

# Rigidity-induced critical points

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While classical theory of phase transitions deals with systems where shape variation is energetically neutral, the account of *rigidity* can lead to the emergence of new thermodynamic features. One of them is a special type of critical points that are characteristic of phase transitions specifically in solids. We develop a general theory of such rigidity-induced critical points and illustrate the results by analyzing in detail the case of an isotropic, geometrically nonlinear solid undergoing a volumetric phase transition at zero temperature.

## 1. INTRODUCTION

The conventional thermodynamic approach to phase stability of solids is to treat them mechanically as liquids. This classical perspective has been challenged by the appearance of ‘kinetic’ or ‘coherent’ phase diagrams for diffusionless phase transitions [1–10] and the attempts to understand the ensuing complex multiphase microstructures dominated by elastic interactions [11, 12]. To corroborate the idea that the account of rigidity in solid-solid transformations can lead to fundamentally new thermodynamic effects, we focus in this paper on the emergence in elastically compatible systems of a peculiar type of critical points which do not exist in rigidity-free (liquid) systems.

### Motivation: swelling transition in gels

A particularly striking example of the failure of the ‘liquid’ perspective on phase equilibria was provided by experimental studies of polymeric gels exhibiting swelling phase transition [13, 14]. While the volumetric transformation between the swollen and shrunken phases resembles a first-order liquid-gas transition, several peculiar ‘solid’ features observed during such transitions pointed towards the importance of nonzero shear rigidity [15–19].

Thus, in contradiction with classical thermodynamics of such symmetry preserving isotropic-to-isotropic transitions [20], experiments have shown that a discontinuous swelling of a gel can generate inhomogeneous patterns of anisotropically stressed coexisting phases. Specifically, experiments pointed towards the formation of microstructures which are neither isotropic nor homogeneous with developing patterns that do not resemble the ones controlled by liquid surface tension [21–23]. Moreover, the implied

domain microstructures were observed in the range of parameters where, according to the ‘liquid’ approach, they should have been mechanically unstable [21–24]. Independently, experiments showed the possibility of small, negative values of the compressibility which, as an equilibrium effect, is a feature of nonzero rigidity. This, together with the fact that critical fluctuations in swelling gels are not seen at zero bulk modulus, as purely liquid thermodynamics would have predicted, suggests that gels cannot be adequately modeled by conventional thermodynamic theory [24, 25].

In the context of the transition between swollen and de-swollen gels, the ‘non-liquid’ effects have been previously linked to long-range interactions pointing towards mean-field type description [26, 27]. It was also shown that due to rigidity-induced nonlocality, mediated by transverse phonons, gels can be stabilized at negative values of the compressibility in the presence of sufficiently strong boundary constraints. It was similarly argued that the specificity of swelling phase transitions in gels is due to the absence of characteristic length in elasticity theory [28], which ensures that the activation energy of nucleation in the bulk is proportional to the volume and is therefore macroscopic in contrast to what is usually postulated in classical thermodynamic theory [29].

Building upon these insights, we intend to shed additional light on why rigidity of gels and similar soft solids has such a profound effect on their equilibrium thermodynamics. In particular, we’ll be concerned with the fact that in swelling gels the observations of critical opalescence remain controversial, since the expected ‘liquid’ scaling response has not been observed at critical points, given that they are interpreted in the framework of classical thermodynamics [21, 30].

### Coherency constraint

The crucial reason for developing a purely 'solid' perspective on diffusionless transformations in swelling gels is that polymer networks apparently do not change their connectivity during swelling transitions. More generally, one can say that behind the nonzero value of shear modulus in polymer gels is the immutable network topology, and that it is the presence of an implied topological constraint that enables gels to resist elastic distortions. Therefore, the observed deformations should be viewed as elastically 'coherent' in the sense that the underlying macroscopic displacement fields are continuous and the associated deformation gradients are geometrically compatible in the sense of Hadamard [31–38].

To abstract this idea, the 'coherent' thermodynamics views a solid as always equipped with a fixed reference state [39–47]. Such an assumption is not straightforward since in principle atoms can exchange places which excludes the possibility of a nonlocal coherency constraint and implies that the equilibrium value of the shear modulus must be zero [41, 48]. The emergence of rigidity is therefore ultimately associated with inherently long-living metastability [49].

In contrast, the classical nonlinear elasticity theory, e.g. [50–55], ignores the metastability aspect of elastic equilibrium and views solids as never losing topological memory about their local environments. In other words, it postulates the existence of a topologically constrained configuration space which, in particular, prohibits the mutual exchanges of atomic positions [39, 45–47]. While such a constraint is just an approximation, at small temperatures the flow of defects which is supposed to relax the internal stresses is anomalously slow because the associated effective viscosity diverges at vanishing shear stress with an essential singularity [48, 56]. Therefore, in normal conditions the 'kinetic' phase diagrams, accounting for 'metastable' rigidity are usually fully adequate [57, 58].

The presence of the configurational constraint, requiring that a unique reference state exists, opens a possibility for a configuration of a loaded solid body to be nontrivial at equilibrium. Suppose that  $\mathbf{x}$  is the position of a material point in the reference state and  $\mathbf{y}(\mathbf{x})$  is its position in the deformed state. The fundamental assumption of the theory of elasticity is that the total energy can be written as an integral over the region occupied by the body in the reference configuration with the macroscopic stored-

energy density function  $W$  depending only on the deformation gradient

$$\mathbf{F} = \nabla \mathbf{y}. \quad (1)$$

This assumption implies that at zero temperature the deformed equilibrium state can be found by minimizing the total energy

$$\min_{\mathbf{y}(\mathbf{x})} \int W(\mathbf{F}) d\mathbf{x}, \quad (2)$$

subject to boundary conditions which, in the case of hard device type loading, would involve restrictions on the boundary values of  $\mathbf{y}(\mathbf{x})$  [50, 52, 59–61]. The complexity of the problem (2) is due to the fact that the tensorial argument of the function  $W$ , which plays a role of an order parameter, is a gradient of a continuous function. Therefore, the deformation gradient  $\mathbf{F}$  at different points cannot be varied independently [41] and in addition to the Euler-Lagrange equations

$$\nabla \cdot \mathbf{P} = 0, \quad (3)$$

where  $P_i^\alpha = \frac{\partial W}{\partial F_\alpha^i}(\mathbf{F})$  is the Piola stress tensor, it must satisfy a nonlocal coherency constraint

$$\text{curl } \mathbf{F} = 0. \quad (4)$$

The latter, however, is only relevant in the presence of rigidity, as for liquids the constraint (4) is inactive and the effective locality of the minimization problem is recovered. It is also clear that, in case of generic loading, the solutions of (2), satisfying (3), (4), may be highly inhomogeneous.

Under the assumption of either long living metastable configurations or internally constrained equilibrium, it is meaningful to develop the 'coherent' thermodynamics of solid-solid phase transitions which now counts many important contributions, e.g. [11, 62–64]. This and other related work has already identified some generic thermodynamic anomalies associated with elastic phase transitions by linking them to long-range elastic interactions induced by the coherency constraint (4), see, for instance, [11, 65–67]. In particular, it was understood that in systems with nonzero rigidity the energy of phase mixtures depends not only on the volume fractions but also on the detailed microstructure of co-existing phases including both the shape and the orientation of the single phase domains [12, 68, 69].

Moreover, it was shown that if the bottoms of the energy wells are not geometrically compatible,

in the sense that the corresponding values of  $\mathbf{F}$  are not rank-one-connected, mixing would have an extensive energy cost [70, 71]. An important resulting effect is the nonlinearity of the dependence of the elastic energy on the volume fractions of the phases in coherent multi-phase mixtures [68, 69]. The implied non-additivity of the energy is also behind the macroscopic energy barrier for phase nucleation which is responsible for metastability and rate independent hysteresis accompanying coherent transformations [71, 72]. All this invalidates the use of the common tangent (Maxwell) construction for determining phase equilibria [1, 2, 62, 73, 74], replacing the usual convexification of the energy with a more subtle construction known as quasi-convexification [60, 61, 75–77].

In case of gels undergoing swelling phase transitions the above effects can be expected to be manifestly present. Thus, since in the case of volumetric transitions the bottoms of the energy wells are not geometrically compatible, the two-phase polymer network must deform inhomogeneously. Also, the observations of negative compressibility at such transitions in a range of parameters are supported by the fact that, in sufficiently constraining loading conditions, the elastic instability, associated with volumetric phase transitions, takes place strictly after the convexity of the energy has already been violated [78, 79].

### Organization of the paper

One of the most important signature of 'coherent' thermodynamics is that the tensorial stress becomes a parameter on phase diagrams replacing the conventional liquid pressure [62–64, 80]. Our goal is to corroborate the idea that such an extension of the parameter space produces new qualitative effects. In particular, we intend to show that in isotropic solids, in addition to the classical 'liquid' critical points associated with volumetric phase transitions, one can also expect the emergence of specific, zero temperature, rigidity-induced purely 'solid-type' critical points.

Critical points in the configurational space can be anticipated if there is no distinction between the transforming phases in terms of their symmetry as they can be in principle continuously deformed one into another. To illustrate this general idea we study in this paper as an example a class of phase transformations between isotropic solid phases characterized by different reference densities. The phases are sup-

posed to be subjected to homogeneous (affine) deformations applied on the boundary. In the course of such a loading program, the deformation gradient  $\mathbf{F}$  is expected to cross into the coherent binodal region [79, 81], where the system loses stability against strong perturbations i.e., the infinitesimal perturbations of the deformation  $\mathbf{y}(\mathbf{x})$  itself that are not infinitesimal as far as the deformation gradient  $\nabla\mathbf{y}(\mathbf{x})$  is concerned. The boundary of the coherent binodal region (also known as a *coherent binodal*) is then a set in the phase space of tensors  $\mathbf{F}$  consisting of points where the energy density and its quasi-convex envelope separate [79, 81–83]. This makes the corresponding homogeneous configurations marginally stable, while announcing the formation of an inhomogeneous energy-minimizing microstructures immediately upon crossing the coherent binodal. In typical cases, the coherent binodal region can be expected to separate two (or more) connected components of the phase space, which can be then identified as phases. This is, for instance the case for liquids where the energy density  $W$  depends only on the specific volume  $\det(\mathbf{F})$ . However, when rigidity is different from zero, the stable part of the phase space can form a connected set cutting through the domain of phase coexistence and permitting passage from one phase to the other without any sharp transition and, consequently, without the concomitant microstructure development.

In such cases one can define coherent critical points as the common limit of the two coexisting deformation gradients in two-phase equilibria, i.e. points at which distinction between the two phases in equilibrium disappears. The emergence of such points corresponds to the limiting case when the range of phase coexistence and the attendant microstructure development can shrink to a point. As in the case of  $P - V$  diagrams for liquid phase transitions, in such critical points coherent binodal and coherent spinodal in the space of deformation gradients  $\mathbf{F}$  are tangent to each other, which opens the way towards analytical characterization of coherent critical points. Here by *coherent spinodal* we designate the boundary of elastic stability against local weak perturbations, i.e., infinitesimally small perturbations of the deformation gradient localized in an infinitesimal neighborhood of a material point [79, 84, 85].

The goal of this paper is to elucidate these ideas, support them by explicit analytical computations, and then illustrate them through a systematic development of an example directly applicable to the description of swelling phase transitions in gels.

We begin the paper in Section 2 by recalling various mechanical aspects of the classical first order symmetry preserving phase transition in a Van der Waals type liquid phase. While the analysis is performed using nonlinear elasticity theory, it is assumed that in equilibrium all non-hydrostatic stresses relax and the elastic response can be fully represented by pressure-density relation. In the case of interest, the system is below the classical liquid criticality, moreover, for simplicity, we assume that the temperature is equal to zero. Under these conditions we determine the domain of equilibrium phase coexistence which is bounded by the classical thermodynamic binodal; we also determine the location of the classical thermodynamic spinodal and show that the two neither touch nor intersect. We then compute the equilibrium energy parametrized by the specific volume which describes the ground state. Expectedly, in this context, the non-classical coherent critical points do not appear.

