

# Tensorial structure of the lifting doctrine in constructive domain theory

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April 29, 2024

## Abstract

We present a survey of the two-dimensional and tensorial structure of the *lifting doctrine* in constructive domain theory, *i.e.* in the theory of directed-complete partial orders (dcpos) over an arbitrary elementary topos. We establish the universal property of lifting of dcpos as the Sierpiński cone, from which we deduce (1) that lifting forms a Kock–Zöberlein doctrine, (2) that lifting algebras, pointed dcpos, and inductive partial orders form canonically equivalent locally posetal 2-categories, and (3) that the category of lifting algebras is cocomplete, with connected colimits created by the forgetful functor to dcpos. Finally we deduce the symmetric monoidal closure of the Eilenberg–Moore resolution of the lifting 2-monad by means of smash products; these are shown to classify both bilinear maps and strict maps, which we prove to coincide in the constructive setting. We provide several concrete computations of the smash product as dcpo coequalisers and lifting algebra coequalisers, and compare these with the more abstract results of Seal. Although all these results are well-known classically, the existing proofs do not apply in a constructive setting; indeed, the classical analysis of the Eilenberg–Moore category of the lifting monad relies on the fact that all lifting algebras are free, a condition that is not known to hold constructively.

## 1 Introduction

Axiomatic approaches to domain theory take place in a *monoidal adjunction* between a category of “predomains” and a category of “domains”. The simplest notion of predomain is given by *directed complete partial orders* (dcpos) and Scott–continuous functions between them; a corresponding notion of domain arises by considering algebras for an appropriate commutative monad on the preorder-enriched category of predomains. Most commonly, domains are considered to be algebras for *lifting monad*  $\mathbb{L}$  on the category of predomains that introduces partiality.

From this abstract definition, we may *not* conclude that lifting is defined on points by taking the coproduct with  $\mathbf{1}$ , as Kock [1995] has pointed out, unless the ambient topos is boolean; in general, we must use the partial map classifier of the ambient topos.

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This difference from classical domain theory is the source of many subtleties in the constructive setting.

For the Eilenberg–Moore resolution  $L \dashv U : \mathbf{dcpo}^{\mathbb{L}} \rightarrow \mathbf{dcpo}$  of the lifting monad to be monoidal, we of course presuppose that  $\mathbf{dcpo}^{\mathbb{L}}$  has a monoidal product  $\otimes$ ; then the left adjoint being strong monoidal means that we have coherent isomorphisms  $L(A \times B) \cong LA \otimes LB$ , etc. This property can be seen as a definition of the tensor on *free* domains (take the free domain on the cartesian product of the generators), but it does not immediately follow from this that we may extend the tensor to operate on non-free domains. In classical mathematics, this difficulty is side-stepped by virtue of the fact that *there are no non-free domains!*

Indeed, classically, every  $\mathbb{L}$ -algebra is a *free*  $\mathbb{L}$ -algebra — if  $X$  has a bottom element  $\perp$ , it can be seen that  $X$  is the lift of the  $\mathbf{dcpo}$   $X \setminus \{\perp\}$  using the law of the excluded middle. Unfortunately, this simple description of  $\mathbb{L}$ -algebras does not carry over to the constructive mathematics of an elementary topos, as Kock [1995] has discussed at length. We can illustrate the problem by means of the following Brouwerian counterexample (Theorem 2) which follows by way of Proposition 1 below — anticipating a precise definition of lifting monad.

**Proposition 1.** *The lifting functor  $L : \mathbf{dcpo} \rightarrow \mathbf{dcpo}^{\mathbb{L}}$  is conservative.*

*Proof.* For any morphism of  $\mathbf{dcpos}$   $f : A \rightarrow B$ , the following is a pullback square:

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \eta_A \downarrow \lrcorner & & \downarrow \eta_B \\ LA & \xrightarrow{Lf} & LB \end{array} \quad (1)$$

Any pullback of an isomorphism is an isomorphism; therefore, if  $Lf$  is an isomorphism, so is  $f$ .  $\square$

**Theorem 2.** *The law of excluded middle holds if and only if every free  $\mathbb{L}$ -algebra is free on its non-bottom elements.*

*Proof.* If the law of excluded middle holds, then obviously every  $\mathbb{L}$ -algebra is free on its non-bottom elements. In the converse direction, we consider whether the  $\mathbb{L}$ -algebra  $\Omega$  given by the collection of all propositions with their implication order, where suprema are computed by existential quantification, is free on its non-bottom elements; it is easy to see that  $\Omega$  is the free  $\mathbb{L}$ -algebra on the terminal  $\mathbf{dcpo}$ . Therefore the map  $L!_{\Omega \setminus \{\perp\}} : L(\Omega \setminus \{\perp\}) \rightarrow L\mathbf{1}$  is an isomorphism; by assumption, we may conclude from Proposition 1 that  $\Omega \setminus \{\perp\}$  is a singleton — or, equivalently, that a proposition  $\phi$  is true if and only if  $\phi \neq \perp$ . Now let  $\psi$  be any proposition; to show that  $\psi \vee \neg\psi$ , by the above we may assume  $\neg(\psi \vee \neg\psi)$  to prove a contradiction; our assumption is equivalent to  $\neg\psi \wedge \neg\neg\psi$ , which is clearly contradictory.  $\square$

Although Theorem 2 shows that it need not be the case that all  $\mathbb{L}$ -algebras are free on their non-bottom elements, one might conjecture that every  $\mathbb{L}$ -algebra is nonetheless

free on a *different* subdcpo. The most natural candidate for a subdcpo  $X^+ \subseteq UX$  such that  $LX^+ \cong X$  would be the one spanned by *positive* elements in the sense of de Jong and Escardó [2021] as adapted from Johnstone [1984]: an element  $x$  of an  $\mathbb{L}$ -algebra  $X$  is called **positive** when any semidirected subset of  $X$  whose suprema lies above  $x$  is directed. Noting that the subposet of an  $\mathbb{L}$ -algebra  $X$  spanned by positive elements is always a dcpo, we are naturally led to the following open question:

**Open Question 1.** *Does there exist an elementary topos containing an  $\mathbb{L}$ -algebra that is not free on its subdcpo of positive elements?*

Indeed, Kock [1995] has shown that an  $\mathbb{L}$ -algebra is free if and only if it is free on its positive elements; combining this with Proposition 1, we see that the *only* possible generators for a free  $\mathbb{L}$ -algebra dcpo are its positive elements (which coincide with the non-bottom elements in the classical setting). Therefore, an answer to Open Question 1 would determine altogether whether and how all  $\mathbb{L}$ -algebras can be free in constructive mathematics; I conjecture that the answer to Open Question 1 is “Yes”, and so there may exist examples of non-free domains. Until and unless this expectation is contravened by mathematical evidence, the constructive version of the smash product must be defined on (potentially) non-free domains.

**Lifting closed structure à la Kock and Seal** It is a well-known result of category theory due to Kock [1971] that the category of algebras  $\mathcal{V}^{\mathbb{T}}$  for a commutative monad  $\mathbb{T} \equiv (T, \eta, \mu)$  on a symmetric monoidal closed category  $\mathcal{V}$  with equalizers inherits *closed* structure from  $\mathcal{V}$ , and (moreover) that the Eilenberg–Moore resolution of  $\mathbb{T}$  consists of closed functors, *i.e.* the left and right adjoints laxly preserve the internal hom. What is missing is the *monoidal* structure on  $\mathbb{L}$ -algebras that should extend the Eilenberg–Moore resolution  $L \dashv U: \text{dcpo}^{\mathbb{L}} \rightarrow \text{dcpo}$  to a (symmetric) *monoidal* closed adjunction. Luckily, a further result of Seal [2013] provides sufficient conditions for a category of algebras to admit a tensor product by means of a construction dual to that of the internal hom and, moreover, for this tensor product to represent bilinear maps. That these conditions in fact hold constructively for dcpos and their lifting monad has not been verified until now, although they are not especially difficult.

**Summary of results** The contribution of the present paper is to provide a constructive analysis of the lifting doctrine for dcpos, embodied in the following results:

1. **Universal properties of  $\Omega$ :** the top truth value  $\top: \mathbf{1} \hookrightarrow \Omega$  is the universal Scott–open immersion (Theorem 19), and the inequality  $\perp \sqsubseteq \top: \mathbf{1} \hookrightarrow \Omega$  satisfies the 2-categorical universal property of the Sierpiński space (Theorem 20).<sup>1</sup>
2. **Universal properties for lifting:** lifting enjoys both left- and right-handed universal properties in the 2-category of dcpos as a Sierpiński cone (Theorem 32) and as a partial product (Theorem 30) respectively. The former implies our most important technical lemma, that  $\perp: \mathbf{1} \hookrightarrow LA$  and  $\eta_A: A \hookrightarrow LA$  are jointly (lax) epimorphic (Corollary 34), enabling a restricted form of classical reasoning when establishing inequalities of the form  $f \sqsubseteq g: LA \rightarrow B$ .

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<sup>1</sup>Although these results are known, they play a important role in what follows.

3. **Lifting is a Kock–Zöberlein doctrine:** for any lifting algebra  $X$ , the structure map  $\alpha_X: LX \rightarrow X$  is left adjoint to the unit  $\eta_X: X \hookrightarrow LX$ , and so lifting algebra structures are unique (Lemma 38).
4. **Monadicity of pointed dcpos and ipos:** lifting algebras, pointed dcpos, and inductive partial orders are all canonically equivalent as locally posetal 2-categories (Corollary 49), and so pointed dcpos and ipos are monadic over dcpos (Corollary 50).
5. **Cocompleteness of lifting algebras:** the category of lifting algebras is closed under all colimits, with connected colimits created by the forgetful functor  $U: \mathbf{dcpo}^{\mathbb{L}} \rightarrow \mathbf{dcpo}$  (Corollaries 52 and 54).
6. **Tensorial structure of lifting:** bilinear and bistrict maps coincide (Lemma 69) and are representable by the *smash product* (Theorem 65) for which we provide several computations as coequalisers in both  $\mathbf{dcpo}$  and  $\mathbf{dcpo}^{\mathbb{L}}$  (Corollary 66). Smash products extend to a full symmetric monoidal structure on  $\mathbf{dcpo}^{\mathbb{L}}$ , so that the adjunction  $L \dashv U: \mathbf{dcpo}^{\mathbb{L}} \rightarrow \mathbf{dcpo}$  is symmetric monoidal (Corollary 76). Moreover, smash products are left adjoint to strict function spaces (Lemma 80) which make  $L \dashv U$  into a *closed* adjunction.

**Why does constructive domain theory matter?** The generality of our results is important, as modern approaches to programming semantics routinely involve computing recursive functions in non-boolean toposes. Our interest in constructive domains is not rooted in the philosophy of intuitionism, but instead in the practical necessity to study computation in *variable and continuous sets* [Lawvere, 1975] as well as *effective sets* [Hyland, 1982, Bauer, 2006], whose dynamics generalize those of constant sets.

In fact, it happens that the constructive theory of dcpos has not received much attention in the literature outside the groundbreaking work of Kock [1995], Townsend [1996], de Jong and Escardó [2021], de Jong [2021, 2023]. Therefore many results that appear to be “obvious” have not in fact been established, and the constructive domains behave differently enough from the classical ones that it would not be safe to take these results for granted. This paper is one further step in the direction of a thorough and base-independent account of dcpos that is applicable in an arbitrary topos.

**Topos-theoretic forerunners** Many of the results of the present paper have not previously been stated for dcpos, but their proofs nonetheless follow a well-trod template from locale theory and topos theory. For example, the two-dimensional analysis of lifting in terms of Sierpiński cones and partial products was carried out for bounded toposes over a fixed elementary topos by Johnstone [1992] and Johnstone [2002, §B4.4] and applied to the *topical domain theory* of algebraic dcpos over a given topos by Vickers [1999]. On the other hand, not all dcpos come from a locale [Johnstone, 1981]: therefore, although our proofs are the ones that one naturally expects from experience with locales and toposes, the results must still be stated and proved for dcpos. With this said, we acknowledge that the two-dimensional analysis of dcpo lifting exposed here is well-known in the domain theoretic community and it is included in the present paper only for the sake of systematising existing knowledge.

We are unsure if our main results concerning the cocompleteness of lifting algebras and their symmetric monoidal structure carry over to locales and toposes, but answering such a question would be a natural next step.

