

PreCog: Exploring the Relation between Memorization and Performance in Pre-trained Language Models

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Abstract

Pre-trained language models such as BERT are impressive machines with the ability to memorize, possibly generalized learning examples. We present here a small, focused contribution to the analysis of the interplay between memorization and performance of BERT in downstream tasks. We propose *PreCog*, a measure for evaluating memorization from pre-training, and we analyze its correlation with the BERT’s performance. Our experiments show that highly memorized examples are better classified, suggesting memorization is an essential key to success for BERT.

1 Introduction

Pre-trained language models (PTLMs) (Peters et al., 2018; Devlin et al., 2019; Liu et al., 2019) are intriguing machines dominating the arena of NLP tasks with their ability to memorize generalizations of texts in synthetic neurons. After long pre-training on large amounts of unlabeled data, PTLMs have shown to learn effectively downstream tasks with limited labeled data (Howard and Ruder, 2018) and generalize in out-of-distribution examples (Hendrycks et al., 2020). Extensive studies have shown that these PTLMs tend to mimic traditional linguistic syntactic models (Jawahar et al., 2019) and traditional NLP pipelines (Tenney et al., 2019). Hence, a crucial issue is to clarify why PTLMs exploit pre-training better than traditional NLP modules exploit annotated corpora.

Understanding the learning process of PTLMs may help in understanding their results in downstream tasks and in improving their linguistic representations in scenarios where they fail (Kumar et al., 2020). Indeed, unlike traditional general NLP modules in pipelines, PTLMs need to be fine-tuned for the specific tasks (Devlin et al., 2019) and, eventually, domain-adapted on the specific language of the novel corpus (Jin et al., 2022). Moreover, as

PTLMs lose their ability to solve a task if subsequently fine-tuned to another task (Xu et al., 2020) although they apparently do not change their language models (Merchant et al., 2020). This phenomenon is known as *catastrophic forgetting* (Kirkpatrick et al., 2017) in machine learning. Then, it is still unclear how these models exploit pre-training and training examples.

PTLMs, such as BERT (Devlin et al., 2019), have shown to have an impressive ability to memorize and possibly generalize learning examples. This ability has been largely investigated as it may be extremely harmful. In fact, these PTLMs may reveal sensitive information that has been acquired during pre-training. For example, memories of Generative Pretrained Transformers (GPTs) (Radford and Narasimhan, 2018) have been violated and produced phone numbers, and usernames (Carlini et al., 2021; Thakkar et al., 2021). However, this simple ability to memorize may play a crucial role in the performances of PTLMs in downstream tasks.

This paper presents a small, focused contribution to the role of memorization in the performance of BERT in downstream tasks. We propose *PreCog*, a very simple measure of coverage that evaluates how much pre-training covers the information needed to model a given example or, better if BERT has already partially seen the example - it *pre-cognizes* the example. The aim is to evaluate if PreCog pre-cognizes on which examples BERT adapted to a downstream task performs better inferences. We have extensively experimented with PreCog by using BERT over the GLUE tasks (Wang et al., 2018), and we observed the ability of PreCog to predict examples where a task-adapted BERT performs better. Besides being a predictive measure, PreCog showed that example memorization is a crucial part of the success of BERT.

2 Related Work

The ability of linguistic neural models to memorize facts is out of doubt. This ability has been deeply explored as it is a problem for privacy issues. Indeed, LSTM language models remember facts so well that individual facts can be retrieved during inference (Carlini et al., 2019). These facts may reveal sensitive personal information such as names and addresses associated with people. Moreover, revitalizing the idea of sparse distributed memories (Kanerva, 1988), Petroni et al. (2019) hypothesized that large language models might be used as clever and inexpensive ways to build up effortlessly knowledge bases. Even in other areas like image classification, it appears that large neural networks may memorize entire datasets as these networks achieve very low error rates over datasets with random generated target labels (Zhang et al., 2017). Yet, it is still unclear to what extent this ability to memorize facts helps neural networks in downstream tasks.

A key research question is to understand how large pre-trained neural networks generalize over memorized examples. Pre-training seems to be a winning strategy to boost generalization. In fact, pre-trained models generalize better on out-of-distribution data and can detect such data better than non-pre-trained methods (Hendrycks et al., 2020). However, these models need a significant number of training instances to exploit this generalization ability in downstream tasks (Tänzer et al., 2022). Hence, since fine-tuning on specific datasets seems to be connected to *catastrophically forgetting* examples (Xu et al., 2020), generalization and memorization can be strictly correlated.