After reviewing these classical results, we present in Section 3 a parallel analysis for the model of a generic diffusionless phase transition in nonlinear elastic solid, exhibiting general rigidity and allowing for arbitrary transformation strain. In this way we permit the structure of the binodal to change qualitatively vis a vis the case of an elastic liquid, and open the way towards the possibility of non-classical, rigidity-induced critical points. We develop a general theory of such critical points in 'coherent' thermodynamics, linking them to the conditions where the two coexisting phases coincide. We show that since the corresponding critical states must lie on both the spinodal and the binodal, these two surfaces in the tensorial space of deformation gradients  $\mathbf{F}$  must be tangent at the critical points. The latter circumstance allows us to obtain an explicit analytic characterization of such critical points. The general results obtained in this section can be expected to have important implications for the the design of phase equilibria in highly deformable soft condensed matter, as well as in artificial metamaterials, undergoing geometric phase transitions. Our approach avoids conventional linearization of elastic stresses and strains and is developed in the geometrically exact framework of nonlinear elasticity theory.

To illustrate these general results, we consider, in Section 4 and Section 5, two explicit examples showing, that even in the presence of rigidity, depending on a subtle difference in material model, coherent critical points in the stress space may or may not appear.

The first example presented in Section 4 is directly

related to swelling phase transition in gels. We consider in full detail an isotropic solid, exhibiting the simplest symmetry preserving phase transition, with phases differing only by their specific volume. Such a transformation in liquids is known to exhibit a classical critical point in the pressure-temperature space. In this section we show that an account of rigidity can give rise to a whole family of non-classical critical points in the stress space. More specifically, we use the Hadamard-Flory model and show that if the elastic shear modulus is sufficiently large, one can construct a loading protocol which brings phases with different reference specific volumes into each other continuously while passing around a rigidity-induced set of critical points. We locate this set in both stress and strain spaces, while retrieving, along the way, a nontrivial 'coherent' generalization of the common tangent construction, which gives rise to nonconvex ground state energy.

Our second example, presented in Section 5, reveals the perils of geometrical linearization in coherent thermodynamics. We show that if the geometrically nonlinear model of an isotropic two-phase solid, discussed above, is replaced by the more conventional geometrically linearized description, the solid-specific critical points disappear. This example highlights the crucial importance of using geometrically exact theories of elasticity in the study of coherent phase equilibria in soft solids. It can be then viewed as a cautionary tale that geometric linearization can create unphysical artifacts in soft condensed matter.

Finally in Section 6 we summarize our results and present general conclusions.

## 2. ELASTIC FLUID

To set the stage we first assume that the rigidity can be neglected and that the energy density of our 'elastic liquid' is a function of the normalized specific volume  $d = \det(\mathbf{F})$  only.

$$W(\mathbf{F}) = h(d). \quad (5)$$

Since we deal with a volumetric phase transition, the function  $h(d)$  is assumed to have a double well structure, see Fig. 1(b). This makes the hydrostatic stress (negative of the pressure)  $h'(d)$  a non-monotone up-down-up function, see Fig. 1(c); here and in what follows prime denotes differentiation.

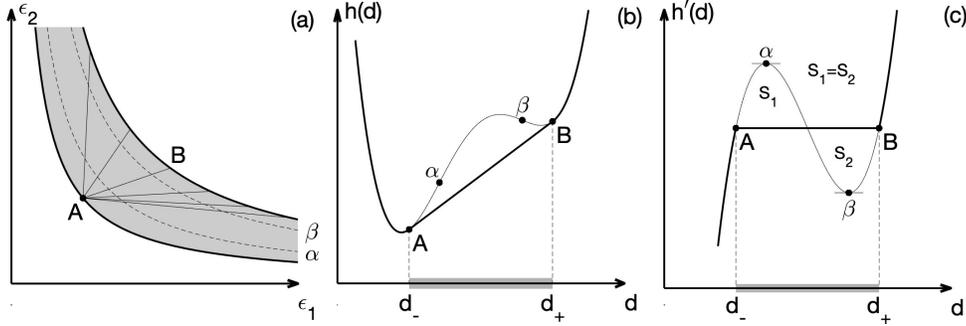


FIG. 1. Phase diagram in the space of principal strains  $\epsilon_1$  and  $\epsilon_2$  (a), double-well energy (b), pressure-volume relation (c) for an elastic liquid. The relaxed (ground state) energy and the relaxed stress-strain response are shown in (b) and (c) by thick solid lines. Binodal region in (a) is shaded; dashed lines limit the spinodal region. Here  $A$  and  $B$  mark coexisting states on the binodal, while  $\alpha$  and  $\beta$  indicate spinodal points; dashed lines  $\alpha$ ,  $\beta$  in (a) enclose the spinodal region.

### Classical binodal

In such an 'elastic liquid' setting, the conditions of phase equilibrium are well known [86, 87]. The first condition,

$$\llbracket h'(d) \rrbracket = 0, \quad (6)$$

where  $\llbracket A \rrbracket = A_+ - A_-$  denotes the jump, establishes the equality of pressures in the two phases. The second,

$$\llbracket h(d) \rrbracket - h'_\pm(d) \llbracket d \rrbracket = 0, \quad (7)$$

known as Maxwell equal area construction, states that the chemical potentials in the two phases must be equal. In the context of calculus of variations, the Gibbs-Maxwell conditions (6), (7); the same conditions naturally reappear in the theory of phase transitions in elastic bars [88]. In accordance with (6), (7) phase equilibrium takes place at a single (binodal) value of pressure.

While the above analysis is fully three-dimensional, to illustrate the structure of the elastic binodal graphically in the *strain space*, it is convenient to use the 2D version of our model, see Fig. 1(a). In view of isotropy and frame indifference, the relevant strain space is a plane with the axes representing singular values of  $\mathbf{F}$  (principal strains) which we denote  $\epsilon_{1,2}$  in our 2D illustrations. The domain of phase coexistence (the binodal region) in this plane is shaded in Fig. 1(a), where the hydrostatic (spherical) deformations correspond to the line  $\epsilon_1 = \epsilon_2 = \epsilon$ . Note, that the elastic liquid model does not allow us to specify the corresponding two phase microstructures uniquely,

and, to stress this point, we indicated, in Fig. 1(a), multiple admissible connections between a state  $A$  and different states  $B$ .

### Ground state energy

If we denote the specific volumes of the two coexisting phases  $d_- \leq d_+$ , then the relaxed (ground state) energy density  $\tilde{W}(d)$  is a convexification of  $h(d)$ , which at  $d_- \leq d \leq d_+$ , i.e., where  $h(d)$  differs from its convex hull, takes the form

$$\tilde{W}(d) = \frac{d - d_-}{d_+ - d_-} h(d_+) + \frac{d_+ - d}{d_+ - d_-} h(d_-).$$

Instead, at  $d \leq d_-$  and  $d \geq d_+$  we have simply

$$\tilde{W}(d) = h(d).$$

The relaxed energy is shown by a thick solid line in Fig. 1(b). The corresponding equilibrium pressure-volume response is shown in Fig. 1(c), also by a thick solid line; note that the Maxwell equal area construction is operative in this case.

### Classical spinodal

The two spinodal points in 'elastic liquid' model,  $d_\alpha$  and  $d_\beta$ , are defined by the thermodynamic condition [86],

$$h''(d) = 0. \quad (8)$$

They are located inside the binodal (between points  $A$  and  $B$ ) and are explicitly indicated in Fig. 1(a,b,c) by letters  $\alpha$  and  $\beta$ .

### Classical critical point

We adopt, as an operational definition, that at the critical points the spinodal and the binodal meet, and therefore touch; note that under such an assumption a critical point is a point on the spinodal, which is stable. In liquid systems the critical points are defined by two equations. One of them is (8) since critical points belongs to the spinodal. The second equation, indicating the point of tangency of the spinodal and the binodal, is [86],

$$h'''(d) = 0. \quad (9)$$

It is easy to see that the critical state  $d = d_*$ , which satisfies (8), and (9), can be also defined as a configuration where the distinction between the two coexisting phases, characterized by  $d_+$  and  $d_-$  in Fig. 1(b.c), disappears.

In our (zero-temperature) 'elastic liquid' model, the two conditions (8), (9) cannot be satisfied simultaneously for a generic  $h(d)$ , and therefore, there are no conventional thermodynamic critical points in Fig. 1(a). Equations (8), and (9) can be both satisfied if we add temperature as a parameter. Then the inconsistency between (8), and (9) can be overcome given that the increase of temperature is engineered to move the two wells of the energy density  $h(d)$  towards each other. Then the value of the temperature, where the two energy wells coalesce, and therefore the two equations (8), and (9) have a common solution, would correspond to a conventional thermodynamic critical point. We reiterate that our choice of zero temperature is made to ensure that the classical critical points are taken out of the picture, so that we could fully focus on the novel non-classical critical points.

To find where any distinction between solid phases, coexisting in equilibrium, disappears, we need to generalize the equilibrium conditions (6), (7), (8) and (9) for the case of general elastic solids. While the analogs of the first three of them are basically known, the fully tensorial counterpart of (9) is not, and would have to be derived here.

### 3. ELASTIC SOLID

In this section we consider an elastic solid with nonzero rigidity and use the methods of 'coherent' thermodynamics to locate the coherent binodal and spinodal in the strain space. As a bi-product, we also identify the location of the rigidity-induced critical points.

### Coherent binodal

The 'coherent' analogs of conventional thermodynamic (liquid) equilibrium conditions (6) and (7) can be also viewed as equations that the *coexisting* deformation gradients  $\mathbf{F}_\pm$  must satisfy. Here it is implied that a continuous, piecewise affine deformation  $\mathbf{y}_\pm(\mathbf{x})$ , characterized by the two gradients  $\nabla \mathbf{y}_\pm(\mathbf{x}) = \mathbf{F}_\pm$ , which are separated by a plane of discontinuity of the deformation gradients, is elastically stable.

To derive the corresponding conditions, we start with the reminder that the relevant consequence of the elastic stability of the homogeneous configuration  $\mathbf{y}(\mathbf{x}) = \mathbf{F}\mathbf{x}$  with respect to all perturbations  $\tilde{\mathbf{y}}$  that agree with  $\mathbf{y}(\mathbf{x})$  on the boundary and are uniformly close to  $\mathbf{y}(\mathbf{x})$  is the Weierstrass condition [75, 89, 90],

$$W(\mathbf{F} + \mathbf{a} \otimes \mathbf{n}) \geq W(\mathbf{F}) + W_{\mathbf{F}}(\mathbf{F})\mathbf{n} \cdot \mathbf{a} \quad (10)$$

for all vectors  $\mathbf{a}$  and  $|\mathbf{n}| = 1$ ; here and in what follows the *tensorial* subscripts indicate partial differentiation with respect to the components of the corresponding tensorial variables. In physical terms, condition (10) expresses stability of the homogeneous configuration with respect to *nucleation* of coherent lamina of the new phase [76, 91]. The 'liquid' analog of (10) is the condition of convexity of the function  $h(d)$  from (5) at the point  $d$ .

Geometrically, inequality (10) partitions the space of deformation gradients  $\mathbf{F}$  into two regions: the region  $\mathcal{B}_W$ , where the Weierstrass necessary condition (10) fails, all of whose points are definitively unstable, and its complement, where stability and instability are more difficult to distinguish. We will call the surface  $\partial\mathcal{B}_W$  the *Weierstrass binodal*. Since (10) is a necessary condition of elastic stability (i.e., of quasiconvexity of  $W(\mathbf{F})$ ), the region  $\mathcal{B}_W$  lies inside the coherent binodal region  $\mathcal{B}$ . The latter comprises the set of all elastically unstable homogeneous (affine) configurations. Therefore, all stable points on the Weierstrass binodal must necessarily lie on the coherent binodal  $\partial\mathcal{B}$ . The notion of (coherent) binodal was introduced in [79, 82], where it was also explained why such a binodal marks the boundary of quasiconvexity of the energy density.

