# Improving Dialogue Agents by Decomposing One Global Explicit Annotation with Local Implicit Multimodal Feedback

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## Abstract

We describe an approach for aligning an LLM-based dialogue agent based on global (i.e., dialogue-level) rewards, while also taking into account naturally-occurring multimodal signals. At a high level, our approach (dubbed GELI) learns a local, turn-level reward model by decomposing the human-provided Global Explicit (GE) session-level reward, using Local Implicit (LI) multimodal reward signals to crossmodally shape the reward decomposition step. This decomposed reward model is then used as part of the standard RLHF pipeline improve an LLM-based dialog agent. We run quantitative and qualitative human studies to evaluate the performance of our GELI approach, and find that it shows consistent improvements across various conversational metrics compared to baseline methods.

# 1 Introduction

Developing social dialogue agents that can interact and collaborate with humans over a long horizon remains a longstanding goal of artificial intelligence. Large language models (LLM) pretrained at scale on the next-word prediction objective and then subsequently aligned to human preference via RLHF (Reinforcement with Human Feedback) represent a significant step in this direction (Ouyang et al., 2022), even leading to successful commercial applications.

However, existing methods for alignment usually assume that preference labels are annotated at the *turn*-level (i.e., after each utterance). This makes it difficult to extend this framework to cases where human preference labels are only available at the *session*-level, i.e., after an entire dialogue session (which could span 30 minutes or more). Insofar as we are interested in developing dialogue agents that can continually learn from session-level dialogue data "in the wild" (e.g., through in-person conversations), there is a need to develop techniques that can (1) align agents based on *global* rewards at the session level and (2) take into account extralinguistic *multimodal* signals that are pervasive in naturally-occurring conversations.

Concretely, a session-level score obtained postconversation is a form of *global explicit feedback*, which provides a holistic assessment of a conversation session. Such feedback can be obtained naturally at scale by, for example, asking participants to rate how they felt about the dialog session. However, it is not possible to use such data directly as part of an RLHF pipeline, since current methods generally require local, turn-level signals for aligning an LLM-based dialogue agent to human preferences.

Moreover, in real world settings and domains, agents are deployed in multisensory environments (Benford et al., 1997) where they have access to rich multimodal signals (e.g., facial expressions during a video conversation). An ideal agent should leverage these signals as proxy rewards to improve its behavior. In dialogue, previous work attribute many multimodal cues such as body mimicry, vocal accommodation, and emotion, as implicit measures of conversation quality (Louwerse et al., 2012). Hence, we can utilize multimodal signals as a form of local implicit feedback, which presents an opportunity to utilize multimodal local implicit feedback as signals to crossmodally guide the decomposition of the single global explicit (GE) post-interaction score.

In this paper, we describe a joint framework called **GELI**, which integrates global explicit (GE) and local implicit (LI) feedback. GELI makes it possible to align an LLM-based dialogue agent based on global rewards, while simultaneously taking into account naturally-occurring multimodal signals. Our formulation brings together the idea of training a reward model which decomposes a single global explicit annotation score that is shaped by local implicit multimodal signals, which is subsequently used to align an LLM-based dialogue agent via RLHF. Specifically, we use GELI to learn a reward function based on the overall affect of the user (i.e., how positive the user felt at the end of the conversation) from a large-scale long-horizon multimodal dialogue dataset (Reece et al., 2023). Our local implicit multimodal signal comes from an affect classifer based on facial expression. We find that the reward function learned via GELI can be used train a dialogue agent that has improved ability across various metrics of conversational quality including sensibleness, reusability, and specificity (Lee et al., 2022).

# 2 Related Works

Reward Design The design of the reward function can drastically change the performance of RL agents. Paradigms such as reward shaping have shown to be effective at enabling the RL agent to converge quickly and improve performance (Mataric, 1994; Ng et al., 1999a; Devlin et al., 2011; Wu and Tian, 2016; Song et al., 2019). In addition, inverse RL (Ng et al., 2000; Fu et al., 2017) has shown to be useful at extracting rewards from human expert trajectories. Furthermore, intrinsic reward functions (Sorg et al., 2010; Zheng et al., 2018, 2020; Guo et al., 2018; Gangwani et al., 2018), a class of methods which uses the agent's own learning progress, have shown to be useful at guiding the agent's behavior by fostering self-improvement and adaptive exploration.

Temporal Credit Assignment Temporal Credit Assignment (TCA) is a concept within the field of reinforcement learning and artificial intelligence that addresses the challenge of attributing credit to actions over time. It involves determining the extent to which past actions contributed to the current outcome, allowing an intelligent agent to understand the consequences of its decisions. One way to apply TCA to reinforcement learning is by manipulating the  $\lambda$ -discount factor and investigating how this affects policy learning (Petrik and Scherrer, 2008; Jiang et al., 2015). Recently, a line of works have been proposed to treat TCA as a return decomposition. RUDDER (Arjona-Medina et al., 2019) assigns step-wise credit by the predictive difference between two consecutive states. IRCR (Gangwani et al., 2020) is an instantiation of uniform reward redistribution. Randomized return decomposition (RRD) (Ren et al., 2021) formulate a surrogate problem through Monte-Carlo sampling

estimating step-wise rewards via least-squares estimation.

Aligning Language Models To Human Preferences Incorporating human preference feedback into a reward model, and subsequently optimizing a language model to output text that reward model scores highly with an RL algorithm, has been shown to result in language models that generate outputs humans generally prefer (Ouyang et al., 2022). This process has been applied to summarization (Ziegler et al., 2019; Stiennon et al., 2020; Wu et al., 2021), answering questions with long-form answers using text retrieved from the web (Nakano et al., 2021; Menick et al., 2022), generating engaging responses in a dialogue settings (Thoppilan et al., 2022; Cohen et al., 2022) and following human instructions (Kojima et al., 2021; Suhr and Artzi, 2022; Kim et al., 2023b). However, these methods generally require collecting fine-grained annotations for each generated response to train the reward function, which is difficult to obtain at scale for long-horizon dialogue.

Utilizing Implicit Signals for Dialogue Agents Many previous work utilize local implicit signals found only in the text, such as existence of next human turn, next human turn length, mean conversation length, sentiment and reaction in the next human utterance, retry rate, retention rate, or user rating (Pang et al., 2023; Irvine et al., 2023). In contrary, ours is the first (1) to additionally utilize multimodal signals, and (2) use global signals in conjunction with the local implicit signals, which has been a crucial finding that contributed significantly to the performance boost in the human evaluation.

# 3 Background

Language Models As Conversational Agents. We are interested in generating conversational responses with an autoregressive language model in a multi-sensory setting. We treat a conversational language model as an agent with a policy  $\pi_{\phi}$  (Liu et al., 2018; Liang et al., 2020; Wen et al., 2016; Thoppilan et al., 2022), which is parameterized by  $\phi$ . The utterance generated at turn *t*, given access to the textual dialogue history  $s_t$  is defined to be the action  $a_t$ . To be more specific, the dialogue until turn t-1 is defined as  $s_1, a_1..., s_{t_2}, a_{t-2}, s_{t-1} = s_{[:t-1]}$ , for brevity we will call this  $s_{[:t-1]} = s_t$ . Therefore, the auto-regressive LLM policy,  $\pi_{\phi}(s_t)$ , takes in as



Figure 1: Overview of our proposed method: GELI. Left: The reward function training involves decomposing a single global explicit (GE) feedback, with the guidance of multimodal local implicit (LI) feedback, such as visual facial affect. Right: We utilize the decomposed reward function to update the language model, where the language model generates utterances and the reward function assigns a score to be optimized via PPO (Schulman et al., 2017).

input  $s_t$  and outputs a distribution over  $a_t$ .

**Reinforcement Learning with Human Feedback** (**RLHF**). RLHF is commonly used to adapt an agent  $\pi_{\phi}$  to be aligned to human feedback. Given a reward function which can gauge the quality of individual generated utterances, we can perform adaptation via reinforcement learning with human feedback (RLHF) (Ouyang et al., 2022; Jaques et al., 2020; Stiennon et al., 2020). Specifically, for turn *t*, our reward function  $r_{\theta}(s_t, a_t)$  parameterized by  $\theta$  takes in as input the context utterance  $s_t$  and the generated response  $a_t$  to predict the reward at the utterance level. It is also typical to use a KL term to penalize RL policy from diverging from the pretrained model, resulting in the following objective,

$$\max_{t} \mathbb{E}[r_{\theta}(s_{t}, a_{t})] - \gamma D_{KL}(\pi_{\phi}(\cdot|s_{t})||\pi_{\eta}(\cdot|s_{t})), \quad (1)$$

where  $\pi_n$  is a reference model.

