# Quantum mechanics of open systems: Dissipaton theories

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This Perspective presents a comprehensive account of the dissipaton theories developed in our group since 2014, including the physical picture of dissipatons and the phase–space dissipaton algebra. The dissipaton–equation–of–motion–space (DEOM–space) formulations cover the Schrödinger picture, the Heisenberg picture, and further the imaginary–time DEOM. Recently developed are also the dissipaton theories for studying equilibrium and nonequilibrium thermodynamic mixing processes. The Jarzynski equality and Crooks relation are accurately reproduced numerically. It is anticipated that dissipaton theories would remain essential towards a maturation of quantum mechanics of open systems.

# I. INTRODUCTION

Open quantum systems are ubiquitous in various fields of science,<sup>1–4</sup> covering quantum optics,<sup>5–9</sup> nuclear magnetic resonance,<sup>10–12</sup> condensed matter and material physics,<sup>13–17</sup> quark-gluon plasma,<sup>18–21</sup> nonlinear spectroscopy,<sup>22–29</sup> chemical and biological physics.<sup>30–35</sup> In all these studies, the total system–plus–bath composite Hamiltonian assumes the form of  $H_{\rm T} = H_{\rm S} + h_{\rm B} + H_{\rm SB}$ , which together with temperature and/or chemical potentials constitute a *thermodynamic* system. Irreversibility takes place, in terms of not only relaxation, dephasing and quantum transport events, but also those fundamental processes subject to the Laws of Thermodynamics.

In literature, quantum dissipation theories (QDTs), such as quantum master equations,<sup>36–40</sup> focus mainly on the reduced system density operator,  $\rho_{\rm s}(t) \equiv {\rm tr}_{\rm B} \rho_{\rm T}(t)$ . Exact QDTs include the Feynman-Vernon influence functional path integral formalism<sup>41</sup> and its time-derivative equivalence, hierarchical equations of motion (HEOM), with either  $bosonic^{42-49}$  or fermionic bath environment influence.<sup>50–52</sup> However, as mentioned earlier, the reduced system  $(H_s)$  is just the primarily interested part of the thermodynamic system that is characterized by not only the total composite  $H_{\rm T}$ , but also temperatures and other thermodynamic parameters. The relevant information encoded in the reduced system dynamics alone would be insufficient to deal with experimental measurements on open systems. The entangled system-andenvironment dynamics are also crucially important.<sup>53,54</sup>

In this paper, we present a systematic framework of quantum mechanics of open systems, in terms of dissipaton theories. These are universal theories developed on the basis of both quantum mechanics and statistical thermodynamics principles. In Sec. II, we present the statistical quasi-particle picture of dissipatons, followed by the related algebraic constructions.<sup>55,56</sup> Adopted for the bath Hamiltonian ( $h_{\rm B}$ ) is the Gaussian environments ansatz that is rooted at the well–known central limit theorem. In other words, the bath effectively consists of infinite number of noninteracting (quasi) particles, either

bosonic or fermionic. Consider further the system-bath coupling  $(H_{\rm SB})$ , a superposition of  $\{\hat{Q}_u^{\rm S} \hat{F}_u^{\rm B}\}$ , in which the dissipative system modes  $\{\hat{Q}_u^{\mathrm{s}}\}$  are arbitrary and the hybrid bath modes  $\{\hat{F}_u^{\scriptscriptstyle \mathrm{B}}\}$  assume linear. This is the scenario of Gauss-Wick's environments. The bath influences are then completely characterized by the hybridization bath correlation functions. Dissipatons can now be deduced for satisfying the generalized diffusion equation as required by the theory. Dynamical variables are dissipaton density operators (DDOs), whose time-evolutions are governed by the dissipaton equation of motion (DEOM). The reduced system density operator  $(\rho_s)$  is just a member of DDOs. Another fundamental ingredient of dissipaton algebra is the generalized Wick's theorem. This enables dissipaton theories for not only the reduced system but also the hybrid bath modes.

Section III comprises a complete description of DEOM–space quantum mechanics of open systems, constructed in parallel to those traditional Liouville–space formulations. These include the real–time dynamics in Schrödinger versus Heisenberg pictures, the imaginary– time dynamics, and the DEOM evaluations on such as expectation values and correlation functions.

Section IV is concerned with the thermodynamic mixing via the dissipaton implementations. These include the equilibrium  $\lambda$ -DEOM for the Helmholtz free-energy change<sup>57</sup> and the nonequilibrium  $\lambda(t)$ -DEOM for the work distributions.<sup>58</sup> The Jarzynski equality and Crooks relation are accurately reproduced with numerical DEOM evaluations.<sup>58</sup>

Section V is concerned with the dissipaton thermofield (DTF) theory. This covers the thermofield dissipaton Langevin equation and the nonequilibrium system–bath entanglement theorem. Established are the relations between the local system correlation functions and those involving the nonlocal hybrid bath modes. In Sec. VI, we discuss the future prospect of the dissipaton theories towards the quantum mechanics of open systems. Finally, we conclude this paper.

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#### II. ONSETS OF DISSIPATONS

#### A. Prelude

Let us start with the total system–plus–bath composite Hamiltonian in the generic form of

$$H_{\rm T} = H_{\rm S} + h_{\rm B} + H_{\rm SB} = H_{\rm S} + \sum_{\alpha} h_{\alpha} + \sum_{\alpha u} \hat{Q}_u \hat{F}_{\alpha u}.$$
 (1)

Both the system Hamiltonian  $H_{\rm s}$  and the dissipative system modes  $\{\hat{Q}_u\}$  are arbitrary, including the time– dependence via the classical external fields, which act on the system and/or the neighboring environment. For brevity, we set throughout this paper  $\hbar = 1$  and  $\beta_{\alpha} = 1/(k_B T_{\alpha})$ , the inverse temperature of the  $\alpha$ -reservoir.

The hybrid reservoir bath modes  $\{\hat{F}_{\alpha u}\}$  assume to be linear. This together with noninteracting and independent reservoir bath,  $h_{\rm B} = \sum_{\alpha} h_{\alpha}$ , constitute the socalled Gaussian–Wick's coupling environments.<sup>1,2</sup> Their influences are *fully* characterized by the hybridization bath correlation functions, satisfying the fluctuation– dissipation theorem,<sup>1-4,59</sup>

$$\langle \hat{F}^{\rm B}_{\alpha u}(t)\hat{F}^{\rm B}_{\alpha v}(0)\rangle_{\rm B} = \frac{1}{\pi} \int_{-\infty}^{\infty} \mathrm{d}\omega \, \frac{e^{-i\omega t} J_{\alpha u v}(\omega)}{1 - e^{-\beta_{\alpha} \omega}}.$$
 (2)

Here,  $\hat{F}^{\text{B}}_{\alpha u}(t) \equiv e^{ih_{\text{B}}t}\hat{F}_{\alpha u}e^{-ih_{\text{B}}t} = e^{ih_{\alpha}t}\hat{F}_{\alpha u}e^{-ih_{\alpha}t}$  and  $\langle (\cdot) \rangle_{\text{B}} \equiv \text{tr}_{\text{B}}[(\cdot)\rho_{\text{B}}^{0}]$ , with  $\rho_{\text{B}}^{0} = \bigotimes_{\alpha}[e^{-\beta_{\alpha}h_{\alpha}}/\text{tr}_{\text{B}}(e^{-\beta_{\alpha}h_{\alpha}})]$ . The involving hybridization bath spectral densities are

$$J_{\alpha uv}(\omega) = \frac{1}{2i} \int_{-\infty}^{\infty} \mathrm{d}t \, e^{i\omega t} \phi_{\alpha uv}(t) \tag{3}$$

with

$$\phi_{\alpha uv}(t) \equiv i \langle [\hat{F}^{\rm B}_{\alpha u}(t), \hat{F}^{\rm B}_{\alpha v}(0)] \rangle_{\rm B}.$$
(4)

To proceed, we decompose Eq. (2) into  $(t \ge 0)$ 

$$c_{\alpha uv}(t) \equiv \langle \hat{F}^{\mathrm{B}}_{\alpha u}(t) \hat{F}^{\mathrm{B}}_{\alpha v}(0) \rangle_{\mathrm{B}} \simeq \sum_{k=1}^{K} \eta_{\alpha uvk} e^{-\gamma_{\alpha k} t}.$$
 (5)

This can be readily achieved with some sum-over-pole schemes<sup>49,59-62</sup> or the time-domain Prony fitting decomposition scheme.<sup>63</sup> For simplicity of formulations, we assume all  $\gamma_{\alpha uvk} = \gamma_{\alpha k}$  (valid at least when the poles of  $J_{\alpha uv}(\omega)$  contain no explicit system-mode dependence). Let further  $\bar{k} \in \{k = 1, \dots, K\}$  via  $\gamma_{\alpha \bar{k}} \equiv \gamma^*_{\alpha k}$ , since the exponents  $\{\gamma_{\alpha k}\}$  are either real or complex conjugate paired. We can then report the required time-reversal relation,  $\langle \hat{F}^{\rm B}_{\alpha v}(0) \hat{F}^{\rm B}_{\alpha u}(t) \rangle_{\rm B} = \langle \hat{F}^{\rm B}_{\alpha u}(t) \hat{F}^{\rm B}_{\alpha v}(0) \rangle_{\rm B}^*$ , in terms of

$$\langle \hat{F}^{\mathrm{B}}_{\alpha v}(0)\hat{F}^{\mathrm{B}}_{\alpha u}(t)\rangle_{\mathrm{B}} = \sum_{k=1}^{K} \eta^{*}_{\alpha u v \bar{k}} e^{-\gamma_{\alpha k} t}.$$
 (6)

Apparently,  $\bar{k} = k$  if  $\gamma_{\alpha k}$  is real, since  $\gamma_{\alpha \bar{k}} \equiv \gamma^*_{\alpha k}$ .

