-Reg data comprise 259 diffuse glioma patients from our affiliated institutions and from the publicly available The Cancer Imaging Archive (TCIA) (Clark et al., 2013) collections of: i) TCGA-GBM (Scarpace et al., 2016), ii) TCGA-LGG (Pedano et al., 2016), iii) IvyGAP (Puchalski et al., 2018b; Shah et al., 2016b), and iv) CPTAC-GBM (TCIA, 2016; Wang et al., 2021). For the private institutional datasets, the protocol for releasing the data was approved by the institutional review board of the contributing institutions. The complete dataset consisted of 259 pairs of pre-operative baseline and follow-up brain mpMRI scans, with each pair corresponding to the same patient diagnosed and treated for an adult diffuse glioma (WHO CNS grades 3-4). The specific mpMRI sequences at each time-point were i) native T1-weighted (T1), ii) contrast-enhanced T1 (T1-CE), iii) T2-weighted (T2), and iv) T2 Fluid Attenuated Inversion Recovery (FLAIR).

Following close coordination with the clinical experts of the organizing committee (H.A., M.B., B.W., J.S., E.C., J.R., S.A., M.M.), the time-window between the two paired scans of each patient was decided to be selected such that i) the scans of the two time-points had sufficient apparent tissue deformations, and ii) confounding effects of surgically induced contrast enhancement (Albert et al., 1994; Wen et al., 2010) were avoided. Therefore, after a thorough visual assessment, the identified follow-up data had to be at least 27 days after the initial surgery. Thus, the time-window between all pairs of baseline and follow-up mpMRI scans was in the range of 27 days – 48 months (Fig. 2).

3.3. Data Preparation

The complete multi-institutional dataset consisted of scans acquired under routine clinical conditions, and hence reflected very heterogeneous acquisition equipment and protocols, resulting in differences in the image properties. To ensure consistency and keep the challenge focused on the registration problem, all included scans were pre-processed and provided to the participants in the Neuroimaging Informatics Technology Initiative (NIfTI) file format (Cox et al.,

¹https://www.cbica.upenn.edu/BraTSReg22/

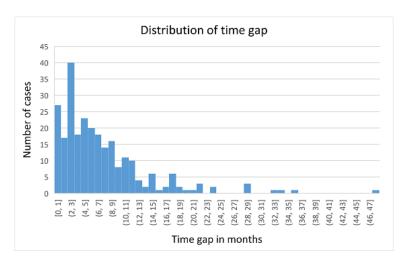


Figure 2: Distribution of time gap between baseline and followup scan over the complete data used in BraTS-Reg challenge. Each bin of the histogram indicates one month starting from 0 to 48.

2004). Specifically, three steps of pre-processing were performed for the complete challenge dataset, in line with the BraTS pre-processing protocol (Menze et al., 2014; Bakas et al., 2017c, 2018; Baid et al., 2021): i) all scans were first re-oriented into the Left-Post-Superior (LPS) coordinate system and rigidly co-registered to the same canonical anatomical space (i.e., the SRI24 atlas (Rohlfing et al., 2010)). ii) all scans were then resampled to the same isotropic resolution (i.e., $1mm^3$). iii) subsequently, brain extraction was performed to remove non-cerebral tissues like the skull, scalp, and dura from scans (Thakur et al., 2020). Depending on the data source, these steps have been performed using different tools (Thakur et al., 2020; Davatzikos et al., 2018; Kofler et al., 2020; Chakrabarty et al., 2023), all resulting in the same image format. Rigid registration was performed using either "Greedy" (Yushkevich et al., 2016b), a CPUbased C++ implementation of the greedy diffeomorphic registration algorithm (Joshi et al., 2004), or ANTs (Avants et al., 2011), depending on the institutional source. Greedy shares multiple concepts and implementation strategies within the SyN tool in the ANTs package but focuses on computational efficiency. Brain extraction was performed using Brain Mask Generator (BrainMaGe³) (Thakur et al., 2019, 2020) or HD-BET (Isensee et al., 2019). BrainMaGe is based on a deep learning segmentation architecture (namely U-Net (Ronneberger et al., 2015a)) and uses a novel training strategy introducing the brain shape as a prior and hence allowing it to be agnostic to the input MRI sequence. HD-BET is another deep learning-based brain extraction method trained on glioma patients. Notably, the complete pre-processing pipeline has been incorporated in the BraTS Toolkit (Kofler et al., 2020), the Cancer Imaging Phenomics Toolkit (CaPTk⁴ (Davatzikos et al., 2018; Fathi Kazerooni et al., 2020; Rathore et al., 2017; Pati et al., 2019)), and the Integrative Imaging Informatics for Cancer Research: Workflow Automation for Neuro-Oncology (I3CR-WANO⁵) framework (Chakrabarty et al., 2023), which were actually used for the pre-processing of the provided sequences.

3.4. Landmark Annotation Protocol

The clinical experts of our team (H.A., M.B., B.W., J.S., E.C., J.R., S.A., M.M.) were provided with the segmentation of the tumor core (i.e., the potentially resectable region) and with specific annotation instructions. Specifically, for each pre-operative scan, an expert placed χ number of landmarks near the tumor (within 30mm) and ψ number of

²github.com/pyushkevich/greedy

³github.com/CBICA/BrainMaGe

 $^{^4}$ www.cbica.upenn.edu/captk

⁵https://github.com/satrajitgithub/NRG_AI_NeuroOnco_preproc

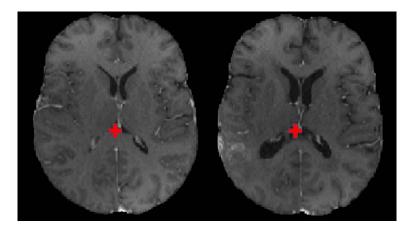


Figure 3: An example of corresponding pair of landmark point in Baseline (left) and Follow-up (Right) superimposed on t1ce scan for visualization

landmarks far from the tumor (beyond 30mm). Subsequently, the expert identified the corresponding landmarks in the post-operative follow-up scan. For the data used in the longitudinal analyses, the corresponding landmarks were identified by the experts in the second follow-up scans as well. The landmarks were defined on anatomically distinct locations, such as blood vessel bifurcations and anatomical landmarks of the midline of the brain. Fig. 3 shows a sample landmark point marked in the baseline scan along with the location of its corresponding landmark in the follow-up scan. The total number of landmarks ($\chi + \psi$) varied for each case between 6 and 50. The annotators were given the flexibility to use their preferred tool (including MIPAV (McAuliffe et al., 2001), CaPTk (Davatzikos et al., 2018), and ITK-SNAP (Yushkevich et al., 2016a)) for making the annotations. Finally, the coordinates of the landmark locations were saved into a common comma-separated value (.csv) format.

3.5. Inter-Rater Annotation Variability

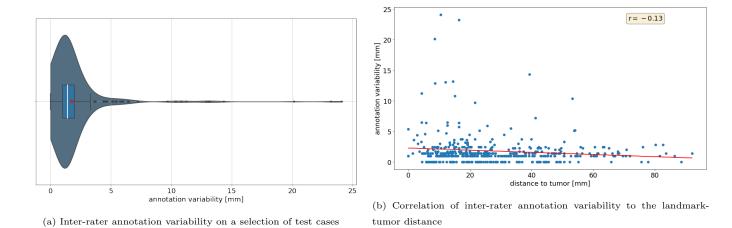


Figure 4: Inter-rater analysis. (a) shows the distribution of inter-rater annotation variability over a selection of test cases, with median = 1.41 and mean = 1.77. This variability is correlated to the respective landmark-tumor distance (blue) in (b). The respective regression line (red) and a Pearson correlation coefficient of r = -0.13 show a small correlation between annotation variability and landmark-tumor distance.

To enable the assessment of variance in our annotation process, a second expert re-annotated landmarks in follow-up scans for a subset of 21 subjects from the dataset, leading to 446 re-annotated landmarks in total. The re-annotation allowed us to assess the annotation variability (AV) and provided an important baseline for comparing differences in tracking errors between various methods. Fig. 4a illustrates the distribution of inter-rater variability for the selected

21 samples. For the majority of evaluated landmarks, the AV between clinical experts lied in the range of 1-4mm, sometimes reaching up to 24mm. To investigate whether landmarks in the vicinity of the tumor are more difficult to annotate, we correlated landmark-wise AV with respective distance to the tumor core (cf. Fig. 4b). We observed increased levels of variability, especially in the close vicinity of the tumor. As the distance increased from the tumor, the variability decreased. A Pearson correlation coefficient of -0.13 supported these findings, postulating a small correlation.