# 4 Methods: GELI

The reward function  $r_{\theta}$  in standard adaptation techniques relies on intermediate fine-grained annotations, requiring manual human annotations at each generated utterance. However, in many longterm dialogue settings there is only a single global explicit (GE) annotated reward for each session. Given a trajectory of the multi-turn dialogue  $\tau$ , the global explicit reward  $R_{GE}(\tau)$  is a scalar reward at the end of the interaction, such as how positively the user felt about the conversation. This GE reward can be decomposed via sum decomposition (more details in Sec. 4.1) with the GE loss function  $\mathcal{L}_{GE}$ . A core novelty of our proposed GELI approach is that the decomposition of the GE reward will be guided by some Local Implicit (LI) feedback. Concretely, in many dialog applications/datasets of interest there are rich multimodal signals, which is can provide intermediate signals that are useful for the decomposition of the single global explicit reward. We thus perform crossmodal distillation of the signals from such multimodal signals into the individually decomposed text-only reward function via the LI loss function  $\mathcal{L}_{LI}$  (more details in Sec. 4.2).

In practice, our reward function  $r_{\theta}$  is optimized with a joint objective which enables the (1) redistribution of the global explicit (GE) reward and (2) inclusion of local implicit (LI) reward signals as a reward shaping function.

$$\mathcal{L}_{\text{GELI}} = \lambda \mathcal{L}_{\text{GE}}(\theta) + (1 - \lambda) \mathcal{L}_{\text{LI}}(\theta) \qquad (2)$$

In the following sections, we share more details about the global explicit decomposition and local implicit crossmodal reward shaping.

# 4.1 GE: Decomposing One Global Explicit Annotation

Global explicit reward is a human annotation at the end of the interaction, which can come in the form of a post-interaction score. Let  $\tau$  denote the trajectory of the episode, i.e.  $\tau = \langle s_0, a_0, s_1, a_1 \cdots, s_T, a_T \rangle$ . This reward represents the overall reward of trajectory  $\tau$ ,  $R_{\text{GE}}(\tau)$ . The agent in this episodic reinforcement learning paradigm must maximize the expected global explicit reward at the end of the conversation. One



Figure 2: Example of GELI reward score predictions for an unseen conversation from the dataset. Top left: Reward scores unrolled over an unseen conversation, where the mean is subtracted. We examine a random sampled snippet, where we find that our decomposed reward function assigns higher values to meaningful utterances.

way to approximate the global explicit reward  $R_{\text{GE}}(\tau)$  is by sum decomposition via considering the sum of  $r_{\theta}(s_t, a_t)$ , across all the previous states  $s_t$  and newly generated  $a_t$ :

$$R_{\rm GE}(\tau) \approx \sum_{t=0}^{T-1} r_{\theta}\left(s_t, a_t\right) \tag{3}$$

Then, this idea of sum-based return decomposition (RD), can be implemented via a least-squaresbased approach, where the reward distribution is given by a learnt reward function, decomposing the episodic reward  $R_{\rm GE}(\tau)$  in an additive way (Arjona-Medina et al., 2019).

$$\mathcal{L}_{\text{GE}}(\theta) = \mathop{\mathbb{E}}_{\tau \sim \mathcal{D}} \left[ \left( R_{\text{GE}}(\tau) - \sum_{t=0}^{T-1} r_{\theta}(s_t, a_t) \right)^2 \right] \quad (4)$$

**Application to Conversational LLMs:** To alleviate the computation costs arising from the long horizon nature of conversations and language modeling costs, we employ an alternative of the least-squares-based return decomposition method, by utilizing Randomized Return Decomposition (RRD; Ren et al., 2021). RRD improves the scalability of least-squares-based reward redistribution methods by using a Monte-Carlo estimator to compute the predicted episodic return. We refer the readers to Appendix A for more details on RRD.

# 4.2 LI: Crossmodal Reward Shaping with Local Implicit Multimodal Signals

The reward decomposition offers a way to redistribute the rewards from a single reward in an application-agnostic way. However, in natural dialogue there are rich extralinguistic signals (e.g., facial expressions, prosody) that provide an indication of how the conversation is being received. We thus propose to guide the decomposition such that it is shaped by local implicit (LI) multimodal signals. This is essentially using such signals as a form of reward shaping, which is valuable if they are known to be aligned with the final objective (Ng et al., 1999b).

In our multi-sensory setting, we have access to the multimodal signals *in response* to the agent's actions  $a_t$ , which contains implicit signals that are correlated with the final reward. We will call this multimodal state  $s_{a_t}^{mm}$ . If we have access such multimodal signals, we can design a reward function  $\Gamma$  which utilizes the multimodal signal  $s_{a_t}^{mm}$  to determine a proxy reward. Then, we can formulate this problem set up as a form of crossmodal knowledge distillation (KD) (Xue et al., 2022; Thoker and Gall, 2019) for reward shaping. Therefore, we can express the local implicit reward  $r_{LI}$  with a proxy label from a multimodal input.

$$r_{\rm LI}(s_{a_t}^{mm}) = \Gamma(s_{a_t}^{mm}) \tag{5}$$

 $\Gamma$  indicates a designed score function from domain knowledge which captures the relationship the GE reward and the multimodal local implicit signals. Therefore, a general formulation of the loss function to induce the crossmodal knowledge distillation of local implicit multimodal feedback signals to the reward function  $r_{\theta}$  which only has access to textual dialogue states and actions  $(s_t, a_t)$ , we have the following:

$$\mathcal{L}_{\mathrm{LI}}(\theta) = \mathbb{E}_{s_t, a_t, s_{a_t}^{mm} \sim D} \left[ \left( r_{\mathrm{LI}}(s_{a_t}^{mm}) - r_{\theta}\left(s_t, a_t\right) \right)^2 \right] \quad (6)$$

Application to Conversational LLMs: Our GE reward indicates how positively the conversation made the other participant feel. It is known from previous work (Ruusuvuori, 2012), that the facial affect of the listener is related to how the conversation is being perceived and the implicit conversation quality. Thus, we design the shaped reward  $r_{LI}(s_{a_t}^{mm})$  to capture this intuition. Therefore, we utilize the implicit visual feedback from a facial affect classifier as a way to encourage a decomposition informed by visual affective signals. Given a facial affect classifier f and access to multimodal states  $s_{a_t}^{mm}$  (in this case vision), which outputs the affect of the listener, we implement an indicator function where we assign a score of 1 if the facial affect of the listener is positive and 0 otherwise.

$$\Gamma(s_{a_t}^{mm}) = \begin{cases} 1, & f(s_{a_t}^{mm}) = positive \ affect \\ 0, & \text{otherwise} \end{cases}$$
(7)

Note, that this is one of many ways to design the score function  $\Gamma$ , The design of the score function  $\Gamma$ , to capture the relationship between local multimodal signals and the single global explicit reward leaves exciting research opportunities.

# **5** Experiments

In this section, we describe our experiments to evaluate our proposed GELI framework which performs reward function training with global explicit reward decomposition and local implicit visual feedback. All experiments are performed by (1) first, training a reward function (e.g. using GELI or one of its ablation variant only GE or only LI) (2) and use the trained reward functions in a reinforcement learning setup with PPO (Schulman et al., 2017) to adapt the language model in generating better conversational responses. Due to computational resources, the training of reward functions and adaptations are performed over a single run.

# 5.1 Dataset

Our experiments are based on the CANDOR (Reece et al., 2023) dataset, due to its long-term nature (length of conversations 31.3 mins on average), large-size (1656 conversations, 7+ million

word, 850-hours). The CANDOR dataset also includes video data, which is often found in other face-to-face conversation datasets. CANDOR is used to train our reward function and to sample dialogue histories for the generations. We construct separate held-out sets for the reward function training ( $\sim$ 30,000 dialogue history-utterance pairs) and updating the language model ( $\sim$ 100,000 history-utterance pairs). We optimize for the "overall-affect" global explicit score from the postinteraction survey, which given by the answer to the following question: "Overall during your conversation, to what extent did you feel positive feelings (e.g., good, pleasant, happy) or negative feelings (e.g., bad, unpleasant, unhappy)?"

# 5.2 Baseline Models

We compare GELI with multiple state-of-the art reward decomposition methods which could decompose the single global explicit (GE) reward. For fair comparison, we also compare the performance of the reward decomposition when we only use the local implicit (LI) multimodal rewards.

For all the methods mentioned below, we finetune additional linear layers on top of a small BART (Lewis et al., 2019) language model, which was previously finetuned for conversational summary.<sup>1</sup> This also demonstrates that smaller language models may be powerful enough to discern patterns for desirable adaptations.

**GE: (RRD) Randomized return decomposition** (Ren et al., 2021) is aimed at learning a proxy reward function for episodic reinforcement learning. It formulates the decomposition as a surrogate problem through Monte-Carlo sampling, enabling the extension of least-squares-based reward redistribution to address long-horizon problems.

**GE:** (IRCR) Iterative Relative Credit Refinement (Gangwani et al., 2020) is an instantiation of uniform reward redistribution. The nonparametric reward redistribution mechanism employed by IRCR involves setting the proxy reward for a transition as the normalized value of the associated trajectory return.