#### B. Dissipaton decomposition

The dissipaton theory provides a statistical quasiparticle (dissipaton) picture to account for the environment, starting with the dissipaton decomposition,

$$\hat{F}_{\alpha u} = \sum_{k=1}^{K} \hat{f}_{\alpha u k},\tag{7}$$

where  $\{\hat{f}_{\alpha uk}\}$  are known as dissipaton operator. To reproduce the required Eqs. (5) and (6), we set

$$\langle \hat{f}^{\rm B}_{\alpha uk}(t) \hat{f}^{\rm B}_{\alpha' vk'}(0) \rangle_{\rm B} = \delta_{\alpha \alpha'} \delta_{kk'} \eta^{>}_{\alpha uvk} e^{-\gamma_{\alpha k} t},$$

$$\langle \hat{f}^{\rm B}_{\alpha' vk'}(0) \hat{f}^{\rm B}_{\alpha uk}(t) \rangle_{\rm B} = \delta_{\alpha \alpha'} \delta_{kk'} \eta^{<}_{\alpha uvk} e^{-\gamma_{\alpha k} t},$$

$$(8)$$

where

$$\eta_{\alpha uvk}^{\geq} \equiv \langle \hat{f}_{\alpha uk} \hat{f}_{\alpha vk} \rangle_{\rm B}^{\geq} \equiv \langle \hat{f}_{\alpha uk} (0+) \hat{f}_{\alpha vk} \rangle_{\rm B} = \eta_{\alpha uvk},$$

$$\eta_{\alpha uvk}^{<} \equiv \langle \hat{f}_{\alpha vk} \hat{f}_{\alpha uk} \rangle_{\rm B}^{\leq} \equiv \langle \hat{f}_{\alpha vk} \hat{f}_{\alpha uk} (0+) \rangle_{\rm B} = \eta_{\alpha uv\bar{k}}^{*}.$$
(9)

Note that  $\langle \hat{f}^{\scriptscriptstyle B}_{\alpha'v\bar{k}}(0)\hat{f}^{\scriptscriptstyle B}_{\alpha u\bar{k}}(t)\rangle_{\scriptscriptstyle B} = \langle \hat{f}^{\scriptscriptstyle B}_{\alpha uk}(t)\hat{f}^{\scriptscriptstyle B}_{\alpha'vk}(0)\rangle_{\scriptscriptstyle B}^*$ . The dissipaton decomposition, Eqs. (7)–(9), repre-

The dissipation decomposition, Eqs. (7)–(9), represented by the first mapping arrow in Fig. 1, is concerned with individual  $\hat{F}_{\alpha u}$  that assumes by far to be a linear operator in the bare bath  $h_{\alpha}$ -subspace. The resulting  $\{\hat{f}_{\alpha uk}^{\rm B}(t) \equiv e^{ih_{\rm B}t}\hat{f}_{\alpha uk}e^{-ih_{\rm B}t}\}$  are *linear* and *statistically independent* diffusive environmental modes [cf. Eq. (8)], with the diffusion constant  $\gamma_{\alpha k}$  that can be complex. In other words, Eq. (7) essentially represents a mapping from the  $\alpha$ -reservoir bath to K independent auxiliary baths, which intrinsically conserves the correlation functions in Eqs. (5) and (6).

To proceed, we introduce the *irreducible* dissipaton product notation,

$$\left(\hat{f}_k\hat{f}_j\right)^\circ = \left(\hat{f}_j\hat{f}_k\right)^\circ.$$
(10)

This is true for bosonic dissipatons. As Eqs. (7)–(9) reproduce the bosonic fluctuation–dissipation theorem, Eq. (2), the dissipatons  $\{\hat{f}_{\alpha uk}\}$  in Eq. (7) are bosonic. In this paper the dissipaton theories are illustrated with the bosonic scenario.

#### C. Disspaton density operators as dynamical variables

Dynamical variables in dissipaton theories are the socalled dissipaton density operators (DDOs).<sup>55,64,65</sup>

$$\rho_{\mathbf{n}}^{(n)}(t) \equiv \operatorname{tr}_{\mathrm{B}} \Big[ \Big( \prod_{\alpha uk} \hat{f}_{\alpha uk}^{n_{\alpha uk}} \Big)^{\circ} \rho_{\mathrm{T}}(t) \Big].$$
(11)

This describes a configuration that is irreducible and labeled by an ordered collection of indexes,  $\mathbf{n} \equiv \{n_{\alpha uk}\}$ , with  $n_{\alpha uk} = 0, 1, 2, \cdots$  being the participation number of individual bosonic  $\hat{f}_{\alpha uk}$ -dissipaton. The total number of dissipaton excitations in  $\rho_{\mathbf{n}}^{(n)}(t)$  is given by

$$n = \sum_{\alpha uk} n_{\alpha uk}.$$
 (12)



FIG. 1. Dissipaton decomposition [cf. Eq. (7)] followed by the DTF decomposition. The latter will be explained in Sec. V [cf. Eqs. (77a) and (77b)].

The reduced system density operator is  $\rho_{\rm s}(t) = \rho_{0}^{(0)}(t)$ , just a special member of DDOs.

Let  $\rho_{\mathbf{n}_{\alpha uk}^{\pm}}^{(n\pm1)}$  be the associated  $(n\pm1)$ -dissipatons configuration, with  $\mathbf{n}_{\alpha uk}^{\pm}$  differing from **n** only at the specified  $\hat{f}_{\alpha uk}$ -disspaton participation number,  $n_{\alpha uk}$ , by  $\pm 1$ . For presenting the related dissipaton algebra, adopt hereafter the following notations:

$$\rho_{\mathbf{n}}^{(n)}(t; \hat{A}^{\times}) \equiv \operatorname{tr}_{\mathrm{B}} \Big[ \Big( \prod_{\alpha uk} \hat{f}_{\alpha uk}^{n_{\alpha uk}} \Big)^{\circ} \hat{A}^{\times} \rho_{\mathrm{T}}(t) \Big], \\
\rho_{\mathbf{n}}^{(n)}(t; \hat{A}^{\lessgtr}) \equiv \operatorname{tr}_{\mathrm{B}} \Big[ \Big( \prod_{\alpha uk} \hat{f}_{\alpha uk}^{n_{\alpha uk}} \Big)^{\circ} \hat{A}^{\lessgtr} \rho_{\mathrm{T}}(t) \Big],$$
(13)

where  $\hat{A}^{\times} \equiv \hat{A}^{>} - \hat{A}^{<}$ ,

$$\hat{A}^{>}\rho_{\mathrm{T}}(t) \equiv \hat{A}\rho_{\mathrm{T}}(t) \text{ and } \hat{A}^{<}\rho_{\mathrm{T}}(t) \equiv \rho_{\mathrm{T}}(t)\hat{A}.$$
(14)

The above notations will appear in the generalized diffusion equation and the generalized Wick's theorem. These are two fundamental ingredients of dissipaton algebra as follows; see also Sec. III.

#### D. Generalized diffusion equation

Equation (8) highlights two important features of dissipatons: (i) Dissipatons with different "color- $\gamma_{\alpha k}$ " are statistically independent with respective to the  $(\alpha k)$ index; (ii) Each individual dissipaton goes by a singleexponential correlation function, with a same exponent for both the forward and the backward paths. These features are closely related to the dissipaton algebra used in the DEOM construction. In particular, the feature (ii) above leads to<sup>55</sup>

$$\operatorname{tr}_{\mathrm{B}}\left[\left(\frac{\partial}{\partial t}\hat{f}_{\alpha u k}\right)_{\mathrm{B}}\rho_{\mathrm{T}}(t)\right] = -\gamma_{\alpha k}\operatorname{tr}_{\mathrm{B}}\left[\hat{f}_{\alpha u k}\rho_{\mathrm{T}}(t)\right].$$
 (15)

This is the generalized diffusion equation for dissipatons. It together with  $(\frac{\partial}{\partial t}\hat{f}_{\alpha uk})_{\rm B} = i[h_{\rm B}, \hat{f}_{\alpha uk}] \equiv ih_{\rm B}^{\times}\hat{f}_{\alpha uk}$  gives rise to the  $h_{\rm B}^{\times}$ -action on DDOs the result of

$$\rho_{\mathbf{n}}^{(n)}(t;h_{\mathrm{B}}^{\times}) = -i\Big(\sum_{\alpha uk} n_{\alpha uk} \gamma_{\alpha k}\Big)\rho_{\mathbf{n}}^{(n)}(t).$$
(16)

Denote for bookkeeping later,

$$H_0 \equiv H_{\rm S} + h_{\rm B}$$
 and  $\mathcal{L}_{\mathbf{n}}^{(n)} \equiv H_{\rm S}^{\times} - i \sum_{\alpha uk} n_{\alpha uk} \gamma_{\alpha k}$ . (17)