While the simple constructive characterization of the coherent binodal is hardly possible, the Weierstrass binodal is relatively easy to describe algebraically. Its equations can be found by regarding inequality (10) as the requirement that the pairs  $(\mathbf{a}, \mathbf{n}) = (0, \mathbf{n})$ , where  $\mathbf{n}$  is an arbitrary unit vector,

are *absolute* minimizers of

$$\Delta(\mathbf{a}, \mathbf{n}) = W(\mathbf{F} + \mathbf{a} \otimes \mathbf{n}) - W_{\mathbf{F}}(\mathbf{F})\mathbf{n} \cdot \mathbf{a}. \quad (11)$$

Indeed, when  $\mathbf{F} \in \mathcal{B}_{\mathcal{W}}$ , and this condition fails, there exist  $\mathbf{a} \neq 0$  and  $|\mathbf{n}| = 1$  for which  $\Delta(\mathbf{a}, \mathbf{n}) < W(\mathbf{F})$ .

Note next, that on the Weierstrass binodal  $\partial\mathcal{B}_{\mathcal{W}}$  we expect the existence of  $(\mathbf{a}, \mathbf{n})$ , such that  $\Delta(\mathbf{a}, \mathbf{n}) = W(\mathbf{F})$ , with  $(\mathbf{a}, \mathbf{n})$  being a global minimizer of  $\Delta$ . Then, for all  $\mathbf{F} \in \partial\mathcal{B}_{\mathcal{W}}$  the following necessary conditions must hold

$$\Delta_{\mathbf{a}}(\mathbf{a}, \mathbf{n}) = 0, \quad (12)$$

$$\Delta_{\mathbf{n}}(\mathbf{a}, \mathbf{n}) = 0, \quad (13)$$

$$\Delta(\mathbf{a}, \mathbf{n}) = W(\mathbf{F}). \quad (14)$$

Introducing notation  $\mathbf{F}_- = \mathbf{F}$ ,  $\mathbf{F}_+ = \mathbf{F} + \mathbf{a} \otimes \mathbf{n}$ , which ensures that  $\mathbf{F}_-$  and  $\mathbf{F}_+$  are values of the gradient of a *continuous* displacement field, we obtain the Hadamard kinematic compatibility condition [50, 52, 59–61],

$$\llbracket \mathbf{F} \rrbracket = \mathbf{a} \otimes \mathbf{n}, \quad (15)$$

where  $\mathbf{a}$  is the shear vector, and  $\mathbf{n}$  is the unit normal to the phase boundary. We can then rewrite equations (12)–(14) in the form of a system

$$\llbracket \mathbf{P} \rrbracket \mathbf{n} = 0, \quad (16)$$

$$\llbracket \mathbf{P} \rrbracket^T \mathbf{a} = 0, \quad (17)$$

$$\llbracket W \rrbracket - \mathbf{P}_{\pm} \mathbf{n} \cdot \mathbf{a} \equiv \llbracket W \rrbracket - \{ \mathbf{P} \} \mathbf{n} \cdot \mathbf{a} = 0. \quad (18)$$

where  $\mathbf{P}_{\pm} = W_{\mathbf{F}}(\mathbf{F}_{\pm})$  and  $\{A\} = (1/2)(A_+ + A_-)$ .

The first equation (16) in this system is the classical traction continuity condition [50, 61]. The last equation (18) is a generalization of the Maxwell equilibrium condition [92]; the analogs of both of these conditions are already present in the theory of fluid equilibria, see (6) and (7).

Note that the conditions (16), (18) can be also viewed as tensorial analogs of the classical Weierstrass-Erdmann conditions on broken extremals [93–97]. They are well known in the calculus of variations and have been also extensively used in coherent thermodynamics of elastic phase transitions [12, 60, 98–106].

Instead, condition (17) is *solid-specific* and, in this sense, is rigidity-induced, as it does not exist in classical thermodynamics of liquid phases. Not being relevant in the simplest scalar problem studied by Weierstrass, it has been also overlooked for a long time in the context of calculus of variations. While the necessity of additional equalities on smooth broken extremals has been felt for a long time [102, 107–113], in the present general form condition (17)

was first obtained only recently [82]. As it follows from its derivation, see equation (13), the condition (17) emerges from testing the equilibrium phase coexistence against local re-orientations of the phase boundary viewed as a surface of discontinuity of the deformation gradients, see [83] for further details.

The complete system of equations (15)–(18) describes a *jump set*, a hyper-surface (surface of codimension 1) in the phase space (space of deformation gradients  $\mathbf{F}$ ) which contains the Weierstrass binodal  $\partial\mathcal{B}_{\mathcal{W}}$ , but may also contain branches that lie inside  $\mathcal{B}_{\mathcal{W}}$ . In this sense the Weierstrass binodal delineates the outer envelope of the *jump set*, stable parts of which belong to the coherent binodal. By emphasizing this point we stress that, while it is never an issue in liquid systems, some of the ensuing thermodynamic phase equilibria can be still elastically unstable.

One way to distinguish stable points on  $\partial\mathcal{B}_{\mathcal{W}}$  from those inside  $\mathcal{B}_{\mathcal{W}}$  is to check the non-negativity of the Hessian

$$\mathbf{H} = \begin{bmatrix} \Delta_{aa} & \Delta_{an} \\ \Delta_{na} & \Delta_{nn} \end{bmatrix}.$$

It turns out that on the part of the hypersurface (15)–(18), that satisfies  $\mathbf{H} > 0$ , each  $\mathbf{F} = \mathbf{F}_-$  has a uniquely defined  $\mathbf{F}_+$  that depends smoothly on  $\mathbf{F}_-$ . Note that the positive definiteness of  $\mathbf{H}$  has to be understood, while accounting for its geometrical degeneracy induced by the fact that Weierstrass condition depends on  $\mathbf{a}$  and  $\mathbf{n}$  only through the combination  $\mathbf{a} \otimes \mathbf{n}$ .

In what follows we denote by  $\mathcal{J}$  the part of the hypersurface (15)–(18) that satisfies  $\mathbf{H} > 0$ . In our example of Hadamard-Flory solid, discussed in Section 4, the whole hypersurface defined by (15)–(18) will have this property. It will also coincide with the coherent binodal. In our geometrically linear example considered in Section 5 the surface defined by (15)–(18) also coincides with the coherent binodal, but has  $\mathbf{H} = 0$  at each point. As we are going to see, the degeneracy is, in part, due to the enhanced compatibility of the linearized strain.

### Coherent spinodal

One of the elementary consequences of the Weierstrass stability condition (10) is obtained by restricting  $\mathbf{a}$  to a small neighborhood of zero. From the

expansion

$$W(\mathbf{F} + \mathbf{a} \otimes \mathbf{n}) - W(\mathbf{F}) - W_{\mathbf{F}}(\mathbf{F})\mathbf{n} \cdot \mathbf{a} = \frac{1}{2}\mathbf{A}(\mathbf{F}; \mathbf{n})\mathbf{a} \cdot \mathbf{a} + O(|\mathbf{a}|^3),$$

we obtain a corollary of (10), known as the Legendre-Hadamard condition, or the ellipticity condition for equations of elastostatics, e.g. [60, 61, 114],

$$\mathbf{A}(\mathbf{F}; \mathbf{n})\mathbf{a} \cdot \mathbf{a} \geq 0, \quad (19)$$

for all  $|\mathbf{a}| = 1$  and  $|\mathbf{n}| = 1$ . Here  $\mathbf{A}(\mathbf{F}; \mathbf{n})$  is the acoustic tensor defined by its quadratic form

$$\mathbf{A}(\mathbf{F}; \mathbf{n})\mathbf{a} \cdot \mathbf{a} = W_{\mathbf{F}\mathbf{F}}(\mathbf{F})[\mathbf{a} \otimes \mathbf{n}, \mathbf{a} \otimes \mathbf{n}],$$

or in index notation, by the formula

$$A_{ij}(\mathbf{F}; \mathbf{n}) = W_{F_{\alpha}^i F_{\beta}^j}(\mathbf{F})n_{\alpha}n_{\beta},$$

where the summation over repeated indexes is assumed.

Just as in the case of the Weierstrass binodal, it is advantageous to view (19) geometrically as a partitioning of the phase space into two regions:  $\mathcal{S}$ , where (19) fails (coherent spinodal region), and its complement. The boundary  $\partial\mathcal{S}$  can be then interpreted as the coherent spinodal, see [79] for further details.

According to (19) all the eigenvalues of real symmetric matrices  $\mathbf{A}(\mathbf{F}; \mathbf{n})$  have to be nonnegative for all  $|\mathbf{n}| = 1$ . While the computation of eigenvalues of real symmetric matrices is standard, the verification of their nonnegativity for an infinite family of unit vectors  $\mathbf{n}$  can make the task challenging.

To overcome this difficulty, we first emphasize that when  $\mathbf{F} \in \partial\mathcal{S}$ , there exists a unit vector  $\mathbf{n}_0$ , such that at least one of the eigenvalues of the acoustic tensor  $\mathbf{A}(\mathbf{F}; \mathbf{n}_0)$  becomes zero, while others remain positive. In addition, the eigenvalues of  $\mathbf{A}(\mathbf{F}; \mathbf{n})$  must be nonnegative for *all* unit vectors  $\mathbf{n}$ . Denoting by  $\mathbf{a}_0$  the unit eigenvector of  $\mathbf{A}(\mathbf{F}; \mathbf{n}_0)$  corresponding to the zero eigenvalue, we obtain our first set of equations for the coherent spinodal

$$\mathbf{A}(\mathbf{F}; \mathbf{n}_0)\mathbf{a}_0 = 0. \quad (20)$$

The second set is obtained from the observation that  $\Lambda(\mathbf{n}) = \mathbf{A}(\mathbf{F}; \mathbf{n})\mathbf{a}_0 \cdot \mathbf{a}_0$  achieves its global minimum of 0 at  $\mathbf{n} = \mathbf{n}_0$ , so that

$$\mathbf{A}^*(\mathbf{F}; \mathbf{a}_0)\mathbf{n}_0 = 0. \quad (21)$$

where  $\mathbf{A}^*(\mathbf{F}; \mathbf{a})$  is the co-acoustic tensor defined by its quadratic form

$$\mathbf{A}^*(\mathbf{F}; \mathbf{a})\mathbf{n} \cdot \mathbf{n} = W_{\mathbf{F}\mathbf{F}}(\mathbf{F})[\mathbf{a} \otimes \mathbf{n}, \mathbf{a} \otimes \mathbf{n}]$$

or in index notation by the formula

$$A^{*\alpha\beta}(\mathbf{F}; \mathbf{a}) = W_{F_{\alpha}^i F_{\beta}^j}(\mathbf{F})a^i a^j,$$

where we again assumed the summation over repeated indexes.