**GE:** (**RUDDER**) **Return Decomposition for Delayed Rewards** (Arjona-Medina et al., 2019) employs a return predictor trained on trajectories, and step-wise credit assignment is determined by the predictive difference between two consecutive

Ihttps://huggingface.co/kabita-choudha
ry/finetuned-bart-for-conversation-summa
ry

states. Through the utilization of the LSTM warmup technique, this transformation ensures that its training computation costs are not contingent on the task horizon T, enabling adaptability to longhorizon tasks.

LI: Visual Affect (VA): As a form of implicit feedback, we use facial affect present in visual signals as described in section 4.2. The facial affect classifier is a CNN-based image-based emotion detection model trained on AffectNet (Mollahosseini et al., 2017). The predictions are captured in 2 second sliding windows.

**LI: Language Sentiment (LS)**: We also utilize the utterance of the speaker to check whether if we could utilize the sentiment of this utterance as a form of implicit feedback. We utilize a mDeBERTa (He et al., 2020) pretrained sentiment classifier.<sup>2</sup>

**Evaluation:** For the trained reward functions, we compute the  $L_{GE}(\theta)$ , which is the MSE between  $R_{GE}$  and the sum of all predicted rewards  $r_{\theta}(s_t, a_t)$  as described in Eq. 4. We also calculate the difference of the expected predicted returns of  $\Delta \hat{r}_{LI}$  conditioned on the local implicit multimodal reward:  $\Gamma(s_t^{mm})$ . With our choice of the score function as described in Eq. 7, this can be written as:

$$\Delta \hat{r}_{LI} = \mathbb{E} \left[ r_{\theta}(s_t, a_t) | f(s_{a_t}^{mm}) = \text{positive affect} \right] - \mathbb{E} \left[ r_{\theta}(s_t, a_t) | f(s_{a_t}^{mm}) \neq \text{positive affect} \right]$$
(8)

Intuitively, this can be seen as the difference in the predicted reward scores of the text-only utterance conditioned on the visual facial expression which we are using as local implicit feedback rewards (e.g. the difference of the reward score of the utterance when the User responds with a positive affect vs. a negative affect). Given our choice of the score function  $\Gamma$ , given Eq. 7,  $\Delta \hat{r}_{LI}$  should be greater than 0, if assume that a positive visual affect indicates that the associated utterance is contributing positively to  $R_{GE}$ , i.e. how the utterance is being received by the listener.

# 5.3 Updating Language Models with Reinforcement Learning

We use LLAMA-2 (Touvron et al., 2023) as the base model and with a default prompt shown in Fig. 3. We adapt the LLAMA-2 model with reinforcement learning with human feedback by utilizing the above-mentioned reward functions which

Feedback Type	Baselines	$L_{GE}\downarrow$	$\Delta \hat{r}_{LI} > 0$
	Human	N/A	$0.087 \pm 0.05$
	Mean	245.495	0.000
	Mode	289.473	0.000
GE	IRCR	394.041	0.008
	RUDDER	285.720	0.003
	RRD (K = 32)	172.246	0.007
	RRD (K = 160)	188.382	0.008
LI	Visual Affect (VA)	1546.17	0.256
	Language Sentiment (LS)	825.31	0.010
GELI	IRCR + VA	722.687	0.392
	RUDDER + VA	623.882	0.030
	RRD + VA (Ours)	176.897	0.063

Table 1: Automatic Evaluation on Reward Function Training. Left: Results for Reward Decomposition Loss,  $L_{GE}$ . We find that RRD and RRD+VA performs the best. Right: Difference of expected predicted reward conditioned on the local implicit multimodal feedback,  $\Delta \hat{r}_{LI}$ . We find the GELI: RRD + VA achieves the best of both world with low reward decomposition scores and sufficient delta values.

has been trained to decompose the reward and perform ablations to demonstrate the effectiveness of GELI. We utilize TRL implementation of RLHF with PPO (von Werra et al., 2020). Furthermore, we utilize LoRA (Hu et al., 2021) for computational constraints. We share our detailed hyperparameters in Appendix F.

**Evaluation:** We run a human study based on the 8 metrics commonly used in literature to evaluate the quality of the generated utterances (Lee et al., 2022). We recruited a total of 300 crowd workers on Amazon Mechanical Turk. For each of the sample, including dialogue history and responses, users were asked to rate which model(s) satisfied the given criterion. At the end of the survey, annotators were asked to describe which chatbot they would talk to again.

# 6 Results and Discussion

In this section, we discuss the quantitative and qualitative results of our experiments. We first describe the results for the reward decomposition training. Then, we discuss the results of the human evaluation of generations that are trained with the decomposed reward functions via reinforcement learning.

# 6.1 Reward Function

**Reward Decomposition**  $(L_{GE})$ : We refer the readers to the rows corresponding to 'GE' on the left side of Table 1, where we display the MSE of the reward decomposition loss, as described in Eq.

<sup>&</sup>lt;sup>2</sup>https://huggingface.co/lxyuan/distil bert-base-multilingual-cased-sentiment s-student

4. We find that amongst the three return decomposition methods, RRD performs the best. We also compare the results when we use only the local implicit (LI) multimodal rewards directly as rewards and find that they perform significantly worse than that of GE decomposition methods.

# Predicted Reward Conditioned on Visual Affect

 $(\Delta \hat{r}_{LI})$ : On the right side of Table 1, we display the difference of the expected predicted reward conditioned on the local implicit multimodal feedback,  $\Delta \hat{r}_{LI}$ . In our setting, this is the difference of the predicted reward when the visual affect is positive and when the visual affect is negative.

To verify our intuition that visual feedback is correlated with actual perceived conversational quality, we ran a human study (displayed in the first row of Table 1), where we show annotators the only language dialogue history and the speaker's next utterance. They are asked to rate whether the speaker's next response would induce a positive or non-positive feeling in the listener. We average the scores of their annotations conditioned on nonpositive and positive affect samples, where we find a statistically significant difference, this indicates that the visual feedback is correlated with people's perception of the conversation quality.

We find that after the GE decomposition methods without any LI feedback training is unable to discern between positive and non-positive facial affect, as indicated by the  $\Delta \hat{r}_{LI}$  values being close to zero. The LI baseline with only the language sentiment is unsurprisingly unable to as well. On the other hand, the LI baseline with visual response is able to recognize differences in the utterances which will induce positive and negative affect.

**GELI Solution : Combining Global Explicit and Local Implicit Feedback** We refer the readers to the bottom of Table 1. The results are shown for the reward decomposition and visual feedback for the reward function trained with GELI: global explicit reward decomposition informed by local implicit multimodal feedback shaping. We find that the combination of random return decomposition (RRD) and visual affect (VA) achieves the best of both worlds.

It is important to look at both error metrics (GE and LI): the  $L_{GE}$  metric is evaluating performance globally, comparing the final predicted score of the whole conversation with the ground truth (which is a single scalar value for the entire conversa-

tion). The  $\Delta \hat{r}_{LI}$  metric evaluates the local predictions for each speaking turn, confirming whether the local predictions are aligned to the local implicit reward. It is normal that the GE-RRD baseline performs well on the first metric, since it is also optimized this way. However, as we observe in the human evaluations and the qualitative visualizations, this GE-RRD baseline ends up being very conservative in its predictions, with little variability in its local predications and often converging to the mean (variance of predicted rewards from GE:RRD is  $0.0231 \pm 0.004$ , for GE: RRD+VA is  $0.0778 \pm 0.006$ ). Hence, it is important to also look at the LI metric where we can observe that for GE:RRD in Table 2 is near 0. Our proposed GELI approach finds a successful balance between both general and local metrics. As we see in the human evaluation in Section 6.2, this GELI balance ends up improving even the widely used LLAMA2 baseline.

**Visualization of GELI Decomposed Rewards:** In Fig. 2, we display the unrolled reward from GELI from an unseen conversation sample from the dataset. We find that the GELI decomposition has learned to assign meaningful scores which indicates the contribution of each utterance to the overall quality of the conversation (i.e interesting, coherent responses are rewarded, whereas less meaningful repetitions and backchannels are assigned lower scores).