Together with Eq. (16), we obtain

$$\rho_{\mathbf{n}}^{(n)}(t; H_0^{\times}) = \mathcal{L}_{\mathbf{n}}^{(n)} \rho_{\mathbf{n}}^{(n)}(t).$$
(18)

#### E. Generalized Wick's theorems

Another important ingredient of dissipaton algebra is the *generalized Wick's theorem* (GWT). Consider first

$$\rho_{\mathbf{n}}^{(n)}(t;\hat{f}_{\alpha'vk'}^{\gtrless}) \equiv \operatorname{tr}_{\mathrm{B}}\left[\left(\prod_{\alpha uk}\hat{f}_{\alpha uk}^{n_{\alpha uk}}\right)^{\circ}\hat{f}_{\alpha'vk'}^{\gtrless}\rho_{\mathrm{T}}(t)\right]$$
$$=\sum_{\alpha uk}n_{\alpha uk}\langle\hat{f}_{\alpha uk}\hat{f}_{\alpha'vk'}\rangle_{\mathrm{B}}^{\gtrless}\rho_{\mathbf{n}_{\alpha uk}^{-}}^{(n-1)}(t) + \rho_{\mathbf{n}_{\alpha'vk'}^{+}}^{(n+1)}(t).$$
(19)

Here,  $\langle \hat{f}_{\alpha uk} \hat{f}_{\alpha' vk'} \rangle_{\rm B}^{>} \equiv \langle \hat{f}_{\alpha uk} (0+) \hat{f}_{\alpha' vk'} \rangle_{\rm B}$  via Eq. (8), with the nonzero value being only  $\eta_{\alpha uvk}^{>} \equiv \langle \hat{f}_{\alpha uk} \hat{f}_{\alpha vk} \rangle_{\rm B}^{>}$ . The  $\hat{f}_{\alpha' vk'}^{<}$ -action counterpart to Eq. (19) is similar, but goes with  $\langle \hat{f}_{\alpha' vk'} \hat{f}_{\alpha uk} \rangle_{\rm B}^{\leq} \equiv \langle \hat{f}_{\alpha' vk'} \hat{f}_{\alpha uk} (0+) \rangle_{\rm B}$ , whose nonzero value is  $\eta_{\alpha uvk}^{<} \equiv \langle \hat{f}_{\alpha vk} \hat{f}_{\alpha uk} \rangle_{\rm B}^{<}$  only. We can then recast Eq. (19) with the unified expression of

$$\rho_{\mathbf{n}}^{(n)}(t;\hat{f}_{\alpha uk}^{\gtrless}) = \rho_{\mathbf{n}_{\alpha uk}^{+}}^{(n+1)}(t) + \sum_{v} n_{\alpha vk} \eta_{\alpha v uk}^{\gtrless} \rho_{\mathbf{n}_{\alpha vk}^{-}}^{(n-1)}(t).$$
(20)

This is the GWT for bosonic dissipatons, which as seen later determines  $\rho_{\mathbf{n}}^{(n)}(t; H_{\text{SB}}^{\times})$ , the last two terms in the DEOM (30). Involved are the pre–exponential coefficients in Eq. (5) or Eq. (6), since  $\eta_{\alpha uvk}^{>} = \eta_{\alpha uvk}$  and  $\eta_{\alpha uvk}^{<} = \eta_{\alpha uv\bar{k}}^{*}$ , as specified in Eq. (9). It is worth re-emphasizing that the GWT, Eq. (20),

It is worth re-emphasizing that the GWT, Eq. (20), goes by the irreducibility nature of  $\rho_{\mathbf{n}}^{(n)}(t)$ : In Eq. (11), the product of dissipaton operators inside  $(\cdots)^{\circ}$  is irreducible, satisfying the bosonic permutation relation of Eq. (10). In comparison, we may recall some properties about the "normal order" in textbooks, which arranges creation operators before annihilation operators. Denote this also with  $(\cdot)^{\circ}$ , such that  $(\hat{a}^{\dagger}\hat{a})^{\circ} = (\hat{a}\hat{a}^{\dagger})^{\circ} = \hat{a}^{\dagger}\hat{a}$ . The ground state  $|0\rangle$  satisfies  $\hat{a}|0\rangle = 0$ . Set  $\hat{f} \equiv \sqrt{\eta} (\hat{a} + \hat{a}^{\dagger})$ , with  $\eta$  being an arbitrary real parameter. It is easy to obtain  $(\hat{f}^n)^{\circ}\hat{f} = (\hat{f}^{n+1})^{\circ} + n\eta(\hat{f}^{n-1})^{\circ} = \hat{f}(\hat{f}^n)^{\circ}$ . Equation (20) is just the dissipaton generalization of this result.

To complete phase–space dissipaton algebra,<sup>66</sup> consider further

$$\hat{\Phi}_{\alpha u} \equiv \dot{\hat{F}}_{\alpha u} = i[h_{\alpha}, \hat{F}_{\alpha u}], \qquad (21)$$

which satisfies

$$[\hat{F}_{\alpha u}, \hat{\Phi}_{\alpha' v}] = i\delta_{\alpha \alpha'} \Theta_{\alpha u v}, \qquad (22)$$

with

$$\Theta_{\alpha uv} \equiv \frac{1}{\pi} \int_{-\infty}^{\infty} \mathrm{d}\omega \,\omega J_{\alpha uv}(\omega). \tag{23}$$

$$\hat{\Phi}_{\alpha u} = \sum_{k=1}^{K} \hat{\varphi}_{\alpha u k}.$$
(24)

The resulting GWT for dissipaton momentums reads<sup>66</sup>

$$\rho_{\mathbf{n}}^{(n)}(t; \hat{\varphi}_{\alpha uk}^{\gtrless}) = \gamma_{\alpha k} \sum_{v} n_{\alpha v k} \eta_{\alpha v uk}^{\gtrless} \rho_{\mathbf{n}_{\alpha v k}}^{(n-1)}(t) - \gamma_{\alpha k} \rho_{\mathbf{n}_{\alpha uk}}^{(n+1)}(t).$$
(25)

Introduce now

$$\hat{\theta}_{\alpha uk}^{\pm} \equiv \frac{1}{2} \Big( \hat{f}_{\alpha uk} \mp \frac{\hat{\varphi}_{\alpha uk}}{\gamma_{\alpha k}} \Big).$$
(26)

We obtain Eqs. (20) and (25) the alternative expressions,

$$\rho_{\mathbf{n}}^{(n)}(t;\hat{\theta}_{\alpha uk}^{+;\gtrless}) = \rho_{\mathbf{n}_{\alpha uk}}^{(n+1)}(t),$$

$$\rho_{\mathbf{n}}^{(n)}(t;\hat{\theta}_{\alpha uk}^{-;\gtrless}) = \sum_{v} n_{\alpha vk} \eta_{\alpha v uk}^{\gtrless} \rho_{\mathbf{n}_{\alpha vk}}^{(n-1)}(t).$$
(27)

#### III. DEOM-SPACE QUANTUM MECHANICS

#### A. Time-evolutions of DDOs

The construction of DEOM starts with

$$\dot{\rho}_{\rm T}(t) = -i[H_0 + H_{\rm \scriptscriptstyle SB}, \rho_{\rm \scriptscriptstyle T}(t)],$$
 (28)

where  $H_0 \equiv H_{\rm s} + h_{\rm B}$  and [cf. Eq. (7)]

$$H_{\rm SB} = \sum_{\alpha u} \hat{Q}_u \hat{F}_{\alpha u} = \sum_{\alpha u k} \hat{Q}_u \hat{f}_{\alpha u k}.$$
 (29)

Applying Eq. (28) to Eq. (11) and further Eqs. (18)–(20), we obtain

$$\dot{\rho}_{\mathbf{n}}^{(n)} = -i\mathcal{L}_{\mathbf{n}}^{(n)}\rho_{\mathbf{n}}^{(n)} - i\sum_{\alpha uk}\hat{Q}_{u}^{\times}\rho_{\mathbf{n}_{\alpha uk}}^{(n+1)} - i\sum_{\alpha uvk}n_{\alpha uk}\left(\eta_{\alpha uvk}\hat{Q}_{v}^{\times} - \eta_{\alpha uv\bar{k}}^{*}\hat{Q}_{v}^{\times}\right)\rho_{\mathbf{n}_{\alpha uk}}^{(n-1)}.$$
 (30)

In parallel to  $\dot{\rho}_{\rm T}(t) = -i\mathcal{L}_{\rm T}\rho_{\rm T}(t)$  [cf. Eq. (28)], we can recast the set of linear equations (30) as

$$\dot{\boldsymbol{\rho}}(t) = -i \mathcal{L} \boldsymbol{\rho}(t), \text{ with } \boldsymbol{\rho}(t) \equiv \{ \rho_{\mathbf{n}}^{(n)}(t) \}.$$
 (31)

The dynamical generator  $\mathcal{L}$ , defined via Eq. (30), can be time-dependent in general. In line with  $\rho_{\rm T} \rightarrow \rho$  and  $\mathcal{L}_{\rm T} \rightarrow \mathcal{L}$ , the DEOM-space formulations are those of the Liouville-space mappings, as follows.