Equations (20)–(21), satisfied by all points on the coherent spinodal, can be viewed as the tensorial analogs of (8). Upon elimination of the unit vectors  $\mathbf{n}_0$  and  $\mathbf{a}_0$ , they describe a hypersurface in the phase space of deformation gradients  $\mathbf{F}$ . Indeed, in  $n$  space dimensions there are  $n^2 + (n-1) + (n-1)$  unknowns in the set of tensorial variables  $(\mathbf{F}, \mathbf{a}_0, \mathbf{n}_0)$ , since both  $\mathbf{a}_0$  and  $\mathbf{n}_0$  are unit vectors. Equation (20) has  $n$  scalar restrictions, as does equation (21). However, there is one scalar relation between the two equations, since

$$\mathbf{A}^*(\mathbf{F}; \mathbf{a}_0)\mathbf{n}_0 \cdot \mathbf{n}_0 = \mathbf{A}(\mathbf{F}; \mathbf{n}_0)\mathbf{a}_0 \cdot \mathbf{a}_0.$$

Thus, the space of solutions  $(\mathbf{F}, \mathbf{a}_0, \mathbf{n}_0)$  of (20)–(21) is  $n^2 - 1$  dimensional. Therefore, under some basic nondegeneracy assumptions, we can claim that the solution set has  $n^2 - 1$  dimensional projection onto the phase space of deformation gradients  $\mathbf{F}$ . Note, that while all points on the coherent spinodal solve the system (20)–(21), such a system by itself may also have other solution branches, all in the interior of  $\mathcal{S}$ .

### Coherent critical points

Recall that we defined *coherent critical points* as the points of intersection, and therefore tangency, of the coherent binodal and the coherent spinodal. This operational definition implies that critical points are exactly the stable points on the spinodal, in particular, the corresponding states (deformation gradients  $\mathbf{F}_*$ ) must satisfy the necessary condition of Weierstrass (10).

Using  $\mathbf{a} = t\mathbf{a}_0$  and  $\mathbf{n} = \mathbf{n}_0$  in the Weierstrass condition (10) we obtain

$$W(\mathbf{F}_* + t\mathbf{a}_0 \otimes \mathbf{n}_0) - W(\mathbf{F}_*) - tW_{\mathbf{F}}(\mathbf{F})\mathbf{n}_0 \cdot \mathbf{a}_0 = \frac{t^3}{6}W_{\mathbf{F}\mathbf{F}\mathbf{F}}(\mathbf{F}_*)[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0] + O(t^4),$$

where we used that

$$\mathbf{A}(\mathbf{F}_*; \mathbf{n}_0)\mathbf{a}_0 \cdot \mathbf{a}_0 = 0. \quad (22)$$

Furthermore, given that the leading term above has an odd power of  $t$ , inequality (10) implies that we must have

$$W_{\mathbf{F}\mathbf{F}\mathbf{F}}(\mathbf{F}_*)[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0] = 0. \quad (23)$$

This equation shows that if  $\mathbf{F}_* \in \partial\mathcal{S}$  is stable, then  $\mathbf{F}$  must satisfy (20), (21), and (23). Upon elimination of unit vectors  $\mathbf{a}_0$  and  $\mathbf{n}_0$ , we are left with two scalar equations, locating the state  $\mathbf{F}_*$  on a co-dimension one subvariety of the spinodal.

Let us now demonstrate that critical points admit a more classical description as points in the phase space, where the distinction between the two coexisting phases disappears. Recall that if  $\mathbf{F}_*$  is a point of tangency between the coherent binodal and the coherent spinodal, then, it must also be a point of tangency between the Weierstrass binodal  $\partial\mathcal{B}_W$  and the coherent spinodal. Indeed, on the one hand, all points in the complement of the coherent binodal region  $\mathcal{B}$  are stable, and therefore satisfy the Weierstrass necessary condition (10). On the hand, all points in the coherent spinodal region  $\mathcal{S}$  fail (10). Therefore, the Weierstrass binodal must pass between  $\partial\mathcal{B}$  and  $\partial\mathcal{S}$ , separating  $\mathcal{S}$  from the complement of  $\mathcal{B}$ . Hence,  $\partial\mathcal{B}_W$  must be tangent to  $\partial\mathcal{S}$  at the point  $\mathbf{F}_*$ , where  $\partial\mathcal{B}$  and  $\partial\mathcal{S}$  touch.

Suppose  $\mathbf{F}_- \in \partial\mathcal{B}_W$  is an arbitrary sequence or family of points on  $\partial\mathcal{B}_W$ , such that  $\mathbf{F}_- \rightarrow \mathbf{F}_*$ . We claim that, generically, the corresponding  $\mathbf{F}_+$  on  $\partial\mathcal{B}_W$ , solving the jump set equations (15)–(18) must also satisfy  $\mathbf{F}_+ \rightarrow \mathbf{F}_*$ . This is based on the observation that generically the failure of the pairs  $(0, \mathbf{n})$  to be global minimizers of  $\Delta(\mathbf{a}, \mathbf{n})$  occurs either by  $(0, \mathbf{n})$  failing to be a local minimizer or by the appearance of an additional global minimizer  $(\mathbf{a}, \mathbf{n})$ , *but not both at the same time*. In this case, if  $\mathbf{F}_+$  does not converge to  $\mathbf{F}_*$ , when  $\mathbf{F}_-$  does, then  $\mathbf{F}_+ \rightarrow \mathbf{F}_* + \mathbf{a} \otimes \mathbf{n}$ , for some  $\mathbf{a} \neq 0$  and  $|\mathbf{n}| = 1$ . But then,  $(\mathbf{a}, \mathbf{n})$  is a global minimizer of  $\Delta(\mathbf{a}, \mathbf{n})$ , when  $\mathbf{F} = \mathbf{F}_*$ . It follows that as  $\mathbf{F}_*$  moves into the spinodal region, the minimality of  $\{(0, \mathbf{n}) : |\mathbf{n}| = 1\}$  is violated both locally and globally, which is a non-generic behavior. We conclude that generically, if  $\mathbf{F}_- \rightarrow \mathbf{F}_*$ , then  $\mathbf{F}_+ \rightarrow \mathbf{F}_*$ , as well. Thus, each critical point must also be the common limit of a family of coexisting states  $\mathbf{F}_+$ ,  $\mathbf{F}_-$ , satisfying phase equilibrium equations (15)–(18).

Let us show that conversely, any point  $\mathbf{F}_*$  on the Weierstrass binodal (the jump set) at which the distinction between  $\mathbf{F}_+$  and  $\mathbf{F}_-$  disappears has to satisfy equations (20), (21), (23). Specifically, we assume that for an arbitrary smooth curve  $\mathbf{F}_-(t)$  on the Weierstrass binodal, such that  $\mathbf{F}_-(0) = \mathbf{F}_*$ , there exists a corresponding smooth curve  $\mathbf{F}_+(t)$ , such that  $\mathbf{F}_+(0) = \mathbf{F}_*$ , and  $\mathbf{F}_\pm(t)$  solve the jump set equations (15)–(18) for every (sufficiently small)  $t$ . While all points  $\mathbf{F}_\pm(t)$  are constrained by (15)–(18) when  $t \neq 0$ , at the critical point the system

(15)–(18) trivializes, as it is automatically satisfied whenever  $\mathbf{F}_+ = \mathbf{F}_-$ . To overcome such an obstacle we need to “zoom in” to the neighborhood of the critical point  $\mathbf{F}_*$  and compute the leading order expansions of equations (15)–(18) around it.

First of all, it is important to ensure that the curve  $\mathbf{F}(t)$  has a non-singular parametrization. The most convenient choice of the parameter would be

$$s = |\mathbf{F}_+(t) - \mathbf{F}_-(t)|,$$

where  $|\mathbf{F}|$  denotes the Frobenius norm of a matrix  $\mathbf{F}$ , and we use the parameter  $s$  in what follows. In this case we can write  $[\mathbf{F}] = s\hat{\mathbf{a}}(s) \otimes \mathbf{n}(s)$ , where  $|\hat{\mathbf{a}}(s)| = 1$ , and  $|\mathbf{a}|$  denotes the usual Euclidean length of a vector  $\mathbf{a}$ . Differentiating (in  $s$ ) equation (15), we obtain at  $s = 0$ ,

$$[\dot{\mathbf{F}}] = \hat{\mathbf{a}}(0) \otimes \mathbf{n}(0).$$

Differentiating equations (16), (17) we obtain, at  $s = 0$ , equations (20), (21), respectively, with  $\mathbf{a}_0 = \hat{\mathbf{a}}(0)$  and  $\mathbf{n}_0 = \mathbf{n}(0)$ . Thus, we have shown that any point  $\mathbf{F}_* \in \partial\mathcal{B}_W$ , at which the distinction between phases disappears must solve the system of equations (20)–(21). In particular,  $\mathbf{F}_*$  must lie in the closure of  $\mathcal{S}$ . Since we have seen that the Legendre-Hadamard condition (19) is a consequence of the Weierstrass condition (10), spinodal must necessarily lie in the closure of  $\mathcal{B}$ . We conclude that  $\mathbf{F}_* \in \partial\mathcal{B}_W$  must also lie on the spinodal  $\partial\mathcal{S}$ .

Let us show now that the leading term in the expansion of the remaining equation (18) coincides with (23). In order to make the calculations more compact we introduce the “directional derivatives” notation for multiple derivatives with respect to  $\mathbf{F}$ :

$$\begin{aligned} W_{F_\alpha^i}(\mathbf{F})H_\alpha^i &= P[\mathbf{H}], \\ W_{F_\alpha^i F_\beta^j}(\mathbf{F})H_\alpha^i G_\beta^j &= L[\mathbf{G}, \mathbf{H}], \\ W_{F_\alpha^i F_\beta^j F_\gamma^k}(\mathbf{F})H_\alpha^i G_\beta^j K_\gamma^k &= M[\mathbf{K}, \mathbf{G}, \mathbf{H}], \end{aligned}$$

where we again assumed the summation over repeated indexes. The first derivative of the Maxwell condition (18) in  $s$  would then be

$$[P[\dot{\mathbf{F}}]] - L_\pm[\dot{\mathbf{F}}_\pm, [\mathbf{F}]] - P_\pm[[\dot{\mathbf{F}}]] = 0.$$

Since  $\mathbf{F}_\pm(0) = \mathbf{F}_*$  the left-hand side of the equation above is zero at  $s = 0$ . Hence, we must take another derivative to find the leading term. Differentiating the left-hand side above, we obtain

$$\begin{aligned} [L[\dot{\mathbf{F}}, \dot{\mathbf{F}}]] + [P[\ddot{\mathbf{F}}]] - M_\pm[\dot{\mathbf{F}}_\pm, \dot{\mathbf{F}}_\pm, [\mathbf{F}]] - \\ L_\pm[\ddot{\mathbf{F}}_\pm, [\mathbf{F}]] - 2L_\pm[\dot{\mathbf{F}}_\pm, [\dot{\mathbf{F}}]] - P_\pm[[\ddot{\mathbf{F}}]] = 0. \end{aligned}$$

Now, at  $s = 0$  we obtain  $L_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 0$ , where  $L_*$  denotes  $W_{\mathbf{F}\mathbf{F}}(\mathbf{F}_*)$ . As we have already shown,

$$[[\dot{\mathbf{F}}]] = \mathbf{a}_0 \otimes \mathbf{n}_0, \quad (24)$$

and since  $\mathbf{F}_*$ ,  $\mathbf{a}_0$ ,  $\mathbf{n}_0$  satisfy (20), then

$$L_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] = \mathbf{A}(\mathbf{F}_*; \mathbf{n}_0) \mathbf{a}_0 \cdot \mathbf{a}_0 = 0.$$

Hence, another differentiation in  $s$  at  $s = 0$  needs to be computed. This time, we will not write the expression for the third derivative of (18), but only the value at  $s = 0$ . After performing obvious cancellations, we obtain

$$\begin{aligned} & [[M_*[\dot{\mathbf{F}}, \dot{\mathbf{F}}, \dot{\mathbf{F}}]] + 3[L_*[\ddot{\mathbf{F}}, \dot{\mathbf{F}}]] - 3M_*[\dot{\mathbf{F}}_{\pm}, \dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}]] \\ & - 3L_*[\ddot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}]] - 3L_*[\dot{\mathbf{F}}_{\pm}, [\ddot{\mathbf{F}}]] = 0. \end{aligned}$$