# 6.2 Human Evaluation on Adapted LLM on CANDOR (Reece et al., 2023)

We refer the reader to Table 2, where we find that the LLAMA-2 model with GELI outperforms all other approaches in most evaluation metrics and performs comparably with other baselines otherwise. Importantly, if a certain reward function properly adapts the language model via RLHF to become more conversational, it implies that the rewards contains accurate, valuable signals which a reinforcement learning algorithm such as PPO could leverage to improve the policy. For clarity, LLAMA2 + GE, refers to the trained reward function from global explicit reward decomposition only, and LLAMA2 + LI, refers to the trained reward function from local implicit rewards only. Finally, LLAMA2 + GELI refers to our proposed approach, the reward function trained with both global explicit decomposition shaped by local implicit rewards. For dialogue, we find the local im-

CANDOR (Reece et al., 2023)	Connection	Positivity	Social	Inclination	Interestingness	Reuse	Specific	Sensible	GELI Score
			(/100%) ↑						↑
Human	$16.00\pm2.83$	$16.33\pm4.03$	$19.67\pm1.89$	$17.33\pm 6.65$	$17.33\pm 6.55$	$17.33\pm3.09$	$\textbf{82.67} \pm \textbf{7.93}$	$85.33 \pm 4.5$	N/A
LLAMA2	$30.67\pm8.73$	$26.67\pm 6.65$	$25.67 \pm 8.38$	$26.00\pm5.66$	$24.33\pm7.76$	$28.0\pm5.72$	$77.33\pm6.18$	$80.33\pm5.91$	0.4929
LLAMA2 + GE: RRD	$21.33\pm 6.80$	$16.33\pm1.70$	$18.00\pm2.16$	$17.67\pm1.25$	$18.00\pm2.83$	$11.33\pm4.03$	$68.67 \pm 6.34$	$69.0 \pm 5.1$	0.5072
LLAMA2 + LI: LS (Language Sentiment)	$20.67\pm7.04$	$21.00\pm4.90$	$21.00\pm5.72$	$18.33\pm8.22$	$23.00\pm3.56$	$22.0\pm 6.98$	$82.0\pm3.74$	$89.67 \pm 4.19$	0.4852
LLAMA2 + LI: VA (Visual Affect)	$22.67\pm4.19$	$25.33 \pm 5.44$	$31.33\pm0.47$	$28.67\pm3.4$	$19.33\pm3.68$	$26.0\pm0.82$	$67.67 \pm 4.71$	$\textbf{90.0} \pm \textbf{2.16}$	0.4858
LLAMA2 + GELI: RRD+VA (Ours)	$\textbf{39.67} \pm \textbf{7.32}$	$\textbf{44.33} \pm \textbf{12.23}$	$\textbf{35.33} \pm \textbf{10.87}$	$\textbf{37.33} \pm \textbf{6.85}$	$\textbf{38.0} \pm \textbf{10.2}$	$\textbf{41.67} \pm \textbf{7.04}$	$80.33 \pm 4.5$	$80.67\pm10.5$	0.5419

SODA (Kim et al., 2023a)	Connection	Positivity	Social	Inclination	Interestingness	Reuse	Specific	Sensible
				(/100	0%)↑			
GPT-3.5 (text-davinci-002)	$40.1 \pm 7.56$	$43.05 \pm 3.4$	$48.13 \pm 9.08$	$46.05 \pm 3.44$	$49.11 \pm 7.69$	$44.03 \pm 2.01$	$78.14 \pm 9.49$	80.07 ± 7.72
LLAMA2	$66.04 \pm 4.79$	$70.0 \pm 2.51$	$71.99 \pm 6.28$	$67.0 \pm 0.46$	$55.05 \pm 8.24$	$65.99 \pm 6.3$	$89.04 \pm 2.65$	$89.99 \pm 3.81$
LLAMA2 + GE: RRD	$30.98 \pm 2.66$	$30.98 \pm 5.04$	$34.04 \pm 3.28$	$27.0 \pm 7.43$	$24.98 \pm 2.69$	$30.0 \pm 2.51$	$43.97 \pm 3.3$	$47.06 \pm 4.34$
LLAMA2 + LI: LS	$62.0 \pm 3.71$	$70.06 \pm 4.52$	$75.02 \pm 5.06$	$68.04 \pm 3.41$	$59.0 \pm 1.24$	$68.01 \pm 3.72$	$86.04 \pm 2.61$	92.99 ± 1.47
LLAMA2 + LI: VA	$55.02 \pm 1.92$	$57.1 \pm 7.21$	$63.04 \pm 4.76$	$51.99 \pm 0.67$	$43.97 \pm 3.3$	$51.04 \pm 3.08$	$76.03 \pm 2.16$	$82.0\pm2.49$
LLAMA2 + GELI: RRD + VA (Ours)	$71.01 \pm 1.27$	$73.98 \pm 1.76$	$76.98 \pm 3.01$	71.99 ± 1.65	$66.97 \pm 6.69$	$70.0\pm2.51$	$90.02 \pm 7.53$	$88.06 \pm 4.73$

Table 3: Human evaluation results on an unseen dataset, SODA (Kim et al., 2023a), during all learning steps to demonstrate generalizability across datasets and dialogue scenarios. 33 samples for 3 seeds for 8 preference metrics where mean and std. are reported. **Green** indicates best score, 7 out of 8 metrics (emotional connection, positivity, social understanding, inclination, interestingness, reuse) and comparably to the best performing model on the other 1 metrics: specific and sensible.

plicit rewards (LLAMA2+LI) perform better than that of LLAMA2+GE, where we observe up to a 10% performance boost. However, we find the improvements are often worse than that of the base LLAMA-2 model (3 out of 8 evaluation measures are worse), this leads to the conclusion that the reward signals in GE, and LI separately do not contain enough reward signals to be used as a reward model in a reinforcement learning set-up to adapt the language model to be more conversational. On the otherhand, we find that GELI, by utilizing both GE and LI, gains consistent performance boosts across most conversational evaluation metrics (6 out 8 measures are better, the remaining are comparable), which indicates the combination of both GE and LI contain valuable reward signals for the RL algorithm to utilize.

Overall, compared to base LLAMA-2, we see that there is a significant improvement in the level of emotional connection (+9%), positivity (+18%), understanding of social context (+10%), how interesting the responses are (+14%). It is especially impressive to note that there is a statistical difference in how inclined people wanted to talk to our model over others (+11%), and how much they would want to reuse our chatbot again (+14%). Interestingly, we see statistically significant results for positivity, which is the most closely related to our primary optimization objective *overall-affect*, and inclination, reuse, which indicates which chatbot the User would speak to again.

# 6.3 Generalizability of Adapted LLM on Unseen Dataset: SODA (Kim et al., 2023a)

In Fig. 3 we show generalizability of GELI-adapted LLM by running the same experiment and human evaluation from previous Section 6.2 on a new unseen dataset to show generalization. SODA (Kim et al., 2023a) is a large social dialogue dataset that was distilled from a social commonsense knowledge graph and generated via GPT 3.5. Human evaluation demonstrates that the dialogue in SODA is more consistent, natural and specific than humanauthored datasets. We use the LLAMA2+GELI model trained and CANDOR and evaluate on 100 unseen samples from SODA. We find the GELI performs even better in SODA when compared to CANDOR, performing significantly better results in 7 out of 8 conversational metrics. SODA was generated by ChatGPT, and we find that our proposed approach significantly outperforms ChatGPT by up to 30%. Hence, we can conclude that this approach is generalizable across different datasets and dialogue scenarios.

# 6.4 Qualitative Improvement

We refer the reader to Fig. 3, where we showcase a randomly sampled generation. We display

Prompt: "You are AI. You are having a casual social conversation with a User. AI is polite, kind, obedient, honest, and does not swear. You are given the dialogue history, generate the response to the User in under 100 words." <b>Dialogue History</b> AI: Yeah, it's definitely a challenge. But I think it's really good. Like one of the things in <b>ine pandemuc</b> has been um like it's gotten so much easier to access like <b>mental health care</b> because like things can um happen online now, which I think seems like a good thing, wow. User: <b>Oh yeah accessibility is uh is always is always great</b> .	<ul> <li>GELI: (Visual Affect + Random Return Decomposition)</li> <li>Yeah, um, that makes a lot of sense. I think it's it's really important to have like access to mental health care in like, in a way that's convenient for people, like, you know, especially during like a pandente or something like that. And I think it's it's really great that like you can, like, access it in a way that's safe and like, you know, it's not like it's not like you have to go to a physical office or anything like that. So, like, I think it's really great.</li> <li>Human:</li> <li>Uh huh. Yeah. Uh huh. Yeah. Some people prefer video though. Um It's interesting how different people's preferences are between phone versus rideo request that any the like it how have a detail.</li> </ul>				
AI: Yeah. Yeah. User: Although there's the whole well there's all this stuff about like zoom anxiety is this as good as face to face, but I mean <b>it's certainly</b>	mental health care are trying to offer all of them so that you know, no matter what like no matter what <u>someone's preferences</u> , they can offer it.				
AI: Uh Okay. Okay. User: So mm.	Absolutely, I, like, totally get it, um, it's, uh, really, like, super, you know, important to, like, have, like, these, uh, calls, like, for, like, totally, you know, mental heath reasons.				
Al: yean. Un I had previously worked from nome before the pandemic and had a lot of phone calls um and now everyone just wants to do the phone calls via zoom and like this doesn't need to be a like zoom call, like we can just be on the phone. There's there's not there's like not any added value here. It's really it's really interesting like just this sense now that everyone is working from home, like this idea that like all things are video calls.	LI: Visual Affect (VA): Okay that makes sense. Yeah it's definitely nice to have more flexibility with healthcare. LI: Language Sentiment (LS): Okay, yeah. Yeah, I see.				
User: Yeah I mean I i see a psychiatrist actually I've got I've got a D. AI: Yeah. Uh huh. User: D. So I need to I need to get it, I need to get my my prescription renewed and talk to a doctor and I used I used to go up to his office every two weeks and see him and now we just do it by phone and he asked if I wanted to do it in person and I was like yeah that seems unnecessary.	<b>Base LLAMA-2:</b> Yeah, yeah. Yeah, no, I completely understand. Like, it's definitely nice to have the option to do things remotely, especially during the <b>bandemin</b> . But, you know, there are definitely some downsides too. Like, as you said, sometimes it can feel less personal or less meaningful than in-person interactions. And also, like, there are some things that are just better in person, you know? Like, you can read people's body language and expressions and get a better sense of their emotions. But yeah, I think it's a trade-off.				