To explore the correlation between memorization and performance on downstream tasks, we propose a mechanism for analyzing sentence coverage. In particular, we investigate how much sentences are seen in the pre-training phase in transformer-based PLMs using perturbation masking methods. These methods allow us to observe the impact of pre-training on the performance of downstream tasks. This novel measure is needed as current measures for understanding coverage, such as “forgetting event” (Toneva et al., 2019) and counterfactual memorization (Zhang et al., 2021), mix performance and actual memorization.

3 Method and Data

This section introduces PreCog that is our measure to evaluate how much pre-training covers the information needed to model a given example (Sec. 3.1), two comparative measures *Lenght* and *LexCov* (Sec. 3.2), and the experimental setting (Sec. 3.3).

3.1 PreCog: a measure to evaluate pre-training coverage

BERT (Devlin et al., 2019) is pre-trained on billions of text tokens by using the Masked Language Modeling (MLM) as one of the two main learning tasks. Indeed, during pre-training, MLM randomly selects and masks 15% of all tokens in any given sequence. This 15% of tokens are either (a) replaced with the special token [MASK], (b) replaced by a random token, or (c) kept unchanged with a respective probability of 80%, 10%, and 10%. Then, BERT learns to predict the masked tokens. This task is learned till near the overfitting. Then, one of the main ability of BERT is unmasking masked tokens.

We aim to capture to which extent a sequence of tokens is covered by pre-training in transformers such as BERT. For this reason, we build on the core capacity of BERT, that is, unmasking masked tokens. Hence, if BERT can predict masked tokens of a given sequence of tokens, it possibly has the knowledge to better deal with that sequence. Our intuition is that a measure built on unmasking masked tokens describes the “prior” knowledge of BERT over sequences.

Given a sentence or text excerpt as a list of tokens $x = [x_1, \dots, x_T]$, our function $PreCog(x)$ is defined as follows. Firstly, we mask one by one each token in x obtaining T different sequences $\hat{x}_i = [x_1, \dots, x_{i-1}, [MASK], x_{i+1}, \dots, x_T]$. Then, the measure is straightforwardly defined as:

$$PreCog_l(x) = \frac{\sum_{i=0}^T \delta(x_i \in BERT_{MLM}(\hat{x}_i))}{T} \quad (1)$$

where $BERT_{MLM}(\hat{x}_i)$ is the set of the first 100 tokens predicted by BERT for the position i and $\delta(x_i \in X)$ is 1 if $x_i \in X$ and 0 otherwise.

PreCog is a very simple measure. Yet, it may reveal important facts about how BERT uses pre-training text in downstream tasks. A very important issue is to understand if PreCog correlates with the performance of BERT in these tasks. A positive and steady correlation will be an important hint for

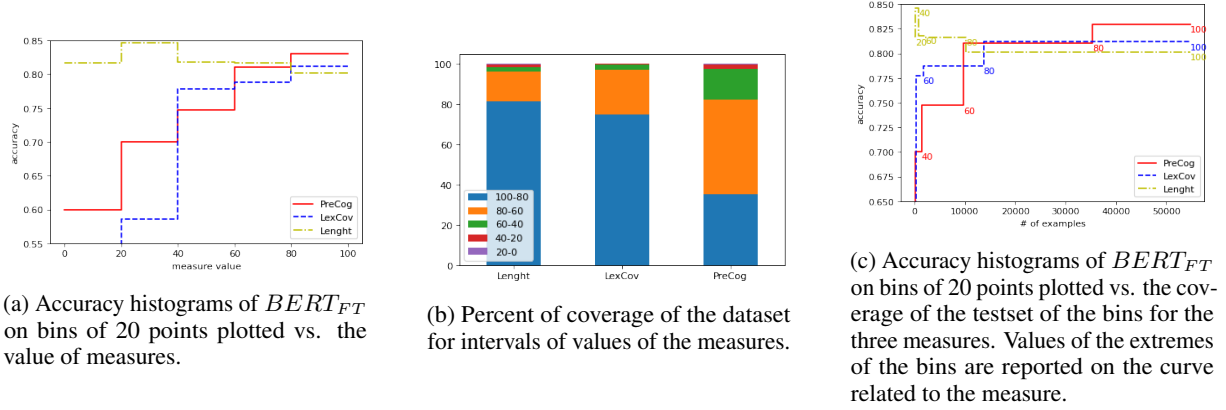


Figure 1: Accuracy plots of $BERT_{FT}$ for the weighted sum of accuracies in each GLUE task.

understanding the role of pre-training.