Evidently, DEOM (30) is identical to the well– established HEOM formalism.<sup>42–49</sup> All numerical methods developed for HEOM, illustrated in Sec. III D, are applicable in DEOM evaluations. Now the observables cover not only the reduced system but also the hybrid bath properties [cf. Eq. (33)]; see also Sec. IV for the dissipaton theory implementations of equilibrium and nonequilibrium thermodynamics. It is worth emphasizing that the underlying dissipaton algebra can be readily extended to nonlinear coupling environments.<sup>67–69</sup> This scenario is beyond the conventional HEOM approach that is rooted at the Feynman–Vernon influence functional path integral formalism.

#### B. DEOM-space observables

Consider the expectation values,

$$\bar{A}(t) \equiv \operatorname{Tr}[\hat{A}\rho_{\mathrm{T}}(t)] \equiv \langle\!\langle \hat{A} | \rho_{\mathrm{T}}(t) \rangle\!\rangle = \langle\!\langle \hat{A} | \boldsymbol{\rho}(t) \rangle\!\rangle \equiv \sum_{\mathbf{n}} \operatorname{tr}_{\mathrm{S}} \big[ \hat{A}_{\mathbf{n}}^{(n)} \rho_{\mathbf{n}}^{(n)}(t) \big].$$
(32)

The second line denotes the DEOM–space evaluation, which as inferred from the Eqs. (20) and (25), supports the following types of operators,

$$\hat{A} \in \{\hat{A}_{\mathrm{S}}, \hat{B}_{\mathrm{S}}\hat{f}_{\alpha u k}, \hat{B}_{\mathrm{S}}\hat{\varphi}_{\alpha u k}\}.$$
(33)

Here,  $\hat{A}_{s}$  and  $\hat{B}_{s}$  are arbitrary observables in the system subspace, including  $\hat{B}_{s} = \hat{I}_{s}$ , whereas  $\{\hat{f}_{\alpha uk}\}$  and  $\{\hat{\varphi}_{\alpha uk}\}$ are related to hybrid bath modes  $\{\hat{F}_{\alpha u}\}$  and  $\{\hat{\Phi}_{\alpha u} \equiv \dot{F}_{\alpha u}\}$  via Eqs. (7) and (24), respectively. To complete Eq. (32), we map  $\hat{A}$  into the DEOM–space operators,

$$\hat{A} \to \hat{A} \equiv \{\hat{A}_{\mathbf{n}}^{(n)}; n = 0, 1, 2, \cdots\}.$$
 (34)

The dissipaton algebra established earlier results in

$$\hat{A}_{\rm s} \to \hat{A} = \{ \hat{A}^{(0)} = \hat{A}_{\rm s}; \ \hat{A}^{(n>0)}_{\rm n} = 0 \},$$
 (35a)

$$\hat{B}_{\mathrm{s}}\hat{f}_{\alpha uk} \to \hat{\boldsymbol{A}} = \{\hat{A}_{\alpha uk}^{(1)} = \hat{B}_{\mathrm{s}}; \text{ others} = 0\}, \qquad (35b)$$

$$\hat{B}_{\rm s}\hat{\varphi}_{\alpha uk} \to \hat{\boldsymbol{A}} = \{\hat{A}^{(1)}_{\alpha uk} = -\gamma_{\alpha k}\hat{B}_{\rm s}; \text{ others} = 0\}.$$
(35c)

We can then evaluate the expectation values for these types of operators via the last identity of Eq. (32).

Turn to the steady-state correlation functions, which in general can be recast as [cf. Eq. (32)]

$$\langle \hat{A}(t)\hat{B}(0)\rangle = \langle\!\langle \hat{A}|\rho_{\mathrm{T}}(t;\hat{B}^{>})\rangle\!\rangle = \langle\!\langle \hat{A}|\boldsymbol{\rho}(t;\hat{B}^{>})\rangle\!\rangle, \quad (36)$$

with 
$$\rho_{\mathrm{T}}(t;\hat{B}^{>}) = e^{-i\mathcal{L}_{\mathrm{T}}t}\rho_{\mathrm{T}}(0;\hat{B}^{>}) \equiv e^{-i\mathcal{L}_{\mathrm{T}}t}(\hat{B}\rho_{\mathrm{T}}^{\mathrm{st}})$$
 and

$$\rho_{\rm T}(t;\hat{B}^{>}) \to \boldsymbol{\rho}(t;\hat{B}^{>}) \equiv \{\rho_{\bf n}^{(n)}(t;\hat{B}^{>})\}.$$
(37)

Both  $\hat{A}$  and  $\hat{B}$  belong to the types of Eq. (33). Moreover, in relation to  $\rho_{\rm T}(0; \hat{B}^{>}) \equiv \hat{B}\rho_{\rm T}^{\rm st}$ , the initial values of DDOs in evaluating Eq. (36) are given by

$$\rho_{\mathbf{n}}^{(n)}(0;\hat{B}^{>}) \equiv \operatorname{tr}_{\scriptscriptstyle B} \left[ \left( \prod_{\alpha uk} \hat{f}_{\alpha uk}^{n_{\alpha uk}} \right)^{\circ} \hat{B}^{>} \rho_{\scriptscriptstyle \mathrm{T}}^{\mathrm{st}} \right].$$
(38)

For the first type operator of Eq. (34), we have

$$\rho_{\mathbf{n}}^{(n)}(0; \hat{B}_{s}^{>}) = \hat{B}_{s}\rho_{\mathbf{n}}^{(n);st}.$$
(39a)

The other two types are related to [cf. Eq. (27)]

$$\rho_{\mathbf{n}}^{(n)}(0; \hat{B}_{s}^{>} \hat{\theta}_{\alpha u k}^{+;>}) = \hat{B}_{s} \rho_{\mathbf{n}_{\alpha u k}}^{(n+1)}(t), 
\rho_{\mathbf{n}}^{(n)}(0; \hat{B}_{s}^{>} \hat{\theta}_{\alpha u k}^{-;>}) = \sum_{v} n_{\alpha v k} \eta_{\alpha v u k} \hat{B}_{s} \rho_{\mathbf{n}_{\alpha v k}}^{(n-1)}(t),$$
(39b)

since  $\hat{f}_{\alpha uk} = \hat{\theta}_{\alpha uk}^- + \hat{\theta}_{\alpha uk}^+$  and  $\hat{\varphi}_{\alpha uk} = \gamma_{\alpha k} (\hat{\theta}_{\alpha uk}^- - \hat{\theta}_{\alpha uk}^+);$ Eq. (26).

The DEOM evaluations of correlation functions are as follows: (i) Compute the steady–state DDOs,  $\{\rho_{\mathbf{n}}^{(n);\text{st}}\}$ ; (ii) Determine the initial values  $\{\rho_{\mathbf{n}}^{(n)}(0; \hat{B}^{>})\}$  via applicable Eq. (39) in study; (iii) Propagate DDOs with Eq. (30) to obtain the required  $\{\rho_{\mathbf{n}}^{(n)}(t; \hat{B}^{>})\}$ ; (iv) Evaluate  $\langle \hat{A}(t)\hat{B}(0)\rangle$  as the expectation value problem by using Eqs. (32)–(35). Demonstrated examples of these evaluations include such as Fano interferences,<sup>64,70</sup> Herzberg– Teller vibronic spectroscopy<sup>71,72</sup> and transport current noise spectrum.<sup>66,73,74</sup>

#### C. DEOM in the Heisenberg picture

The Heisenberg picture of DEOM is concerned with

$$\hat{A}(t) = \hat{A}e^{-i\mathcal{L}_{\mathrm{T}}t} \rightarrow \hat{A}(t) = \hat{A}e^{-i\mathcal{L}t} \equiv \{\hat{A}_{\mathbf{n}}^{(n)}(t)\},\label{eq:A_tilde}$$

satisfying

$$\hat{\boldsymbol{A}}(t) = -i\hat{\boldsymbol{A}}(t)\boldsymbol{\mathcal{L}}$$
(40)

and

$$\langle \hat{A}(t) \rangle = \langle \langle \hat{A}(t) | \boldsymbol{\rho}(0) \rangle \rangle = \langle \langle \hat{A}(0) | \boldsymbol{\rho}(t) \rangle \rangle$$
 (41)

with  $\hat{A}(t=0) \equiv \hat{A}$ . From  $\langle\!\langle \dot{\hat{A}} | \boldsymbol{\rho} \rangle\!\rangle = \langle\!\langle \hat{A} | \dot{\boldsymbol{\rho}} \rangle\!\rangle$ , we obtain

$$\dot{\hat{A}}_{\mathbf{n}}^{(n)} = -i\hat{A}_{\mathbf{n}}^{(n)}\mathcal{L}_{\mathbf{n}}^{(n)} - i\sum_{\alpha uk}\hat{A}_{\mathbf{n}_{\alpha uk}}^{(n-1)}\hat{Q}_{u}^{\times} - i\sum_{\alpha uvk}(n_{\alpha uk}+1) \\
\times \hat{A}_{\mathbf{n}_{\alpha uk}}^{(n+1)}(\eta_{\alpha uvk}\hat{Q}_{v}^{>} - \eta_{\alpha uv\bar{k}}^{*}\hat{Q}_{v}^{<}).$$
(42)

This is the Heisenberg picture counterpart to Eq. (30), where  $\hat{O}\hat{B}^{>} = \hat{O}\hat{B}$  and  $\hat{O}\hat{B}^{<} = \hat{B}\hat{O}$ , in line with Eq. (14).