Figure 3: Generated utterances with colors indicating aligned conversational topics. We display our proposed approach GELI <a>> alongside human groundtruth, the best performing global explicit decomposition methods (RRD), local implicit rewards (visual affect and language sentiment). We find that GELI <a>> adapts the language model to generate more coherent, personable and empathetic conversational response.</a>

the generations from our proposed approach GELI alongside human groundtruth, the best performing global explicit (GE) decomposition methods: RRD, and local implicit rewards (LI) (visual affect and language sentiment). We find that our approach generates responses that are more aligned to the User's implicit intent, and is more coherent. Furthermore, the dialogue style is aligned to the optimization objective overall-affect, and speaks in a manner to induce a positive feeling to the User. In comparison, other methods are not proficient at recognizing the intent, being coherent, being empathetic, or too generic. Comparing LI methods with GELI, LI responses are generic, which showcases again the importance of utilizing both global explicit and local implicit feedback (GELI). We highly refer the reader to Appendix J for more examples.

# 7 Conclusion

We introduce **GELI**, which automatically decomposes a single **G**lobal **E**xplicit post-interaction score, incorporating **L**ocal **I**mplicit feedback from multimodal behaviors. The reward function trained via GELI is designed to align and improve the conversational capabilities of a language model. GELI performs global alignment of multi-turned interactions by locally rewarding parts of the interaction, shaped by multimodal local implicit feedback. Our proposed approach complements previous alignment approaches, such as RLHF, which requires fine-grained manual reward annotations. We run quantitative and qualitative human studies to evaluate the performance of our GELI approach, with results showing consistent performance boosts across conversational metrics.

# 8 Limitations

Here we discuss the limitations and risks of our work. We present a framework in which global explicit rewards, in the form of a single postinteraction survey could be used for alignment. In addition, we utilize the multimodal signals as form of local implicit shaping reward. Our approach presents one of many ways in which global explicit rewards could be decomposed, and there are many other methods which are yet to be explored. Local implicit feedback can be not only used as a reward shaping function, but in other methods as well, such as a meta-learning paradigm. Again, more methods to incorporate local implicit feedback needs to be researched. Furthermore, the interaction and relationship between the local implicit feedback and global explicit feedback is understudied. Due to

computational resources, we were only able to run a single run over experiments.

There are risks that could arise as a result of more social, dialogue agents that can interact with people in a long-term interaction. Conversational agents could be used maliciously for deception, manipulation, and the spread of misinformation. Furthermore, conversational agents which use multimodal data could enhance seriousness of these issues, as models can detect subtle cues such as microexpressions to infer and manipulate the user.

As a potential measure to mitigate such misuse, we plan to release our code and model weights under a license which prevents the use of our assets by any party that support or contribute to false impersonation or hate speech (Do No Harm, Nonviolent Public or Hippocratic License).

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# A Randomized Return Decomposition (Ren et al., 2021)

$$L_{\text{RRD}}(\theta) = \mathop{\mathbb{E}}_{\tau \sim D} \left[ \mathop{\mathbb{E}}_{I \sim \rho_T(\cdot)} \left[ \left( R_{\text{ep}}(\tau) - \frac{T}{|I|} \sum_{t \in I} \widehat{R}_{\theta}\left(s_t, a_t\right) \right)^2 \right] \right]$$
(9)

Randomized return decomposition (RRD), improves the scalability of least-squares-based reward redistribution methods by using a Monte-Carlo estimator to compute the predicted episodic return. This model is optimized via the above loss function.  $\mathcal{I}$  denotes a subset of indices.  $\rho_T(\cdot)$  denotes an unbiased sampling distribution where each index t has the same probability to be included in  $\mathcal{I}$ . In this work, without further specification,  $\rho_T(\cdot)$  is constructed by uniformly sampling K distinct indices and K is a hyper-parameter. Therefore, instead of computing  $r_{\theta}(s_t, a_t)$  for the whole agent trajectory, we are efficiently able to estimate the true reward for the trajectory via subsamples in expectation.

# **B** Human Evaluation Metrics Definitions

Here list the human evaluation metrics utilized in the study, which we draw from (Lee et al., 2022).

- Sensibleness (turn-level; binary; reversed scores for the negated question): Mark responses where the chatbot did NOT make sense.
- Specificity (turn-level; binary; reversed scores for the negated question): Mark the responses that were NOT specific to what you had said, i.e., responses that could have been used in many different situations. For example, if you say "I love tennis" then "That's nice" would be a non-specific response, but "Me too, I can't get enough of Roger Federer!" would be a specific response.
- Emotional Connection (turn-level; binary): Which responses did you feel an emotional connection to? (EmpatheticDialogues)
- Social: Which responses made you feel the chatbot understood social contexts and situations? (CommonsenseDialogues)
- Interestingness (turn-level; binary): Mark the responses that were particularly interesting or boring
- Inclination (turn-level; binary; reversed scores for the negated question): Which responses made you NOT want to talk with the chatbot again?
- Reuse (turn-level; binary): Would you want to talk to this chatbot again?
- Positivity (turn-level; binary): Which AI responses most likely made User feel positive feelings? conversation?

The human evaluation scores are conducted via a binary-level classification. For a given question, the annotators can select the models that satisfy the question. For example, for 'Positivity', the annotators are given the following question and answer choices:

Which AI responses most likely made User feel positive feelings? (A) (B) (C) (D) (E) (F)

The options A-F refer to models which are randomized in order and anonymized. The annotators can select multiple models if they satisfy the question. Therefore, Table 1 can be interpreted as the percentage of instances out of the samples (300 in our case) where each model satisfied the question.

# C PPO Objective

objective 
$$(\phi) = E_{(x,y)\sim D_{\pi_{\phi}^{\mathrm{RL}}}} \left[ r_{\theta}(x,y) - \beta \log \left( \pi_{\phi}^{\mathrm{RL}}(y \mid x) / \pi^{\mathrm{SFT}}(y \mid x) \right) \right] + \gamma E_{x\sim D_{\mathrm{pretrain}}} \left[ \log(\pi_{\phi}^{\mathrm{RL}}(x)) \right]$$
(10)

General form of PPO objective.

# **D** Artifacts & Resources

## Did you discuss the license or terms for use and/or distribution of any artifacts?

TRL (von Werra et al., 2020): Apache License 2.0

LLAMA-2 (Touvron et al., 2023): License can be found here: https://ai.meta.com/llama/license/

CANDOR (Reece et al., 2023): Terms of Use from https://betterup-data-requests.herokuapp.com/: These are the terms of use we require all users and downloaders of this dataset, including you, the applicant, to abide by. Please select the answer option "I agree to fully abide by these terms of use" if you wish to continue. Terms of Use: (1) You agree to only use this data for legitimate academic and/or scientific research, meaning no analyses, reviews, or derivative works of this dataset may be used for commercial or for-profit purposes in any way; (2) You agree not to re-publish any new versions of this dataset, whether original or derivative (i.e. modified or updated in some way), without explicit permission from BetterUp, Inc.; (3) You agree not to use any part of this dataset for the purpose of personally identifying, locating, or gathering any kind of information about individuals who appear in the recordings in this dataset, beyond the information that is provided in the dataset itself; (4) In the case that an individual shares personally-identifiable information about themselves in a recording, you agree not to use, analyze, share, or publish that information in any form.

Did you discuss if your use of existing artifact(s) was consistent with their intended use, provided that it was specified? For the artifacts you create, do you specify intended use and whether that is compatible with the original access conditions (in particular, derivatives of data accessed for research purposes should not be used outside of research contexts)?

We rigorously examined the terms of use and the intended use, and ensured that it is consistent with the intended use.

# E Data Collection & Anonymization

# Did you discuss the steps taken to check whether the data that was collected/used contains any information that names or uniquely identifies individual people or offensive content, and the steps taken to protect/anonymize it?