## 2 Preliminaries in constructive category theory

### 2.1 Creation of colimits

In order to prove the cocompleteness of lifting algebras (Section 4.6), we will need some completely standard results about creation of colimits. Unfortunately, the categorical literature is saturated with subtly different and mutually incompatible definitions of what it means to create (co)limits. For example, Mac Lane [1998, Ch. V] defines creation of (co)limits in a *strict* way that involves equality of objects: as a result, it is not even the case that every equivalence of categories creates colimits. The non-invariance of Mac Lane’s original notion is an actual impediment to practical use, as one naturally wishes to replace given categories by equivalent ones freely. For this reason, we adopt the following definition from Riehl [2017, §3.3].

**Definition 3.** Let  $U: \mathcal{D} \rightarrow \mathcal{C}$  be a functor and let  $\mathbb{D}$  be a class of diagrams in  $\mathcal{D}$ . The functor  $U$  is said to *create colimits of diagrams in  $\mathbb{D}$*  when for any diagram  $D: \mathcal{J} \rightarrow \mathcal{D}$  in  $\mathbb{D}$ , if  $UD: \mathcal{J} \rightarrow \mathcal{C}$  has a colimit then  $D: \mathcal{J} \rightarrow \mathcal{D}$  has a colimit and  $U$  both preserves and reflects colimits of  $D$ , *i.e.* a cocone under  $D$  is colimiting if and only if its image under  $U$  is.

The following are standard results of category theory, but we state and prove them carefully to avoid any doubt as to their constructivity or their compatibility with Definition 3. Readers confident in the theory of created colimits would not miss much by skipping the remainder of this section.

**Lemma 4.** Let  $\mathcal{C}$  be a category and let  $\mathbb{T} \equiv (T, \eta, \mu)$  be a monad on  $\mathcal{C}$ , and let  $\mathbb{D}$  be a class of diagrams in  $\mathcal{C}$ . Suppose that the endofunctor  $T$  preserves colimits of diagrams in  $\mathbb{D}$ . Let  $X: \mathcal{J} \rightarrow \mathcal{C}^{\mathbb{T}}$  be a diagram of  $\mathbb{T}$ -algebras such that  $UX: \mathcal{J} \rightarrow \mathcal{C}$  lies in  $\mathbb{D}$  and has a universal cocone  $c: UX \rightarrow \{C\}$ . We may extend  $C$  to an essentially unique  $\mathbb{T}$ -algebra structure  $\bar{C}$  over  $C$  in a canonical way such that  $c: UX \rightarrow \{C\}$  lifts to a cocone of algebras  $\bar{c}: X \rightarrow \{\bar{C}\}$  over  $c$ .

We will argue using the *string diagrammatic* language of the 2-category of categories, the advantage being that it clarifies reasoning that involves naturality. We refer to Hinze and Marsden [2023] for a thorough introduction to string diagrams in a 2-category; note, however, that we differ from *op. cit.* by having diagrams flow from the downward and to the right in keeping with the usual diagrammatic order of composition. In what follows, we let  $F \dashv U$  be the Eilenberg–Moore resolution of  $\mathbb{T}$ .

*Proof.* By assumption, the following diagram is a universal cocone.

$$\begin{array}{c}
 X \quad U F U \\
 \text{[Diagram: A universal cocone with top object } X \text{ and bottom object } C F U. \text{ The diagram consists of a blue region on the left, an orange region in the middle, and a yellow region on the right. A point } c \text{ is marked at the junction of the blue and orange regions. A curved line connects } c \text{ to the bottom object } C F U. \text{ A vertical line separates the orange and yellow regions.}] \\
 ! \quad C F U
 \end{array} \tag{2}$$

We define a further cocone on the left below, which by the universal property of Diagram 2 factors through a unique map  $\beta: UFC \rightarrow C$  as depicted on the right:

$$\begin{array}{c}
 X \quad U \quad F \quad U \\
 \text{[Diagram: A cocone with top object } X \text{ and bottom object } C. \text{ It includes a blue region, an orange region, and a yellow region. A point } c \text{ is marked at the junction of the blue and orange regions. A curved line connects } c \text{ to the bottom object } C. \text{ A point } \epsilon \text{ is marked in the orange region.}] \\
 ! \quad C
 \end{array}
 =
 \begin{array}{c}
 X \quad U \quad F \quad U \\
 \text{[Diagram: A cocone with top object } X \text{ and bottom object } C. \text{ It includes a blue region, an orange region, and a yellow region. A point } c \text{ is marked at the junction of the blue and orange regions. A curved line connects } c \text{ to the bottom object } C. \text{ A point } \beta \text{ is marked at the junction of the orange and yellow regions.}] \\
 ! \quad C
 \end{array} \tag{3}$$

We will show that the map  $\beta: UFC \rightarrow C$  satisfies the axioms of a  $\mathbb{T}$ -algebra.

1. The unit law asserts that Diagram 4 depicts the identity cell on  $C$ :

$$\begin{array}{c}
 C \\
 \text{[Diagram: A cocone with top object } C \text{ and bottom object } C. \text{ It consists of a yellow region. A curved line connects a point } \eta \text{ in the yellow region to the bottom object } C. \text{ A point } \beta \text{ is marked at the junction of the yellow region and the bottom object } C. \text{ A small orange region is attached to the curved line.}] \\
 C
 \end{array} \tag{4}$$

By the universal property of Diagram 2, it suffices to check that composition of Diagram 2 with Diagram 4 is equal to Diagram 2. Forming the composite, we first recall the defining property of  $\beta: UFC \rightarrow C$  and rewrite accordingly:

$$\begin{array}{c}
 X \quad U \\
 \text{[Diagram: A cocone with top object } X \text{ and bottom object } C. \text{ It includes a blue region, an orange region, and a yellow region. A point } c \text{ is marked at the junction of the blue and orange regions. A curved line connects } c \text{ to the bottom object } C. \text{ A point } \eta \text{ is marked in the orange region. A small orange region is attached to the curved line.}] \\
 ! \quad C
 \end{array}
 =
 \begin{array}{c}
 X \quad U \\
 \text{[Diagram: A cocone with top object } X \text{ and bottom object } C. \text{ It includes a blue region, an orange region, and a yellow region. A point } c \text{ is marked at the junction of the blue and orange regions. A curved line connects } c \text{ to the bottom object } C. \text{ A point } \epsilon \text{ is marked in the orange region. A small orange region is attached to the curved line.}] \\
 ! \quad C
 \end{array} \tag{5}$$

Finally, we rewrite using the snake identity of  $F \dashv U$ :

$$\begin{array}{c}
 X \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 1: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . A point  $c$  is on the boundary between blue and yellow. A point  $\epsilon$  is in the blue region, and a point  $\eta$  is in the yellow region. A curved line connects  $\epsilon$  and  $\eta$ .} \\ \hline
 \end{array}
 \end{array}
 =
 \begin{array}{c}
 X \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 2: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . A point  $c$  is on the boundary between blue and yellow.} \\ \hline
 \end{array}
 \end{array}
 \quad (6)$$

2. The multiplication law asserts that the following two diagrams are equal:

$$\begin{array}{c}
 C \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 1: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . Two points  $\beta$  are on the boundary between blue and yellow.} \\ \hline
 \end{array}
 \end{array}
 =
 \begin{array}{c}
 C \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 2: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . A point  $\beta$  is on the boundary between blue and yellow. A point  $\epsilon$  is in the blue region.} \\ \hline
 \end{array}
 \end{array}
 \quad (7)$$

It suffices to consider their restriction along the cocone  $TTc: TTUX \rightarrow \{TTC\}$ , which is universal as  $T$  is assumed to preserve this colimit. We first use the defining property of  $\beta$ :

$$\begin{array}{c}
 X \quad U \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 1: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . Points  $c$  and  $\beta$  are on the boundary between blue and yellow.} \\ \hline
 \end{array}
 \end{array}
 =
 \begin{array}{c}
 X \quad U \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 2: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . Points  $c$  and  $\beta$  are on the boundary between blue and yellow. A point  $\epsilon$  is in the blue region.} \\ \hline
 \end{array}
 \end{array}
 \quad (8)$$

We use the defining property of  $\beta$  once more:

$$\begin{array}{c}
 X \quad U \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 1: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . Points  $c$  and  $\beta$  are on the boundary between blue and yellow. A point  $\epsilon$  is in the blue region.} \\ \hline
 \end{array}
 \end{array}
 =
 \begin{array}{c}
 X \quad U \quad F U F U \\
 \begin{array}{|c|} \hline \text{Diagram 2: A square with a blue region on the left and a yellow region on the right. A grey region at the bottom is labeled  $C$ . Points  $c$  and  $\beta$  are on the boundary between blue and yellow. Two points  $\epsilon$  are in the blue region.} \\ \hline
 \end{array}
 \end{array}
 \quad (9)$$

Naturality allows us to swap the order in which the counits are composed, corresponding to the depth of the depicted “sag”.

$$\begin{array}{c}
 X \quad U \quad F \quad U \quad F \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 1: A sequence of three nested 'sag' shapes. The top two are orange with a black dot labeled $\epsilon$. The bottom one is grey with a black dot labeled $c$. The background is split into blue (left) and yellow (right) regions.} \\ \hline \end{array} \\
 ! \qquad C
 \end{array}
 =
 \begin{array}{c}
 X \quad U \quad F \quad U \quad F \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 2: Similar to Diagram 1, but the orange regions are swapped, with the top one being grey and the bottom one orange. The black dots are still labeled $\epsilon$ and $c$.} \\ \hline \end{array} \\
 ! \qquad C
 \end{array}
 \quad (10)$$

Then the defining equation of  $\beta$  implies the result.

$$\begin{array}{c}
 X \quad U \quad F \quad U \quad F \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 3: Same as Diagram 1, with orange top two sags and grey bottom one. Black dots labeled $\epsilon$ and $c$.} \\ \hline \end{array} \\
 ! \qquad C
 \end{array}
 =
 \begin{array}{c}
 X \quad U \quad F \quad U \quad F \quad U \\
 \begin{array}{|c|} \hline \text{Diagram 4: Similar to Diagram 3, but the bottom sag is now labeled $\beta$ instead of $c$. The orange regions are swapped.} \\ \hline \end{array} \\
 ! \qquad C
 \end{array}
 \quad (11)$$

Hence we may define a  $\mathbb{T}$ -algebra structure  $\bar{C}$  with  $U\bar{C} = C$ , setting  $\alpha_{\bar{C}}: TC \rightarrow C$  to be  $\beta$ . That  $c$  lifts to a cocone of algebras is *exactly* the defining condition of  $\alpha_{\bar{C}} = \beta$  via the universal property of  $Tc: TUX \rightarrow \{TC\}$ ; uniqueness of the algebra structure follows from the same universal property.  $\square$

**Lemma 5.** *Let  $\mathbb{T} \equiv (T, \eta, \mu)$  be a monad on a category  $\mathcal{C}$ , and let  $\mathbb{D}$  be a class of diagrams in  $\mathcal{C}$ . If  $T$  preserves colimits of diagrams in  $\mathbb{D}$ , then  $U: \mathcal{C}^{\mathbb{T}} \rightarrow \mathcal{C}$  reflects colimits of diagrams in  $\mathbb{D}$ .*

*Proof.* Let  $X: \mathcal{J} \rightarrow \mathcal{C}^{\mathbb{T}}$  be a diagram equipped with a cocone  $y: X \rightarrow \{Y\}$  whose image  $Uy: UX \rightarrow \{UY\}$  in  $\mathcal{C}$  is universal.

$$\begin{array}{c}
 X \qquad U \\
 \begin{array}{|c|} \hline \text{Diagram 5: A diagram with two vertical regions: blue on the left (labeled $X$) and yellow on the right (labeled $U$). A black dot labeled $y$ is on the boundary. A curved arrow points from the blue region to the dot, and another from the dot to the yellow region. Below the diagram is a label $Y$ under the blue region and $U$ under the yellow region.} \\ \hline \end{array} \\
 ! \quad Y \quad U
 \end{array}
 \quad (12)$$



If therefore follows, by assumption, that  $TUy: TUX \rightarrow \{TUY\}$  is universal:

$$\begin{array}{c}
 X \quad U F U \\
 \text{!} \quad Y U F U
 \end{array}
 \quad (13)$$