The main usage of Eq. (42) is concerned with efficient evaluations of nonlinear correlation functions, such that  $\langle \hat{A}(t_2)\hat{B}(t_1)\hat{C}(0)\rangle = \langle \langle \hat{A}(t_2)|\hat{B}|\rho(t_1;\hat{C}^>)\rangle \rangle$ , via the mixed Heisenberg–Schrödinger DEOM dynamics. The formulation here is closely related to the doorway–window picture of pump–probe spectroscopy.<sup>75</sup> As also known, the pump can be an optimal control field, whereas the probe provides a means of feedback.

## D. DEOM toolkits and related considerations

As mentioned earlier, the DEOM (30) itself is identical to the well–established HEOM.<sup>46–49</sup> Various methods developed there can be directly applied; see the recent Perspective by Y. Tanimura.<sup>42</sup> New developments include the follows: (*i*) The adiabatic terminator for hierarchy level truncation, which alleviates the numerical long– time instability problems;<sup>76</sup> (*ii*) The time–domain Prony fitting decomposition scheme for accurate and minimum dissipaton basis set, applicable to arbitrary hybridization bath spectral densities;<sup>63</sup> (*iii*) The implementation of matrix product state;<sup>77–83</sup> (*iv*) The transformed Brownian oscillator basis;<sup>84,85</sup> (*v*) The construction of rate kernels via DEOM by utilizing the Nakajima–Zwanzig projection techniques<sup>86,87</sup> and so on.

In the following, we focus on the steady–state solver and related imaginary–time DEOM formalism.

#### 1. Efficient steady-state solver

Steady states play crucial roles in many equilibrium and non-equilibrium open system studies, including aforementioned correlation function problems. The standard choices for solving high-dimension linear equations are the Krylov subspace methods.<sup>88</sup> Nevertheless, solving the steady states DDOs,  $\dot{\rho}^{\text{st}} = 0$  or  $\rho(t \to \infty)$ , via HEOM/DEOM (30) is often a challenging task, since the vast number of dynamical quantities are involved. The proposed self-consistent iteration (SCI) approach would be the choice.<sup>89</sup> To be concrete, we set  $\dot{\rho}_{\mathbf{n}}^{(n)} = 0$  and obtain

$$0 = -i\mathcal{L}_{\mathbf{n}}^{(n)}\rho_{\mathbf{n}}^{(n);\text{st}} - i\sum_{\alpha uk}\hat{Q}_{u}^{\times}\rho_{\mathbf{n}_{\alpha uk}^{+}}^{(n+1);\text{st}} - i\sum_{\alpha uvk}n_{\alpha uk}\left(\eta_{\alpha uvk}\hat{Q}_{v}^{>} - \eta_{\alpha uv\bar{k}}^{*}\hat{Q}_{v}^{<}\right)\rho_{\mathbf{n}_{\alpha uk}^{-}}^{(n-1);\text{st}}.$$

Then recast it into the SCI equation,<sup>89</sup>

$$\rho_{\mathbf{n}}^{(n);\text{st}} = (i\mathcal{L}_{\mathbf{n}}^{(n)} + \epsilon)^{-1} \left[ \epsilon \rho_{\mathbf{n}}^{(n);\text{st}} - i \sum_{\alpha uk} \hat{Q}_{u}^{\times} \rho_{\mathbf{n}_{\alpha uk}}^{(n+1);\text{st}} - i \sum_{\alpha uvk} n_{\alpha uk} \left( \eta_{\alpha uvk} \hat{Q}_{v}^{\times} - \eta_{\alpha uv\bar{k}}^{*} \hat{Q}_{v}^{<} \right) \rho_{\mathbf{n}_{\alpha uk}}^{(n-1);\text{st}} \right]$$

$$(43)$$

where  $\epsilon > 0$  is an arbitrary parameter. The SCI evaluation is subject to the constraint  $\operatorname{tr}_{\mathrm{s}}\rho_{\mathbf{0}}^{(0)} = 1$ . The iteration will converge as long as the diagonal part of  $(i\mathcal{L}_{\mathbf{n}}^{(n)} + \epsilon)$ dominates. Increasing  $\epsilon$  will increase the numerical stability, but decrease the convergence speed. For a good balance between accuracy and efficiency, it is appropriate to have  $\epsilon$  the value about the spectrum span of the system Hamiltonian.

As known, the SCI equation (43) accommodates the hierarchical structure and the efficient on-the-fly filtering algorithm.<sup>90</sup> The numerical practices also show the

remarkable advantages of SCI scheme over the Krylov subspace methods.  $^{89}$ 

# 2. Imaginary-time DEOM (i-DEOM)

Alternatively, the equilibrium state can be related to the imaginary–time DEOM,<sup>57</sup> which aims at hybridization partition function,

$$Z_{\rm hyb} \equiv Z_{\rm T}/Z_0 \equiv {\rm Tr}\varrho_{\rm T}(\beta), \qquad (44)$$

with  $Z_{\rm T} \equiv {\rm Tr} \, e^{-\beta H_{\rm T}}$  and  $Z_0(T) \equiv {\rm Tr} \, e^{-\beta H_0}$ . Only single bath is involved so that the  $\alpha$ -index is dropped. Evidently,  $Z_{\rm hyb}$  is related to the hybridization free–energy that can also be evaluated via the  $\lambda$ -thermodynamic integral; cf. Sec. IV A. The imaginary–time dynamics is concerned with

$$\varrho_{\rm T}(\tau) = e^{-\tau H_{\rm T}} e^{-(\beta - \tau)H_0} / Z_0, \tag{45}$$

which satisfies [cf. Eq. (14)]

$$\frac{\mathrm{d}}{\mathrm{d}\tau}\varrho_{\mathrm{T}}(\tau) = -\left(H_0^{\times} + H_{\mathrm{SB}}^{>}\right)\varrho_{\mathrm{T}}(\tau). \tag{46}$$

The *i*-DEOM–space mappings then go by

$$\varrho_{\mathrm{T}}(\tau) \to \boldsymbol{\varrho}(\tau) \equiv \{\varrho_{\mathbf{n}}^{(n)}(\tau)\},$$
(47)

with the *i*-DDOs satisfying

$$\frac{\mathrm{d}}{\mathrm{d}\tau}\varrho_{\mathbf{n}}^{(n)}(\tau) = -\varrho_{\mathbf{n}}^{(n)}(\tau; H_0^{\times}) - \sum_{uk} \hat{Q}_u \varrho_{\mathbf{n}}^{(n)}(\tau; \hat{f}_{uk}^{\times}). \quad (48)$$

In parallel to Eqs. (18) and (20), we obtain<sup>57</sup>

$$\varrho_{\mathbf{n}}^{(n)}(\tau; H_{0}^{\times}) = \mathcal{L}_{\mathbf{n}}^{(n)} \varrho_{\mathbf{n}}^{(n)}(\tau), 
\varrho_{\mathbf{n}}^{(n)}(\tau; \hat{f}_{uk}^{>}) = \varrho_{\mathbf{n}_{uk}^{+}}^{(n+1)}(\tau) + \sum_{v} n_{vk} \eta_{vuk} \varrho_{\mathbf{n}_{vk}^{-}}^{(n-1)}(\tau).$$
<sup>(49)</sup>

In line with  $\rho_{\rm T}(0) = e^{-\beta H_0}/Z_0$  of Eq. (45), the initial values of *i*-DDOs are

$$\varrho_{\mathbf{0}}^{(0)}(0) = e^{-\beta H_{\rm S}}/Z_{\rm S} \text{ and } \varrho_{\mathbf{n}}^{(n>0)}(0) = 0,$$
(50)

where  $Z_{\rm s} = {\rm tr}_{\rm s} e^{-\beta H_{\rm s}}$ . We then propagate the *i*-DEOM until  $\tau = \beta$  and evaluate

$$Z_{\rm hyb} = {\rm tr}_{\rm s} \varrho_{\mathbf{0}}^{(0)}(\beta).$$
 (51)

It can be further verified that<sup>57</sup>

$$\frac{\varrho_{\mathbf{n}}^{(n)}(\beta)}{\operatorname{tr}_{\mathrm{s}}[\varrho_{\mathbf{0}}^{(0)}(\beta)]} = \rho_{\mathbf{n}}^{(n);\mathrm{eq}}.$$
(52)

The right-hand-side are the equilibrium DDOs, which can be obtained via steady-state solvers, such as the SCI [cf. Eq. (43)].

# IV. DISSIPATON IMPLEMENTATIONS OF THERMODYNAMIC MIXING

The system–bath entanglement plays crucially important roles in not only dynamics but also the thermodynamic properties.<sup>57,91,92</sup> The latter has just been illustrated with the *i*-DEOM formalism. In the following, we will introduce an alternative approach and further extend this method to the nonequilibrium scenarios.