We utilize the CANDOR dataset and follow its terms of use by agreeing not to use the dataset personally identifying, locating, or gathering any kind of information about individuals who appear in the recordings in this dataset, beyond the information that is provided in the dataset itself. We do not use any explicit information that uniquely identifies people.

Did you provide documentation of the artifacts, e.g., coverage of domains, languages, and linguistic phenomena, demographic groups represented, etc.? Did you report the basic demographic and geographic characteristics of the annotator population that is the source of the data?

The coverage of the domains discussed in the CANDOR dataset is presented in the original paper (Reece et al., 2023), we find that the discussion topics are centered around COVID-19, family, politics. The language used is english. The demographic groups represented can also be found in the in the original paper (Reece et al., 2023), specifically in the supplementary Table S.2. We share a screenshot for reference.

Was the data collection protocol approved (or determined exempt) by an ethics review board? The data is sourced from public available dataset (Reece et al., 2023). The usage was approved by an ethics review board. The human annotations were approved by an ethics review board.

# F Training Details

# Did you report relevant statistics like the number of examples, details of train/test/dev splits, etc. for the data that you used/created?

For reward shaping with LI: we use 500 conversations as the training set and 50 conversations for the test set. For reward decomposition, we use the same 500 conversations for LI as the training set and 50 conversations for the test set. For LLM adaptation, we use a separate 600 conversations for LI as the training set.

Demographics		Sample N	Sample Percent
Age	18-25	425	29.19
	25-35	499	34.27
	35-45	286	19.64
	45-55	129	8.86
	55+	83	5.7
	Not Reported	34	2.34
Gender	Female	782	53.71
	Male	610	41.9
	Other or Prefer not to Answer	30	2.06
	Not Reported	34	2.34
Race/Ethnicity	White	920	63.19
	Asian	200	13.74
	Black or African American	117	8.04
	Hispanic or Latino	108	7.42
Demographics		Sample N	Sample Percen
	Mixed	53	3.64
	Other	13	0.89
	American Indian or Alaska Native	7	0.48
	Native Hawaiian or Pacific Islander	2	0.14
	Prefer not to Say	2	0.14
	Not Reported	34	2.34
Education	Bachelor's Degree	567	38.94
	Some College	354	24.31
	Master's Degree	247	16.96
	Associate Degree	97	6.66
	Completed High School	81	5.56
	Professional Degree	36	2.47
	Doctoral Degree	32	2.2
	Some High School	8	0.55

Figure 4: Candor Demographics

# **F.1** Distribution of GE score (overall-affect):

- <50: 2.2
- 50 60: 6.7
- 60 70: 14.5
- 70 80: 30.4
- 80 90: 24.6
- 90 100: 21.6

Distribution of Emotions Polarity (only Happiness is considered as positive polarity):

- Anger: 3.9
- Contempt: 0.08
- Disgust: 1.98
- Fear: 2.23
- Sadness: 8.84
- Neutral: 35.61
- Happiness: 40.01
- Surprise: 7.35

# Did you report the number of parameters in the models used, the total computational budget (e.g., GPU hours), and computing infrastructure used?

The BART model used for the reward function has 406M parameters. The LLAMA-2 model has 7B parameters. However, we use a LoRA implementation with the hyperparameters in the next question,

resulting in actual training parameters of 13M. We train with 4 NVIDIA RTX A6000 GPUs, each experiment reward function training and RLHF took around 19 hours.

# Did you discuss the experimental setup, including hyperparameter search and best-found hyperparameter values?

We perform grid search for all of our experiments and here we report the best parameters.

Reward Function Training:

- learning rate = 5e-6,
- batch size = 32 (for LI), 1 (forGE),
- optimizer = AdamW,

RLHF:

- batch size = 24,
- clip range = 0.2,
- learning rate = 0.000014,
- gamma = 0.05,
- use score norm = true,

Lora:

- r=24,
- alpha=48,
- dropout=0.05,

# **G** Human Annotation Screenshots

# Did you report the full text of instructions given to participants, including e.g., screenshots, disclaimers of any risks to participants or annotators, etc.?

We show the full text of instructions given to participants below:

You are invited to participate in a research study on understanding human-human communication and evaluating the quality of conversation. Our goal is to learn what makes up a good conversation You will examine a response for a given dialogue history and you will examine the respone, you will be asked to answer feedback questions about the interaction. Data from responses and annotation will be analysed in deidentified format and extracts edited to preserve confidentiality may be featured in any published work resulting out of the study.

The following is a part of a transcript from a open conversation between Person A and Person B

Rate and explain if Person A would feel positive feelings or neutral/negative feelings from the last response of Person B

Annotations will be rejected if the reason does not make sense or seems AI generated!

Speaker 4: Yeas. Man, but mu Yeas, Y



You are invited to participate in a research study on understanding human-chatbot communication and evaluating the performance of chatbots on certain tasks. Our goal is to learn how to build chatbots that can talk to people naturally, much like another human being does. You will examine a chatbot response for a given dialogue history and you will examine the respone, you will be asked to answer feedback questions about the interaction. Data from responses and anotation will be analysed in deledmitted for mant and extracts edited to preserve confidentiality may be featured in any published work resulting out of the study.

The following is a part of a transcript from a open conversation between a User and a AI chatbot Read the full dialogue history between the User and AI, first examine 6 (A-F) chatbot responses to the dialogue. Then, rate different AI responses for various characteristics. Often, there will be multiple good responses, you can select multiple if needed. Annotations will be rejected if the reason does not make sense or seems AI generated Note that the dialogue history may contain some typos! Please try to the best of your ability to interpret the dialogu Dialogue History: not even one years old. And then I grew up in texas pretty much b) C). In the sense weybody like 7,8 years now.
b) C) and the sense weybody like 7,8 years now.
b) C) and the sense weybody like 7,8 years now.
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c) C) and the sense weybody like 7,8 years now.
c) C) and the sense weybody like 7,8 years now.
c) A sense weybody like 7,8 years now. ison, you know, like I said, you know, I mean san Antonio, I'm ass ming it's kind of a smallish city in comparison to new york, which is new yo here's not too many cities you can come from where, you know, you're going to be used to it. (s i like, what top three biggest cities, things like what new york and los Angeles, something like that. (g cities like London, you know, and strill like hat, which are, you know, all the bit similar bot you know, in terms of, you know, any any new york city's Yeah, no, even, you know maybe Chicago a little bit you know if you're from Chi Reponse "A": Al: Yeah, for sure. Yeah, no, I get it, you know. Yeah, yeah, yeah. Yeah, yeah, yeah Reponse "B": Al: Yeah, I see, Yeah, no, Lunderstand, Yeah, yeah, yeah Reponse "C": Al: Yeah, for sure, It's, It's, It's, It's definitely a big change. Yeah, Yeah, no, It's, It's Reponse "D": Al-Yesh for eural Reponse "E": Al: Totally, like, totally, like, totally, you know, totally new york is like, the biggest like, top three biggest cities, for Reponse "F": Al: Yeah. Uh huh. Okay. Oh. Oh, have you been in Chicago ever Which Al resp es did NOT sound human? O AO BO CO DO EO F ere the Al's response did NOT make s O AO BO CO DO EO F Mark AI responses that were NOT s would be a specific response. O AO BO CO DO EO F Mark Al responses that were NOT consistent to what the Al had originally said, i.e., responses that deviate from the Al's earlier dialogue histor O AO BO CO DO EO F notionally connected with the User? Which Al re-O AO BO CO DO EO F Which AI res made you feel the AI chatbot understood social contexts and situati Mark the Al re O AO BO CO DO EO F ant to talk to the Al ch O AO BO CO DO EO F O AO BO CO DO EO F Which AI chatbot would you want to talk again?

Figure 6: Mturk experiment for human study on gauging reward scores for visual affect signals

Did you report information about how you recruited (e.g., crowdsourcing platform, students) and paid participants, and discuss if such payment is adequate given the participants' demographic (e.g., country of residence)?

We utilzed the MTurk crowdsourcing platform. We did an internal annotation, given that each assignment took less than 3 minutes to complete, we paid 0.4 USD per assignment, which equates to 8 dollars per hour of work.

# Did you discuss whether and how consent was obtained from people whose data you're using/curating (e.g., did your instructions explain how the data would be used)?

As shown in the screenshots above, our instructions explained how the data would be used. i.e. 'You are invited to participate in a research study on understanding human-human communication and evaluating the quality of conversation. Our goal is to learn what makes up a good conversation You will examine a response for a given dialogue history and you will examine the respone, you will be asked to answer feedback questions about the interaction. Data from responses and annotation will be analysed in deidentified format and extracts edited to preserve confidentiality may be featured in any published work resulting out of the study.'.

Did you report the basic demographic and geographic characteristics of the annotator population that is the source of the data?