We aim to show that  $y: X \rightarrow \{Y\}$  is universal in  $\mathcal{C}^T$ . To check this universal property, we fix a further cocone  $z: X \rightarrow \{Z\}$  in  $\mathcal{C}^T$ ; of course, we may factor  $Uz: UX \rightarrow \{UZ\}$  through the universal cocone  $Uy: UX \rightarrow \{UY\}$  through some unique  $h: UY \rightarrow UZ$  as depicted below:

$$\begin{array}{c}
 X \quad U \\
 \text{!} \quad Z \quad U
 \end{array}
 =
 \begin{array}{c}
 X \quad U \\
 \text{!} \quad Z \quad U
 \end{array}
 \quad (14)$$

We will show that  $h: UY \rightarrow UZ$  lies in the image of some  $\bar{h}: Y \rightarrow Z$  in  $\mathcal{C}^T$ ; as the forgetful functor  $U: \mathcal{C}^T \rightarrow \mathcal{C}$  is necessarily faithful, this will establish that  $y: X \rightarrow \{Y\}$  is a universal cocone. To exhibit  $\bar{h}: Y \rightarrow Z$  over  $h$  is, by definition, the same as to check that the latter is a homomorphism of algebras in the sense depicted below:

$$\begin{array}{c}
 Y \quad U F U \\
 \text{!} \quad Z \quad U
 \end{array}
 =
 \begin{array}{c}
 Y \quad U F U \\
 \text{!} \quad Z \quad U
 \end{array}
 \quad (15)$$

Because Diagram 13 is a universal cocone, we can check Eq. (15) by restricting both sides along Diagram 13. After doing so, we first use rewrite along Eq. (14):

$$\begin{array}{c}
 X \quad U F U \\
 \text{!} \quad Z \quad U
 \end{array}
 =
 \begin{array}{c}
 X \quad U F U \\
 \text{!} \quad Z \quad U
 \end{array}
 \quad (16)$$

We can then swap the order in which  $z$  is composed with the counit, by naturality:

$$\begin{array}{ccc}
 X & U & F & U \\
 \downarrow & & \downarrow & \\
 \text{!} & Z & U &
 \end{array}
 \quad = \quad
 \begin{array}{ccc}
 X & U & F & U \\
 \downarrow & & \downarrow & \\
 \text{!} & Z & U &
 \end{array}
 \quad (17)$$

We finally use Eq. (14) one last time.

$$\begin{array}{ccc}
 X & U & F & U \\
 \downarrow & & \downarrow & \\
 \text{!} & Z & U &
 \end{array}
 \quad = \quad
 \begin{array}{ccc}
 X & U & F & U \\
 \downarrow & & \downarrow & \\
 \text{!} & Z & U &
 \end{array}
 \quad (18)$$

We have shown that  $h: UY \rightarrow UZ$  satisfies the homomorphism property, and therefore lies in the image of some (unique)  $\bar{h}: Y \rightarrow Z$ , so we are done.  $\square$

**Lemma 6.** *Let  $\mathbb{T} \equiv (T, \eta, \mu)$  be a monad on a category  $\mathcal{C}$  and let  $\mathbb{D}$  be a class of diagrams in  $\mathcal{C}$ . If  $T$  preserves colimits of diagrams in  $\mathbb{D}$ , then  $U: \mathcal{C}^{\mathbb{T}} \rightarrow \mathcal{C}$  creates colimits of diagrams in  $\mathbb{D}$ .*

*Proof.* Let  $X: \mathcal{J} \rightarrow \mathcal{C}^{\mathbb{T}}$  be a diagram such that  $UX: \mathcal{J} \rightarrow \mathcal{C}$  has a universal cocone  $c: UX \rightarrow \{C\}$  in  $\mathcal{C}$ . We let  $\bar{C} \in \mathcal{C}^{\mathbb{T}}$  with  $U\bar{C} = C$  be the algebra structure on  $C$  given by Lemma 4, so that  $c: UX \rightarrow \{UC\}$  lifts to a cocone of algebras  $\bar{c}: X \rightarrow \{\bar{C}\}$ . As  $U: \mathcal{C}^{\mathbb{T}} \rightarrow \mathcal{C}$  reflects colimits of diagrams in  $\mathbb{D}$  (Lemma 5), we conclude that the cocone  $\bar{c}: X \rightarrow \{\bar{C}\}$  is indeed universal in  $\mathcal{C}^{\mathbb{T}}$ .  $\square$

## 2.2 Geometry in a 2-category

In this section, we elucidate the 2-categorical universal properties that will play a role in the constructive study of the lifting doctrine on dcpos. Although we of course have need only for *poset-enriched* versions of what follows, we first work in as much generality as possible in order to lay the foundations for future investigations of higher-dimensional domain theory outside the locally posetal setting.

**Definition 7.** Let  $\mathcal{K}$  be any 2-category with a terminal object; a *Sierpiński space* is then defined to be a cocomma object of the following form:

$$\begin{array}{ccc}
 \mathbf{1} & \xlongequal{\quad} & \mathbf{1} \\
 \parallel & \nearrow & \downarrow \top \\
 \mathbf{1} & \xrightarrow{\quad \perp \quad} & \Sigma
 \end{array} \tag{19}$$

Equivalently, the Sierpiński space is the *tensor*  $\Delta^1 \cdot \mathbf{1}$  where  $\Delta^1$  is the directed interval category  $\{0 \rightarrow 1\}$ .

Reading Definition 7 in the 2-category of dcpos, the Sierpiński space  $\Sigma$  is, if it exists, the smallest dcpo that contains two points  $\perp, \top : \Sigma$  and an inequality  $\perp \sqsubseteq \top$ .<sup>2</sup> The Sierpiński space is a special case of a more general gluing construction called the Sierpiński cone:

**Definition 8.** The *Sierpiński cone* of an object  $A : \mathcal{K}$  in a 2-category  $\mathcal{K}$  with a terminal object is defined to be the following cocomma object:

$$\begin{array}{ccc}
 A & \xlongequal{\quad} & A \\
 \downarrow !_A & \nearrow & \downarrow \top \\
 \mathbf{1} & \xrightarrow{\quad \perp \quad} & \Sigma A
 \end{array} \tag{20}$$

The geometry of Definition 8 is that  $\Sigma A$  adjoins an additional point “to the left” of  $A$ , which forms the apex of a cone in  $A$  whose endpoints lie in  $A$ . Of course, we have  $\Sigma = \Sigma \mathbf{1}$  and further generic “finite chain” figures can be obtained by iteration; for instance,  $\Sigma_n := \Sigma^n \mathbf{1}$  would be the generic chain with  $n$  segments.

**Observation 9.** Product 2-functors  $A \times -$  in a cartesian closed 2-category preserve cocomma squares.

**Lemma 10.** Let  $\mathcal{K}$  be a 2-category with a terminal object and an exponentiable Sierpiński space  $\Sigma$ ; then for any  $Y \in \mathcal{K}$ , the following lax square induced by evaluation at the generic 2-cell  $\perp \sqsubseteq \top$  is a comma square in  $\mathcal{K}$ :

$$\begin{array}{ccc}
 Y^\Sigma & \xrightarrow{-\top} & Y \\
 \downarrow -\perp & \nearrow & \parallel \\
 Y & \xlongequal{\quad} & Y
 \end{array} \tag{21}$$

<sup>2</sup>As we will see, this description does *not* imply that the Sierpiński dcpo has exactly two points!

*Proof.* Equivalently, we must check that  $Y^\Sigma$  is the power  $\Delta^1 \pitchfork Y$ . The proof is (2-)adjoint calisthenics, using the characterisation of  $\Sigma$  as the power  $\Delta^1 \cdot \mathbf{1}$ .

$$\begin{aligned}
\mathcal{K}(X, Y^\Sigma) &\cong \mathcal{K}(X \times \Sigma, Y) \\
&\cong \mathcal{K}(X \times (\Delta^1 \cdot \mathbf{1}), Y) \\
&\cong \mathcal{K}(\Delta^1 \cdot X, Y) \\
&\cong \mathbf{Cat}(\Delta^1, \mathcal{K}(X, Y)) \\
&\cong \mathcal{K}(X, \Delta^1 \pitchfork Y)
\end{aligned}
\tag*{$\square$}$$

### 2.3 Partial products in a 2-category

Finally, we recall the notion of (*op*)fibration and *partial product* in a 2-category [Johnstone, 2002, §B4.4]. In this section, let  $\mathcal{K}$  be a finitely complete 2-category. We will prefer the “Chevalley criterion” for opfibrations described below.

**Definition 11** (Loregian and Riehl [2020]). A 1-cell  $p: E \rightarrow B$  in  $\mathcal{K}$  is called an **opfibration** when the canonical arrow  $\Delta^1 \pitchfork E \rightarrow p \downarrow B$  corresponding to the lax square below has a left adjoint right inverse:

$$\begin{array}{ccc}
\Delta^1 \pitchfork E & \xrightarrow{p \circ \partial_1} & B \\
\partial_0 \downarrow & \nearrow & \parallel \\
E & \xrightarrow{p} & B
\end{array}
\tag{22}$$

**Construction 12** (Lifting 2-cells to generalised fibers). As Hazratpour and Vickers [2020] point out, an opfibration in the sense of Definition 11 can be equipped with operations corresponding to the more nuts-and-bolt description of internal opfibrations given by Johnstone [2002]. In particular, for a given 2-cell  $\alpha: f \rightarrow g$  in  $\mathcal{K}(C, B)$  we may define a 1-cell  $\alpha^*E: f^*E \rightarrow g^*E$  between pullbacks. In particular, the 2-cell determines a 1-cell  $f^*E \rightarrow p \downarrow B$ , where  $p \circ p^*f \cong f \circ f^*p$  is the canonical isomorphism of the pullback square:

$$\begin{array}{ccc}
p^*f & p \\
\text{---} & \text{---} \\
\text{---} & \text{---} \\
\text{---} & \text{---} \\
f^*p & g
\end{array}
\tag{23}$$

Postcomposing with the left adjoint right inverse to  $\Delta^1 \pitchfork E \rightarrow p \downarrow B$ , we obtain the

following cells and equations:

$$\begin{array}{ccc}
 \bar{g} & p & p^* f \\
 \text{[Diagram: A square with a vertical line. The left half is red, the right half is yellow. A black dot is on the vertical line. The label $\cong$ is to the left of the dot.]} & & \text{[Diagram: A square with a vertical line. The left half is red, the right half is yellow. A black dot is on the vertical line. The label $\bar{\alpha}$ is to the left of the dot.]} \\
 f^* p & g & \bar{g}
 \end{array} \quad (24)$$

$$\begin{array}{ccc}
 p^* f & p & p^* f \quad p \\
 \text{[Diagram: A square with a vertical line. The left half is red, the right half is yellow. A black dot is on the vertical line. The label $\bar{\alpha}$ is to the left of the dot.]} & = & \text{[Diagram: A square with a vertical line. The left half is red, the right half is yellow. A black dot is on the vertical line. The label $\alpha$ is to the right of the dot.]} \\
 f^* p & g & f^* p \quad g
 \end{array} \quad (25)$$

The isomorphism  $p \circ \bar{g} \cong g \circ f^* p$  depicted in Diagram 24 is precisely the data of a suitable map  $\alpha^* E: f^* E \rightarrow g^* E$ , considering the universal property of  $g^* E$ .

The following notion is described by Johnstone [2002] as a *partial product cone*.

**Definition 13** (Johnstone [2002]). Let  $p: E \rightarrow B$  in be an opfibration in  $\mathcal{K}$ , and let  $A$  be a 0-cell in  $\mathcal{K}$ . A **nondeterministic map** from  $C$  to  $A$  with coefficients in  $p: E \rightarrow B$  is defined to consist of a 1-cell  $u: C \rightarrow B$  equipped with a further 1-cell  $e: u^* E \rightarrow A$  as depicted below:

$$\begin{array}{ccccc}
 A & \xleftarrow{e} & u^* E & \xrightarrow{\quad} & E \\
 & & \downarrow & \lrcorner & \downarrow p \\
 & & C & \xrightarrow{u} & B
 \end{array} \quad (26)$$

A morphism of such nondeterministic maps from  $(u, e)$  to  $(u', e')$  is given by a 2-cell  $\alpha: u \rightarrow u'$  together with a further 2-cell  $\beta: e \rightarrow \alpha^* E * e'$  where  $\alpha^* E: u^* E \rightarrow u'^* E$  is as described in Construction 12.