3.6. Data Partitioning in Distinct Independent Cohorts

Following the paradigm of algorithmic evaluation in the machine learning domain, the complete dataset was divided randomly and proportionally into training (70%), validation (10%), and testing/ranking cohorts (20%), while taking into consideration the 1) varying deformation levels (as estimated by the GT annotations), 2) time-window between baseline and follow-up scans, and 3) number of landmarks.

3.6.1. Training Data

The training cohort consisted of 140 cases, with each case consisting of 4 baseline (B) and 4 follow-up (F) scans, where corresponding four sequences have been acquired during the same session. The challenge participants were provided with the mpMRI scans and the unique landmark point locations on the baseline, as well as on the follow-up scan. The participants were expected to use this data for developing and evaluating their image registration methods and subsequently submit results to the online evaluation portal.

3.6.2. Validation Data

The validation data of 20 cases was provided to the participants as scan pairs of B and F with landmarks provided only for the F scan. Participants were requested to submit:

- Coordinates of warped landmark locations in the B scan: coordinates needed to be submitted in x, y, z format and had to be stored in a comma separated value (.csv) file with each row corresponding to a different landmark.
- \bullet Determinant of the Jacobian of the displacement field: This needed to be submitted as a NIfTI image with the same geometry as the B scan.

3.6.3. Test Data

The test data contained 50 cases and followed the same format and submission requirements as the validation data. Additionally, a second follow-up timepoint (follow-up 2) was obtained for a subset of 9 subjects from the testing cohort to perform a longitudinal performance analysis of the submitted methods. For follow-up 2, we included the same data as the other timepoints, i.e., T1, T1-CE, T2, and FLAIR scans and landmark points annotated by clinical experts. This data was neither released to the participants nor it is intended to be publicly released at any point.

3.6.4. Containerization of Participants' Methods

The participants were requested to containerize their methods using Singularity containers⁶ and submit them to the challenge organizers for evaluation and ranking. The organizers ran all the methods on the test data using their own computational infrastructure. This enabled confirmation of reproducibility and maximization of the use of these

 $^{^6 \}mathtt{https://docs.sylabs.io/guides/3.5/user-guide/introduction.html}$

algorithms towards offering publicly available solutions for this problem. Detailed and specific instructions for creating singularity containers of uniform Application Programming Interface (API) for this challenge were provided ⁷. There were some differences in the testing phase of ISBI and MICCAI as follows:

• ISBI BraTS-Reg Challenge

The testing cohort consisted of 43 scan pairs. Participants were asked to containerize their algorithms such that they produced i) warped landmark locations in the B scan and ii) determinant of the Jacobian of displacement field.

• MICCAI BraTS-Reg Challenge

We included seven additional heavily annotated cases (upto 50 landmarks) in the testing set leading to a total of 50 cases. Also, instructions to prepare the containers were updated such that they should produce the following additional outputs along with the i) and ii) above:

- Forward and backward displacement fields.
- Follow-up sequences registered to baseline sequences.
- apply_deformation() python function to apply a user-specified deformation field on any given input image
 or segmentation mask and return the output image or mask.

Having the additional outputs like the displacement field and the apply_deformation() function gives flexibility in using these containers for additional analyses and applications.

3.6.5. External Out of Distribution (OOD) Test Data

Another subset of 49 cases was reserved to evaluate the generalizability performance of various methods on an unseen Out of Distribution (OOD) test data. Notably, this OOD dataset was not used for the ranking of the participants, in line with the original design document of the challenge (Bhakti Baheti et al., 2022).

3.7. Participation Policies & Awards

The participating teams were allowed to submit the results multiple times for the training and validation phase. However, for the testing phase, they were allowed to submit the container only once. Participants were contacted if the container resulted in errors and these errors were resolved in discussion with the participants. In order to be considered for ranking and award, participants were also asked to submit a short manuscript describing their methods and results. Containers were evaluated only if participants submitted the accompanying manuscript. Furthermore, the manuscript was considered for publication only if the teams successfully submitted the working container. Lastly, all participants were offered to be included as co-authors of this post-challenge meta-analysis manuscript.

3.7.1. Public Release of Participating Methods

Participants were asked to compete in this challenge only with fully automated algorithms. User interaction (e.g., semi-automated methods) was not allowed, as the participants needed to submit their method in a singularity container to be evaluated by the organizers on the hidden testing data. This was done to ensure that these algorithms can also be used in the future by anyone following the specific instructions on how to use the participants' containers. By

Thttps://github.com/satrajitgithub/BraTS_Reg_submission_instructions

submitting their containerized algorithm, challenge participants agreed to provide their written permission to make their containers publicly available ⁸.

3.7.2. Awards

Participants from the organizers' research groups could participate in the challenge but were not eligible for any awards. The top three performing methods from each challenge were asked to give 10-minute presentations in the main event describing their method in order to receive the award.

3.7.3. Use of Additional Data

Participants were allowed to augment the provided dataset with additional public and/or private data for scientific publication purposes. However, it was mandatory for them to explicitly mention this in their submitted manuscripts. Importantly, it was made clear that participants who would proceed with such an extension of the challenge dataset, must also report results using only the challenge dataset and discuss any potential differences in results in their manuscript. This was due to our intentions to identify if additional data could contribute to providing an improved solution as well as to provide a fair comparison among the participating methods.

4. Participating Methods

In the ISBI 2022 challenge, a total of 79 teams registered, allowing them to download the data and submit the results for the training and validation phase. Finally, 6 teams submitted the singularity containers for the testing phase. In MICCAI 2022, the number of registered teams increased to 110 of which 9 teams successfully submitted their singularity containers. Four teams participated in both ISBI and MICCAI challenges.

After the conclusion of the challenge, a few external groups working in the image registration domain were invited to develop methods for the BraTS-Reg challenge. These additional invited teams were also required to submit their singularity containers for evaluation on testing data. A request from one of the participating teams to evaluate a second version of their container after the completion of the challenge was accommodated and the method is suffixed with the term post-challenge (pc) to distinguish it from other methods. Table 1 gives an overview of the participating methods. Detailed descriptions of the evaluated methods can be found in Appendix A. Invited methods are indicated with *.

⁸https://cloud.sylabs.io/library/search?q=brats_reg

Team	MRI sequences	Loss function	Optimizer	Affine prealignment	Landmarks	Pre-processing	Post-processing	Data augmentation
AGHSSO	T1, T1CE, T2, FLAIR	NCC, DR, IC	Adam	Yes	No	IN	N/A	N/A
ANTs*	T1CE	CC	GD	Yes	No	N/A	N/A	N/A
BDAV	T1, T1CE	NCC, MSE	Adam	No	Yes	IN	N/A	random pairing
• CaMed	T1CE	GE, NCC	Adam	Yes	Yes	IN	N/A	random cropping patches
• cwmok	T1, T1CE, T2, FLAIR	NGF, LNCC		Yes	No	IN	IO	$ \begin{array}{c} {\rm rotation}, \\ {\rm translation} \end{array} $
GradICON*	T1, T1CE, T2, FLAIR	LNCC, GIC	Adam	No	No	IN	IO	translation
• HyperMorph*	T1, T1CE, T2, FLAIR	NCC	Adam	Yes	No	IN	N/A	linear transforms, Gaussian noise, gamma- exponentiating
• Kurtlab	T1, T1CE, T2, FLAIR	MSE, DR	Adam	Yes	No	IN, HM	Gaussian smoothing	flipping, rotation
MeDAL	T1, T1CE	NCC, MI, L2	Adam	No	Yes	SM, IN	N/A	rotation, scaling, translation.
• MEVIS	T1CE, T2	NGF, CR, VCC	quasi-Newton l-BGFS	No	No	N/A	N/A	N/A
• MICS*	T1, T1CE, T2, $FLAIR$	$\begin{array}{c} \mathrm{RL},\mathrm{NCC},\mathrm{JL},\mathrm{LL},\\ \mathrm{MSE} \end{array}$	Adam	No	Yes	N/A	N/A	N/A
SuperX	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
SynthMorph*	T1, T1CE, T2, FLAIR	NCC, MSE, DR	Adam	No	Yes	IN	N/A	spatial deformation, shift, rotation, scaling, shear, Gaussian noise, gamma augmentation, bias field, blurring
• UZL	T1CE	MIND-MSE, DR	Adam	No	No	N/A	N/A	N/A
• YKW	Т1	DF Similar- ity/Regularity	BCD	No	No	SM	N/A	N/A