Here  $M_*$  denotes  $W_{\mathbf{F}\mathbf{F}\mathbf{F}}(\mathbf{F}_*)$ . Expanding the jump notation it is easy to check that

$$\begin{aligned} & [L_*[\ddot{\mathbf{F}}, \dot{\mathbf{F}}]] - L_*[\ddot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}]] - L_*[\dot{\mathbf{F}}_{\pm}, [\ddot{\mathbf{F}}]] = \\ & \mp L_*[[\ddot{\mathbf{F}}], [\dot{\mathbf{F}}]], \end{aligned}$$

and similarly

$$\begin{aligned} & [M_*[\dot{\mathbf{F}}, \dot{\mathbf{F}}, \dot{\mathbf{F}}]] - 3M_*[\dot{\mathbf{F}}_{\pm}, \dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}]] = \\ & \mp 3M_*[\dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] + M_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]]. \end{aligned}$$

The third derivative of the Maxwell relation then simplifies to

$$\begin{aligned} & \mp 3M_*[\dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] + M_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] \mp \\ & 3L_*[[\ddot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 0 \end{aligned}$$

Taking into account that

$$\begin{aligned} & [[\dot{\mathbf{F}}]] = \mathbf{a}_0 \otimes \mathbf{n}_0, \\ & [[\ddot{\mathbf{F}}]] = 2(\hat{\mathbf{a}}'(0) \otimes \mathbf{n}_0 + \mathbf{a}_0 \otimes \mathbf{n}'(0)), \end{aligned}$$

where  $\hat{\mathbf{a}}'(0)$  and  $\mathbf{n}'(0)$  denote derivatives of  $\hat{\mathbf{a}}(s)$  and  $\mathbf{n}(s)$  at  $s = 0$ , we compute

$$\begin{aligned} & L_*[[\ddot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 2\mathbf{A}(\mathbf{F}_*, \mathbf{n}_0) \mathbf{a}_0 \cdot \hat{\mathbf{a}}'(0) + \\ & 2\mathbf{A}^*(\mathbf{F}_*, \mathbf{a}_0) \mathbf{n}_0 \cdot \mathbf{n}'(0) = 0, \end{aligned}$$

where we used (20) and (21). We conclude that the leading term in the Maxwell relation is

$$M_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] \mp 3M_*[\dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 0. \quad (25)$$

Now adding both equations (one for each sign) we obtain

$$M_*[[\dot{\mathbf{F}}], [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 0,$$

which, due to (24), coincides with (23), showing that the two interpretations of criticality, (i) as the points of tangency of the coherent binodal and the coherent spinodal, and (ii) as points, where the distinction between coexisting phases disappear, coincide.

Observe now, that (23) is not the only consequence of (25). We also obtain

$$M_*[\dot{\mathbf{F}}_{\pm}, [\dot{\mathbf{F}}], [\dot{\mathbf{F}}]] = 0.$$

Since  $\mathbf{F}_-(s)$  was an arbitrary curve on  $\partial\mathcal{B}_{\mathcal{W}}$  passing through  $\mathbf{F}_*$  at  $s = 0$ , the normal to the Weierstrass binodal at  $\mathbf{F}_*$  can be identified with the functional

$$\mathbf{N}_* = M_*[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, -] \quad (26)$$

in the sense that

$$M_*[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{T}] = 0$$

for any tangent  $\mathbf{T}$  to the Weierstrass binodal at  $\mathbf{F}_*$ .

Next, we show that equation (23) is the only equation, in addition to (20), (21), that all critical points must satisfy. At the first glance there should be many more equations, since when two generic hypersurfaces in an  $n$ -dimensional space with equations  $F(\mathbf{x}) = 0$  and  $G(\mathbf{x}) = 0$  touch, the equations for the point of tangency is not only the two equations above, but also  $\nabla F = \lambda \nabla G$ , expressing the collinearity of the normals to the two surfaces at the point of tangency. This gives an a priori overdetermined (since tangency of two surfaces is not a generic configuration) system of  $n + 2$  equations on  $n + 1$  unknowns  $\mathbf{x}$ ,  $\lambda$ . This overdeterminacy is the mathematical underpinning of our claim that that when  $\mathbf{F}_- \rightarrow \mathbf{F}_* \in \partial\mathcal{B}_{\mathcal{W}} \cap \partial\mathcal{S}$ , then, generically,  $\mathbf{F}_+ \rightarrow \mathbf{F}_*$ .

Therefore, in the case of the tangency of the binodal and the spinodal, we need to examine the relation between their normals at  $\mathbf{F}_*$ , in addition to the equations that place  $\mathbf{F}_*$  on both  $\partial\mathcal{S}$  and  $\partial\mathcal{W}_{\mathcal{W}}$ . The normal to the binodal is given by (26). Let us compute the normal to the spinodal at an arbitrary point  $\mathbf{F}_0 \in \partial\mathcal{S}$ . To this end we consider an arbitrary smooth curve  $\mathbf{F}(t)$  on the spinodal, such that  $\mathbf{F}(0) = \mathbf{F}_0$ . For each  $t$  there are corresponding unit vectors  $\mathbf{a}(t)$  and  $\mathbf{n}(t)$ , such that equations (20), (21) are satisfied by  $\mathbf{F}(t)$ ,  $\mathbf{a}(t)$ ,  $\mathbf{n}(t)$ . Assuming that the functions above are smooth, and differentiating these equations with respect to  $t$  at  $t = 0$  we will obtain a single scalar linear constraint that the tangent  $\dot{\mathbf{F}}(0)$  to the spinodal have to satisfy, thereby revealing the normal to the spinodal. In order to perform the differentiation it will be convenient to rewrite (20), (21) in a more generic form

$$L[\mathbf{a}(t) \otimes \mathbf{n}(t), \mathbf{a}(t) \otimes \mathbf{u}] = 0, \quad (27)$$

$$L[\mathbf{a}(t) \otimes \mathbf{n}(t), \mathbf{v} \otimes \mathbf{n}(t)] = 0, \quad (28)$$

valid for any choice of vectors  $\mathbf{u}$  and  $\mathbf{v}$ . Differentiating the two equations with respect to  $t$  at  $t = 0$  we obtain

$$\begin{aligned} M[\dot{\mathbf{F}}, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{u}] + L[\dot{\mathbf{a}} \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{u}] + \\ L[\mathbf{a}_0 \otimes \dot{\mathbf{n}}, \mathbf{a}_0 \otimes \mathbf{u}] + L(\mathbf{F}_0)[\mathbf{a}_0 \otimes \mathbf{n}_0, \dot{\mathbf{a}} \otimes \mathbf{u}] = 0, \end{aligned}$$

and

$$\begin{aligned} M[\dot{\mathbf{F}}, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{v} \otimes \mathbf{n}_0] + L[\dot{\mathbf{a}} \otimes \mathbf{n}_0, \mathbf{v} \otimes \mathbf{n}_0] + \\ L[\mathbf{a}_0 \otimes \dot{\mathbf{n}}, \mathbf{v} \otimes \mathbf{n}_0] + L[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{v} \otimes \dot{\mathbf{n}}] = 0, \end{aligned}$$

respectively. Finally, substituting  $\mathbf{u} = \mathbf{n}_0$  or  $\mathbf{v} = \mathbf{a}_0$ , and taking equations (27), (28) into account we obtain the relation  $M[\dot{\mathbf{F}}, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0] = 0$ . Therefore the normal to a generic point on the spinodal surface can be identified with the functional

$$\mathbf{N}_{\partial S}(\mathbf{F}_0) = M[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, -], \quad (29)$$

meaning that

$$M[\mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{a}_0 \otimes \mathbf{n}_0, \mathbf{T}] = 0$$

for any tangent  $\mathbf{T}$  to the spinodal. Comparing (26) and (29), we conclude that the normal to  $\partial \mathcal{B}_W$  at the coherent critical point  $\mathbf{F}_*$  is always parallel to the normal to the spinodal at the same point. As a consequence, the only equations satisfied by a critical point are (20), (21), and (23) and therefore, the two surfaces must touch, not at a single point, but along a codimension 2 surface in the phase space.

We conclude that in coherent thermodynamics we should talk about a *critical set*, rather than a single critical point. The critical set is the set of stable solutions of the system (20), (21), (23), which, generically, should be a subvariety of both the binodal and the spinodal of codimension one in each. More specifically, in 3D the coherent critical set, if it exists, is a set of dimension 7 in the 9-dimensional phase space, and in 2D it is a set of dimension 2 in the 4-dimensional phase space. Since for isotropic solids the phase diagram can be drawn in the space of singular values of  $\mathbf{F}$ , it will exhibit the critical set as a point in 2D and as a line in 3D. Despite the relatively high dimensionality of the critical set (generically, it is not a point), its dimensional deficiency allows one to pass around it and reach continuously from one coherent phase to another.

For the elementary examples of coherent critical points, see [80, 115]. In what follows we discuss two non-elementary applications of the obtained formulas, showing that in superficially similar models of

elastic solids coherent critical sets may exist but may also be prohibited. The latter situation arises when either the system of equations (20), (21), (23) has no solutions, or when all of their solutions are unstable.

#### 4. GEOMETRICALLY NONLINEAR HADAMARD-FLORY SOLID

To illustrate the conditions of coherent criticality (20), (21), (23), we now consider the simplest generalization of the 'elastic liquid' model which accounts for rigidity. Known as the Hadamard-Flory model, it is characterized by the energy density [28, 35, 116, 117]

$$W(\mathbf{F}) = h(\det \mathbf{F}) + (\mu/2)|\mathbf{F}|^2, \quad (30)$$

where the first term is the same double well potential as in the 'elastic liquid' model. The magnitude of the second (shear related) term in (30) is controlled by the rigidity modulus  $\mu$ ; in gels, its value is mostly affected by the degree of cross-linking.

##### Coherent binodal

We take advantage of a known fact [118] that to construct the surface of phase coexistence (the coherent binodal) for the Hadamard-Flory solid at sufficiently large values of  $\mu$ , it is sufficient to consider simple laminates which are layered mixtures of two deformation gradients  $\mathbf{F}_\pm$ . The full set of equations such a pair needs to satisfy is given by (15)–(18). These equations define the jump set for the Hadamard-Flory solid (30). Observe first, that kinematic compatibility condition (15) implies

$$d_+ = d_-(1 + \mathbf{F}_-^{-T} \mathbf{n} \cdot \mathbf{a}),$$

where again  $d_\pm = \det \mathbf{F}_\pm$ . Using this equality, equation (15), and the formula for the Piola stress tensor

$$\mathbf{P} = W_{\mathbf{F}}(\mathbf{F}) = \mu \mathbf{F} + dh'(d) \mathbf{F}^{-T},$$

we can reduce the condition of traction continuity (16) to

$$\mathbf{a} = -([h']d_-/\mu) \mathbf{F}_-^{-T} \mathbf{n}.$$

Then, using (17) we find that  $\mathbf{n}$  must be an eigenvector of the Cauchy-Green strain tensor

$$\mathbf{C}_- = \mathbf{F}_-^T \mathbf{F}_-,$$

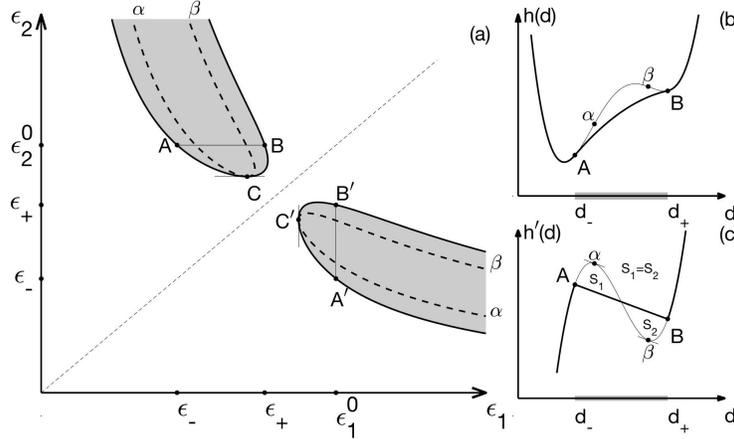


FIG. 2. (a) Phase diagram in the space of principal strains for an Hadamard-Flory solid with sufficiently large rigidity. (b) Double-well part of the energy along the line  $AB$ :  $\epsilon_1 = d/\epsilon_2^0$ ,  $\epsilon_2 = \epsilon_2^0$ . (c) The corresponding pressure-volume relation along the line  $AB$ . The double-well part  $\tilde{W}(\epsilon_1, \epsilon_2) - (\mu/2)(\epsilon_1^2 + \epsilon_2^2)$  of the relaxed (ground state) energy and the relaxed stress-strain response are shown by solid lines. Binodal region in (a) is shaded.  $AB$  and  $A'B'$  are typical pairs of coexisting states,  $C$  and  $C'$  are critical points. Dashed lines  $\alpha$ ,  $\beta$  enclose the spinodal region.

and also that  $C_+$  and  $C_-$  are related by

$$\mathbf{C}_+ = \mathbf{C}_- + (|\mathbf{a}|^2 - (2\llbracket h' \rrbracket d_- / \mu)) \mathbf{n} \otimes \mathbf{n}.$$

This implies that  $C_+$  and  $C_-$  are simultaneously diagonalizable. Therefore, there exist coordinate frames in both Lagrangian and Eulerian spaces in which both deformation gradients  $\mathbf{F}_\pm$  are simultaneously diagonal, and differ by a single eigenvalue  $\epsilon_\pm$ , corresponding to the eigenvector  $\mathbf{n}$ .