We shall write  $\mathcal{K}^p(-, A): \mathcal{K}^{\text{op}} \rightarrow \mathbf{Cat}$  for the pseudofunctor sending 0-cells  $C \in \mathcal{K}$  to the category of nondeterministic maps from  $C$  to  $A$  with coefficients in  $p$ .

**Definition 14.** The **partial product** of an opfibration  $p: E \rightarrow B$  in  $\mathcal{K}$  with a 0-cell  $A$  is a 0-cell  $\mathcal{P}_\bullet(p, A)$  representing the pseudofunctor  $\mathcal{K}^p(-, A)$  in the sense that we have a pseudonatural equivalence  $\mathcal{K}(-, \mathcal{P}_\bullet(p, A)) \simeq \mathcal{K}^p(-, A)$ .

When  $\mathcal{P}_\bullet(p, A)$  is the partial product of  $p: E \rightarrow B$  with  $A \in \mathcal{K}$ , we have in the generic case a nondeterministic map from  $\mathcal{P}_\bullet(p, A)$  to itself, as depicted below:

$$\begin{array}{ccccc}
 A & \xleftarrow{e} & u^*E & \xrightarrow{\quad} & E \\
 & & \downarrow \lrcorner & & \downarrow p \\
 & & \mathcal{P}_\bullet(p, A) & \xrightarrow{u} & B
 \end{array} \tag{27}$$

In this case, we shall refer to the above as the *universal nondeterministic map out of  $A$  with coefficients in  $p: E \rightarrow B$* .

### 3 Basic notions in constructive domain theory

We recall the basics of the (constructive) theory of dcpos and their lifting monad, following the exposition of de Jong [2021], de Jong and Escardó [2021]. The main difference in relation to *op. cit.* is that we assume propositional resizing, as we are not concerned here with predicativity.

**Definition 15.** A partial order  $A$  is called a *directed-complete* when any directed subset  $U \subseteq A$  has a supremum in  $A$ . A morphism of directed-complete partial orders is a *Scott-continuous function*, i.e. a function that preserves directed suprema.

We shall refer to directed-complete partial orders a *dcpos*, writing writing dcpo for the category of dcpos and Scott continuous maps. Note that Scott-continuous functions are automatically monotone.

#### 3.1 Open subspaces and their classifier

We recall the notion of Scott-open subset of a dcpo in the constructive setting, e.g. from de Jong [2021].

**Definition 16.** A subset  $U \subseteq A$  of a dcpo  $A$  is called *Scott-open* when it is upward closed and, moreover, inaccessible by directed suprema in the sense that for any directed subset  $S \subseteq A$  with  $\bigsqcup S \in U$ , there exists an element  $s \in S$  such that  $s \in U$ .

**Remark 17.** Note that the appropriate notion of Scott-closed subset is *not* obtained by taking complements of Scott-open subsets, except in the case of continuous dcpos [de Jong, 2021]. We will not deal with closed subsets in this paper.

We shall refer to the subdcpo spanned by a given Scott-open subset as a *Scott-open subspace*. A morphism of dcpos  $i: A \rightarrow B$  factoring through an isomorphism onto an open subspace of  $B$  is called a *Scott-open immersion*. We will observe that *universal monomorphism*  $\top: \mathbf{1} \rightarrow \Omega$  in the category of sets<sup>3</sup> extends to a *universal Scott-open immersion* in the world of dcpos.

<sup>3</sup>To be more precise, we mean the ambient topos when we speak of “sets”.

**Lemma 18.** *The universe  $\Omega$  of all propositions is a dcpo with its implication order.*

*Proof.* Implication clearly gives rise to a partial order on  $\Omega$ ; the existential quantifier ensures that  $\Omega$  is in fact a sup-lattice, and thus a dcpo.  $\square$

**Theorem 19.** *The morphism  $\top : \mathbf{1} \hookrightarrow \Omega$  is the **universal Scott-open immersion** in  $\mathbf{dcpo}$ , in the sense that  $\top : \mathbf{1} \hookrightarrow \Omega$  is a Scott-open immersion and that for any other Scott-open immersion  $i : U \hookrightarrow A$ , there exists a unique cartesian square from  $i$  to  $\top$  in  $\mathbf{dcpo}$  as depicted below:*

$$\begin{array}{ccc}
 U & \xrightarrow{\quad !_U \quad} & \mathbf{1} \\
 i \downarrow \lrcorner & & \downarrow \top \\
 A & \xrightarrow{\quad \exists![i] \quad} & \Omega
 \end{array} \tag{28}$$

*Proof.* Without loss of generality, we may consider the open immersion induced by a Scott-open subset  $U$  of  $A$ . As the forgetful functor from  $\mathbf{dcpo}$  to their underlying sets is faithful, we can deduce our result from the universal property of  $\top : \mathbf{1} \rightarrow \Omega$  as the universal monomorphism in the category of sets; in particular, it is enough to observe that the characteristic function of a subset of a dcpo is Scott-continuous if and only if the subset is Scott-open, recalling that joins in  $\Omega$  are given by existential quantification.  $\square$

### 3.2 Geometry of the Scott-open subspace classifier

$\mathbf{dcpo}$  is easily seen to be enriched in posets; given  $f, g : A \rightarrow B$  we define  $f \sqsubseteq g$  if and only if  $fx \sqsubseteq gx$  for all  $x : A$ . This enrichment turns  $\mathbf{dcpo}$  into a (locally posetal) 2-categories, and so we may consider 2-categorical limits and colimits.

We have seen a “right-handed” or limit-style universal property for  $\Omega$  as the base of the universal Scott-open immersion (Theorem 19). In this section, we will see that  $\Omega$  has an alternative left-handed universal property as the *Sierpiński space* (Definition 7) in the 2-category of  $\mathbf{dcpo}$ . These two universal properties reflect the role of  $\Omega$  as a dualising object in the algebro-geometric context of domain theory.

**Theorem 20.** *The following is a cocomma square in the 2-category  $\mathbf{dcpo}$ , and so  $\Omega$  is the Sierpiński space in the sense of Definition 7:*

$$\begin{array}{ccc}
 \mathbf{1} & \xlongequal{\quad} & \mathbf{1} \\
 \parallel & \nearrow & \downarrow \top \\
 \mathbf{1} & \xrightarrow{\quad \perp \quad} & \Omega
 \end{array} \tag{29}$$

*Proof.* Consider an arbitrary lax square in the following configuration:

$$\begin{array}{ccc}
 \mathbf{1} & \xRightarrow{\quad} & \mathbf{1} \\
 \parallel & \nearrow & \downarrow c_1 \\
 \mathbf{1} & \xrightarrow{c_0} & C
 \end{array} \tag{30}$$

The universal map  $h: \Omega \rightarrow C$  factoring  $c_0$  through  $\perp$  and  $c_1$  through  $\top$  is defined so as to send  $\phi: \Omega$  to  $\bigvee_{\mathbf{1}+\phi} [c_0 \mid c_1]$ , *i.e.* supremum of the union of  $\{c_1 \mid \phi = \top\}$  and  $\{c_0\}$ . It is also observed easily that this assignment preserves directed suprema in  $\Omega$ . That  $h: \Omega \rightarrow C$  is unique with this factorization property follows from the uniqueness of suprema: any map factoring  $c_0$  and  $c_1$  in this sense is supremum of the same directed subset.  $\square$

By virtue of Theorem 20, we may define  $\Sigma := \Omega$ ; therefore, unless the law of excluded middle holds, it need not be the case that  $\Sigma$  has exactly two points — although the law of non-contradiction ensures that no third point can be proved unequal to both  $\perp$  and  $\top$ .<sup>4</sup>

**Remark 21.** It is perhaps surprising at first that the Sierpiński space in the 2-category of *posets* nonetheless has only two elements in constructive mathematics, in spite of Theorem 20. This is not so strange, however: the ideal completion 2-functor from posets to dcpos is *left adjoint* to the forgetful functor, and so it necessarily preserves Sierpiński objects. But in constructive mathematics, the set of ideals in  $\mathbf{2} = \{0 \leq 1\}$  necessarily contains *all* directed downsets of  $\mathbf{2}$  and not just the decidable ones; thus we see, by means of a more conceptual argument than that of Lemma 18, that the Sierpiński dcpo must be given by  $\Omega$ .

**Lemma 22.** *The universal open immersion  $\top: \mathbf{1} \hookrightarrow \Sigma$  is a opfibration of dcpos in the sense of Definition 11.*

*Proof.* Letting  $A$  be an arbitrary dcpo; we must check that the canonical morphism  $\Delta^1 \pitchfork \mathbf{1} \rightarrow \top \downarrow \Sigma$  has a left adjoint right inverse. In fact,  $\Delta^1 \pitchfork \mathbf{1} \cong \mathbf{1} \cong \top \downarrow \Sigma$ , so we are done.  $\square$

**Definition 23** (Paths between dpcp morphisms). Let  $f, g: A \rightarrow B$  be a morphism of dcpos; a *path* from  $f$  to  $g$  is defined to be a morphism  $\alpha: \Sigma \times A \rightarrow B$  such that  $\alpha \circ (\perp, -) = f$  and  $\alpha \circ (\top, -) = g$ .

**Corollary 24** (Path enrichment). *The following properties of paths hold:*

1. *There is at most one path between any two morphisms  $f, g: A \rightarrow B$  of dcpos.*
2. *For  $f, g: A \rightarrow B$ , there exists a path from  $f$  to  $g$  if and only if  $f \sqsubseteq g$ .*

*Proof.* These are immediate consequences of Theorem 20.  $\square$

<sup>4</sup>From the external point of view, there will generally be many distinct *global* points of the internal dcpo  $\Sigma$ . But even if  $p, q, r$  are distinct global points, the topos logic will not deduce  $p \neq q \neq r$  unless the topos is the *empty topos* (*i.e.* the trivial category).



### 3.3 Enriched cocompleteness of the category of dcpos

Our study of the Sierpiński space and the path-enrichment of  $\mathbf{dcpo}$  (Corollary 24) implies the important property that any 1-categorical colimits of dcpos that we may construct are, in fact, 2-categorical colimits.

**Corollary 25** (Enrichment of colimits). *Colimits in  $\mathbf{dcpo}$  are poset-enriched.*

*Proof.* This follows immediately from Corollary 24 and the fact that the product functor  $\Sigma \times -$  has a right adjoint and is therefore cocontinuous.  $\square$

We have not seen, however, how to actually construct any given colimit of dcpos. Although it is not hard to see that  $\mathbf{dcpo}$  is cocomplete in a classical metatheory using the adjoint functor theorem [Abramsky and Jung, 1995], it is unclear how to satisfy the solution set condition in constructive mathematics, although it may nonetheless be possible. Luckily, it happens that the constructive cocompleteness of  $\mathbf{dcpo}$  is an immediate consequence of the (fully constructive) generalized coverage theorem of Townsend [1996].

**Lemma 26** (Townsend [1996, p. 72]). *The category of dcpos is closed under coequalisers, and is therefore cocomplete.*

*Proof.* Townsend [1996] has shown that the coequaliser of dcpos can be computed in their enveloping sup-lattices and then extracted by means of an image factorization that isolates the smallest subdcpo of the coequaliser sup-lattice containing the original dcpo that we wished to quotient.  $\square$

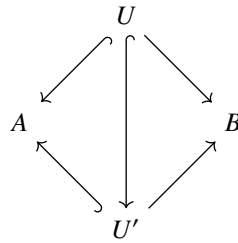
The argument of *op. cit.* is a more conceptual version of the explicit construction of dcpo quotients in terms of dcpo presentations [Jung et al., 2008], or the even more explicit constructions of Fiech [1996], Goubault-Larrecq [2019].

## 4 The lifting monad and its algebras

### 4.1 The partial map classifier

In this section, we shall study the structure of *partial maps* of dcpos in terms of 2-category-theoretic universal properties.