5. Quantitative Performance Evaluation and Ranking

5.1. Evaluation Metrics

The assessment of the registration between the two scans was based on manually seeded landmarks (i.e., GT annotations) in both the pre-operative and the follow-up scans, carried out by the expert clinical neuroradiologists. The performance of the developed registration methods was quantitatively evaluated based on distance metrics such as Manhattan distance, Euclidean distance, and Robustness (R). While the Manhattan distance was used for creating the ranking during the ISBI 22 and MICCAI 22 challenges, the Euclidean distance was considered for detailed analysis in this manuscript, to be inline with other challenges on medical image registration (Borovec et al., 2020; Xiao et al., 2019). All quantitative metrics were applied on the GT annotations in the baseline images. Additionally, the smoothness of the displacement field was assessed as a separate criterion.

5.1.1. Error Distance Metrics

For each pair $p \in P$ of baseline (B) and follow-up (F) scans, we refer to the landmarks in the B scan as x_l^B and the corresponding landmarks in the F scan as x_l^F . Here, $l \in L$ where L is the total number of landmarks in each scan. The participants were provided with x_l^F and asked to estimate the coordinates of the corresponding landmark points in scan B (\hat{x}_l^B) . The performance was then evaluated in terms of the Euclidean error (Euclidean distance). The Median Euclidean Error (MEE) between the submitted coordinates (\hat{x}_l^B) and the manually defined coordinates (ground truth) (x_l^B) was then defined as:

$$MEE = Median \ _{l \in L}(||x_l^B - \hat{x}_l^B||_2)$$

5.1.2. Robustness (R)

All the participating algorithms were also evaluated according to the metric of robustness (R). Similar to a successfulrate measure, we defined R as a relative value describing how many landmarks improved their MEE after registration, compared to their MEE before registration. Let us call $K^{B,F} \subseteq L^F$ the set of successfully registered landmarks, i.e., those for which the registration error decreased. Where L^F indicates the number of landmarks in the follow up scan. So, we define robustness (R) for a pair of scans (p) as the relative number of successfully registered landmarks:

$$R^{B,F}(p) = \frac{|K^{B,F}|}{|L^F|}$$

Then the average robustness over all scan pairs (P) in the particular cohort is:

$$R = \frac{1}{P} \sum_{p=1}^{P} R^{B,F}(p)$$

Therefore, R is the relative value (in the range of 0 and 1) of how many landmarks across all scan pairs have an improved MEE after registration, when compared to the initial MEE. When R is equal to 1, the average distance of all the landmarks in the target and warped images is reduced after registration, whereas 0 means that none of the distances is reduced.

5.1.3. Smoothness of the Displacement Field

We also evaluated the smoothness of the displacement field by calculating its determinant of the Jacobian and examining the number and percentage of voxels with a non-positive Jacobian determinant for each method. These voxels correspond to locations where the deformation is not diffeomorphic. Furthermore, we computed both the minimum and the 99th percentile of the Jacobian determinant (as opposed to the maximum, which is susceptible to noise). These were computed within different regions of interest (e.g., within the tumor core, within 30mm from the tumor core boundary, outside 30mm from the tumor core boundary, etc.). This metric was not planned to be used to determine the ranking of the participating algorithms during the challenge. Instead, in the event of a tie between teams, the one with the higher smoothness of displacement field would be ranked higher.

5.1.4. Baseline Performance

The baseline performance for registration between the given pre-operative baseline and follow-up scans was established using an affine registration. This decision was driven by the comparative analysis of various methods shown in (Han et al., 2018), where every deformable registration method performed better than affine registration but worse than human experts. The baseline registration was performed using the default parameters of an affine ANTs registration (affine baseline). Participating methods that performed worse than the affine registration results were considered for the BraTS-Reg challenge, but were acknowledged to state this clearly in their manuscript.

5.2. Patient-wise Ranking Strategy and the BraTS-Reg score

We observed variations in the ranking of different teams based on whether the MEE or Robustness metric was taken into account. Hence, we introduced a new ranking score termed the "BraTS-Reg score", which consolidates individual rankings. In this process, each participating team was ranked across the two evaluation metrics (MEE and R) and for each case from the entire testing set (comprising 50 cases for MICCAI), resulting in 100 rankings per team. The ultimate ranking was determined by the average of these rankings, normalized by the number of teams, and was referred to as the BraTS-Reg score.

5.3. Longitudinal Analysis

The goal of longitudinal analysis was to check the performance robustness of the proposed methods across different timepoints. For this purpose, the following four different registration tasks were performed (where m to f signifies m as the moving scan and f as the fixed target scan):

- 1. Follow-up 1 to baseline (standard task)
- 2. Follow-up 2 to baseline
- 3. Follow-up 2 to Follow-up 1
- 4. Follow-up 2 to Follow-up 1 to baseline

Tasks 1, 2 and 3 could be performed in a single operation whereas task 4 was performed in two stages. In the first stage, the follow-up 2 scans were warped to follow-up 1, and subsequently, in the second stage, these scans were further warped to the baseline scans. Task 4 aimed to identify the potential for error propagation in registration across multiple time points. To that end, the registration performances of the proposed methods were analyzed for the four tasks and the consistency of the different evaluation metrics was compared across these tasks to perform additional meta-analysis after the conclusion of the challenge. Similar to the analysis performed for the ranking evaluation, the longitudinal performances were assessed in terms of MEE, Robustness, and the BraTS-Reg score.

5.4. Analysis on the Relation of Performance and Inter-Rater Annotation Variability

For further analysis with respect to the inter-rater annotation variability as described in Section 3.5, we performed two additional analyses, similar to (Waldmannstetter et al., 2023). In the first analysis, we defined a spherical region of interest (ROI) for each reference ground truth landmark (x_l^B) in a selected subset from the dataset that was prepared for inter-rater analysis, including 21 cases with 446 re-annotated landmarks from the testing cohort. Each ROI was sized depending on its respective annotation variability AV_l^B . This variability was therefore defined as the Euclidean error (EE) between the annotations of two raters (x_{r1}^B) and (x_{r2}^B) for the same landmark:

$$AV_{l}^{B} = \text{ROI}(x_{l}^{B}) = EE_{AV}(x_{r1}^{B}, x_{r2}^{B})$$

with $EE_{AV}(x_{r1}^B, x_{r2}^B) = ||x_{r1}^B - x_{r2}^B||_2$. We then denoted a *hit* as the event (success), when the EE between the reference landmark (x_l^B) and the warped landmark (\hat{x}_l^B) (produced by a participating algorithm) was lower than the respective reference landmark's annotation variability:

$$(\hat{x}_l^B) = \begin{cases} hit, & \text{if } (\hat{x}_l^B) \in \text{ROI } (x_l^B) \\ miss, & \text{if } (\hat{x}_l^B) \notin \text{ROI } (x_l^B) \end{cases}$$

Then, we computed the ratio of *hits* and *misses*, giving us the *hit rate* of the respective algorithm. As already mentioned above, MEE and Robustness were initially assessed on the ground truth landmarks in the baseline images. For the inter-rater analysis, follow-up images were used. Kindly note that due to the difference in image sources, the results may not be directly comparable.

In a second analysis, we made use of the distribution (D) of the inter-rater annotation variability, as described in section 3.5 and visualized in Fig. 4a. We therefore computed *hit rate* curves (Waldmannstetter et al., 2023), by sampling thresholds from D using the formula:

$$median(D) + \delta \cdot median \ absolute \ deviation(D)$$

with $\delta \in [\delta_{min}, \delta_{max}]$ for positive thresholds. $[\delta_{min}, \delta_{max}]$ was therefore defined with respect to the desired evaluation range. Here, δ was chosen to be in [-1, 10] using steps of 0.5, with median = 1.41mm and median absolute deviation = 0.77mm. The goal of this evaluation was to compare the performance of the automated algorithms against the annotation variability of human experts, representing the "gold standard" in such tasks.