If we now denote the product of the remaining common eigenvalues of  $\mathbf{F}_\pm$  (i.e. common singular values, in an arbitrary frame) by  $\epsilon_0$ , then we can characterize the set of coexisting deformation gradients by the equation

$$\epsilon_0^2 \llbracket h' \rrbracket + \mu \llbracket d \rrbracket = 0, \quad (31)$$

where the relation between the values  $d_\pm$  are found from (18), which now has the form

$$\llbracket h \rrbracket - \{h'\} \llbracket d \rrbracket = 0. \quad (32)$$

Note that equations (31), (32) can be interpreted geometrically as equality of areas between the (tilted) line with the slope

$$\llbracket h' \rrbracket / \llbracket d \rrbracket = -\mu / \epsilon_0^2 \quad (33)$$

and the graph of  $h'(d)$ , see Fig. 2(c). The nonzero slope in (33) implies that the classic (not ‘tilted’) Maxwell common tangent construction no longer applies, and the relaxed energy, shown in Fig. 2(b), is

no longer convex, due to the extensive mixing effects of purely elastic origin.

The foregoing analysis shows that we can draw a phase diagram in the two-dimensional plane, where one coordinate denotes one of the singular values of the deformation gradient  $\mathbf{F}$ , while the other, the product of the remaining ones. However, in 2D, both coordinates in such a phase diagram have the meaning of singular values of  $\mathbf{F}$ , making the interpretation of the figures more natural. Hence, one can view our figures as 2D phase diagrams in the space of singular values of  $\mathbf{F}$ , while keeping in mind that they can also be interpreted as phase diagrams in arbitrary number of space dimensions. In the regime of sufficiently large  $\mu$  the set of coexisting states takes the form of two separate curves in the  $(\epsilon_1, \epsilon_2)$ -space, shown in Fig. 2(a). The presence of two symmetric subdomains  $\epsilon_1 > \epsilon_2$  and  $\epsilon_1 < \epsilon_2$  reflects the symmetry of the system with respect to the interchange of singular values of  $\mathbf{F}$ . In three space dimensions, the phase diagram would be represented by a single, say upper, curve, while the horizontal and vertical axes would be labelled as  $\epsilon$ , and  $\epsilon_0$ , denoting one of the singular values of  $\mathbf{F}$ , and the product of the remaining ones, respectively. In what follows our figures will always be drawn in the more intuitive 2D interpretation.

Note that our Fig. 2(a) should not be understood in the sense that the binodal region splits into a disjoint union of two components. In the actual four-dimensional phase space the binodal region is connected. It looks like a four-dimensional torus, whose

three-dimensional cross-section is the body of revolution of the shaded region, around the bisector of the first quadrant. Each point  $\mathbf{F}_+$  on the binodal (the boundary of the binodal region) can coexist with a unique counterpart  $\mathbf{F}_-$  on the binodal. Each pair  $\mathbf{F}_\pm$  of such coexisting states is represented by two pairs of points  $(A, B)$  and  $(A', B')$  in our phase diagram Fig. 2(a).

More formally, viewed from the full 4D space of deformation gradients  $\mathbf{F}$ , these points represent traces of a 2D critical torus intersecting the subspace of diagonal matrices. Indeed, recall that a  $2 \times 2$  matrix  $\mathbf{F}$  can be written as  $\mathbf{RDU}$ , where  $\{\mathbf{R}, \mathbf{U}\} \subset SO(2)$  and  $\mathbf{D}$  is diagonal with nonnegative entries. If we represent each  $\mathbf{F}$  by its diagonal form  $\mathbf{D}$ , then in the 2-dimensional space of diagonal matrices each point corresponds to the entire  $SO(2) \times SO(2) = S^1 \times S^1$  manifold in the  $\mathbf{F}$ -space. To express it more generally, each point in the  $n$ -dimensional space of singular values represents  $n(n-1)$ -dimensional manifold  $SO(n) \times SO(n)$ ; the critical set by itself is  $n^2 - 2$  dimensional.

In striking contrast to what we have seen in the case of 'elastic liquids', the binodal region does not partition the phase space into disjoint phases, and the values of  $\mathbf{F}$  represented by the dashed line in Fig. 2(a) correspond to homogeneous (affine) configurations which remain globally stable, as one travels from the high density phase to the lower. Even though the energy in this domain remains nonconvex, the equilibrium system does not form mixtures (microstructures) due to the prohibitively high rigidity-induced extensive cost of mixing.

Finally, we observe that the ensuing optimal microstructure is layered (simple laminate) with the layer normal being the common singular vector of  $\mathbf{F}_\pm$  (eigenvector of  $\mathbf{C}_\pm$ ) corresponding to singular values  $\epsilon_\pm$ . Since nonlinear elasticity is a scale-free theory, such microstructure does not have a scale and is represented only by the values  $\mathbf{F}_\pm$  of deformation gradient in coexisting phases and the volume fraction controlled by the applied loading. More detailed information about the microstructure would be obtained in the models which incorporate an internal length scale which may be responsible, for instance, for surface tension.

### Coherent spinodal

Equations (20), (21) in the present setting have the form

$$\mu \mathbf{a}_0 + h''(d) d^2 (\mathbf{F}^{-1} \mathbf{a}_0 \cdot \mathbf{n}_0) \mathbf{F}^{-T} \mathbf{n}_0 = 0, \quad (34)$$

$$\mu \mathbf{n}_0 + h''(d) d^2 (\mathbf{F}^{-1} \mathbf{a}_0 \cdot \mathbf{n}_0) \mathbf{F}^{-1} \mathbf{a}_0 = 0. \quad (35)$$

Eliminating unit vectors  $\mathbf{n}_0$  and  $\mathbf{a}_0$  from these equations we obtain the characterization of the spinodal:  $\mathbf{F} \in \partial \mathcal{S}$  if and only if

$$\mu \epsilon_{\min}^2 + d^2 h''(d) = 0, \quad (36)$$

where  $d = \det \mathbf{F}$  and  $\epsilon_{\min}$  is the smallest singular value of  $\mathbf{F}$ . While the equation (36), as well as other related equations obtained below, are valid in any number of space dimensions, we continue to illustrate them in two space dimensions. The spinodal, described by equation (36) is shown as a dashed line in Fig. 2(a). We see that the spinodal lies entirely inside the binodal region, except for the critical set represented in Fig. 2(a) by the points  $C$  and  $C'$ .

### Coherent critical points

The exact location of the two (symmetry related) critical points  $C$  and  $C'$  can be found as a solution of the system of equations (20), (21), (23). For the Hadamard-Flory solid, equations (20), (21) reduce to (36), while equation (23) becomes

$$h'''(d) d^3 (\mathbf{F}^{-1} \mathbf{a}_0 \cdot \mathbf{n}_0)^3 = 0.$$

Taking a dot product of equation (35) with  $\mathbf{n}_0$  we obtain  $(\mathbf{F}^{-1} \mathbf{a}_0 \cdot \mathbf{n}_0)^2 d^2 h''(d) = -\mu$ , which implies that  $\mathbf{F}^{-1} \mathbf{a}_0 \cdot \mathbf{n}_0 \neq 0$ . Thus, critical points solve the system of equations (36), and

$$h'''(d) = 0. \quad (37)$$

For a typical double-well energy we expect that equation (37) has a unique root  $d^*$ . The two singular values  $\epsilon_1^* < \epsilon_2^*$  corresponding to the critical point are then given by

$$\epsilon_1^* = d^* \sqrt{-\frac{h''(d^*)}{\mu}}, \quad \epsilon_2^* = \frac{d^*}{\epsilon_1^*}.$$

This implies that unless  $\mu > -d^* h''(d^*)$ , there will be no critical points on the spinodal, i.e., the solution of (36), (37) will lie in the interior of the spinodal region and will be therefore unstable. Critical point

$(\epsilon_1^*, \epsilon_2^*)$  is shown as point  $C$  in Fig. 2(a). The point  $C'$ , also shown in Fig. 2(a) is related to  $C$  by the coordinate interchange symmetry swapping  $\epsilon_1$  and  $\epsilon_2$ .

We reiterate that according to our general theory, these points are located where the coherent spinodal and the coherent binodal touch each other in the phase space of deformation gradients  $\mathbf{F}$ . In this perspective,  $C$  and  $C'$  are not two different critical points and should be viewed instead as two visible (in our chosen section of the whole phase space) representatives of the critical set. More formally, in the  $n$ -dimensional space of singular values the critical set will be represented by  $n^2 - 2 - n(n-1) = n-2$  dimensional submanifold. When  $n = 2$ , the critical set will be zero-dimensional, revealing itself in such a representation, as a discrete set of points.

### Ground state energy

While it can be proved that the equilibrium (ground state) energy  $\tilde{W}(\mathbf{F})$  in the model with nonzero rigidity cannot be presented in the form  $\tilde{h}(d) + \mu|\mathbf{F}|^2/2$ , with  $h(d)$  replaced by some new function  $\tilde{h}(d)$  [118], the explicit formula for  $\tilde{W}(\mathbf{F})$  can still be obtained in the large rigidity limit.

To explain the construction, we focus on one of the two symmetric regimes, and set  $\epsilon_1(\mathbf{F}) \leq \epsilon_2(\mathbf{F})$ . We would also need to distinguish between the supercritical ( $\epsilon_2 < \epsilon_2^*$ ) and subcritical ( $\epsilon_2 > \epsilon_2^*$ ) cases.