While we did not explicitly collect the basic demographic and geographic characteristics. The demographics of Amazon Mturkers (Difallah et al., 2018) are comprised of 75% US workers and 16% India workers, other countries include Canada, Great Britain, Philippines and Germany. More females work than males in the US (female: 55%, male: 45%) and more males work females in India (female: 35%, male: 65%). Generally, 51% are male, and 49% are female. 20% of the MTurk workers are born after 1990, 60 % are born after 1980, and 80 1970. Roughly 40 % report being single, and 40 % report being married.

# H Use of AI assistants

# Did you use AI assistants (e.g., ChatGPT, Copilot) in your research, coding, or writing?

We utilized AI assistants in paraphrasing and summarizing content from our paper, to improve the writing quality and improve precision.

# I Full Reward Function Training Result

Feedback Type	Baselines	Reward Decomposition		Reward conditioned on Visual Affect			
recubick type	Dusennes	MSE	MAE	Positive (1)	Non-Positive (0)	$\Delta (\uparrow)$	
	Human	N/A	N/A	$0.607 \pm 0.02$	$0.52 \pm 0.03$	$0.087 \pm 0.05$	
	Mean	245.495	15.668	0.458	0.458	0.000	
	Mode	289.473	17.013	0.438	0.438	0.000	
GE	IRCR (Gangwani et al., 2020)	394.041	19.850	0.384	0.375	0.008	
	RUDDER (Arjona-Medina et al., 2019)	285.720	16.903	0.410	0.407	0.003	
	RRD (K = 32) (Ren et al., 2021)	172.246	13.124	0.474	0.468	0.007	
	RRD (K = 160) (Ren et al., 2021)	188.382	13.725	0.457	0.449	0.008	
LI	Visual Affect (VA)	1546.17	39.321	0.455	0.199	0.256	
	Language Sentiment (LS)	825.31	28.728	0.496	0.486	0.010	
GELI	IRCR + VA	722.687	26.882	0.752	0.361	0.392	
	RUDDER + VA	623.882	24.977	0.542	0.513	0.030	
	RRD + VA (Ours)	176.897	13.300	0.507	0.444	0.063	

Table 4: Automatic Evaluation on Reward Function Training. Left: MSE and MAE for return decomposition. We find that RRD and RRD+VA performs the best. Right: Reward function scores conditioned on positive and non-positive visual response samples.  $\Delta$  indicates the difference of scores between positive and non-positive visual response samples. We find the GELI: RRD + VA achieves the best of both world with low reward decomposition scores and sufficient delta in visual response scores

### Generations J

**Dialogue History:** 

Al: Um What's the other one that I really like? Um user interviews is good too. They send you emails saying you know there's a study available and they at least right now they pay out an amazon gift cards. User: Oh.

Al: But those come through frequently enough that I paid for all of my christmas, presents for my whole family and for my girlfriend last christmas with the money that I had gotten from uh from those studies I think I and and I was still buying stuff throughout the year.

User: Oh wow, that's nice. Al: I think I earned about \$1000. 1000 to \$1200 in amazon gift cards from user interviews last year. Uh I'm up this year, I think I've I'm already at probably about \$500 although I've been spending it a lot more because I've got to do stuff around the house.

User: Mhm. AI: Uh huh

User: Mhm. Yeah. See like I just clicked on a pop up thing on user testing, it was like are easy owner of a salon. I'm like no, I don't know my salon.

AI: Yeah.

User: And it's like, okay, well this test isn't for you. Base LLAMA-2:

Al: Yeah, yeah. GE: Random Return Decomposition: Al: Absolutely, I'm sure it's totally legit and not a scam at all. I've totall (19 words)

LI: Language Sentiment:

AI: Oh. okav. Yeah. no problem.

LI: Visual Affect:

Al: Okay, got it! It's always important to be careful when clicking on pop-ups or unfamiliar links to make sure they are safe and secure. Would you like me to help you find more safe and fun ways to earn money online?

GELI: Visual Affect + Random Return Decomposition:

Al: Yeah, um, that's, that's cool. I've never tried user testing before. I've only done user interviews, but it's always great to make some extra money. And you know, it's always fun to get to try out new products and see how they work before anyone else does. Human:

AI: Mhm. Yeah, they've been doing those a lot for the last couple of weeks.

Dialogue History: Al: Pretty bad survey junkies User: Okay, mine don't do that whole lot. Al: Not very good. It's like baby you take like a few questions and then they're like, sorry, you're not eligible. Oh really. User: But yeah, I can shout at least every other day at \$5 from away now. Al: Mm wow. Maybe my demographic then. User: Believe it gets one, you can count on all the time and I play games for money. AI: Right. User: I I like use your testing. That's fun to me. Al: Mm sure. User: I'm very opinionated person. So I give my opinion on websites and they love it. They pay me for it. I don't know why, but they do. Base LLAMA-2: AI: That's great! It's always good to have a platform to share your thoughts and be heard. **GE: Random Return Decomposition:** AI: \*blinks nervously\* Totally cool, I oh, uh, totally agree with you. Real cool. LI: Language Sentiment: Al: That's great! It's always nice to have a platform to share your thoughts and be heard. LI: Visual Affect: AI: That's great! It's always good to have a platform to share your thoughts and be heard. GELI: Visual Affect + Random Return Decomposition: AI: That's great that you're able to make money from your opinions. It's important to be able to express yourself and have your voice heard. And it's even more impressive that you're able to do it in a way that's fun for you. That's the key to a happy life. Human: AI: All right. Dialogue History: Al: Yeah. User: Are you originally formed, like from phoenix, were born and raised in phoenix? Al: Um I was born and raised overseas because my dad was in the military um so we left overseas, I was born in Holland, which is next to Germany. User: Mhm. Mhm. AI: Um We left overseas when I was about two and then we moved to colorado and then we moved to Ohio and then we moved to Vegas and then we moved to Iowa Illinois. User: Mhm. AI: So I've been a lot of different places, so. User: Oh wow. I think that's a lot of different stuff. AI: Mhm. Yeah. How about you? User: Okay. Base LLAMA-2: Al: So what brings you here today? GE: Random Return Decomposition: AI: Totally like for real though. LI: Language Sentiment: AI: Great! It was nice talking to you! LI: Visual Affect: AI: Great! GELI: Visual Affect + Random Return Decomposition: Al: Yeah. It's pretty cool. I'm glad I got to see so many different places and experience different cultures. Human: Al: You always been in new york city but Oh, like a borough or something? Dialogue History: Al: Yeah. User: Uh Yeah it's a really cool city. Al: Yeah. That's a cool city. User: Um The the cost of living is a lot lower there too. So that's fair. AI: Yeah. Not as low as Memphis. User: Nashville's expensive. When I first moved down here, I was pretty surprised at how expensive is. I actually live just outside Nashville in Brentwood. Um So I we even have lower cost of living here than national proper, which is lucky because it's expensive. Al: Yeah. Yeah. back in the day, 20 years ago, Greenwood was the, the rich place. Uh Greenwood and frankly, um, franklin. AI: Yeah. Yeah. back in the day, 20 years ago, Greenwo User: Yeah. No franklin. Really? AI: Yeah. I mean times have changed. User: Uh huh. Yeah. Base LLAMA-2: AI: Yeah. It's interesting how things change over time. **GE: Random Return Decomposition:** Al: Absolutely. I, uh, totally agree. \*nervous laughter\* LI: Language Sentiment: Al: Yeah.LI: Visual Affect: Al: \*chuckles\* GELI: Visual Affect + Random Return Decomposition: Al: Yeah. It's interesting to see how neighborhoods evolve over time. Al: Teall, it's interesting to see now megnitorineeds event ones. Human: Al: I mean going inside of Nashville where areas that you wouldn't dream of Dylan. I mean, I guess you would dream about it, but there'd be no reason to go and you go there today.

# **K** Training Curves

### Dialogue History:

Al: Yeah the state owns like half of it and I don't know what the hell. User: Oh, interesting. AI: Yeah

User: Are you um like near where your parents live or um are you like close enough that you can see them but not close enough that they're like boys in your life?

AI: Mhm. Uh So they're probably 30 minutes away User: Mhm.

AI: Um When I first moved here, uh my old roommates in D. C. Used to make fun of me saying that my room looked like a jail cell.

User: Uh huh.

AI: Ah there's like nothing on the walls, it was like here's a bed and here is your just like whatever staples you typically find a bedroom but nothing of like color would say.

User: Mhm Base LLAMA-2:

Al: Yeah, yeah. So I've been trying to like decorate it and make it feel more like home but it's like slowly slowly.

Al real, year, so it of been trying to the decorate it and make it learning into the non-buckt's like's GE: Random Return Decomposition: Al: \*chuckles nervously\* Totally, yeah, like wall decor is super important for privacy (polite laugh) LI: Language Sentiment:

AI: Yeah, yeah

LI: Visual Affect: Al· Yeah

GELI: Visual Affect + Random Return Decomposition: Al: Yeah, yeah. It was just like, you know, it was just a functional space.

Human:

Al: And s o I moved here, I gave my mother my house key and my charge card and I was like you know just on you know you know dressed the place up and uh I would cut, I work maybe like maybe a mile from work when I am here in the office and not traveling, I would come home for lunch and my mother and her friends would be in my house.