6. Results

6.1. Combined Results of ISBI + MICCAI Challenge

Comparative performance analysis of various participating methods in terms of MEE, Robustness, and BraTS-Reg score in ISBI and MICCAI 2022 along with the invited methods are shown separately on the actual test data and out-of-distribution (OOD) test data in Fig. 5. In all violin plots, the teams are arranged from top to bottom based on their performance, with the order reflecting the spectrum from best to worst in terms of the mean value of the corresponding evaluation metrics. As it can be observed from Fig. 5a and Fig. 5c, the team rankings are not uniform in terms of MEE and R. Team *cwmok* performed best in terms of both MEE and R, clearly indicating the top-ranked team. However, *AGHSSO*, *UZL* and *MEVIS* secured ranks 2, 3, and 4 in terms of MEE, while ranks varied in terms of robustness. This made it difficult to finalize the winners. To overcome this issue, the final ranking was based on the BraTS-Reg score

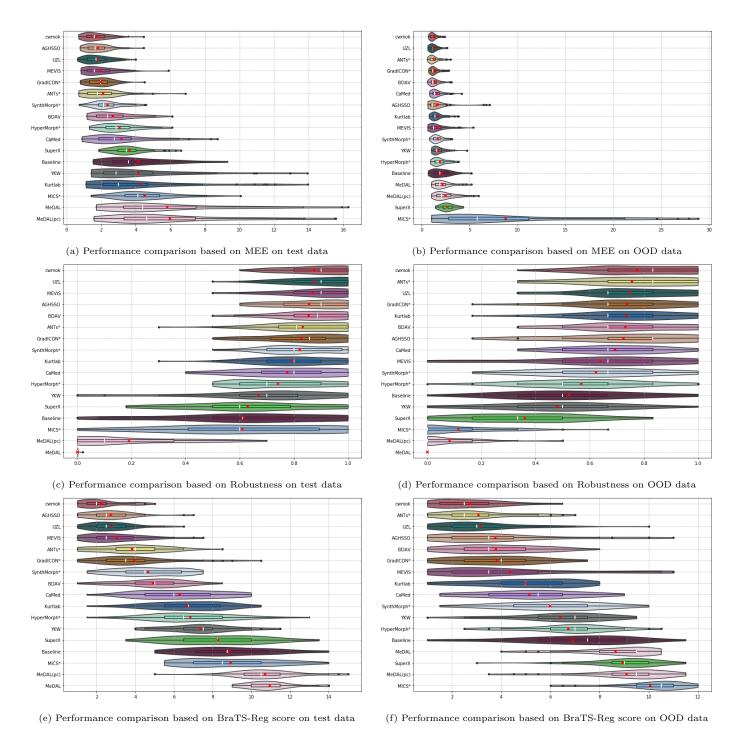


Figure 5: Comparative performance analysis of various participating methods in terms of Median Euclidean Error (MEE), Robustness and BraTS-Reg score along with the invited methods (indicated with *). The white line in the violin plots indicates the median, whereas the red cross indicates the mean of the distribution.

generated by the patient-wise ranking strategy as described in section 5.2 and is shown in Fig. 5e. Fig. 5 also shows the results on out-of-distribution (OOD) data. A detailed overview of different team-wise evaluation metrics is given in Table 2 along with the baseline performance obtained with affine registration using default parameters of ANTs.

6.2. Statistical Analysis of the Results

Summary of results as shown in Fig. 5 and Table 2 indicates that the performance of some methods was very close to each other. To more accurately quantify differences among the various methods, we employed a statistical analysis

Table 2: Overview of a variety of evaluation metrics per team on the test set. Euclidean Errors (EE) are given in mm, while Robustness is given in range [0, 1].

	Median of Median EE	Mean of Median EE	Median of Mean EE	Mean of Mean EE	Median Robustness	Mean Robustness
AGHSSO	1.66	1.79	2.26	2.51	0.90	0.86
ANTs^*	1.78	2.10	2.75	2.96	0.81	0.83
BDAV	2.38	2.68	3.13	3.43	0.89	0.85
CaMed	2.76	3.17	3.47	4.06	0.80	0.78
cwmok	1.60	1.73	2.04	2.38	0.90	0.87
MEVIS	1.59	1.95	2.44	2.75	0.90	0.87
$\operatorname{GradICON}^*$	1.95	1.97	2.61	2.73	0.86	0.83
$\operatorname{HyperMorph}^*$	2.85	3.072	3.60	3.81	0.70	0.74
Kurtlab	2.99	4.26	3.87	5.07	0.80	0.79
MeDAL	4.39	5.81	5.21	6.37	0.00	0.00
MeDAL(pc)	4.62	5.96	5.32	6.47	0.10	0.19
MICS^*	4.12	4.51	4.75	5.15	0.60	0.61
SuperX	3.46	3.62	4.10	4.39	0.60	0.63
${\rm SynthMorph}^*$	2.15	2.36	2.81	3.06	0.80	0.82
UZL	1.71	1.79	2.36	2.42	0.90	0.87
YKW	2.86	4.15	3.68	4.81	0.70	0.67
Baseline	3.59	4.14	4.48	4.86	0.61	0.61

procedure akin to those conducted in other challenges like Ischemic Lesion Segmentation (ISLES) (Maier et al., 2017; Winzeck et al., 2018) and BraTS (Menze et al., 2014; Bakas et al., 2017c, 2018, 2017a,b; Baid et al., 2021). Specifically, we performed a pairwise comparison for significant differences in the relative rankings based on 100,000 permutations to quantify the statistical similarities among the results of different methods. For each team, we started with the list of observed subject-level cumulative ranks, i.e., the actual ranking based on the BraTS-Reg score. For each pair of teams, we repeatedly randomly permuted (100,000 times) the cumulative ranks for each subject. For each permutation, we calculated the difference in the BraTS-Reg score between this pair of teams. The statistical significance of the relative rankings was determined by assessing the proportion of occasions when the difference in BraTS-Reg score, calculated using randomly permuted data, surpassed the observed difference in BraTS-Reg score (i.e., using the actual data). This proportion was reported as a p-value. These values are reported in an upper triangular matrix in Tables 3 and 4 for the test and OOD data, respectively. The statistical evaluation of the top-ranked teams on test data revealed that the first three teams were statistically similar to each other (p > 0.05). These teams were statistically better than the fourth team (p=0.0479) indicated by a horizontal line in Table 3. Similarly, all the participating methods were divided into different tiers and separated by horizontal lines for the test and OOD data in Tables 3 and 4, respectively.

6.3. Longitudinal Analysis Results

The results of the longitudinal analysis in terms of MEE, Robustness, and the BraTS-Reg score are provided in Figures 6, 7 and 8, respectively. Comparing the overall ranges of the different metrics across the four tasks, regardless of methods used, we observed notable similarities in the MEE ranges for tasks 1, 2, and 4 $(4.402\pm3.863, 4.547\pm3.498, 4.631\pm3.429, respectively)$. However, the MEE range was significantly smaller for task 3 (2.155 ± 0.872) . This may be

Table 3: Statistical significance analysis based on p-value calculation on the test data, showing different tiers between the participating teams, demarcated by horizontal lines.