# A. Equilibrium $\lambda$ -DEOM formalism

The equilibrium  $\lambda$ -DEOM focuses on the free–energy change before and after isotherm system–bath mixing,

$$A_{\rm hyb}(T) \equiv A_{\rm T}(T) - A_0(T).$$
 (53)

Evidently,  $A_{\rm hyb}(T) = -\beta^{-1} \ln Z_{\rm hyb}(T)$  [cf. Eq. (44)]. According to the thermodynamic integral formalism, the hybridization free–energy can be expressed as<sup>57,91–95</sup>

$$A_{\rm hyb}(T) = \int_0^1 \frac{\mathrm{d}\lambda}{\lambda} \langle H_{\rm \scriptscriptstyle SB} \rangle_\lambda \tag{54}$$

where  $\lambda$  is the mixing parameter and

$$\langle H_{\rm SB} \rangle_{\lambda} \equiv \operatorname{Tr}[(\lambda H_{\rm SB})\rho_{\rm T}^{\rm eq}(T;\lambda)]$$
 (55)

with  $\rho_{\rm T}^{\rm eq}(T;\lambda) = e^{-\beta H_{\rm T}(\lambda)}/Z_{\lambda}(T)$  and  $Z_{\lambda}(T) \equiv {\rm Tr} \, e^{-\beta H_{\rm T}(\lambda)}$  [cf. Eq. (56)]. The involving total composite Hamiltonian reads

$$H_{\rm T}(\lambda) = H_0 + \lambda H_{\rm SB},\tag{56}$$

with  $H_0 \equiv H_{\rm S} + h_{\rm B}$  [cf. Eq. (17)].

Equation (55) implies that  $\langle H_{\rm SB} \rangle_{\lambda}$  is just the  $\lambda$ augmented equivalence to the original  $\langle H_{\rm SB} \rangle$  where  $\lambda = 1$ . As seen from Sec. III, DEOM supports accurate evaluations of  $\langle H_{\rm SB} \rangle_{\lambda}$  for the Gauss–Wick's bath. In particular, for  $H_{\rm SB} = \sum_{u} \hat{Q}_{u} \hat{F}_{u}$ , by using Eqs. (32) with (35b), we obtain

$$\langle H_{\rm SB} \rangle_{\lambda} = \lambda \sum_{uk} \operatorname{tr}_{\rm S} \left[ \hat{Q}_u \rho_{uk}^{\rm eq}(T;\lambda) \right].$$
 (57)

Here,  $\rho_{uk}^{eq}(T;\lambda) \equiv \rho_{\mathbf{0}_{uk}^+}^{(1);eq}(T;\lambda)$  is a  $\lambda$ -augmented DDO at thermal equilibrium, with the generic form of Eq. (11) but

$$\rho_{\mathbf{n}}^{(n);\mathrm{eq}}(T;\lambda) \equiv \mathrm{tr}_{\mathrm{B}}\Big[\Big(\prod_{uk} \hat{f}_{uk}^{n_{uk}}\Big)^{\circ} \rho_{\mathrm{T}}^{\mathrm{eq}}(T;\lambda)\Big].$$
(58)

The hybridization free–energy in Eq. (53) can be then obtained via the integration of  $\lambda$  by using Eq. (54). This is the equilibrium  $\lambda$ -DEOM formalism. Practically, we evaluate  $\boldsymbol{\rho}^{\rm eq}(T;\lambda) \equiv \{\rho_{\mathbf{n}}^{(n);\rm eq}(T;\lambda)\}$  progressively, by noting that  $\rho_{\mathbf{0}}^{(0);\rm eq}(T;0) = e^{-\beta H_{\rm S}}/Z_{\rm s}$  and  $\rho_{\mathbf{n}}^{(n>0);\rm eq}(T;0) = 0$ . Then use the known  $\boldsymbol{\rho}^{\rm eq}(T;\lambda)$  as the initial values for calculating  $\rho^{\text{eq}}(T; \lambda + \delta \lambda)$  via either the real-time  $(t \to \infty)$  propagation or the steady-state solver. We have also developed the free-energy spectrum theory for thermodynamics of open quantum impurity systems, which relates the thermodynamic spectral functions to the local impurity properties.<sup>92</sup>

# B. Nonequilibrium $\lambda(t)$ -DEOM formalism

Turn to the isotherm mixing processes that are irreversible. A time-dependent mixing function  $\lambda(t)$ , subject to  $\lambda(t = 0) = 0$  and  $\lambda(t = t_f) = 1$ , would be used instead. This represents nonequilibrium scenarios in general, where the work distribution p(w) is the key quantity in related studies. There are the Jarzynski equality,<sup>96</sup>

$$\langle e^{-\beta w} \rangle \equiv \int_{-\infty}^{\infty} \mathrm{d}w \, e^{-\beta w} p(w) = e^{-\beta A_{\mathrm{hyb}}}$$
 (59)

and the Crooks relation,<sup>97</sup>

$$p(w) = e^{\beta(w - A_{\text{hyb}})} \bar{p}(-w).$$
(60)

The latter is about a pair of conjugate processes, with the forward and backward processes being controlled by  $\lambda(t)$  and  $\bar{\lambda}(t) \equiv \lambda(t_f - t)$ , respectively.<sup>98</sup> Evidently, the forward work distribution p(w) and the backward  $\bar{p}(-w)$ cross at the point of reversible work,  $w = A_{\text{hyb}}$  that can be obtained via the equilibrium  $\lambda$ -DEOM formalism.

The nonequilibrium  $\lambda(t)$ -DEOM is a viable means to the accurate evaluation of p(w). The formulations start with  $H_0|n\rangle = H_T(\lambda = 0)|n\rangle = \varepsilon_n|n\rangle$  and  $H_T(\lambda = 1)|N\rangle = E_N|N\rangle$  before and after mixing. The distribution of mixing work is given by<sup>99</sup>

$$p(w) = \sum_{N,n} \delta(w - E_N + \varepsilon_n) P_{N,n}(t_f, 0) P_n(0).$$
 (61)

Here,  $P_n(0) = e^{-\beta \varepsilon_n}/Z_0$  is the initial probability distribution, and  $P_{N,n}(t,0) = \left| \langle N | \hat{U}_{\mathrm{T}}(t) | n \rangle \right|^2$  is the transition probability with the propagator  $U_{\mathrm{T}}(t)$  being governed by the Hamiltonian  $H_{\mathrm{T}}(t) = H_0 + \lambda(t) H_{\mathrm{SB}}$ . The non-zero  $\dot{\lambda}(t)$  that describes the irreversibility engages in

$$\hat{V}_{\pm}(t;\tau) = \exp_{\pm} \left[ \frac{i\tau}{2} \int_0^t \mathrm{d}t' \,\dot{\lambda}(t') H_{\rm SB} \right], \qquad (62)$$

which participates in the work generating operator,<sup>99,100</sup>

$$\hat{W}_{\rm T}(t;\tau) = \hat{U}_{\rm T}(t)\hat{V}_{+}(t;\tau)\rho_0^{\rm eq}(T)\hat{V}_{-}(t;\tau)\hat{U}_{\rm T}^{\dagger}(t).$$
(63)

It can be shown that  $^{99,100}$ 

$$p(w) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \mathrm{d}\tau \, e^{-iw\tau} \big\{ \mathrm{Tr}[\hat{W}_{\mathrm{T}}(t_f;\tau)] \big\}.$$
(64)

Turn to the equation of motion for the work generating operator  $\hat{W}(t;\tau)$ . Its dynamics can be obtained as

$$\frac{\partial \hat{W}_{\mathrm{T}}}{\partial t} = -i[H_0^{\times} + \lambda_-(t)H_{\mathrm{SB}}^{>} - \lambda_+(t)H_{\mathrm{SB}}^{<}]\hat{W}_{\mathrm{T}},\qquad(65)$$



FIG. 2. Jarzynski equality (left panel) and Crooks relation (right panel), cited from Ref. 58. Numerical demonstrations are carried out with a spin-boson model, in which the system Hamiltonian and dissipative mode are  $H_{\rm S} = \varepsilon \hat{\sigma}_z + \Delta \hat{\sigma}_x$  and  $\hat{Q} = \hat{\sigma}_z$ , respectively. Adopt for the bath spectral density the Drude model,  $J(\omega) = \eta \gamma \omega / (\omega^2 + \gamma^2)$ . In all the simulations, we set  $\varepsilon = 0.5\Delta$ ,  $\gamma = 4\Delta$  and  $\eta = 0.5\Delta$ . The forward time-dependent mixing function adopts  $\lambda(t) = (1 - e^{-\alpha t})/(1 - e^{-\alpha t_f})$ , with  $\alpha = 0.01\Delta$  and  $t_f = 50\Delta^{-1}$ . Simulations are carried out at different temperatures. In the left panel T ranges from near 0 to  $10\Delta$ , while it adopts (a)  $T = 2\Delta$  and (b)  $T = 0.3\Delta$  in the right panel.

with  $\lambda_{\pm}(t) \equiv \lambda(t) \pm (\tau/2)\dot{\lambda}(t)$ . Initially,  $\hat{W}_{\rm T}(0;\tau) = \rho_0^{\rm eq}(T) = e^{-\beta H_0}/Z_0$ , as inferred from Eq. (63). Similar to DDOs in Eq. (11), we introduce the dissipatons–augmented work generating operators (D-WGOs),

$$\hat{W}_{\rm T}(t;\tau) \to \hat{W}(t;\tau) \equiv \{\hat{W}_{\bf n}^{(n)}(t;\tau)\}.$$
 (66)