**Definition 27.** A *partial map* from  $A$  to  $B$  is given by a span  $A \leftarrow U \rightarrow B$  in which  $U \hookrightarrow A$  is a Scott-open immersion. An *inequality* from  $A \leftarrow U \rightarrow B$  to  $A \leftarrow U' \rightarrow B$  is given by an embedding  $U \hookrightarrow U'$  making both triangles below commute:



**Observation 28.** *The partial order of partial maps from  $A$  to  $B$  is precisely the (posetal) category  $\mathbf{dcpo}^\top(A, B)$  of nondeterministic maps from  $A$  to  $B$  with coefficients in the universal Scott-open immersion  $\top : \mathbf{1} \hookrightarrow \Sigma$ .*

*Proof.* This follows immediately from the universal property of  $\top : \mathbf{1} \hookrightarrow \Sigma$  as the universal Scott-open immersion (Lemma 22).  $\square$

Thus the appropriate enriched / 2-categorical universal property for *classifying* partial maps is given by partial products (Definition 14). We will now give an explicit description of the classification of partial maps into  $B$  by the partial product  $\mathcal{P}_\bullet(\top, B)$ .

**Construction 29** (The lifting operation on dcpos). Given a dcpo  $A$ , the *lifted dcpo*  $LA$  is defined to have the (base of) the *partial map classifier*  $LA := \sum_{\phi:\Omega} A^\phi$  of  $A$  as its underlying set, with the following partial order:

$$\begin{aligned} (\phi, u) \sqsubseteq_{LA} (\psi, v) &\iff \forall x : \phi. \exists y : \psi. ux \sqsubseteq vy \\ &\iff (\phi \sqsubseteq_\Sigma \psi) \wedge \forall x : \phi, y : \psi. ux \sqsubseteq vy \end{aligned}$$

If we write  $\eta : A \hookrightarrow LA$  for the unit map sending  $a$  to  $(\top, \lambda x. a)$ , then we see that we also have the following logical equivalence:

$$(\phi, u) \sqsubseteq_{LA} (\psi, v) \iff \forall a : A. a \in \eta^{-1}u \rightarrow \exists b : B. b \in \eta^{-1}v$$

It is not difficult to show that if  $A$  is directed-complete, then so is  $LA$ ; suprema are computed so that the (clearly monotone) projection  $\pi_1 : LA \rightarrow \Sigma$  is Scott-continuous and so a morphism of dcpos.

**Theorem 30.** *Each lifted dcpo  $LB$  is the partial product of  $\top : \mathbf{1} \hookrightarrow \Sigma$  with  $B$ .*

*Proof.* We must construct an isomorphism of posets from  $\mathbf{dcpo}(A, LB)$  to the poset  $\mathbf{dcpo}^\top(A, B)$  of partial maps from  $A$  to  $B$ . Given  $f : A \rightarrow LB$ , we choose the following partial map from  $A$  to  $B$ :

$$\begin{array}{ccc} B & \xleftarrow{\pi_2 \circ f} \{x : A \mid \pi(fx) = \top\} & \longrightarrow \mathbf{1} \\ & \downarrow \lrcorner & \downarrow \top \\ A & \xrightarrow{\pi \circ f} & \Sigma \end{array} \quad (31)$$

Monotonicity is immediate. Conversely, we consider an arbitrary partial map:

$$\begin{array}{ccc} B & \xleftarrow{e} U & \longrightarrow \mathbf{1} \\ & \downarrow \lrcorner & \downarrow \top \\ A & \xrightarrow{p} & \Sigma \end{array} \quad (32)$$

The above corresponds to the map  $A \rightarrow LB$  sending  $x : A$  to  $(px, \lambda z. (x, z))$ .  $\square$

**Corollary 31.** *Let  $A$  be a dcpo; then the evaluation map  $e : U \rightarrow A$  in the universal nondeterministic map with coefficients in  $\top : \mathbf{1} \hookrightarrow \Sigma$  is an isomorphism.*

$$\begin{array}{ccccc}
 A & \xleftarrow{e} & U & \xrightarrow{\quad} & \mathbf{1} \\
 & & \downarrow \lrcorner & & \downarrow \top \\
 & & \mathcal{P}_\bullet(\top, A) & \xrightarrow{\pi} & \Sigma
 \end{array} \tag{33}$$

## 4.2 Geometry of the partial map classifier

In Sections 3.1 and 3.2 we have seen that the classifier of Scott-open subsets has an additional left-handed universal property as a 2-categorical colimit: the Sierpiński space. In this section, we will upgrade this result to see that the partial map classifier of a given dcpo  $A$  has an additional left-handed universal property as the Sierpiński *cone* of  $A$ . From this, we will obtain the most important reasoning principle for the lifting doctrine in constructive domain theory, namely our Corollaries 33 and 34.

**Theorem 32** (Lifting = Sierpiński cone). *For any dcpo  $A$ , the following lax square involving the lifting operation is a co-comma square:*

$$\begin{array}{ccc}
 A & \xlongequal{\quad} & A \\
 \downarrow !_A & \nearrow & \downarrow \eta_A \\
 \mathbf{1} & \xrightarrow{\perp} & LA
 \end{array} \tag{34}$$

*In other words, the lifted dcpo  $LA$  is in fact the Sierpiński cone of  $A$  in dcpo.*

*Proof.* Consider an arbitrary lax square in the following configuration:

$$\begin{array}{ccc}
 A & \xlongequal{\quad} & A \\
 \downarrow & \nearrow & \downarrow c_1 \\
 \mathbf{1} & \xrightarrow{c_0} & C
 \end{array} \tag{35}$$

The universal map  $h : LA \rightarrow C$  factoring  $c_0$  through  $\perp$  and  $c_1$  through  $\eta_A$  is defined so as to send  $u : LA$  to the supremum of the union of  $\{c_0\}$  with  $\{c_1 x \mid u = \eta_A x\}$ . This set is evidently directed, and so each  $hu$  is well-defined; to see that the assignment  $u \mapsto hu$  is continuous, we fix a directed subset  $V \subseteq LA$ :

$$h \sqcup V = \sqcup (\{c_0\} \cup \{c_1 x \mid \sqcup V = \eta_A x\})$$

$$\begin{aligned}
&= \bigsqcup (\{c_0\} \cup \{c_1x \mid \eta_A x \in V\}) \\
&= \bigsqcup_{u \in V} (\{c_0\} \cup \{c_1x \mid u = \eta_A x\}) \\
&= \bigsqcup_{u \in V} hu
\end{aligned}$$

Lastly, we must check that  $h: LA \rightarrow C$  is unique with this property. We will show that any two  $h, h': LA \rightarrow C$  factoring our lax square in the appropriate sense are equal, fixing  $u: LA$ .

$$\begin{aligned}
hu &= h \bigsqcup (\{\perp\} \cup \{\eta_A x \mid u = \eta_A x\}) \\
&= \bigsqcup (\{h\perp\} \cup \{h(\eta_A x) \mid u = \eta_A x\}) \\
&= \bigsqcup (\{h'\perp\} \cup \{h'(\eta_A x) \mid u = \eta_A x\}) \\
&= h' \bigsqcup (\{\perp\} \cup \{\eta_A x \mid u = \eta_A x\}) \\
&= h'u
\end{aligned}
\quad \square$$

From the universal property of  $LA$  as the Sierpiński cone of  $A$ , we can deduce the following important reasoning principle.

**Corollary 33.** *For any dcpo  $A$ , the two embeddings  $\perp: \mathbf{1} \hookrightarrow LA$  and  $\eta_A: A \hookrightarrow LA$  are jointly epimorphic; as such, we have an epimorphic embedding  $[\perp \mid \eta_A]: \mathbf{1} + A \hookrightarrow LA$ .*

*Proof.* This is an immediate consequence of Theorem 32: because  $LA$  is the Sierpiński cone of  $A$ , equality of maps  $LA \rightarrow C$  can be checked by restriction along the embeddings  $\perp: \mathbf{1} \hookrightarrow LA$  and  $\eta_A: A \hookrightarrow LA$ .  $\square$

It was Fiore [1995] who first argued for the importance of Corollary 33 for the general axiomatics of lifting monads as Kock–Zöberlein doctrines, *i.e.* lax idempotent 2-monads. In this paper, we consider a stronger *enriched* version of this statement.

**Corollary 34.** *For any dcpo  $A$ , the embedding  $[\perp \mid \eta_A]: \mathbf{1} + A \hookrightarrow LA$  is lax epimorphic in the 2-category of dcpos, so that for any dcpo  $C$  the induced restriction map  $\text{dcpo}([\perp \mid \eta_A], C): \text{dcpo}(LA, C) \rightarrow \text{dcpo}(\mathbf{1} + A, C)$  is an order-embedding.*

*Proof.* This is a consequence of Corollaries 25 and 33.  $\square$

### 4.3 Lifting as a 2-monad

It is not difficult to see that the lifting operation on dcpos is functorial and, indeed, a monad; on point-sets, these operations are the same as those of the (discrete) partial map classifier on sets — as the functorial action sends continuous maps to continuous maps, and both the unit and multiplication can be seen to be continuous. Moreover, the functorial action is in fact *monotone* in hom posets. Therefore:

**Lemma 35** (Enrichment). *Lifting gives rise to a 2-monad  $\mathbb{L} = (L, \eta, \mu)$  on dcpo.*

*Proof.* This amounts to the fact that each functorial map taking  $f: A \rightarrow B$  to  $Lf: LA \rightarrow LB$  is *monotone* as a function on hom posets. That the unit and multiplication are 2-natural is automatic in the locally posetal setting.  $\square$

Essentially by definition, the Kleisli 2-category for  $\mathbb{L}$  is given by *dcpos* with *partial* maps between them. The rest of this section is devoted to understanding the broader Eilenberg–Moore resolution of  $\mathbb{L}$ , which extends beyond the free lifting algebras to arbitrary lifting algebras. We will show in Section 4.5 that lifting algebras, pointed *dcpos*, and inductive partial orders give equivalent 2-categories; in Section 4.6, we will show that the category of lifting algebras is cocomplete.

**Definition 36.** We shall emphasise the property of *dcpo* morphism  $f: UX \rightarrow YU$  tracking a morphism of  $\mathbb{L}$ -algebras by calling it *linear*.

The following can be seen by unfolding definitions.

**Observation 37.** Each unit map  $\eta_A: A \rightarrow LA$  is an order-embedding.

#### 4.4 Lifting as a Kock–Zöberlein doctrine

The lifting 2-monad is *lax idempotent* and so gives rise to a Kock–Zöberlein doctrine on *dcpos*. We will see this doctrine takes the form of cocompletion under bottom elements, constructivising the classical viewpoint of *dcpo* lifting algebras as *pointed* *dcpos*.

**Lemma 38.** The lifting 2-monad is *lax idempotent*: for any algebra  $X \in \mathbf{dcpo}^{\mathbb{L}}$ , the structure map  $\alpha_X: LUX \rightarrow UX$  is left adjoint to the unit  $\eta_{UX}: UX \rightarrow LUX$  in *dcpo*.

*Proof.* The counit  $\alpha_X \circ \eta_{UX} \sqsubseteq 1_{UX}$  is automatic (and invertible) by the unit law for monad algebras. To exhibit the unit  $1_{LUX} \sqsubseteq \eta_{UX} \circ \alpha_X$ , it suffices by Corollary 34 to check both  $\perp \sqsubseteq \eta_{UX} \alpha_X \perp$  and  $\eta_{UX} \sqsubseteq \eta_{UX} \alpha_X \eta_{UX}$ . The former is immediate and the latter holds by the unit law for monad algebras.  $\square$

**Corollary 39.** There is at most one lifting algebra structure on a *dcpo*.

*Proof.* Left adjoints are unique!  $\square$

#### 4.5 Lifting algebras, pointed dcpos, and ipos

The abstract notion of a lifting algebra can be identified with two more concrete notions: pointed *dcpos* and inductive partial orders (*ipos*).

**Definition 40.** A subset  $U \subseteq A$  of a partial order  $A$  is called *semidirected* when for any two  $x, y \in U$  there exists an upper bound for  $x$  and  $y$  in  $U$ . A subset is called *directed* when it is both semidirected and inhabited.

**Definition 41.** A partial order  $A$  is called *inductive* when any semidirected subset  $U \subseteq A$  has a supremum in  $A$ . A morphism of inductive partial orders is an *inductive function*, *i.e.* one that preserves semidirected suprema.

We shall abbreviate inductive partial orders as *ipos*, writing *ipo* for the category of *ipos* and morphisms of *ipos*.