Recall that since in the supercritical case mixing is suboptimal, we have

$$\tilde{W}(\epsilon_1, \epsilon_2) = W(\epsilon_1, \epsilon_2).$$

In the subcritical case the expression for the equilibrium energy depends on whether the point  $(\epsilon_1(\mathbf{F}), \epsilon_2(\mathbf{F}))$  is inside or outside the binodal region. Outside the binodal region the homogeneous configurations are stable and we again have  $\tilde{W}(\epsilon_1, \epsilon_2) = W(\epsilon_1, \epsilon_2)$ . For the states inside the binodal region the relaxed value of the energy can be also found since we know that there exists a uniquely determined pair of coexisting strains  $\epsilon_-(\epsilon_2) < \epsilon_+(\epsilon_2)$ , solving (31), (32) with  $\epsilon_0 = \epsilon_2$ . Using these values, which represent parameters of energy minimizing simple laminate, we can write an explicit expression for the relaxed energy inside the binodal region in the form

$$\tilde{W}(\epsilon_1, \epsilon_2) = \frac{\epsilon_1 - \epsilon_-}{\epsilon_+ - \epsilon_-} W(\epsilon_+, \epsilon_2) + \frac{\epsilon_+ - \epsilon_1}{\epsilon_+ - \epsilon_-} W(\epsilon_-, \epsilon_2).$$

Note that despite the simple mixture appearance of this formula, the relaxed energy is nonconvex. The reason is that the optimal simple laminate) microstructure is prestressed due to the nontrivial interaction (non-additivity) effects encoded in highly nonlinear equations (31), (32). Those interactions enter the relaxed energy implicitly via the nonlinear functions  $\epsilon_{\pm}(\epsilon_2)$ . This is manifested, for instance, by the failure of the conventional common tangent construction which differs from the common area construction illustrated in Fig. 2(b). In other words, although the relaxed energy is a ruled surface, it is *flat* only in specific rank one directions while it still remains concave in some other non-rank one directions.

### Stress space

To emphasize the nontrivial nature of the phase coexistence in the presence of nonzero rigidity, it is instructive to map the obtained phase diagram from the *strain* space into the *stress* space. This will allow us to characterize the same phase equilibria in the space of intensive variables akin to, say pressure and temperature, used in the classical thermodynamics. An experience with other systems exhibiting long range interactions suggests that in such an 'intensive' representation the conventional *curves* representing 'liquid-like' phase coexistence would transform into extended *domains* representing 'solid-like' phase coexistence [119, 120]. As our Fig. 3(a) shows, that is exactly what is happening.

To explain this figure, we first recall that in the coordinate frame where  $\mathbf{F}$  is diagonal, the Piola stress  $\mathbf{P}$  is also diagonal with components

$$P_{1,2} = \mu\epsilon_{1,2} + h'(\epsilon_1\epsilon_2)\epsilon_{2,1}. \quad (38)$$

The typical graphs of  $P_1(\epsilon_1)$  and  $P_2(\epsilon_1)$  at a given  $\epsilon_2 = \epsilon_2^0$  are shown in Fig. 3(b,c). Note, in particular, that while the principal stresses  $P_1$  are the same in the two coexisting phases  $A$  and  $B$ , the corresponding principal stresses  $P_2$  in the same configurations are different.

Note also that, rather remarkably, the relation  $P_2(\epsilon_1)$  does not satisfy the Maxwell equal area condition which is nevertheless respected by the relation  $P_1(\epsilon_1)$  along the same path  $AB$  in the strain space. This markedly different behavior is due to the tensorial (anisotropic) nature of stress in solids. Along the loading path  $AB$ , i.e. along the line segment joining  $\mathbf{F}_+$  and  $\mathbf{F}_-$  in the phase space, relations (16) and (18) are exactly the Maxwell rela-

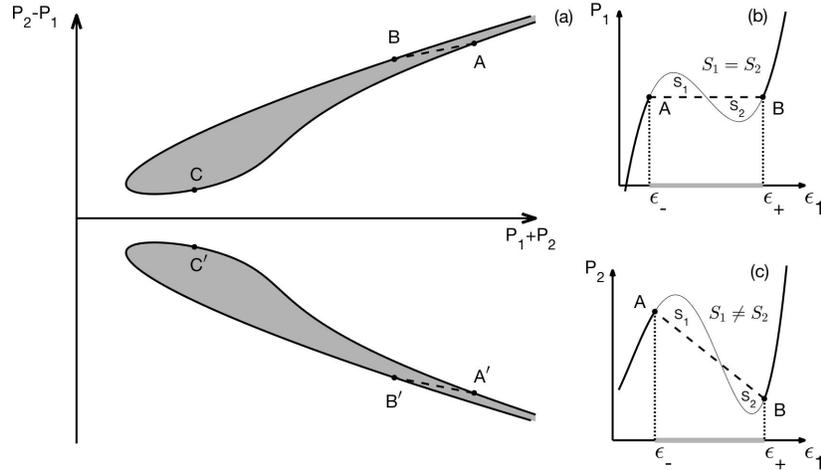


FIG. 3. (a) Phase diagram in the space of principal stresses for an Hadamard-Flory solid with sufficiently large rigidity. (b,c) Two stress-strain relations in a rank one loading direction (hard device); the stress-strain correspondence across the binodal region is shown by the dashed lines. Binodal region in (a) is shaded. The analogs of the graphs (b) and (c) for the pair of points  $A'$ ,  $B'$  are identical to them except the horizontal axes would be  $\epsilon_2$  and the labels  $P_1$  and  $P_2$  on the vertical axes would be interchanged.

tions we see operative in Fig. 3(b); other components of stress, like the one shown in Fig. 3(c), are not obligated by (16), (18) to satisfy the Maxwell relations. We emphasize that, despite their apparent differences, Fig. 2(c) also illustrate exactly the same strain-stress relation. More specifically, along the path  $AB$  we have  $d = \epsilon_1 \epsilon_2^0$ . Hence, the volumetric stress-strain response is effectively described by the function  $P_1(\epsilon_1)$  and Fig. 2(c) simply shows the function  $P_1(\epsilon_1) - \mu\epsilon_1$  in terms of  $d$ , while the graph of the function  $P_1(\epsilon_1)$  is shown in Fig. 3(b). Note also that our Fig. 2(b) presents the double-well part  $\tilde{W}(\mathbf{F}) - \mu|\mathbf{F}|^2/2$  of the relaxed energy. Subtracting from the energy density a quadratic function of  $\mathbf{F}$  component transforms the Maxwell ‘common tangent line’ into a ‘common tangent parabola’ shown in Fig. 2(b) and changes the horizontal Maxwell line in Fig. 3(b) into a slanted Maxwell line in Fig. 2(c). In fact, one can show that our Fig. 3 illustrates a general phenomenon: the Maxwell property of the stress component  $\mathbf{P}\mathbf{n} \cdot \mathbf{a}$ .

The whole set of coexisting equilibrium stress components parametrized by  $\epsilon_2^0$ , which is shown in Fig. 3(a), illustrates the relaxed response of our two phase Hadamard-Flory solid. One can see the anticipated opening of a 2D coexistence domain in the space of intensive variables which, as we have already mentioned, is typical for systems with long range interactions [119]. In our case such an opening is

indicative of the presence of metastability and hysteresis in a soft loading device but not in a hard loading device; such ensemble dependence of the equilibrium response is yet another characteristic property of systems with long range interactions [119]. Other examples of the same effect can be found in [71, 121] dealing with different types of elastic response.

Finally, note that the analysis of the  $AB$  path conducted above, can be extended by symmetry to the  $A'B'$  path also indicated in Fig. 3 (a) inside the second symmetric coexistence domain parametrized by  $\epsilon_1^0$ . (See also the second connected component of the binodal region in Fig. 2(a).) In particular, for the  $A'B'$  path, the graphs of  $P_{1,2}(\epsilon_2)$  (at a given  $\epsilon_1 = \epsilon_1^0$ ) would be identical to the graphs of  $P_{2,1}(\epsilon_1)$  (at a given  $\epsilon_2 = \epsilon_2^0$ ) along the  $AB$  path shown in Fig. 3(b,c).

## 5. GEOMETRICALLY LINEAR HADAMARD-FLORY SOLID

Since the *geometrical nonlinearity* is usually neglected in problems involving bulk phases [122, 123], it is natural to ask whether the rigidity-induced critical points survive in the model of the same solid but now relying exclusively on linear strains.

Observe first, that there are no immediate mathematical reasons for a nonlinear energy, which de-

depends on  $\mathbf{F}$  only through a special combination

$$\boldsymbol{\epsilon} = (1/2)(\mathbf{F} + \mathbf{F}^T), \quad (39)$$

not to have stable critical points. Note next that the assumptions that would formally justify geometric linearization, would also automatically justify physical linearization, and then there would be no critical points; this last conclusion is vacuously true in the geometrically linearized theory of elastic phase transformations between two physically linear-elastic phases, since such an energy has no spinodal region.

In general, one cannot expect to find stable critical points often. This is because critical points are points of tangency between the binodal and the spinodal, and more often than not the spinodal lies entirely inside the binodal region. Even when such tangency does occur, stable critical points are in some sense least stable points on the binodal. For this reason, any modification of the energy density function, making it easier to create energy-minimizing laminates, may destabilize parts of the binodal, in which case critical points might be the most vulnerable candidates for destabilization. This can be already seen from the fact that if one decreases the rigidity parameter  $\mu$  in the Hadamard-Flory model (30), the rigidity-induced critical points eventually lose their stability.

We emphasize, though, that it is not the geometrical nonlinearity, but the non-convex physical nonlinearity of the energy density in  $\mathbf{F}$ , which is the main cause of instability leading to phase transition. Replacing in the energy density  $\mathbf{F}$  by  $\boldsymbol{\epsilon}$  from (39), formally retains exactly the same type of non-convexity, however it makes the destabilization of a homogeneous state and the formation of laminates qualitatively easier, since the pair  $\mathbf{F}_\pm$  is compatible if  $\llbracket \mathbf{F} \rrbracket$  is rank one, while the pair  $\boldsymbol{\epsilon}_\pm$  is compatible if  $\llbracket \boldsymbol{\epsilon} \rrbracket$  is rank two, and some additional inequalities are satisfied. This means that the compatibility set of  $\boldsymbol{\epsilon}_\pm$  has higher dimensionality than the compatibility set of  $\mathbf{F}_\pm$ , which may in principle contribute to the destabilization of the 'bridge' between the stable components of the coherent binodal, which we have seen forming in Hadamard-Flory solid in the limit of sufficiently strong rigidity. Since the presence of such a 'bridge' is the factor, allowing the two phases to be smoothly connected, the stable critical points would then disappear as well.

As it follows from this discussion, the fate of coherent critical points under geometric linearization is not clear in the general case. However, as we show below, the rigidity-induced coherent critical points

do completely disappear if we replace our geometrically nonlinear Hadamard-Flory solid by its geometrically (but not physically) linear version. In other words, critical points disappear if we consider a solid of Hadamard-Flory type, similarly undergoing a purely volumetric phase transition, but now with geometrically linear but physically nonlinear elastic response in each of the phases. This example can be then used as an illustration of the utmost importance of geometrically exact description of elastic deformation in soft solids.

In view of the approximation

$$\det \mathbf{F} \approx 1 + \text{Tr}(\mathbf{F} - \mathbf{I}),$$

which is valid in the limit  $\mathbf{F} \rightarrow \mathbf{I}$ , the natural geometrically linear analog of the Hadamard-Flory energy density is

$$W(\mathbf{F}) = h(\text{Tr}\boldsymbol{\epsilon}) + \mu|\boldsymbol{\epsilon}|^2. \quad (40)$$

where  $\boldsymbol{\epsilon}$  is defined in (39). Note that the geometrically linear version (40) of the Hadamard-Flory solid is significantly less nonlinear than the original one. For example, for the double-well potential  $h$ , the geometrically nonlinear energy (30) is not rank-one convex, no matter how large  $\mu > 0$  is. By contrast, energy (40) will become convex, when  $\mu$  is sufficiently large, assuming the double-well potential  $h$  is smooth.