	cwmok	AGHSS	O UZL	MEVIS	ANTs*	Grad ICON*	Synth Morph*	BDAV	CaMed	Kurtlab	Hyper Morph*	YKW	SuperX	Baseline	MICS*	MeDAL (pc)	MeDAL
cwmok	-	0.3385	0.1924	0.0479	0.0051	0.0144	0	0.0007	0	0.0003	0	0	0	0	0	0	0
AGHSSO	-	-	0.2945	0.1648	0.0099	0.0299	0.0018	0	0	0.0004	0.0002	0	0	0	0	0	0
UZL	-	-	-	0.2375	0.0113	0.0293	0.001	0.0003	0.0001	0	0.0003	0	0	0	0	0	0
MEVIS	-	-	-	-	0.0716	0.2936	0.0299	0.0199	0	0.0014	0.0002	0	0	0	0	0	0
ANTs^*	-	-	-	-	-	0.6445	0.1697	0.0868	0.0002	0.0153	0.0069	0	0	0	0	0	0
Grad ICON*	-	-	-	-	-	-	0.0556	0.0424	0.0003	0.0096	0.003	0.0003	0	0	0	0	0
Synth Morph*	-	-	-	-	-	-	-	0.383	0.0005	0.0329	0.0067	0.0003	0	0	0	0	0
BDAV	-	-	-	-	-	-	-	-	0.0045	0.056	0.0246	0.0003	0	0	0	0	0
CaMed	-	-	-	-	-	-	-	-	-	0.8653	0.9335	0.276	0.0593	0	0.0141	0.0009	0
Kurtlab	-	-	-	-	-	-	-	-	-	-	0.4164	0.0436	0.0148	0.0005	0.0032	0	0
Hyper Morph*	-	-	-	-	-	-	-	-	-	-	-	0.0311	0.0022	0	0.0003	0	0
YKW	-	-	-	-	-	-	-	-	-	-	-	-	0.2106	0.0045	0.0651	0.0019	0
SuperX	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0194	0.1103	0.0064	0.0003
Baseline	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.8037	0.0722	0.0005
${ m MICS}^*$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0089	0.0002
MeDAL (pc)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0423
MeDAL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Table 4: Statistical significance analysis based on p-value calculation on the OOD data, showing different tiers between the participating teams, demarcated by horizontal lines.

· ·	cwmok	ANTs*	UZL	AGHSS	OBDAV	Grad ICON*	MEVIS	Kurtlab	CaMed	Synth Morph*	YKW	Hyper Morph*	Baseline	MeDAL	SuperX	MeDAL (pc)	MICS*
cwmok	-	0.4913	0.6091	0.1707	0.0545	0.0398	0.0014	0.0156	0.0352	0	0.0001	0	0.0008	0	0	0	0
ANTs^*	-	-	0.5973	0.1447	0.0215	0.0364	0.0014	0.0081	0.0227	0.0009	0.0004	0.0002	0.0005	0	0	0	0
UZL	-	-	-	0.1494	0.0108	0.0186	0.0002	0.0032	0.0077	0	0.0001	0	0	0	0	0	0
AGHSSO	-	-	-	-	0.2688	0.4315	0.0189	0.1476	0.1993	0.0077	0.0019	0.0005	0.0025	0	0	0	0
BDAV	-	-	-	-	-	0.7444	0.0195	0.1983	0.365	0.0065	0.0025	0.0003	0.0009	0	0	0	0
Grad ICON*	-	-	-	-	-	-	0.0094	0.1157	0.2037	0.001	0.0006	0	0.0021	0	0	0	0
MEVIS	-	-	-	-	-	-	-	0.959	0.94	0.5991	0.3582	0.2576	0.3339	0.015	0.0087	0.0076	0.0031
Kurtlab	-	-	-	-	-	-	-	-	0.6073	0.0239	0.0016	0.0014	0.0031	0	0	0	0
CaMed	-	-	-	-	-	-	-	-	-	0.0234	0.002	0	0.0002	0	0	0	0
Synth Morph*	-	-	-	-	-	-	-	-	-	-	0.1826	0.1081	0.1335	0.0002	0.0001	0	0.0001
YKW	-	-	-	-	-	-	-	-	-	-	-	0.3261	0.4254	0.0007	0.0001	0.0012	0.0001
Hyper Morph*	-	-	-	-	-	-	-	-	-	-	-	-	0.5745	0.0104	0.0005	0.0034	0.0002
Baseline	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0036	0.0004	0.0011	0.0001
MeDAL	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.1642	0.0152	0.0209
SuperX	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.4743	0.0223
MeDAL (pc)	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	0.0775
${ m MICS}^*$	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

because task 3 was the only task where registration was performed between two follow-up time points. The presence of similar deformations and structures in these scans likely rendered the registration between these two time points com-

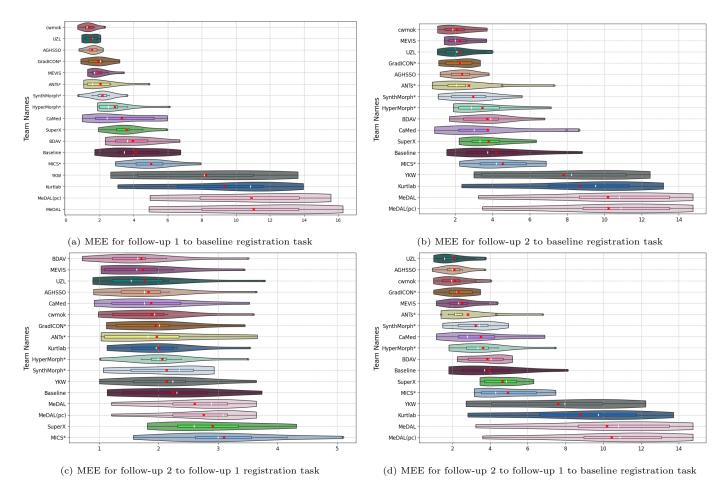


Figure 6: Comparative performance analysis of various participating methods in terms of MEE on longitudinal data.

paratively easier than the other three tasks. On the other hand, the overall robustness across all methods was generally lower in task 3 (0.543 ± 0.277) compared to tasks 1, 2, and 4 $(0.815\pm0.289, 0.848\pm0.196, 0.849\pm0.191$ respectively). The very similar value ranges of all three metrics for task 2 and task 4 signify that there was no additional error introduced in deforming follow-up 2 to baseline scan when an intermediate registration step to follow-up 1 scan was involved.

In the analysis of the top-ranking teams for the four tasks, we noticed that in terms of MEE, team UZL was the only method to be among the top 3 for all four tasks. Team cwmok also performed well and was among the top 3 for all tasks except task 3. In terms of robustness, teams cwmok and MEVIS were consistently within the top 3 for tasks 1 to 4. In terms of BraTS-Reg score, the top ranking teams were similar to those in terms of MEE with team UZL being the only method among the top 3 for all four tasks and team cwmok being among the top 3 for all tasks, except task 3. Despite performing the best in terms of Robustness, team cwmok dropped in ranking for task 3 in terms of MEE and hence BraTS-Reg score. This difference in results is possibly due to the inherent difference between task 3 and tasks 1, 2, 4 (i.e., follow-up to follow-up deformation instead of follow-up to baseline scans for which the algorithms were originally designed). In the analysis of the bottom-ranking teams, team MeDAL consistently ranked among the bottom four in terms of all metrics for all tasks.

6.4. Analysis on the Relation of Performance and Inter-Rater Annotation Variability - Results

We further evaluated the algorithms' results using $hit\ rates$, as described in Section 5.4. We compared the performance of all participating algorithms by calculating the $hit\ rates$ when being evaluated against the respective landmarkwise annotation variability. Since inter-rater analysis was performed on voxels with 1mm resolution, only landmarks

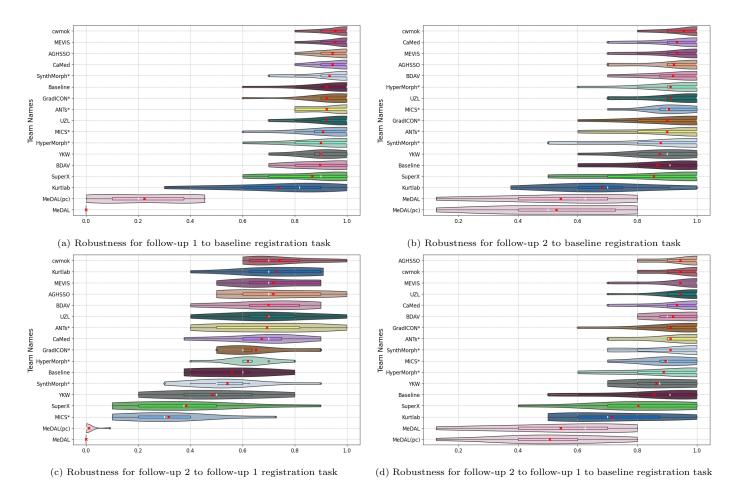


Figure 7: Comparative performance analysis of various participating methods in terms of Robustness on longitudinal data.

with an AV of not less than 1mm (81%) were considered for evaluation, in order to ensure a fair comparison against automated algorithms. From Fig. 9a, we can observe that the best-performing algorithm achieved *hits* in around 60% of all evaluated landmarks, showing that there is still room for improvement in terms of registration accuracy. Additionally, we evaluated the methods by increasing the error threshold in range [0-10mm] and computing *hit rate* curves, as illustrated in Fig. 9b. This provides an overview of the characteristics of the different algorithms under increasing error tolerance.