The same procedure from Eq. (28) to Eq. (30) now gives rise to Eq. (65) the D-WGO correspondence,<sup>58</sup>

$$\frac{\partial \hat{W}_{\mathbf{n}}^{(n)}}{\partial t} = -i\mathcal{L}_{\mathbf{n}}^{(n)}\hat{W}_{\mathbf{n}}^{(n)} - i\sum_{uk}\mathcal{A}_{u}(t)\hat{W}_{\mathbf{n}_{uk}^{+}}^{(n+1)} -i\sum_{uk}n_{uk}\mathcal{C}_{uk}(t)\hat{W}_{\mathbf{n}_{uk}^{-}}^{(n-1)},$$
(67)

where

$$\mathcal{A}_{u}(t) \equiv \lambda_{-}(t)\hat{Q}_{u}^{>} - \lambda_{+}(t)\hat{Q}_{u}^{<},$$
  
$$\mathcal{C}_{uk}(t) \equiv \sum_{v} \left[\lambda_{-}(t)\eta_{uvk}\hat{Q}_{v}^{>} - \lambda_{+}(t)\eta_{uv\bar{k}}^{*}\hat{Q}_{v}^{<}\right].$$
(68)

In relation to  $\hat{W}_{\mathrm{T}}(0;\tau) = \rho_0^{\mathrm{eq}}(T) = e^{-\beta H_0}/Z_0$ , the initial values to Eq. (67) are

$$\hat{W}_{\mathbf{0}}^{(0)}(0;\tau) = e^{-\beta H_{\rm S}}/Z_{\rm S}$$
 and  $\hat{W}_{\mathbf{n}}^{(n>0)}(0;\tau) = 0.$  (69)

Finally, in line with Eq. (64), we evaluate

$$p(w) = \frac{1}{2\pi} \int_{-\infty}^{\infty} dw \, e^{-iw\tau} \operatorname{tr}_{s}[\hat{W}_{0}^{(0)}(t_{f};\tau)].$$
(70)

Figure 2 reports the results in terms of the Jarzynski equality (59) and the Crooks relation (60); see the figure caption for the details of model system.

#### V. DISSIPATON THERMOFIELD THEORY

# A. Prelude

Generally speaking, thermofield theory<sup>101</sup> is an important ingredient for quantum mechanics of open systems and closely related to nonequilibrium Green's function formalisms.<sup>102–104</sup> The dissipaton thermofield theory (DTF)<sup>105</sup> to be presented in this section comprises in particular the nonequilibrium system–bath entanglement theorem. This gives rise to relations between the local system correlation functions and those involving the nonlocal hybrid bath modes. The development exploits further decomposition of each dissipaton into the absorptive (+) and emissive (-) components, as schematically represented in the last column of Fig. 1.

To proceed, we consider the  $H_{\rm T}$ -based Heisenberg picture of the hybrid bath modes. It is easy to obtain<sup>106,107</sup>

$$\hat{F}_{\alpha u}(t) = \hat{F}_{\alpha u}^{\mathsf{B}}(t) - \sum_{v} \int_{0}^{t} \mathrm{d}\tau \,\phi_{\alpha u v}(t-\tau) \hat{Q}_{v}(\tau).$$
(71)

This is the precursor to conventional quantum Langevin equation, with  $\hat{F}^{\rm B}_{\alpha u}(t)$  being the random force and the related  $\phi_{\alpha uv}(t)$  of Eq. (4). Together with  $[\hat{F}^{\rm B}_{\alpha u}(t), \hat{Q}_v(0)] = 0$ , we obtain the system–bath entanglement theorem for response functions, which is a type of input–output relations in the total composite space.<sup>106,107</sup>

On the other hand,  $\langle \hat{F}_{\alpha u}^{\text{B}}(t)\hat{Q}_{v}(0)\rangle \neq 0$ . Equation (71) is insufficient to obtain the input–output relations between nonequilibrium correlation functions, such as

$$\boldsymbol{C}_{\rm ss}(t) = \{ C_{uv}^{\rm ss}(t) \equiv \langle \hat{Q}_u(t) \hat{Q}_v(0) \rangle \},$$
(72a)

$$\boldsymbol{C}_{\alpha\mathrm{S}}(t) = \{ C_{uv}^{\alpha\mathrm{S}}(t) \equiv \langle \hat{F}_{\alpha u}(t) \hat{Q}_{v}(0) \rangle \}.$$
(72b)

More specifically, from Eq. (71) we have

$$\boldsymbol{C}_{\alpha s}(t) = \boldsymbol{X}_{\alpha s}(t) - \int_{0}^{t} \mathrm{d}\tau \, \boldsymbol{\phi}_{\alpha}(t-\tau) \boldsymbol{C}_{ss}(\tau), \qquad (73)$$

with

$$\boldsymbol{X}_{\alpha\mathrm{S}}(t) = \{ X_{uv}^{\alpha\mathrm{S}}(t) \equiv \langle \hat{F}_{\alpha u}^{\mathrm{B}}(t) \hat{Q}_{v}(0) \rangle \}, \qquad (74)$$

to be further resolved. To that end, we exploit the statistical quasi-particles picture, which is used in the DEOM theory<sup>55</sup>, and obtain

$$\boldsymbol{X}_{\alpha\mathrm{S}}(t) = \sum_{k} \boldsymbol{X}_{\alpha\mathrm{S}k}(t), \qquad (75)$$

with

$$X_{uvk}^{\alpha_{\rm S}}(t) \equiv \langle \hat{f}_{\alpha uk}^{\rm B}(t) \hat{Q}_v(0) \rangle = \langle \hat{f}_{\alpha uk}(0) \hat{Q}_v(0) \rangle e^{-\gamma_{\alpha k} t}.$$
 (76)

Here,  $\{\gamma_{\alpha k}\}$  originates from the exponential decomposition of the interacting bath correlations as in Eq. (5). Evidently, to establish the aforementioned correlation function type input–output relations, the key step is to formulate  $X_{uvk}^{\alpha s}(0)$  in terms of  $C_{ss}(t)$  [cf. Eq. (72a)] and  $c_{\alpha}(t)$ [cf. Eqs. (2) and (5)]. We address this issue within the scope of DTF theory to be elaborated as follows.

#### B. Ansatzes

The proposed DTF theory is based on the dissipaton decomposition of the hybrid reservoir modes, as schematically represented in Fig. 1. There are three basic ingredients:

(i) Dissipaton decomposition ansatz: The hybrid reservoir modes can be decomposed into dissipatons, as in Eq. (7) with Eq. (8).

(ii) Thermofield dissipatons ansatz: Each  $f_{\alpha uk}$  consists of an absorptive (+) and an emissive (-) parts (cf. Fig. 1),

$$\hat{f}_{\alpha uk} = \hat{f}^+_{\alpha uk} + \hat{f}^-_{\alpha uk}, \qquad (77a)$$

defined via

$$\hat{f}_{\alpha u k}^{-} \rho_{\rm B}^{0} = \rho_{\rm B}^{0} \hat{f}_{\alpha u k}^{+} = 0.$$
 (77b)

This results in

$$\begin{aligned} c^{-}_{\alpha uvk}(t) &\equiv \langle \hat{f}^{-;\mathrm{B}}_{\alpha uk}(t) \hat{f}^{+;\mathrm{B}}_{\alpha vk}(0) \rangle_{\mathrm{B}} = \eta^{>}_{\alpha uvk} e^{-\gamma_{\alpha k} t}, \\ c^{+}_{\alpha uvk}(t) &\equiv \langle \hat{f}^{-;\mathrm{B}}_{\alpha vk}(0) \hat{f}^{+;\mathrm{B}}_{\alpha uk}(t) \rangle_{\mathrm{B}} = \eta^{<}_{\alpha uv\bar{k}} e^{-\gamma_{\alpha k} t}. \end{aligned}$$
(78)

As the thermofield excitation is concerned,  $\hat{f}^{\pm}_{\alpha uk}$  resembles the creation/annihilation operator onto the reference  $\rho_{\rm B}^0 = \bigotimes_{\alpha} [e^{-\beta_{\alpha}h_{\alpha}}/\mathrm{tr}_{\rm B}(e^{-\beta_{\alpha}h_{\alpha}})].^{101}$ 

(*iii*) Thermofield Langevin ansatz: Each thermofield dissipaton satisfies

$$\hat{f}_{\alpha uk}^{\pm}(t) = \hat{f}_{\alpha uk}^{\pm;\mathrm{B}}(t) \pm i \sum_{v} \int_{0}^{t} \mathrm{d}\tau c_{\alpha uvk}^{\pm}(t-\tau) \hat{Q}_{v}(\tau).$$
(79)

In compared with Eq. (71), the resolved are not only the absorptive versus emissive contributions, but also the Langevin force that reads  $\hat{f}_{\alpha uk}^{\pm;\mathrm{B}}(t) = \hat{f}_{\alpha uk}^{\pm;\mathrm{B}}(0)e^{-\gamma_{\alpha k}t}$ . This recovers the generalized diffusion equation of the DEOM theory.<sup>55</sup>