**Definition 42.** A *dpco*  $A$  is called *pointed* when it has a bottom element  $\perp$ , *i.e.* such that  $\perp \sqsubseteq a$  for all  $a : A$ .

**Definition 43.** A Scott-continuous map between pointed dcpos is called *strict* when it preserves the bottom element.

We shall abbreviate pointed dcpos as *dcppos* and write *dcppo* for the category of pointed dcpos and strict maps.

**Lemma 44.** A dcpo  $A$  is pointed if and only if it is inductive, i.e. *semidirected-complete*.

*Proof.* Suppose that  $A$  is closed under suprema of semidirected subsets. Then the supremum of the *empty* subset (which is trivially semidirected) is can be seen to be the bottom element using the universal property of suprema.

Conversely, suppose that  $A$  is pointed and let  $I \subseteq A$  be semidirected. Then we may replace  $I \subseteq A$  by the *directed* subset  $I' = I \cup \{\perp\}$ ; the inclusion  $I \subseteq I'$  is clearly cofinal as  $\perp$  lies below everything, so the supremum of  $I'$  is also the supremum of  $I$ .  $\square$

**Lemma 45.** A Scott-continuous morphism between pointed dcpos is strict if and only if it is inductive, i.e. *preserves suprema of semidirected subsets*.

*Proof.* An inductive morphism obviously preserves the bottom element. Conversely, let  $f: A \rightarrow B$  preserve directed suprema and the bottom element and let  $I \subseteq A$  be a semidirected subset of  $A$ . To show that  $f \sqcup I = \sqcup_{i \in I} fi$ , we note that  $I \subseteq I \cup \{\perp\}$  is a cofinal inclusion onto a *directed* subset, and so  $f \sqcup I = f \sqcup (\{\perp\} \cup I) = \sqcup_{i \in I} [f\perp \mid fi] = \sqcup_{i \in I} [\perp \mid fi] = \sqcup_{i \in I} fi$ .  $\square$

**Lemma 46** (Pointed dcpos are lifting algebras). Any pointed dcpo carries a lifting algebra structure.

Of course, by Corollary 39 any lifting algebra structure we impose on a dcpo, pointed or not, is unique.

*Proof.* Let  $A$  be a pointed dcpo; we define the structure map  $\alpha_A: LA \rightarrow A$  to take  $u: LA$  to the supremum of the semidirected subset  $\{x: A \mid u = \eta_A x\}$ , computed via Lemma 44. The unit law is trivial, and the multiplication law follows from the fact that a supremum of suprema can be computed as the supremum of a single subset.  $\square$

**Lemma 47** (Lifting algebras are pointed). For any lifting algebra  $X \in \mathbf{dcpo}^{\mathbb{L}}$ , the underlying dcpo  $UX$  is pointed.

*Proof.* The bottom element of  $UX$  is obtained by applying the structure map to the bottom element of  $LUX$ , so we have  $\perp := \alpha_X(\perp, *)$ . That this does in fact compute the bottom element can be seen as follows: fixing  $u: UX$ , we note that  $\alpha_X(\perp, *) \sqsubseteq_{UX} u$  is equivalent to  $\perp \sqsubseteq_{LUX} \eta_{UX} u$  because  $\alpha_X \dashv \eta_{UX}$  by Lemma 38 (lax idempotence).  $\square$

**Lemma 48** (Strict maps vs. algebra homomorphisms). A Scott-continuous map between pointed dcpos is strict if and only if it tracks a lifting algebra homomorphism.

*Proof.* It is clear from the proof of Lemma 47 that a homomorphism of algebras must preserve the bottom element. On the other hand, we suppose that  $f: A \rightarrow B$  is strict to check that the following diagram commutes:

$$\begin{array}{ccc}
 LA & \xrightarrow{Lf} & LB \\
 \alpha_A \downarrow & & \downarrow \alpha_B \\
 A & \xrightarrow{f} & B
 \end{array} \tag{36}$$

By Corollary 33 and the fact that all maps in sight are strict, it is enough to consider the restriction of Diagram 36 along  $\eta_A: A \hookrightarrow LA$ ; then we have  $\alpha_B \circ Lf \circ \eta_A = \alpha_B \circ \eta_A \circ f = f = f \circ \alpha_A \circ \eta_A$  by the unit law for algebras.  $\square$

**Corollary 49.** *The 2-categories of lifting algebras, pointed dcpos, and inductive partial orders are all canonically equivalent.*

*Proof.* Having and preserving bottom elements, semidirected suprema, and lifting algebra structures are all *properties* (we have seen the latter in Corollary 39). Therefore, we may argue that these categories all arise as the same (non-full) subcategory of  $\mathbf{dcpo}$  via Lemmas 44 to 48.  $\square$

**Corollary 50** (Monadicity). *The forgetful functors  $\mathbf{dcpo} \rightarrow \mathbf{dcpo}$  and  $\mathbf{ipo} \rightarrow \mathbf{dcpo}$  are both monadic.*

## 4.6 Cocompleteness of lift-algebras

**Lemma 51.** *The lifting endofunctor  $L: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  preserves connected colimits.*

*Proof.* Let  $A_\bullet: \mathcal{I} \rightarrow \mathbf{dcpo}$  be a connected diagram, *i.e.* such that  $\mathcal{I}$  is inhabited and has a finite zigzag between any two objects; further suppose that there exists a universal cocone  $a_\bullet: A_\bullet \rightarrow \{A_\infty\}$ , to check that the lifted cocone  $La_\bullet: LA_\bullet \rightarrow \{LA_\infty\}$  is also universal. We fix a cocone  $b_\bullet: LA_\bullet \rightarrow \{B\}$  and must check that there exists a unique map  $b_\infty: LA_\infty \rightarrow B$  factoring  $b_\bullet$  through  $La_\bullet$ . We have shown in Theorem 32 that  $LA_\infty$  is the Sierpiński cone of  $A_\infty$ , so a map  $b_\infty: LA_\infty \rightarrow B$  is uniquely determined by an element  $b_\infty^\perp: \mathbf{1} \rightarrow B$  and a map  $b_\infty^\top: A_\infty \rightarrow B$  such that  $b_\infty^\perp \circ !_{A_\infty} \sqsubseteq b_\infty^\top$ .

We first define  $b_\infty^\perp$  to be the unique element of  $B$  that is equal to  $b_k^\perp$  for all  $k \in \mathcal{I}$ ; that this element exists and is unique follows from connectedness of  $\mathcal{I}$ . Next, we define  $b_\infty^\top: A_\infty \rightarrow B$  using the universal property of  $a_\bullet: A_\bullet \rightarrow \{A_\infty\}$ :

$$\begin{array}{ccc}
 A_\bullet & \xrightarrow{a_\bullet} & \{A_\infty\} \\
 \eta_{A_\bullet} \downarrow & & \downarrow \{b_\infty^\top\} \\
 LA_\bullet & \xrightarrow{b_\bullet} & \{B\}
 \end{array} \tag{37}$$

Finally we check that  $b_\infty^\perp \circ !_{A_\infty} \sqsubseteq b_\infty^\top$ ; by Corollary 25, it suffices to check that  $b_\infty^\perp \circ !_{A_i} \sqsubseteq b_\infty^\top \circ a_i$  for each  $i \in \mathcal{I}$ ; fixing  $x : A_i$ , we do indeed have  $b_\infty^\perp = b_i^\perp \sqsubseteq b_i(\eta_{A_i}x) = b_\infty^\top(a_ix)$  by monotonicity of  $b_i : LA_i \rightarrow B$  on  $\perp \sqsubseteq \eta_{A_i}x$ .

Thus we have defined a map  $b_\infty : LA_\infty \rightarrow B$  such that  $b_\infty \perp = b_k \perp$  for all  $k \in \mathcal{I}$  and  $b_\infty(\eta_{A_\infty}x) = b_\infty^\top x$  for all  $x : A_\infty$ . We need to check that  $b_\infty : LA_\infty \rightarrow B$  uniquely factors  $b_\bullet : LA_\bullet \rightarrow \{B\}$  through  $LA_\bullet : LA_\bullet \rightarrow \{LA_\infty\}$ :

$$\begin{array}{ccc}
 LA_\bullet & \xrightarrow{La_\bullet} & \{LA_\infty\} \\
 & \searrow b_\bullet & \downarrow b_\infty \\
 & & \{B\}
 \end{array} \tag{38}$$

We check the factorization above using Corollary 33. In particular, it is enough to check that  $b_\infty(La_i(\perp)) = b_i \perp$  and that  $b_\infty(La_i(\eta_{LA_i}x)) = b_i(\eta_{A_i}x)$  for each  $x : A_i$ . The former holds as we have  $b_\infty(La_i(\perp)) = b_\infty \perp = b_\infty^\perp = b_i \perp$ , and the latter holds by  $b_\infty(La_i(\eta_{LA_i}x)) = b_\infty(\eta_{LA_\infty}(a_ix)) = b_\infty^\top(a_ix) = b_i(\eta_{A_i}x)$ . Finally, we check that any two factorizations  $f, g : LA_\infty \rightarrow B$  of  $b_\bullet$  through  $LA_\bullet$  are equal. But this follows by construction via Corollary 33 and the universal property of the cocone  $a_\bullet : A_\bullet \rightarrow \{A_\infty\}$ .  $\square$

**Corollary 52.** *The category of lift-algebras is closed under connected colimits, and these are created by the forgetful functor  $U : \mathbf{dcpo}^\perp \rightarrow \mathbf{dcpo}$ .*

*Proof.* By Lemmas 6, 26 and 51.  $\square$

**Lemma 53** (Linton [1969]). *The category of lift-algebras is closed under coproducts.*

*Proof.* Coproducts in  $\mathbf{dcpo}^\perp$  are computed using a reflexive coequaliser involving the coproducts from  $\mathbf{dcpo}$ . By Corollary 52, we know that  $\mathbf{dcpo}^\perp$  is closed under reflexive coequalisers and these are computed as in  $\mathbf{dcpo}$ .  $\square$

**Corollary 54.** *The category of lift-algebras is cocomplete.*

*Proof.* By Corollary 52 and Lemma 53.  $\square$

## 5 Tensorial structure of the lifting adjunction

### 5.1 Enrichment and commutativity of the lifting monad

We shall view  $\mathbf{dcpo}$  as a symmetric monoidal closed category via its cartesian product and exponential, canonically self-enriched. We first observe that  $\mathbf{dcpo}^\perp = \mathbf{dcppo} = \mathbf{ipo}$  inherits this  $\mathbf{dcpo}$ -enrichment.

**Lemma 55.** *The category  $\mathbf{dcppo}$  of pointed  $\mathbf{dcpos}$  is  $\mathbf{dcpo}$ -enriched in the sense that every hom poset  $\mathbf{dcppo}(A, B)$  is closed under suprema of directed subsets.*



*Proof.* Given pointed dcpos  $A$  and  $B$ , we must check that the supremum of a directed set of strict maps from  $A$  to  $B$ , computed in the dcpo exponential  $B^A$ , is strict. This can be seen immediately from the fact that function application is continuous in its first argument:

$$(\bigsqcup_{i:I} f_i) \perp = \bigsqcup_{i:I} f_i \perp = \bigsqcup_{i:I} \perp = \perp \quad \square$$

**Lemma 56.** *The category  $\mathbf{dcpo}$  of pointed dcpos is closed under dcpo-powers.*

*Proof.* Let  $A$  be a dcpo and let  $B$  be a pointed dcpo. The power  $A \multimap B$  of  $B$  by  $A$  has the dcpo exponential  $B^A$  as its underlying (pointed) dcpo. To check the universal property, we observe that a strict map from  $C$  to  $A \multimap B$  is the same as a map from  $C \times A$  to  $B$  that is strict in its first argument. Of course, this is the same as a Scott-continuous map from  $A$  to  $\mathbf{dcpo}(C, B)$ . Thus we have  $\mathbf{dcpo}(C, A \multimap B) \cong \mathbf{dcpo}(A, \mathbf{dcpo}(C, B))$  and so we are done.  $\square$

**Lemma 57.** *The poset-enrichment of the lifting monad  $\mathbb{L}$  on  $\mathbf{dcpo}$  extends to a dcpo-enrichment.*

*Proof.* The functorial action and monadic operations can all be internalised as Scott-continuous operations  $\square$

**Corollary 58.** *The lifting monad  $\mathbb{L}$  extends to a strong monad on  $\mathbf{dcpo}$ .*

*Proof.* Strengths for a given monad on a cartesian closed category  $\mathcal{V}$  correspond precisely to  $\mathcal{V}$ -enrichments of the monad [McDermott and Uustalu, 2022].  $\square$

**Lemma 59.** *The dcpo-enriched lifting monad  $\mathbb{L}$  is commutative.*

*Proof.* We use Kock’s criterion for commutativity of a strong monad on a closed category. Fixing a pointed dcpo  $B$  and a dcpo  $A$ , we must check that the extension map  $(-)^{\dagger} : A \multimap B \rightarrow LA \multimap B$  is *strict*. As the bottom element of any power  $I \multimap B$  is pointwise, we are trying to check that  $(\lambda x. \perp)^{\dagger} u = \perp$  for any  $u : LA$ . By Corollary 33, it suffices to observe that  $(\lambda x. \perp)^{\dagger} \perp = \perp$  and  $(\lambda x. \perp)^{\dagger} (\eta_A a) = (\lambda x. \perp)(a) = \perp$ .  $\square$

**Corollary 60.** *The lifting monad  $\mathbb{L}$  is symmetric monoidal.*

*Proof.* This is in fact equivalent to being commutative.  $\square$

**Construction 61** (Commutator). The commutator  $\kappa_{A,B} : LA \times LB \rightarrow L(A \times B)$  is given by iterated (internal) Kleisli extension; the commutativity property ensures that it doesn’t matter in which order these extensions are taken.