7. Discussion and Conclusion

7.1. Identifying the Optimal Registration Algorithm

It can be observed that there is some variation in ranking when comparing evaluation results on the test data vs. the OOD data. This might be explained by i) the origin of the respective data sets and ii) the differing experts annotating the two data sets, leading to possibly different difficulty levels. Nevertheless, we have a stable first rank with team *cwmok*. Moreover, the methods ranked last just vary little among the different test sets and irrespective of the evaluation metric considered.

7.2. Establishing a Quantitative Baseline of State of the Art Algorithms

Upon reviewing the methodologies of the top-performing teams, we observed that they included pre-alignment, deep neural networks, and inverse consistency analysis. Instance optimization on top of a deep learning approach, i.e., refining

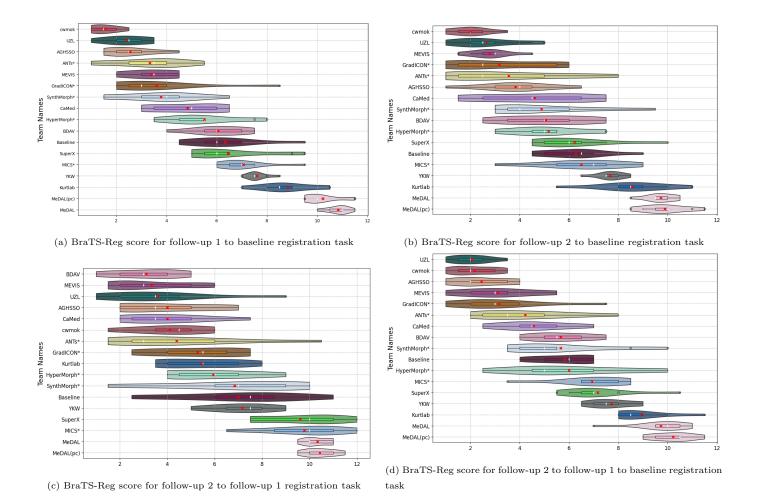


Figure 8: Comparative performance analysis of various participating methods in terms of BraTS-Reg score on longitudinal data.

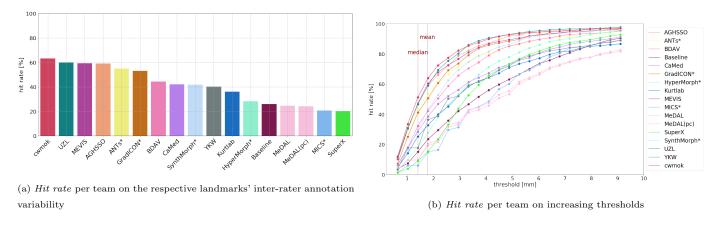


Figure 9: *Hit rate* analysis. (a) gives an overview of the *hit rate* per team using a threshold corresponding to the landmark-specific interrater annotation variability. (b) shows the *hit rate* per team for increasing thresholds. Percentages in between evaluated thresholds are interpolated. Dotted lines indicate the mean and median inter-rater annotation variability.

the registration result at test time in a post-processing step, also seems to be advantageous. Overall, the top-ranked methods were very close to each other in terms of all evaluation metrics. This is particularly evident in the case of the first three methods, and to a lesser extent, it remains true for the methods in the entire first half of the ranking.

The first ranked method *cwmok* achieved MEE equal to or even below respective inter-rater variability in about 60% of the evaluated landmarks. Therefore, there is still room for improvement in terms of accuracy and robustness, especially when being compared to human expert performance. While the majority of the evaluated algorithms were

not developed for the very specific task of this challenge, there were few methods addressing the issue of missing correspondences directly by incorporating inverse consistency measurement (*cwmok*, *AGHSSO*). Only a handful of methods utilized the supplied landmarks for training, with none of them achieving top rankings. Despite the fact that the provided data had already been aligned to the same reference space, the application of rigid and/or affine pre-alignment appeared to enhance results, a practice employed by only a few methods prior to the actual deformable registration process.

7.3. Future Directions

Throughout the process of conducting the first-ever BraTS-Reg challenge, considerable time and effort were invested in the annotation of landmarks. This task was distributed among various clinical experts, resulting in variations in the number and placement of landmarks for each individual case. While inter-rater analysis indicates a high level of agreement among experts in most cases, better generalizability may be achieved by offering a more comprehensive set of annotations. Also, the annotation protocol might benefit from stricter rules regarding the landmark locations, since the error measured at the annotations is biased by the fact that the landmarks are annotated at salient locations chosen by the clinical experts (Peter et al., 2021). Furthermore, the evaluation process is likely to improve from the inclusion of supplementary qualitative metrics, such as assessing the smoothness of the displacement field or examining the algorithmic behavior in proximity to tumor-affected regions.

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Appendix A.

• Team cwmok

Mok and Chung (Mok and Chung, 2022b) proposed a 3-step registration method, which comprises an affine prealignment, a convolutional neural network with forward-backward consistency constraint, and a nonlinear instance optimization. First, possible linear misalignments caused by the tumour mass effect were eliminated with the descentbased affine registration method. Second, conditional deep Laplacian pyramid image registration network with forwardbackward consistency (DIRAC) (Mok and Chung, 2022a, 2021) was leveraged to jointly estimate the regions with missing correspondence and bidirectional nonlinear displacement fields for the pre-operative and follow-up scans. During the training phase, regions with missing correspondence were excluded from the similarity measure. This reduced the effect of the pathological regions on the registration algorithm in an unsupervised manner. Finally, non-rigid instance optimization with forward-backward consistency constraint was introduced to correct solutions from the previous step that were biased because of insufficient training and discrepancy in distributions. This step further improved the robustness and registration accuracy of initial solutions. The non-parametric deformation was controlled by the forwardbackward consistency constraint as in the previous step and was updated using an Adam optimizer together with multilevel continuation to avoid local minima. The implementation of DIRAC is available at https://github.com/cwmok/ DIRAC.

Team UZL

UZL utilised a combination of hand-crafted features, a single-level discrete correlation layer subject to a convex optimisation scheme, and a subsequent Adam instance optimisation for fine-grained displacement prediction. First, MIND-SSC features were extracted on T1 contrast-enhanced baseline and follow-up images. The features were then used to compute a correlation-based cost tensor containing sum-of-squared-differences. Next, an iterative convex optimisation regarding feature matching and global smoothness was performed. To account for tumor-related mass effects and missing correspondences the authors employed a large search range and enforced inverse consistency. An Adam instance optimisation further refined the intermediate displacement field with diffusion regularisation and B-spline-interpolation.

Team AGHSSO

The proposed method consists of: (i) preprocessing and modality aggregation, (ii) iterative affine registration, (iii) dense displacement field calculation by LapIRN, (iv) iterative, instance optimization-based nonrigid registration, and (v) displacement field fine-tuning by optimizing an objective function that was weighted based on the inverse consistency. The method addressed both the challenges related to the pre- to post- operative registration, namely the large, nonrigid deformations and the missing tissues. The large and complex deformations were addressed by the LapIRN network with large enough receptive field, while the missing tissues were handled by the proposed inverse consistency-based objective function weighting. The objective function (both the similarity measure and the regularization term) were weighted by the corresponding inverse consistency error during the fine-tuning step. As a result, the registration accuracy close to the missing tumor and its cavity was improved.

Team BDAV USYD

This team adopted the recently proposed Non-Iterative Coarse-to-fine registration Network (NICE-Net) (Meng et al., 2022b) as the backbone and extended it by introducing dual deep supervision. The NICE-Net consists of a feature learning encoder and a coarse-to-fine registration decoder. The feature learning encoder has two identical, weight-shared paths to extract features from the fixed and moving images separately, which were then propagated to the coarse-to-fine registration decoder. The decoder performs multiple steps of coarse-to-fine registration in a single network iteration.