# C. System-bath entanglement theorem for correlation functions

In the following, we elaborate above basic ingredients of the DTF theory, with a class of input–output relations between local and nonlocal nonequilibrium steady–state correlation functions. Denote  $C_{\alpha sk}(t) = \{C_{\alpha sk}(t) \equiv \langle \hat{f}_{\alpha uk}(t)\hat{Q}_v(0)\rangle\}$  and  $\phi_{\alpha k}(t) = i[\mathbf{c}_{\alpha k}^-(t) - \mathbf{c}_{\alpha k}^+(t)] = \{\phi_{\alpha uvk}(t) = i[\mathbf{c}_{\alpha uvk}^-(t) - \mathbf{c}_{\alpha uvk}^+(t)]\}$ . Equations (77) and (79) give rise to

$$\boldsymbol{C}_{\alpha sk}(t) = \boldsymbol{X}_{\alpha sk}(t) - \int_{0}^{t} \mathrm{d}\tau \, \boldsymbol{\phi}_{\alpha k}(t-\tau) \boldsymbol{C}_{ss}(\tau) \qquad (80)$$

where  $X^{\alpha s}_{uvk}(t) = X^{\alpha s}_{uvk}(0)e^{-\gamma_{\alpha k}t}$  [cf. Eq. (76)] and

$$\boldsymbol{X}_{\alpha \mathrm{S}k}(0) = i \int_{0}^{\infty} \mathrm{d}\tau \left[ \boldsymbol{c}_{\alpha k}^{+}(\tau) \boldsymbol{C}_{\mathrm{SS}}^{\dagger}(\tau) - \boldsymbol{c}_{\alpha k}^{-}(\tau) \boldsymbol{C}_{\mathrm{SS}}^{T}(\tau) \right].$$
(81)

Derivation details of Eq. (81) are shown in the next paragraph. Here,  $M^T$  denotes the matrix transpose of M. Together with Eq. (80), we obtain further

$$\boldsymbol{X}_{\alpha \mathrm{S}}(t) = 2 \operatorname{Im} \int_{0}^{\infty} \mathrm{d}\tau \, \boldsymbol{c}_{\alpha}^{T}(t+\tau) \boldsymbol{C}_{\mathrm{SS}}^{T}(\tau).$$
(82)

This completes Eq. (73), the system–bath entanglement theorem for nonequilibrium steady–state correlation functions.

The derivations of the key expression (81) are as follows. (i) Start with  $\langle \hat{A}(0) \rangle = \lim_{t \to \infty} \text{Tr} [\hat{A}(t) \rho_{\text{T}}^{\text{init}}]$  for any operator  $\hat{A}$ . This asymptotic identity holds for any physically supported initial total composite density operator  $\rho_{\text{T}}^{\text{init}}$ . In particular, we choose  $\rho_{\text{T}}^{\text{init}} = \rho_{\text{S}}^{\text{init}} \otimes \rho_{\text{B}}^{0}$ , with  $\rho_{\text{B}}^{0}$  being the pure bath canonical ensemble density operator; (ii) Split  $X_{uvk}^{\alpha_{\text{S}}}(0) \equiv \langle \hat{f}_{\alpha uk}(0)\hat{Q}_v(0) \rangle =$  $\langle \hat{f}_{\alpha uk}^+(0)\hat{Q}_v(0) \rangle + \langle \hat{Q}_v(0)\hat{f}_{\alpha uk}^-(0) \rangle$ . This is true since the system and reservoir operators are commutable at any given local time; (iii) Obtain  $\text{Tr}[\hat{f}_{\alpha uk}^+(t)\hat{Q}_v(t)\hat{f}_{\text{T}}^-]$  and  $\text{Tr}[\hat{Q}_v(t)\hat{f}_{\alpha uk}^-(t)\rho_{\text{T}}^{\text{init}}]$  from Eq. (79), with focus on their  $t \to \infty$  expressions, where  $\hat{f}_{\alpha uk}^{\text{B};\pm}(t)$  makes no contribution according to Eq. (77b). The resulting  $X_{uvk}^{\alpha_{\text{S}}}(0)$  according to Step (ii) is just Eq. (81).

# D. Comments

It is worth emphasizing that the DTF formalism, is rather general in relation to the absorptive and emissive processes. Its application to obtain Eqs. (80)–(82) is an example that can be numerically verified by DEOM evaluations. However, Eqs. (80)–(82) can not be obtained within the original DEOM framework. That is to say, although both the DTF theory and DEOM method are numerically exact for Gaussian environments, DTF theory helps reveal more explicit relations. Furthermore, the t = 0 behaviour of Eq. (73) with Eq. (82) is closely related to the nonequilibrium Green's function formalism of transport current.<sup>54,102,103,108,109</sup> For example, consider the heat transport from the  $\alpha$ -reservoir to the local impurity system. The heat current operator reads

$$\hat{J}_{\alpha} \equiv -\frac{\mathrm{d}h_{\alpha}}{\mathrm{d}t} = -i[H_{\mathrm{T}}, h_{\alpha}] = \sum_{u} \dot{F}_{\alpha u} \hat{Q}_{u}.$$
 (83)

This is the electron transport analogue.<sup>110,111</sup> The heat current is then [cf. Eq. (72b)]

$$J_{\alpha} = \sum_{u} \langle \dot{F}_{\alpha u} \hat{Q}_{u} \rangle = \sum_{u} \dot{C}_{uu}^{\alpha s}(t=0).$$
(84)

Now apply Eq. (73), with noticing that its second term does not contribute to  $\dot{C}_{uu}^{\alpha s}(0)$ . We obtain<sup>107</sup>

$$J_{\alpha} = 2 \operatorname{Im} \int_{0}^{\infty} d\tau \operatorname{tr} \left[ \dot{\boldsymbol{c}}_{\alpha}(\tau) \boldsymbol{C}_{\rm ss}(\tau) \right].$$
(85)



FIG. 3. The family of dissipaton theories, including now real-time DEOM, imaginary-time DEOM (*i*-DEOM), equilibrium  $\lambda$ -DEOM, nonequilibrium  $\lambda(t)$ -DEOM, stochasticfield-dressed DEOM (SFD-DEOM) and the dissipaton thermofield (DTF) theory. Future family members are anticipated.

This is the time–domain Meir–Wingreen's formula.<sup>108</sup>

The DTF theory would be better physically supported than the conventional thermofield approach. The latter involves the purification of bare bath canonical thermal states onto effective zero–temperature environments.<sup>101</sup> On the other hand, the DTF approach exploits the of discrete Brownian quasi-particle picture as implied in the dissipaton Langevin equation (79). This not only avoids introducing the auxiliary degrees of freedom, but also goes with the effectively resolved random force, in line with the generalized diffusion equation (15) of the DEOM theory. Moreover, the system–bath entanglement theorem for the properties of Eq. (72) can be readily extended to those such as  $\langle \hat{F}_{\alpha u}(t)\hat{F}_{\alpha'v}(0)\rangle$  and also  $\langle \hat{F}_{\alpha u}(t)\hat{A}_{\rm s}(0)\rangle$ via  $\langle \hat{Q}_u(t)\hat{A}_{\rm s}(0)\rangle$  for an arbitrary system operator  $\hat{A}_{\rm s}$ .

Additionally, it is also worth noting that Eq. (71) immediately results  $\mathrm{in}^{92}$ 

$$\langle \hat{F}_{\alpha u} \rangle = -\sum_{v} \theta_{\alpha u v} \langle \hat{Q}_{v} \rangle,$$
 (86)

with  $\theta_{\alpha uv} \equiv \int_0^\infty dt \, \phi_{\alpha uv}(t)$  [cf. Eq. (4)]. This result can also be obtained via the DEOM formulations.

# VI. CONCLUDING AND PROSPECTIVE REMARKS

To conclude, dissipaton theories are essential building blocks of quantum mechanics of open systems, comprising rich ingredients. These include the Brownian quasiparticles picture and the phase–space dissipaton algebra, together with the dynamical variables (Sec. II). The resulting real–time DEOM (30) unambiguously support various formulations such as expectation values, correlation functions, the Heisenberg picture, the imaginary– time DEOM and so on (Sec. III). The  $\lambda$ - and  $\lambda(t)$ -DEOM formalisms are also readily established for various studies of thermodynamics (Sec. IV). Dissipaton thermofield (DTF) theory is also established along the similar line (Sec. V). As noticed, the fermionic dissipaton theories can also been readily established in a similar manner, with  $(\hat{f}_k \hat{f}_j)^{\circ} = -(\hat{f}_j \hat{f}_k)^{\circ}$  for fermionic dissipaton operators [cf. Eq. (10)]. Moreover, the fermionic DEOM has been integrated with electronic structure theory for the first-principle simulations on realistic spintronic systems in experimental measurements.<sup>52</sup> These studies include Kondo transport, magnetic anisotropy manipulation, spin-polarized scanning tunneling spectroscopy and so on.<sup>112–115</sup> Furthermore, there would be a so-called dissipaton embedded quantum master equation formalism, with system-plus-dissipatons being all incorporated into a single master equation. This provides an alternative formalism for quantum simulations in both bosonic and fermionic scenarios.

It is worth emphasizing that dissipatons are linear bath hybrid modes. Nevertheless, the unavoidable backaction of system to environment will result in simultaneous actions of two or more dissipatons. The further development of dissipaton theories should take nonlinear environments into account. For the quadratic bath coupling, we proposed the stochastic–field–dressed DEOM (SFD-DEOM).<sup>116</sup> We had also developed the extended Wick's theorem approach to deal with a model quadratic environment.<sup>67–69</sup> Last but not least, it is also anticipated that dissipaton theories discussed in this Perspective would remain essential to relativistic quantum mechanics of open systems.

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