## 5.2 Smash products and the universal bistrict morphism

**Lemma 62.** *The following are equivalent for a morphism of dcpos  $f : A \times B \rightarrow C$  where  $A$  and  $B$  are pointed:*

1. Any of the following diagrams commute:

$$A + B \xrightarrow[\left[(1_A, \perp) \mid (\perp, 1_B)\right]]{\perp \circ !_{A+B}} A \times B \xrightarrow{f} C \quad (39)$$

$$L(A + B) \xrightarrow[\left[(1_A, \perp) \mid (\perp, 1_B)\right]^\dagger]{\perp \circ !_{L(A+B)}} A \times B \xrightarrow{f} C \quad (40)$$

2. For any  $a : A$  and  $b : B$  we have  $f(\perp, b) = f(a, \perp)$ .

*Proof.* The last condition is immediately equivalent to Diagram 39 commuting. The equivalence between Diagrams 39 and 40 is deduced from Corollary 33, noting that the parallel maps in Diagram 40 are both strict.  $\square$

**Lemma 63.** *The following are equivalent for a (not necessarily strict) morphism  $f : A \times B \rightarrow C$  of dcpos where  $A$ ,  $B$ , and  $C$  are pointed:*

1. Any of the equivalent conditions of Lemma 62.

2. Either of the following diagrams commute:

$$A + B \xrightarrow[\eta_{A \times B} \circ \left[(1_A, \perp) \mid (\perp, 1_B)\right]]{\perp \circ !_{A+B}} L(A \times B) \xrightarrow{f^\dagger} C \quad (41)$$

$$L(A + B) \xrightarrow[L\left[(1_A, \perp) \mid (\perp, 1_B)\right]]{\perp \circ !_{L(A+B)}} L(A \times B) \xrightarrow{f^\dagger} C \quad (42)$$

*Proof.* Diagram 39 commutes if and only if Diagram 41 commutes, by the unit law for  $C$  as a lifting algebra; for the same reason, Diagram 40 commutes if and only if Diagram 42 commutes.  $\square$

**Definition 64** (Bistrict morphism). Let  $A$ ,  $B$ , and  $C$  be pointed dcpos. A Scott-continuous morphism  $f : A \times B \rightarrow C$  is called **bistrict** when any of the following equivalent conditions hold:

1. The morphism  $f : A \times B \rightarrow C$  is strict and satisfies any of the equivalent conditions of Lemmas 62 and 63.
2. For any  $a : A$  and  $b : B$  we have  $f(\perp, b) = f(a, \perp) = \perp$ .

**Theorem 65** (The universal bistrict map). *For any pointed dcpos  $A$  and  $B$ , we may define a pointed  $A \otimes B$  equipped with a universal bistrict map  $\otimes_{A,B} : A \times B \rightarrow A \otimes B$ , in*

the sense that any bistrict  $f: A \times B \rightarrow C$  factors uniquely through it by a unique strict map  $\bar{f}: A \otimes B \rightarrow C$  as depicted below:

$$\begin{array}{ccc}
 A \times B & \xrightarrow{f} & C \\
 \downarrow \otimes_{A,B} & \nearrow \exists! \bar{f} & \\
 A \otimes B & & 
 \end{array}
 \quad (43)$$

Moreover, the following diagram is a coequaliser in  $\mathbf{dcpo}$ :

$$L(A + B) \xrightarrow[\left[ (1_A, \perp) \mid (\perp, 1_B) \right]^\dagger]{\perp \circ !_{L(A+B)}} A \times B \xrightarrow{\otimes_{A,B}} A \otimes B \quad (44)$$

*Proof.* We may compute desired coequaliser, as we have already shown in Corollary 54 that  $\mathbf{dcpo}^\perp = \mathbf{dcpo}$  is cocomplete. The coequaliser map  $\otimes_{A,B}: A \times B \twoheadrightarrow A \otimes B$  is bistrict by definition, as Diagram 44 is an instance of Diagram 40 from Lemma 62. The unique factorisation condition of Diagram 43 is, then, precisely the universal property of Diagram 44 as a coequaliser in  $\mathbf{dcpo}$ .  $\square$

**Corollary 66.** *The following are coequaliser diagrams in both  $\mathbf{dcpo}$  and  $\mathbf{dcpo}$ :*

$$L(A + B) \xrightarrow[\left[ (1_A, \perp) \mid (\perp, 1_B) \right]^\dagger]{\perp \circ !_{L(A+B)}} A \times B \xrightarrow{\otimes_{A,B}} A \otimes B \quad (44)$$

$$L(A + B) \xrightarrow[\left[ (1_A, \perp) \mid (\perp, 1_B) \right]^\dagger]{\perp \circ !_{L(A+B)}} L(A \times B) \xrightarrow{\otimes_{A,B}^\dagger} A \otimes B \quad (45)$$

*The following are coequaliser diagrams in  $\mathbf{dcpo}$ :*

$$A + B \xrightarrow[\left[ (1_A, \perp) \mid (\perp, 1_B) \right]^\dagger]{\perp \circ !_{A+B}} A \times B \xrightarrow{\otimes_{A,B}} A \otimes B \quad (46)$$

$$A + B \xrightarrow[\left[ (1_A, \perp) \mid (\perp, 1_B) \right]^\dagger]{\perp \circ !_{A+B}} L(A \times B) \xrightarrow{\otimes_{A,B}^\dagger} A \otimes B \quad (47)$$

*Proof.* We have seen in Corollary 52 that the forgetful functor  $U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  creates connected colimits; therefore, a coequaliser diagram  $\mathbf{dcpo}$  is equally well a coequaliser diagram in  $\mathbf{dcpo}$ . Diagram 44 is therefore a coequaliser in both categories by Theorem 65. That Diagrams 45 to 47 are all coequalisers follows from Lemma 63.  $\square$

**Lemma 67.** *Up to isomorphism, the lifting monad sends any cartesian product  $A \times B$  to the smash product  $LA \times LB$ . In particular, the commutator  $\kappa_{A,B}: LA \times LB \rightarrow L(A \times B)$  is the universal bistrict map in the sense of Theorem 65.*

*Proof.* It suffices to show that any bistrict map  $f: LA \times LB \rightarrow C$  extends uniquely along  $\kappa_{A,B}: LA \times LB \rightarrow L(A \times B)$ . We let  $\tilde{f}: L(A \times B) \rightarrow C$  be the extension of  $f \circ \eta_A \times \eta_B: A \times B \rightarrow C$ , which is automatically strict. Uniqueness of the extension is deduced using Corollary 33.  $\square$

### 5.3 Bilinear morphisms and Seal’s general theory

Although we have developed smash products and their universal property (Section 5.2) with respect to bistrict morphisms in the concrete, Seal [2013] has provided a general theory for deducing tensorial structure from commutative monads. In this section, we show that *op. cit.*’s notion of *bilinear map* coincides with our bistrict maps and, moreover, that the tensor products of *op. cit.* satisfy the same universal property as our smash product.

**Definition 68** (Bilinear morphism, Seal [2013]). Let  $A$ ,  $B$ , and  $C$  be pointed dpos. A Scott–continuous morphism  $f: A \times B \rightarrow C$  is called **bilinear** when the following diagram commutes:

$$\begin{array}{ccc} LA \times LB & \xrightarrow{\kappa_{A,B}} & L(A \times B) \\ \alpha_A \times \alpha_B \downarrow & & \downarrow f^\dagger \\ A \times B & \xrightarrow{f} & C \end{array} \quad (48)$$

**Lemma 69.** *A morphism  $f: A \times B \rightarrow C$  is bistrict if and only if it is bilinear.*

*Proof.* A bilinear map is clearly bistrict. Conversely, assume that  $f: A \times B \rightarrow C$  is bistrict. By Corollary 33, both of the embeddings  $[\perp \mid \eta_A]: \mathbf{1} + A \hookrightarrow LA$  and  $[\perp \mid \eta_B]: \mathbf{1} + B \hookrightarrow LB$  are epimorphic, and therefore so is their cartesian product. Therefore, it suffices to consider the restriction of Diagram 48 from Definition 68 along  $[\perp \mid \eta_A] \times [\perp \mid \eta_B]: (\mathbf{1} + A) \times (\mathbf{1} + B) \hookrightarrow LA \times LB$ , or, equivalently, along each of the following four embeddings:

$$(\perp, \perp): \mathbf{1} \hookrightarrow LA \times LB \quad (49)$$

$$(\eta_A, \perp): A \hookrightarrow LA \times LB \quad (50)$$

$$(\perp, \eta_B): B \hookrightarrow LA \times LB \quad (51)$$

$$(\eta_A, \eta_B): A \times B \hookrightarrow LA \times LB \quad (52)$$

From this reduction, it is easily seen that bistrictness implies bilinearity.  $\square$

Now we recall Seal’s construction of the tensor product.

**Definition 70** (Seal [2013, §2.2]). The **tensor product**  $A \boxtimes B$  of two pointed dcpos  $A$  and  $B$  is given by the following coequalizer in  $\mathbf{dcpo}$ , which exists by virtue of Corollaries 49 and 54:

$$L(LA \times LB) \xrightarrow[\quad L(\alpha_A \times \alpha_B) \quad]{\quad \kappa_{A,B}^\dagger \quad} L(A \times B) \dashrightarrow^{q_{A,B}} A \boxtimes B \quad (53)$$

Seal [2013] proves a universal property for the tensor product with respect to bilinear morphisms.

**Theorem 71** (Seal [2013]). *The tensor product  $A \boxtimes B$  represents bilinear maps in the sense that for any bilinear morphism  $f: A \times B \rightarrow C$  there exists a unique linear morphism  $\bar{f}: A \boxtimes B \rightarrow C$  making the following triangle:*

$$\begin{array}{ccc} L(A \times B) & \xrightarrow{f^\dagger} & C \\ \downarrow q_{A,B} & \nearrow \exists! \bar{f} & \\ A \boxtimes B & & \end{array} \quad (54)$$

Moreover, let  $\boxtimes_{A,B}: A \times B \rightarrow A \boxtimes B$  be the composite  $A \times B \xrightarrow{\eta_{A \times B}} L(A \times B) \xrightarrow{q_{A,B}} A \boxtimes B$ . Then for any linear morphism  $h: A \boxtimes B \rightarrow C$ , the restriction  $h \circ \boxtimes_{A,B}: A \times B \rightarrow C$  is bilinear and induces  $h$  in the sense that  $h \circ \boxtimes_{A,B} = h$ .

*Proof.* This follows from Seal [2013, Lemma 2.3.3] via Corollary 58.  $\square$

In order to show that Seal's tensor product satisfies the same universal property as our smash product, we must deduce a slight reformulation of Theorem 71.