### Coherent binodal

It is natural to start again with the characterization of the geometrically linearized jump set, i.e., the set of equilibrium coexisting strain tensors  $\boldsymbol{\epsilon}$ . Using the kinematic compatibility condition (15) which now takes the form

$$\llbracket \boldsymbol{\epsilon} \rrbracket = (1/2)(\mathbf{a} \otimes \mathbf{n} + \mathbf{n} \otimes \mathbf{a}),$$

and the linearized analog of the traction continuity condition (16)

$$(\llbracket h'(\text{Tr}\boldsymbol{\epsilon}) \rrbracket \mathbf{I} + 2\mu \llbracket \boldsymbol{\epsilon} \rrbracket) \mathbf{n} = 0, \quad (41)$$

we obtain  $\mathbf{a} = \lambda \mathbf{n}$ . Substituting  $\mathbf{a} = \lambda \mathbf{n}$  back into (41), we obtain

$$\lambda = -\llbracket h' \rrbracket / 2\mu. \quad (42)$$

The analog of condition (17) is now satisfied automatically, since in geometrically linear elasticity the Piola stress tensor is symmetric, and since for the

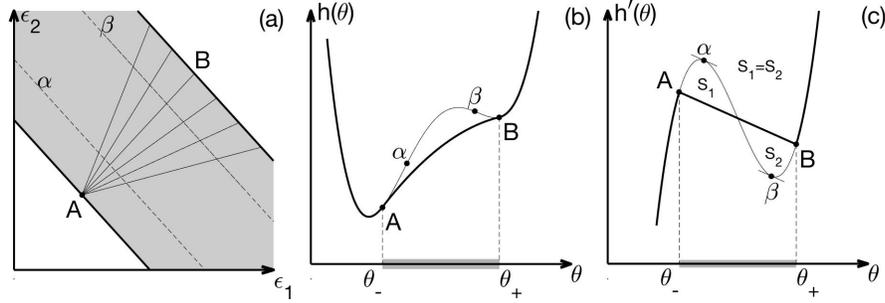


FIG. 4. (a) Phase diagram in the space of principal strains for a geometrically linear Hadamard solid. (b) Double-well part of the energy. (c) The corresponding stress-strain relation. The relaxed (ground state) energy and the relaxed stress-strain response are shown by solid lines. Binodal region in (a) is shaded; dashed lines limit the spinodal region.

linearized Hadamard-Flory solid,  $\mathbf{a}$  is a scalar multiple of  $\mathbf{n}$ .

Finally, in the linearized theory, condition (18) (generalized Maxwell relation) takes the form

$$[[h]] - \{h'\}[\theta] = 0,$$

where  $\theta = \text{Tr } \boldsymbol{\epsilon}$ . This, together with (42), means geometrically, that  $\theta_- = \text{Tr } \boldsymbol{\epsilon}_-$  and  $\theta_+ = \text{Tr } \boldsymbol{\epsilon}_+$  are the two points of common tangency to the graph of  $h(\theta) + \mu\theta^2$ . Note however that, unless  $\mu = 0$ , this construction still differs from the conventional Maxwell (common tangent) construction for the volumetric part of the energy  $h(\theta)$ , see Fig. 4(b,c). Therefore, the binodal region in the space  $(\epsilon_1, \epsilon_2)$  of the eigenvalues of the linearized strain tensor  $\boldsymbol{\epsilon}$  is delimited by straight lines

$$\text{Tr } \boldsymbol{\epsilon} = \theta_{\pm},$$

see Fig. 4(a). Moreover, similar to the 'liquid' case and in contrast with the nonlinear 'solid' case, the optimal laminates in the geometrically linearized Hadamard-Flory theory are not unique. We illustrate this effect in Fig. 4(a), by indicating schematically infinitely many admissible rank-one connections between the state  $A$  and different states  $B$ . It is also interesting that, in contradistinction with the geometrically exact theory, at large values of  $\mu$ , the linearized binodal region may completely disappear as the energy becomes convex.

It is important to mention that, in contrast to what we had in geometrically nonlinear theory, here the morphology of nucleating precipitates in the infinite domain can be completely arbitrary, like in a liquid [79]. In particular, for example, the microstructure may be represented by simple laminates, as in geometrically nonlinear theory, but now with an arbitrarily chosen orientation of layers. In view of

the fact that the equilibrium configurations do not satisfy our basic nondegeneracy condition  $\mathbf{H} > 0$ , the geometrically linear theory in this example exhibits extreme morphological non-uniqueness. For instance, in any homogeneously strained finite domain, Hashin's concentric sphere construction [124] delivers infinitely many different (but energetically equivalent) minimizers with fractal phase boundaries; the existence of such scale free minimizers is a general feature of elasticity theory, where the energy density depends only on  $\nabla \mathbf{y}$ .

### Ground state energy

In this example we can also compute the relaxed (ground state) energy explicitly, which now can be written in the form [81]

$$\tilde{W}(\boldsymbol{\epsilon}) = \tilde{h}(\text{Tr } \boldsymbol{\epsilon}) + \mu|\boldsymbol{\epsilon}|^2.$$

Inside the binodal region, which is the domain of phase coexistence,  $\theta_- < \text{Tr } \boldsymbol{\epsilon} < \theta_+$ , we find that

$$\tilde{h}(\theta) = \{h'\}(\theta - \{\theta\}) + \{h\} + \mu(\theta - \theta_-)(\theta_+ - \theta), \quad (43)$$

while  $\tilde{h}(\theta) = h(\theta)$ , outside. Formula (43) can be easily derived from the general relaxation formula [83, Lemma 4.3]

$$\tilde{W}(\mathbf{F}) = \tau W(\mathbf{F}_+) + (1 - \tau)W(\mathbf{F}_-),$$

provided,  $\mathbf{F} = \tau \mathbf{F}_+ + (1 - \tau)\mathbf{F}_-$ , for some  $\tau \in (0, 1)$ , where  $\mathbf{F}_+$  and  $\mathbf{F}_-$  are stable, rank-one related, and satisfy the so called normality condition  $\text{Tr}([\mathbf{P}]^T[\mathbf{F}]) = 0$ . Note that the laminate construction behind formula (43) produces relaxed nonlinear potential  $\tilde{h}$ , which is different from the straightforward convexification of  $h(\theta)$ , because of the persistent extensive mixing effects, see Fig. 4(b).

### Coherent spinodal

In the geometrically linearized theory the equations of spinodal (20)–(21) reduce to

$$h''(\theta) = -2\mu. \quad (44)$$

According to equation (42),

$$\llbracket \theta \rrbracket = \text{Tr}(\llbracket \epsilon \rrbracket) = \mathbf{a} \cdot \mathbf{n} = \lambda = -\frac{\llbracket h' \rrbracket}{2\mu}.$$

Applying the Lagrange theorem, we conclude that at least one solution of (44) must be in the interval  $(\theta_-, \theta_+)$ . In fact, for double-well potentials  $h(\theta)$ , equation (44) has exactly two solutions  $\alpha$  and  $\beta$ , shown by dashed lines in Fig. 4(a) and also marked in Fig. 4(b,c). As is evident from Fig. 4(a), the spinodal never touches the binodal.

### Coherent critical points

In the geometrically linearized setting the tensorial equation (23), defining the rigidity-induced critical points, turns into

$$h'''(\theta) = 0. \quad (45)$$

The two equations (44), (45) for a single unknown  $\theta$  are generically incompatible. When they are compatible (say, at a special value of  $\mu$  for a given  $h$ ), the binodal region in Fig. 4(a) collapses to a line, coinciding with the similarly collapsed spinodal region. For all other values of  $\mu$  the critical points are absent. To summarize, if the geometrically exact model of a solid is replaced by the simplified geometrically linearized description, rigidity-induced coherent critical points may completely disappear. This result highlights, for instance, the crucial importance of geometrically exact description of elastic deformation in living cells and tissues where the necessity of the appropriate reformulation of the early geometrically linear approaches have been long realized [10, 125–129].

## 6. CONCLUSIONS

In this paper we studied the role of finite elastic rigidity in diffusionless solid-solid first order phase transitions. Relying on the developed methods of coherent thermodynamics of elastic solids we corroborated the general claim that the rigorous incorporation of rigidity into thermodynamic theory of

phase transitions can lead not only to quantitative, but also to qualitative effects.

We focused on the question of possible existence, in the coherent (or kinetic) phase diagrams, of the unconventional type of rigidity-induced 'coherent critical points', which are fundamentally different from the conventional critical points, encountered in rigidity-free (liquid) systems. To answer this question we had to systematically develop a general theory of such critical points in physically and geometrically nonlinear elastic solids. In particular, we presented, for the first time, the complete set of explicit equations, allowing one to locate 'coherent critical points' in the space of deformation gradients.

Our analysis relies on the assumption (of kinetic origin), that elasticity is an equilibrium property of solids. It implies strain compatibility, which prevents atoms from exchanging places, and ultimately brings into continuum theory effective long range interactions. Taking such elastic long-range interactions into consideration requires geometrically exact description of non-hydrostatic deformation, which, for instance, destroys the additivity of the energy, producing extensive mixing effects, and making the energy of a phase mixtures sensitive not only to volume fractions of the coexisting phases, as is the case in liquid systems, but also to the geometrical details of two-phase microstructures. This violates one of the foundations of the Gibbsian thermodynamics of phase transformations and expectedly brings about significant implications.

To demonstrate that the emergence of the new type of critical points is one of the rigidity-induced *qualitative* effects, we systematically studied the loss of stability of a homogeneous state, when the deformation gradient crosses into the coherent binodal region. In this case, the knowledge of the geometry of emerging energy-minimizing two-phase configurations is crucial for the determination of stability limits. Along the way one needs to fully characterize the set of pairs of deformation gradients that could coexist at the coherent phase boundary.

We showed that if the complement of the coherent binodal region in the space of deformation gradient is connected, which requires that the corresponding energy wells are not geometrically compatible, there exists a possibility to pass from one phase to another without the actual sharp phase transformation. The existence of such passages implies the presence of rigidity-induced critical points, whose detailed quantitative characterization in the framework of nonlinear elasticity constitutes the main result of the paper.

To illustrate the obtained general results, we applied the developed theory to the description of a zero temperature equilibrium response of an isotropic solid undergoing a purely volumetric, first order phase transition. Similar transformations in liquid systems, such as liquid-gas phase transitions, usually exhibit critical points in the pressure-temperature space, which is a natural consequence of the fact that phases have the same symmetry and can, in principle, be continuously transformed into one another. To eliminate this type of (classical thermodynamic) criticality, we have chosen our parameters in such a way that the conventional critical points do not exist.

We then showed that when rigidity is sufficiently large, the entire set of coexisting phases is stable, ensuring that specially oriented simple laminates are sufficient to characterize the ground state energy. We further observed that in the regime of interest, the complement of the coherent binodal region is indeed connected. As we have already mentioned, this signals the emergence of the new type of non-classical (coherent) critical points.

Around such points, which we can locate by examining stability of solutions of our fundamental system of algebraic equations (20), (21), (23), one can expect anomalous fluctuations and the critical opalescence, which have indeed been observed in swelling gels [13, 21, 30]. The corresponding ‘coherent’ scaling relations remain to be determined. The crucial insight here may be that phase nucleation in coherent systems involves the configurational ‘delocalization’ in the strain space which can interfere with the conventional real-space divergence of the correlation length.

To emphasize that the geometrically exact modeling of a solid was absolutely essential for capturing the coherent critical points in our example, we considered it in juxtaposition with a standard description of the same volumetric phase transition within the framework of linearized kinematics. Perhaps unexpectedly for many, we discovered that in this, more broadly accepted setting of elasticity theory, the rigidity-induced coherent critical points disappear. This discovery highlights the importance of not only physical, but also geometrical nonlinearity, which is necessary for the accurate accounting of elastic effects in highly deformable solids. In this sense, our study can be viewed as a cautionary tale, stressing the importance of finite strains in the thermodynamic description of phase transitions in soft matter.

Finally, we mention that the applicability of our

results is not limited to volumetric phase transitions in isotropic gels, even though we used the well-studied swelling transitions in gels as our main example. Instead, our approach is sufficiently general to be used for the description of arbitrary anisotropic nonlinear elastic solids undergoing first order phase transitions with arbitrary transformation strains. In this sense, our results can be expected to have implications in a broader range of research fields, from living matter to artificial bio-mimetic metamaterials, exhibiting symmetry preserving phase transitions.

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