3.2 Alternative Coverage Measures

To comparatively evaluate *PreCog*, we use two measures: Length and LexCov. Length aims to correlate the accuracy of BERT to the length of samples and LexCov to the coverage of dictionary of BERT. Then, the measures are defined as follows:

- $Length(x) = \frac{T - \min_D}{\max_D - \min_D}$ where T is the length of x , \min_D and \max_D are the min and the max length of samples in a dataset D ;
- $LexCov(x) = \frac{T - |OOV(x)|}{T}$ where $OOV(x)$ is the set of the out-of-vocabulary words of the example x with respect to BERT’s vocabulary.

3.3 Experimental set-up

To experiment with a variety of tasks, we use the GLUE benchmark (Wang et al., 2018) containing tasks for: (1) natural language inference, that is, Multigenre NLI (MNLI) (Williams et al., 2018), Question NLI (QNLI) (Wang et al., 2018), Recognizing Textual Entailment (RTE) (Bentivogli et al., 2009), and Winograd NLI (WNLI) (Levesque et al., 2012); (2) semantic similarity, that is, the Microsoft Research Paraphrase Corpus (MRPC) (Dolan and Brockett, 2005), the Semantic Textual Similarity Benchmark (STS-B) (Cer et al., 2017), and Quora Question Pairs (QQP) (Sharma et al., 2019); sentiment classification - Stanford Sentiment Treebank (SST-2) (Socher et al., 2013); and corpus of linguistic acceptability (CoLA) (Warstadt et al., 2019). SST-2 and CoLA are single sentence tasks.

We used two version of BERT (Devlin et al., 2019): $BERT_{FT}$ with fine-tuning and $BERT_{DA}$ with domain-adaptation. These two are based on

the pre-trained version of BERTforSequenceClassification (see (Wolf et al., 2020)). The fine-tuning procedure is that of traditional BERT. For each downstream task, we chose the Adam optimizer (Kingma and Ba, 2015) with a batch size of 16 and fine-tuned BERT for 4 epochs, following the original paper (Devlin et al., 2019). For hyperparameter tuning, the best learning rate is different for each task, and all original authors choose one between 1×10^{-5} and 5×10^{-5} .

We conduct our experiments on NVIDIA RTX A6000 GPUs with CUDA v11.3. We run the models from the Transformers library (Wolf et al., 2020) using PyTorch v1.12.0.

To study the correlation between the performance of BERT on the one side and one of the three measures - PreCog, Length, or LexCov - on the other side, we divided the sequences x in test-sets in 5 bins according to the value of the measure, we plotted histograms of accuracies of BERT with respect to the three measures (Fig. 1), and we computed the Pearson’s correlation of the measure with respect to the accuracies (Tab. 2).

4 Experimental Results and Discussion

Accuracies reported in Fig. 1a and Fig. 1c and used in Tab. 2 are the weighted sum of accuracies in each GLUE task. This guarantees that the 20-point bins have a sufficient set of samples to compute stable accuracies.

PreCog correlates with the accuracy of $BERT_{FT}$ better than Length and LexCov (see Fig. 1a and Tab. 2). Accuracies of PreCog in the different bins degrade more uniformly than the other two measures (red solid line in Fig. 1a). Moreover, the Pearson’s correlation between PreCog values and the accuracies of $BERT_{FT}$ is 0.9737 with a

Task	Global		interval	# samples	Length		# samples	LexCov		# samples	PreCog	
	$BERT_{FT}$	$BERT_{DA}$			$BERT_{FT}$	$BERT_{DA}$		$BERT_{FT}$	$BERT_{DA}$		$BERT_{FT}$	$BERT_{DA}$
CoLa	0.920	0.935	[80,100] [0,80]	499 446	0.906 0.935	0.918 0.955	857 88	0.926 0.852	0.940 0.886	577 368	0.951 0.870	0.972 0.878
mnli	0.716	0.721	[80,100] [0,80]	7782 1361	0.717 0.716	0.721 0.718	6512 2631	0.739 0.660	0.745 0.660	3508 5635	0.759 0.690	0.770 0.690
mrpc	0.806	0.861	[80,100] [0,80]	59 1590	0.780 0.806	0.831 0.861	924 725	0.818 0.789	0.877 0.839	376 1273	0.867 0.787	0.880 0.854
qnli	0.808	0.829	[80,100] [0,80]	3245 1970	0.802 0.817	0.832 0.825	3123 2092	0.809 0.807	0.831 0.827	1769 3446	0.832 0.796	0.846 0.821
qqp	0.822	0.845	[80,100] [0,80]	32728 3990	0.820 0.834	0.845 0.842	28862 7856	0.823 0.816	0.843 0.850	12810 23908	0.840 0.812	0.860 0.837
rte	0.646	0.653	[80,100] [0,80]	146 122	0.671 0.615	0.678 0.623	155 113	0.716 0.549	0.723 0.558	46 222	0.652 0.644	0.674 0.649
sst2	0.939	0.924	[80,100] [0,80]	151 655	0.907 0.947	0.887 0.933	607 199	0.951 0.905	0.946 0.859	333 473	0.970 0.918	0.970 0.892
wnli	0.565	0.594	[80,100] [0,80]	31 38	0.452 0.658	0.484 0.684	61 8	0.590 0.375	0.623 0.375	39 30	0.590 0.533	0.615 0.567