**Lemma 72** (Universal bilinear map). *The composite  $\boxtimes_{A,B} = q_{A,B} \circ \eta_{A \times B}: A \times B \rightarrow A \boxtimes B$  is the **universal bilinear map** in the sense that any bilinear map  $f: A \times B \rightarrow C$  factors uniquely through it in  $\mathbf{dcpo}$  as depicted below:*

$$\begin{array}{ccc} A \times B & \xrightarrow{f} & C \\ \downarrow \boxtimes_{A,B} & \nearrow \exists! \bar{f} & \\ A \boxtimes B & & \end{array} \quad (55)$$

*Proof.* First of all,  $\boxtimes_{A,B}: A \times B \rightarrow A \boxtimes B$  is indeed bilinear by the second part of Theorem 71. That any bilinear map  $f: A \times B \rightarrow C$  factors uniquely through it follows from the first part of Theorem 71 via Corollary 33. Indeed, we first let  $\bar{f}: A \boxtimes B \rightarrow C$

be the map determined by Theorem 71 as follows:

$$\begin{array}{ccc}
 L(A \times B) & \xrightarrow{f^\dagger} & C \\
 \downarrow q_{A,B} & \nearrow \bar{f} & \\
 A \boxtimes B & & 
 \end{array}
 \quad (56)$$

By Corollary 33, the diagram above commutes if and only if its restrictions along  $\perp: \mathbf{1} \rightarrow L(A \times B)$  and  $\eta_{A \times B}: A \times B \rightarrow L(A \times B)$  commute. The former is automatic because all maps in sight are strict; the latter is precisely the property of  $\bar{f}$  extending  $f$  along  $\boxtimes_{A,B}$ .  $\square$

**Corollary 73.** *There exists a unique bilinear / bistrict isomorphism  $A \boxtimes B \rightarrow A \otimes B$  from Seal's tensor product to our smash product factoring the universal bistrict map through the universal bilinear map, and vice versa:*

$$\begin{array}{ccc}
 A \times B & \xrightarrow{\otimes_{A,B}} & A \otimes B \\
 \downarrow \boxtimes_{A,B} & \nearrow \oplus_{A,B} & \\
 A \boxtimes B & & 
 \end{array}
 \quad
 \begin{array}{ccc}
 A \times B & \xrightarrow{\boxtimes_{A,B}} & A \boxtimes B \\
 \downarrow \otimes_{A,B} & \nearrow \boxtimes_{A,B} & \\
 A \otimes B & & 
 \end{array}
 \quad (57)$$

*Proof.* This is an immediate consequence of the fact that bilinear and bistrict map coincide (Lemma 69).  $\square$

## 5.4 Symmetric monoidal structure of the smash product

The smash product of pointed dcpos from Section 5.2 extends to a full symmetric monoidal structure on  $\text{dcpo}^{\mathbb{L}} = \text{dcpo} = \text{ipo}$  with identity  $I = L\mathbf{1}$ ; this result can be taken off the shelf from Seal [2013, Theorem 2.5.5], in combination with our own result  $A \otimes B = A \boxtimes B$  from Corollary 73.

## 5.5 Symmetric monoidal structure of the lifting adjunction

Seal [2013] shows that under assumptions that we have established in this paper for the lifting monad  $\mathbb{L}$  and its category of algebras  $\text{dcpo}^{\mathbb{L}} = \text{dcpo} = \text{ipo}$ , the Eilenberg–Moore adjunction  $L \dashv U: \text{dcpo} \rightarrow \text{dcpo}^{\mathbb{L}}$  is *monoidal*: the left adjoint is *strong monoidal* (cf. our own Lemma 67) and the right adjoint is *lax monoidal*.

In this section, we extend the result of *op. cit.* in our specific case to show that  $L \dashv U: \text{dcpo} \rightarrow \text{dcpo}^{\mathbb{L}}$  is *symmetric monoidal*. We first recall the braiding  $\beta_{A,B}^{\otimes}: A \otimes B \rightarrow B \otimes A$  of the smash product in  $\text{dcpo}$  in terms of the braiding of the Cartesian

product on  $\mathbf{dcpo}$ :

$$\begin{array}{ccccc}
 A \times B & \xrightarrow{\beta_{A,B}^\times} & B \times A & \xrightarrow{\otimes_{B,A}} & B \otimes A \\
 \downarrow \otimes_{A,B} & & & \nearrow \exists! \beta_{A,B}^\otimes & \\
 A \otimes B & & & & 
 \end{array} \tag{58}$$

**Lemma 74.** *The functor  $L: \mathbf{dcpo} \rightarrow \mathbf{dcppo}$  is symmetric monoidal in the sense that the following diagram commutes in  $\mathbf{dcppo}$  for  $\mathbf{dcpos}$   $A, B, C$ :*

$$\begin{array}{ccc}
 LA \otimes LB & \xrightarrow{\beta_{A,B}^\otimes} & LB \otimes LA \\
 \downarrow \bar{\kappa}_{A,B} & & \downarrow \bar{\kappa}_{B,A} \\
 L(A \times B) & \xrightarrow{L(\beta_{A,B}^\times)} & L(B \times A)
 \end{array} \tag{59}$$

*Proof.* To check that Diagram 59 commutes, it suffices to consider its restriction along the universal bistrict map  $\otimes_{LA, LB}: LA \times LB \rightarrow LA \otimes LB$ . Therefore, to check that the lower inner square commutes in Diagram 60 below, it suffices to check that the outer square commutes in the sense that  $L(\beta_{A,B}^\times) \circ \kappa_{A,B} = \kappa_{B,A} \circ \beta_{LA, LB}^\times$ :

$$\begin{array}{ccc}
 LA \times LB & \xrightarrow{\beta_{LA, LB}^\times} & LB \times LA \\
 \downarrow \otimes_{LA, LB} & & \downarrow \otimes_{LB, LA} \\
 LA \otimes LB & \xrightarrow{\beta_{A,B}^\otimes} & LB \otimes LA \\
 \downarrow \bar{\kappa}_{A,B} & ? & \downarrow \bar{\kappa}_{B,A} \\
 L(A \times B) & \xrightarrow{L(\beta_{A,B}^\times)} & L(B \times A)
 \end{array} \tag{60}$$

By Corollary 33 and the fact that all maps in sight are strict, it suffices to consider just three cases:

$$\begin{aligned}
 L(\beta_{A,B}^\times)(\kappa_{A,B}(\eta_A^x, \perp)) &= L(\beta_{A,B}^\times)\perp \\
 &= \perp \\
 &= \kappa_{B,A}(\perp, \eta_A^x)
 \end{aligned}$$

$$\begin{aligned}
&= \kappa_{B,A}(\beta_{LA,LB}^\times(\eta_A x, \perp)) \\
L(\beta_{A,B}^\times)(\kappa_{A,B}(\perp, \eta_B y)) &= L(\beta_{A,B}^\times)\perp \\
&= \perp \\
&= \kappa_{B,A}(\eta_B y, \perp) \\
&= \kappa_{B,A}(\beta_{LA,LB}^\times(\perp, \eta_B y)) \\
L(\beta_{A,B}^\times)(\kappa_{A,B}(\eta_A x, \eta_B y)) &= L(\beta_{A,B}^\times)(\eta_{A \times B}(x, y)) \\
&= \eta_{B \times A}(\beta_{A,B}^\times(x, y)) \\
&= \eta_{B \times A}(y, x) \\
&= \kappa_{B,A}(\eta_B y, \eta_A x) \\
&= \kappa_{B,A}(\beta_{LA,LB}^\times(\eta_A x, \eta_B y)) \quad \square
\end{aligned}$$

**Lemma 75.** *The forgetful functor  $U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is symmetric monoidal in the sense that the following diagram commutes in  $\mathbf{dcpo}$  for pointed dcpos  $A, B, C$ :*

$$\begin{array}{ccc}
A \times B & \xrightarrow{\beta_{A,B}^\times} & B \times A \\
\otimes_{A,B} \downarrow & & \downarrow \otimes_{B,A} \\
A \otimes B & \xrightarrow{\beta_{A,B}^\otimes} & B \otimes A
\end{array} \quad (61)$$

*Proof.* That Diagram 61 commutes is in fact the *defining* property of the braiding  $\beta_{A,B}^\otimes$  as constructed in Diagram 58.  $\square$

**Corollary 76.** *The adjunction  $L \dashv U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is symmetric monoidal in the sense that  $L: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is strong symmetric monoidal and  $U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is lax symmetric monoidal.*

*Proof.* By Lemmas 74 and 75 via Seal [2013, Remark 2.7.3].  $\square$

## 5.6 Closed structure of the lifting adjunction

Kock [1971] has provided a method to lift the closed structure of  $\mathbf{dcpo}$  to  $\mathbf{dcpo}^{\mathbb{L}}$  by means of an equaliser of dcpos. Of course, the forgetful functor  $U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is monadic (Corollary 49) and so creates limits; therefore we can slightly reformulate the construction of *op. cit.* by computing an equaliser of pointed dcpos directly.

**Definition 77.** Let  $A$  and  $B$  be pointed dcpos. We define the *linear function space*  $A \multimap B$  to be the following equaliser in  $\mathbf{dcpo}$ , where  $\sigma_{A,B}: B^A \rightarrow B^{LA}$  is the internal



extension map induced by the strength of  $L$  and the algebra structure of  $B$ :

$$A \multimap B \multimap \dashrightarrow B^A \xrightarrow[\sigma_{A,B}]{B^{\alpha_A}} B^{LA} \quad (62)$$

The results of Kock [1971] then imply that the adjunction  $L \dashv U: \mathbf{dcpo} \rightarrow \mathbf{dcpo}$  is closed with respect to the linear function space.

**Definition 78.** Let  $A$  and  $B$  be pointed dcpos. We define the *strict function space*  $A \Rightarrow_{\perp} B$  to be the following equaliser in  $\mathbf{dcpo}$ :

$$A \Rightarrow_{\perp} B \multimap \dashrightarrow B^A \xrightarrow[\perp \circ !_B]{B^{\perp}} B \quad (63)$$

**Lemma 79.** *The strict and linear function spaces coincide.*

*Proof.* We will show that for any strict map  $f: C \rightarrow B^A$ , we have  $B^{\perp} \circ f = \perp \circ !_B \circ f$  if and only if  $B^{\alpha_A} \circ f = \sigma_{A,B} \circ f$ . Fixing  $x: C$ , we must check that  $f x \perp = \perp$  if and only if  $f x \circ \alpha_A = \sigma_{A,B} \circ f x$ . These are equivalent by Corollary 33 and the unit laws for algebras.  $\square$

By virtue of Lemma 79, we will freely write  $A \multimap B$  for both the linear and strict function spaces.

**Lemma 80.** *For any pointed dcpo  $A$ , we have an adjunction  $- \otimes A \dashv A \multimap -$  on  $\mathbf{dcpo}$ .*

*Proof.* Fix  $\bar{f}: C \otimes A \rightarrow B$  for some bistrict  $f: C \times A \rightarrow B$ . By definition, the mate  $f^{\sharp}: C \rightarrow B^A$  in  $- \times A \dashv (-)^A$  is strict and moreover satisfies the defining property of Diagram 63, so we may factor  $f^{\sharp}: C \rightarrow B^A$  through  $A \multimap B \multimap B^A$  by some unique strict map  $\bar{f}^{\sharp}: C \rightarrow A \multimap B$ . It can be seen that this assignment is naturally bijective.  $\square$

## Acknowledgments

I am thankful to Martín Escardó, Marcelo Fiore, Jean Goubault-Larrecq, Daniel Gratzer, Ohad Kammar, and Tom de Jong for helpful discussions and consultations. I am grateful to Ralf Hinze and Dan Marsden for sharing their method for typesetting string diagrams. I especially owe the anonymous referee a debt of gratitude for their careful reading of the paper and many constructive criticisms and suggestions.

This work was funded in part by the European Union under the Marie Skłodowska-Curie Actions Postdoctoral Fellowship project *TypeSynth: synthetic methods in program verification*, and in part by the United States Air Force Office of Scientific Research

under grant FA9550-23-1-0728 (*New Spaces for Denotational Semantics*; Dr. Tristan Nguyen, Program Manager). Views and opinions expressed are however those of the authors only and do not necessarily reflect those of the European Union, the European Commission, nor AFOSR. Neither the European Union nor the granting authority can be held responsible for them.

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