Dual deep supervision, including a deep self-supervised loss based on image similarity (local normalized cross-correlation) and a deep weakly-supervised loss based on manually annotated landmarks (mean square error), was embedded into the NICE-Net (referred as NICE-Net-ds). As the provided training set was relatively small (140 intra-patient image pairs), the NICE-Net-ds was first pretrained with inter-patient image pairs (280 × 279 pairs) to avoid overfitting. Then, the NICE-Net-ds was further trained for intra-patient registration with dual deep supervision. During inference, pair-specific fine-tuning was performed to improve the network's adaptability to testing variations. In addition, as the MRI scans provided by the challenge organizers had been rigidly registered to the same anatomical template, this method solely optimized for deformable image registration without considering affine registration.

Team CaMed

An enhanced unsupervised learning-based method was developed for reliable and accurate registration of patient-specific brain MRI scans containing pathologies. The proposed method extends our previously developed registration framework iRegNet (Zeineldin et al., 2021). In particular, incorporating an unsupervised learning-based paradigm as well as several minor modifications to the network pipeline, allowed the enhanced iRegNet method to achieve respectable results. Similar to the baseline iRegNet, the registration procedure consists of two steps: First, I_B and I_F were fed into our convolutional neural network (CNN) that then predicts ϕ . Second, I_F was transformed into a warped image (I_F . ϕ) using a spatial re-sampler.

Team Kurtlab

A two-stage cascaded network was developed consisting of the Inception and the TransMorph architecture. A series of Inception modules were initially used to fuse the 4 image modalities inputs and extract their most relevant information. In short, the Inception module was used to process each contrast separately, extracting the relevant information before concatenating them. The concatenated data was then passed through more Inception modules that merged the contrasts together and output new moving and target images. This approach had several advantages. First, it added more training parameters corresponding to the data merging layers. It also helped reduce the memory requirements by merging 4 volumetric images into a single one. The output of the Inception model was then sent into a variant of the TransMorph architecture to generate displacement fields for transforming the post-surgery images to their corresponding pre-surgery ones. TransMorph, a hybrid TransformerConvNet, was able to determine which parts of the input sequence were essential based on contextual information through the use of self-attention mechanisms. Finally, the loss function was composed of a standard image similarity measure and a diffusion regularizer.

Team YKW

The QPDIR algorithm was an intensity-based algorithm, which transforms the computation of deformation field to an optimization problem aimed at minimizing terms related to image dissimilarity and regularization. The terms were computed based on performing an exhaustive search among image blocks. The optimization was performed using a gradient-free quadratic penalty method. The whole optimization problem was decomposed to several sub-problems and each of them can be solved by straightforward block coordinate decent iteration. The QPDIR algorithm consisted of 3 steps. Firstly, the objective function was formulated by combining an image dissimilarity term and a regularization term determined through exhaustive search. The gradient-free quadratic penalty method was then employed to optimize the objective function. Next, the search window size was gradually reduced, and step 1 was repeated until the search window size reached a sufficiently small scale. Finally, the full displacement field was computed by applying moving least square (MLS). It's worth noting that multi-modal registration was achieved by fusing the results of single-modality registration.

Team MeDAL and MeDAL(pc)

The proposed method consists of two stages, a segmentation stage, and a subsequent registration stage. The segmentation stage consists of two U-Nets with shared parameters (Schwarz, 2007). The U-Nets were similar, with each one of them containing three levels with residual blocks at every level and the number of feature maps starts from 8. Each U-Net segments the regions of interests (ROI), which are patches of sizes $(9 \times 9 \times 9)$ around the landmarks of the input volume. These landmarks can be found in either the moving volume or the fixed volume. The segmentation network was followed by an attention block in which the output of the U-Net (a binary segmentation map) was multiplied by the input volume to produce an attentive volume. The concatenated outputs (the attentive fixed and moving volumes) of the segmentation network serve as an input to the registration network. To tackle the problem of class imbalance between the foreground and the background of the segmentation mask, we used the focal loss between the segmentation masks and the predicted segmentation maps (Machado et al., 2018). The architecture of the registration network was the same as the U-Net architecture used for segmentation. The difference was that the network for registration outputs a deformation field instead of a segmentation map. The deformation field was used for deforming the moving volume to match the fixed one. The loss function of the registration network was a combination of two losses, the similarity loss, and the smoothness loss.

MeDAL(pc): In the original challenge submission, the team had problems with loading the landmarks properly. After fixing the issues, the team was allowed to provide a post-challenge submission of their method, indicated with (pc).

Team Fraunhofer MEVIS

The proposed method was an iterative variational image registration approach based on (Modersitzki, 2009), in which the registration of two volumes can be modeled as the minimization of a discretized objective function. The final solution consists of a parametric and a non-parametric step. In the parametric step, the registration task was based on the estimation of a limited number of transformation parameters. In particular, a rigid registration, restricted to the search of rotations and translations parameters, was computed. In the parametric approach, the objective function only consists of the distance measure, computed between the fixed (post-operative) and warped moving (preoperative) image. As a distance measure, we utilized the normalized gradient field (NGF) (Haber and Modersitzki, 2006). The transformation matrix obtained in the parametric registration initializes the non-parametric step, in which a deformation vector field was computed. In the deformable solution, the NGF was also utilized as a distance measure. To limit the possible registration solutions and make the deformation field more plausible, two regularization terms were added to the objective function. The first one was the curvature regularizer (Fischer and Modersitzki, 2003), which penalizes deformation fields having large second derivatives. Additionally, the volume change control was utilized to reduce foldings in the deformation field (Rühaak et al., 2017). In the parametric and non-parametric steps, the registration was conducted on three levels using images at different scales. The deformation was initially computed on the coarsest level, where the images were downsampled by a factor equal to $2^{(L-1)}$. On a finer level, the previously computed deformations were utilized as an initial guess by warping the moving image. At each level, the moving and fixed images were downsampled. Furthermore, the choice of the optimal transformation parameters was conducted by using the quasi-Newton l-BGFS, due to its speed and memory efficiency (Liu and Nocedal, 1989). Each step of the proposed registration method can process only one MRI sequence at a time. Thus, we first verified on the training set of the BraTS-Reg challenge dataset which sequence was the best to guide the registration in both the rigid and non-rigid approaches. Our final solution used the T2 images in the parametric step, whereas the T1c acquisitions of the corresponding volumes guided the deformable registration.

Team SuperX

This method consists of two steps i) A rigid registration method, the Nelder-Mead method (also named downhill simplex method) and ii) Affine transformation for rigid registration algorithm which was applied on floating image before non-rigid registration was performed using free-formed deformations. In the non-rigid registration step, two optimization methods were investigated. The first one was particle swarm optimization, which is a computational method that optimizes a problem by iteratively improving a candidate solution according to a given measure of quality. The second optimization method that was examined was the downhill simplex method which is a commonly used local fast optimization. According to our experimental results, these methods achieved similar accuracy. We finally used the downhill simplex method to minimize the correlation coefficient as an image similarity function by downhill simplex optimization. In the non-rigid image registration, we used free-form deformation, where the force exerted on each floating voxel drives it to the correct position to match the reference volume. Our algorithm used scaling factors of 0.125, 0.25, and 0.5 to generate a multi-level pyramid and accelerate the running time. We initially optimized at a lower resolution level and then scaled up the warp field from lower to higher resolutions. According to Information Theoretic Similarity Measures in Non-Rigid Registration, the force field was based on joint entropies.

$Team ANTs^*$

The BraTS-Reg22 data were processed using previously vetted and frequently used registration parameter sets (Avants et al., 2011, 2014), which have been packaged within the different ANTsX platforms, specifically ANTs, ANTsPy, and ANTsR (https://github.com/ANTsX). Each of these parameter sets consists of multiple transformation stages for determining anatomical correspondence. Initially, linear transform parameters are estimated, including center of (intensity) mass alignment followed by optimization of both rigid and affine transforms using mutual information as the similarity metric (Viola and Wells III, 1997). The final deformable alignment utilized symmetric normalization (SyN) with Gaussian (Avants et al., 2008) or B-spline (Tustison and Avants, 2013) regularization and neighborhood cross-correlation (Avants et al., 2008) or mutual information similarity metric. The effects of image modality choice including all single modalities and combinations of modality pairs (specifically, T1-contrast enhanced/T2 and T1-contrast enhanced/FLAIR) were also explored. Although performance with the training data was similar across the different configurations, SyN with Gaussian regularization and neighborhood cross-correlation (radius = 2 voxels) using T1-contrast enhanced images was selected for a single submission during the validation phase. Further details on this internal evaluation, including the precise ANTsPy calls, can be found at a dedicated GitHub repository (https://github.com/ntustison/BraTS-Reg22).