Table 1: Accuracies on the GLUE tasks computed grouping datasets according to the values of three measures - PreCog, LexCov, and Length - for $BERT_{FT}$ and $BERT_{DA}$.

Measure	Correlation	p-value
Length	-0.5922	0.292
LexCov	0.9014	0.037
PreCog	0.9737	0.005

Table 2: Pearson’s correlation between the measures and the accuracy bins of $BERT_{FT}$ for the combined GLUE tasks.

p-value of 0.005 and it is higher than the ones of both LexCov, 0.9014 with a p-value of 0.037, and Length which is not correlated (see Tab. 2).

PreCog values better separate examples in testing sets. At first glance, LexCov may seem a better model to separate samples with high with respect to those with less accuracy expectations. Samples with a value of LexCov less than 40 have low accuracy (see Fig. 1a). However, samples having LexCov between 0 and 40 are rare (Fig. 1b). Better observations are derived by plotting accuracies over bins rescaled according to their coverage (Fig. 1c). Indeed, PreCog separates samples better than LexCov (red solid line vs. dashed blue line in Fig. 1c): samples from 18,000 to 55,000 fall in two bins for PreCog and in only one bin for LexCov. Hence, PreCog has better discriminative power than LexCov.

Results are substantially confirmed on task basis: PreCog is a better predictor of the accuracy on tasks and a better separator of classes of samples (see Tab. 1). Accuracies of $BERT_{FT}$ are generally higher for samples with PreCog in the interval [80, 100] than for samples with the other two measures in the same interval. *LexCov* has higher accuracy for samples in [80, 100] only for RTE. Moreover, accuracies of samples in the interval [80, 100] are always higher than those in the interval [0, 80] for both PreCog and LexCov. Yet, PreCog partitions more evenly samples and the dif-

ferences in accuracies between intervals [80, 100] and [0, 80] are generally higher.

Moreover, domain adaptation is not changing the above findings. Accuracies for $BERT_{DA}$ are generally higher than those without domain adaptation for all the tasks except for SST2 and WNLI (Tab. 2). Moreover, focusing on PreCog, the overall increase in accuracies in CoLa, MNLI, and RTE derives from an increase in the samples of the interval [80, 100]. This fact suggests that $BERT_{DA}$ is gaining a better model for these samples.

As a final observation, BERT seems to behave better on sentences that have been, at least, partially seen during pre-training. Indeed, PreCog is a measure capturing how much the sentence is covered with the pre-training task Masked Language Model (MLM). Typically, BERT overfits on MLM during pre-training. Then, PreCog is a measure telling whether sentences have already been partially seen. Instead, LexCov describes how many words of sentences are covered by BERT’s vocabulary. Since there is a great difference in predicting accuracy on tasks between PreCog and LexCov, we can conclude that BERT behaves better when general knowledge of the target sentence is already acquired during pre-training.

5 Conclusion

Memorization of pre-training examples plays a very important role in the performance of BERT. Indeed, our PreCog, which measures how much memorized pre-training knowledge cover target examples, is highly correlated with BERT’s performance in inference. PreCog can then be also used as a measure of confidence for BERT-based decisions in downstream tasks.

As BERT success is partially due to simple mem-

orization of examples and given the overwhelming presence of ChatGPT, one area of future research should be on better understanding the relation between actual training examples and inferences in order to give credit to knowledge producers.

Limitations

This paper presents a small, focused contribution towards the understanding of the relation between memorization and performance of pre-trained language models (PTLMs). However, we leave some issues unresolved for this more long-term goal. Indeed, we have explored our idea only for a specific PTLM that is BERT with a specific pre-training task, that is, masked language model (MLM). Future analysis should explore whether our findings hold for other PTLMs based on MLM. Moreover, we have not explored to what extent tasks examples are really covered by pre-training corpora used by PTLMs. The correlation between PreCog and the actual training examples should be investigated. Finally, PreCog is not suitable for PTLMs that are based pre-training tasks that are not MLM. Then, other coverage measures should be defined in those cases.

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