SynthMorph* and HyperMorph*

These approaches were build on VoxelMorph (Balakrishnan et al., 2019), a widely-used learning-based framework for pairwise deformable registration that aligns a moving image m with a fixed image f by predicting a dense correspondence ϕ . This framework leverages a convolutional architecture g_{θ} , with trainable parameters θ , that takes as input $\{m, f\}$ and outputs a stationary velocity field, integrated via squaring-and-scaling (Dalca et al., 2018) to yield the diffeomorphic map ϕ_{θ} . VoxelMorph generally optimizes a loss combining an image matching term \mathcal{L}_{sim} with a regularization term \mathcal{L}_{reg} to encourage smooth deformations:

$$\mathcal{L}(m, f, \phi_{\theta}) = \mathcal{L}_{sim}(m \circ \phi_{\theta}, f) + \lambda \mathcal{L}_{reg}(\phi_{\theta}),$$

where $m \circ \phi_{\theta}$ represents m transformed by ϕ_{θ} , and λ is a regularization weight. In this challenge, we used normalized cross-correlation for \mathcal{L}_{sim} and defined $\mathcal{L}_{reg}(\phi) = \frac{1}{2}||\nabla u||^2$, where u was the displacement of deformation ϕ . Network g_{θ}

implements a U-Net (Ronneberger et al., 2015b), using convolutional kernels of size 3^3 and Leaky-ReLU activations. We treat m as the baseline and f as the follow-up scan for each subject, stacking all four contrasts to compose multi-channel input images.

VoxelMorph generally performs better on affinely aligned images and, like most learning-based approaches, does not generalize well to modalities outside the training domain. Furthermore, registration quality was often sensitive to the selected values of hyperparameters, such as λ . To address this set of challenges, two methods that implement and build on the VoxelMorph framework were evaluated: SynthMorph and HyperMorph.

SynthMorph is a fully-convolutional architecture for joint affine and deformable registration trained on a wide range of synthetic brain images, enabling the network to generalize across MRI contrasts, resolutions, and anatomies (Hoffmann et al., 2022). First, an affine network h_{η} , with parameters η , predicted separate feature maps for m and f, and aligned the barycenters of these maps using a least-squares regression, yielding a linear transform $T_{\eta} = h_{\eta}(m, f)$ that was applied to m (Hoffmann et al., 2023a). Then, network g_{θ} was used to predict the deformation field $\phi_{\theta} = g_{\theta}(T_{\eta} \circ m, f)$.

A pre-trained SynthMorph model was fine-tuned to the challenge data using a semi-supervised approach, optimizing an additional loss term \mathcal{L}_{sup} that measures the mean squared error between moving and fixed landmarks $\{x_m, x_f\}$:

$$\mathcal{L}(T_{\eta}, \phi_{\theta}, m, f, x_{m}, x_{f}) = \mathcal{L}_{sim}(m \circ T_{\eta} \circ \phi_{\theta}, f) + \lambda_{1}\mathcal{L}_{reg}(\phi_{\theta}) + \lambda_{2}\mathcal{L}_{sup}(x_{m}, x_{f}),$$

with $\lambda_1 = 32$ and $\lambda_2 = 1$. The networks h_{η} and g_{θ} were initialized with pre-trained weights and the affine component h_{η} before fine-tuning g_{θ} . The model comprised 20 total convolutions of 256 channels each. During training a learning rate of 10^{-5} was used and data augmentation was applied following Hoffmann et al. (2023b).

HyperMorph facilitates training a *single* model encompassing a landscape of possible values for λ (Hoopes et al., 2021, 2022). This avoids the need to train several separate models and allows for fine-scale hyperparameter choice during inference. In HyperMorph, a hypernetwork learns to model the effect of varying λ to predict the corresponding parameters of g_{θ} .

Using a subset of the challenge training data, first HyperMorph was trained and the effect of λ on a held-out validation set was evaluated. Based on a combination of evaluating both visual deformation quality and distance between annotated landmarks, $\lambda = 2.4$ was selected and the base VoxelMorph model was trained until convergence. The pre-trained affine component of SynthMorph was employed as a pre-processing step. The model g_{θ} comprised 12 total convolutions, each with 64 channels, and was trained with a learning rate of 10^{-4} , using the augmentation strategy noted above.

• Team GradICON*

GradICON's training protocol and hyperparameters (Tian et al., 2022) were adopted. Its ability to generalize was assessed by investigating its performance without explicitly modeling image differences due to tumor resection with two significant changes in the original approach. Initially, the number of input channels of the first convolutional layer was increased to match the number of modalities. This adjustment enabled the utilization of visual cues across different modalities. The image similarity was computed by defining the local normalized cross correlation (LNCC) as an average over the LNCCs for each modality (channel). The second modification consisted of a new training strategy to alleviate overfitting caused by the small available training dataset. The network was pre-trained following the original training process in (Tian et al., 2022) with inter-patient pairs from the train set. Subsequently, the entire network (Stage1 and Stage2) was fine-tuned using intra-patient pairs from the train set. The input images were normalized to [0, 1] per modality. In the pre-train phase, random pairs of pre-operative and follow-up images were picked as training pairs. In

the fine-tune phase, the paired images provided by the challenge were used.

In both phases, the network was trained with the GradICON's default hyperparameters (i.e., learning rate of $5e^{-5}$, regularizer weight $\lambda = 1.5$, and ADAM optimizer). Due to memory limitations, a batch size of 2 was used when training Stage 1 (Tian et al., 2022). A batch size of 1 was used for Stage 2 (Tian et al., 2022) in the inter-patient (pre-training) phase and in the intra-patient training (fine-tuning) phase. The network was trained for 20,000 iterations for pre-training and 10,000 iterations for fine-tuning. The protocol described in (Tian et al., 2022) was followed for inference where a displacement field was directly predicted using the trained network from the input images without any pre-alignment. Subsequently, 50 iterations of instance optimization were used. Due to memory limitations, this optimisation was only over Stage 2 with a learning rate of $5e^{-5}$ keeping Stage 1 frozen. The implementation was available at https://github.com/uncbiag/ICON.

Team MICS*

The method was based on the multi-task registration framework presented in (Estienne et al., 2020). In summary, the architecture utilized a shared UNet-like encoder that maps both inputs (moving M and reference R) to a latent code using a late fusion strategy. The final layer of a UNet-like registration decoder, was based on the formulation presented in (Christodoulidis et al., 2018). Specifically, a cumulative sum across all dimensions was performed on the output of a logistic growth function (i.e., $f(x) = L/1 + e^{-k(x-x_0)}$, with L = 8, k = 1, $x_0 = 1$) that forces the values to be strictly positive an on a specific range. By enforcing these displacements to have positive values and subsequently applying an integration operation along each dimension, the spatial sampling coordinates can be retrieved. Such an approach ensures the generation of smooth deformations that avoid self-crossings, while allowed the control of maximum displacements among consecutive pixels using appropriate parameterization of the logistic growth function. The training was performed in two steps. In the first step a random pairing scheme was utilized to generate different input pairs drawn from different cases. In the second step, the model that was derived was fine-tuned only on baseline-follow-up pairs for each patient individually. The losses that were utilized for these two training steps were L1 and L2 respectively:

$$L1 = L_{rec} + L_{ncc} + L_{jac} + L_{cycl}$$

$$L2 = L_{rec} + L_{ncc} + L_{jac} + L_{cycl} + L_{lndmrk}$$

where L_{rec} was the mean squared error between the target and deformed image, L_{ncc} was the normalized cross correlation loss between the target and deformed image, L_{jac} was the Jacobian determinant of the deformation field, L_{lndmrk} was the mean absolute error between the deformed and target landmark locations and lastly, L_{cycl} was the mean squared error between the moving image and the output of a sequential application of a forward and backward deformation. It should be noted that, in both training stages a cycling scheme was used where all the aforementioned losses were averaged by considering both inputs as moving/reference images, respectively.