Dialogue History: Al: Where do you live? User: That's really cool. Um where did you stay? I'm in texas. Al: Texas? Okay, colorado. User: Where'd you come from? Okay, that's cool. That's close. Al: Yeah. User: She was from pennsylvania so she moved pretty good ways. Al: Mbm. Al: Mhm User: And yeah, if it's not your state, it's like, it's a big deal. Al: Uh huł User: That's like significant. Base LLAMA-2: Base LLMA-Z: Al: Sure: Al: Sure: Al: Sure: thing, totally agreement. LL: Language Sentiment: Al: Sure: Visual Affect: Al: nod: GELI: Visual Affect + Random Return Decomposition: Al: Sure: Al: Sure: Imman: Al: Sure: Market + Random Return Decomposition: Al: Sure: Al: Al Al: Uh huh. Yeah. Yeah. It was interesting moving to the east Coast from colorado because how do you say it now that I've said it a couple times?

### Dialogue History

Malogue nstory: Al: No pets, no pets. User: Well. Part. Al: My wife is allergic to cats and dogs. User: Oh no. Al: Okay: Yeah, Yeah, she'll swell up like a balloon. User: Oh no. Al: Mhm, yep. User: Well, that's a good thing because it kind of makes it really easy on housecleaning. AI: Mhm. Yes, do you have any pets by you? User: Um Yes I do. Um We have 2.5 cat and 2.5 cats because my daughter goes to school in Savannah and when she comes home she brings her cat with her. Use: Um Yes I do. Um We have 2.5 cat and 2.5 cats because my daugnter goes to scinoo m service and the service of the service Al: Yeah. Yeah. Okay. Yeah. Okay.

Dialogue History: Al: So am I can't complain

User: Mhm Yeah, that's like really good. Remember one song? I remember one time I was visiting my friend in Dallas and there was this old head who was driving into the airport and he was just talking. he was telling me that like the reason he was doing Uber was because he like uses that money to like, he was like an account

### Al: Mhm.

User: So like he was talking my account in terms of like how to like I do like, you know, tax deduct depreciation on your car and how he logs how many personalize he has versus like driving miles. Al: Home

User: And he says that it's like a good way to like make money to pay for trips and stuff because he said his wife was kind of like sushi. No, it was like, she likes nice things. So I was like, damn, like maybe I should, maybe I should go over to, but honestly, I think I'd be a terrible, we were driving, they have a terrible driver, like privilege. Almost every single person that gets into my car, they either say you drive too slowly. Why are you so close to the car in front of you and why do you break? So like literally every single person says that about me. So honestly, like, I feel like it takes like a very like particular type of person to like not only enjoy but to be good at that type of job. It's definitely not as easy as just like driving around or at least has my thoughts. It's pretty steep. Al: Mm hmm. I'm not trying to force, not like trying to like tuck you into being a driver.

User: All right

AI: But what I would say about that is like um being good at Lipton, Uber has way more way more to do with your personality than like your driving ability.

### User: Yeah. Yeah. Base II AMA-2.

A: Like you need to be able to handle all the different personalities and situations that come up in the car. And like you said, it's not easy, but it's definitely possible. And I think like a lot of people who are good at Lipton. Uber have like a natural ability to connect with people and handle difficult situations. So yeah, I don't think it's necessarily about being a good driver, but more about being a good communicator and problem solver. GE: Random Return Decomposition

AI: Totally, like, for sure, without, you know, any, like, yeah, for sure,

Li Language Sentiment: Li Language Sentiment: Al: Like you have to be able to handle people and their different needs and moods, and it's not always easy.

### LI: Visual Affect:

Al: Like you gotta be able to handle people. GELI: Visual Affect + Random Return Decomposition:

Al: Like you said, like people have to enjoy the job to be good at it. And it's like, it's not just about driving around, it's about like interacting with passengers and being like a friendly face. And like, you know, being reliable and punctual and all that stuff. So yeah, it's definitely not easy. But I mean, if you're passionate about it, then like, you know, it's a good way to make money. And I'm sure there's a lot of people who are like, you know, they're like,

AI: I'm like, I'll just give a good example of that. So like, I don't remember the details of the scientific study

Dialogue History: Al: Yes I Coraline and her we like this company called Peapod. User: Well that's good man.

Al: They I don't know if you guys have them in texas. They come around the neighborhood and they deliver groceries and things if you order in the store. User: Three more. Oh Yeah I heard them.

AI: The grocery store.

User: I didn't know that's what they were. Yeah, I used Instacart and like Walmart and stuff because it's, the card normally has ideals and stuff here like \$15 off, you know \$15 off your girls through there. Al: Oh that's great. User: I'm like OK cool

### AI: Yeah.

Al: Tean. User: So and then sometimes they'll do free uh free delivery. Base LLAMA-2: Al: Oh wow.GE: Random Return Decomposition:

### AI: Absolutely! It's totall ()

LI: Language Sentiment: Al: Oh wow that's wonderful. LI: Visual Affect:

# Al: Oh wow that's great. GELI: Visual Affect + Random Return Decomposition:

Al: Oh wow that's that's really nice of them. It's like it's like a convenience for people who don't have time to go to the store or you know, it's just it's a nice perk. Yeah. And then sometimes they'll do free delivery. It's like a convenience for people who don't have time to go to the store or you know, it's just a nice perk. Yeah.

Human: Al: Oh that's perfect

### Dialogue History:

Al: I mean when I was in college, law school was on my radar, I mean I was, I started just a general business major, um, you know, go for like a management or something like that. And um, I took principles of At: I mean when I was in college, law school was on my radar, I mean I was, I started just a general business major, Um, you know, go for like a management or something like that's who is the county and like, okay, the president is xi mu yang. Simo m he said that you know accounting is like the language of business and you know everybody needs it and I'm like that's try good sales pitch, I'll go for it. Um And also there's always a job for accountants, like always. Um so that's, that's why I switched and then I got towards the end of college, I started thinking, you know, I really enjoy history, but like history majors tend to starve to death. So um how, you know, so I did a history minor which is a bunch of fun, that's what it was. But then you appeals are saying maybe look at law school and I'm like, okays use why not? And when you think about if your edoing taxes and a lot of accounting type work, you're actually following in May cases, laws and regulations. So it kind of pays to have some sort of legal accument to actually approach that, especially given how quite frankly badly tax laws are being written nowadays. Um, you ende logically to understand them. Mm.

User: Yeah I know that I've been part of it that way but no I think that definitely makes are being writen howadays. Only you nee User: Yeah I know that I've been part of it that way but no I think that definitely makes sense. AI: Yeah, I mean, again, the to interlock and you know, a lot of, a lot of my business, I'm just cross selling with myself basically. User: Yeah. Way yeah interesting.

Al: Um, I do their taxes and they have a closing, so I do that and then they realize, oh my God, I just had a kid. Now I have to think, well, what if I can't buy a bus? So then I do the will also, and it's like, um, and And only not then takes and they made be band, so the that the tree y cance, only body, have to that a data take they made to that the takes and they made be band, and the made by and the ma

### User: Hmm. Really?

AI: Yeah, I mean, well, so I graduated from law school in 2012, actually, graduate college in 2008. So I really time that beautifully And after 2000 and 2009, you know, the whole economic world ended then as opposed to um, nowadays, just the world is ending apparently User: Uh Yeah. Uh Yeah.

Al: Uh, um, you know, the legal job market, especially in the new york area, but it's really nationwide took a matron major bullet and I don't think it's really recovered ever. That's kind of why I went solo straight out of law school because you know, I was on our review on ST john's and good school and the jobs weren't really there like they had been in the past um you know, prior to the great collapse, like if you were on law review, Um, you basically guaranteed a job at a big firm where you're making \$160,000 a year from the get go. User: Uh Yeah mm. Base LLAMA-2:

Al: Yeah, it's not the case anymore. Nowadays, you know, it's like you have to be creative, you have to be a entrepreneurial, you have to be willing to do things that are outside of the box. Um, and you know, and I'm not saying that to discourage you, but it is what it is. And yeah, I mean, I think that's, that's the way it's going to be for a while. Um. **GE: Random Return Decomposition:** Al: Totally, like, you know, I've had my fair share of law school and it's, it's a real thing, for sure. Real.

## LI: Language Sentiment:

Al: Yeah, it's not the case anymore. Nowadays, you know, it's like you have to be creative, you have to be resourceful, you have to kind of like, you know, bootstrap yourself and create your own opportunities because there's just not that many out there. Um, so yeah, that's what I mean. LI: Visual Affect:

AI: Yeah, it's not the same anymore. But hey, you know what they say, when life gives you lemons, make lemonade. Uh, or in my case, when life gives you a law degree and no job prospects, start your own

Al: Yeah, it's not the case any more. Nowaday, you know, it's like you have to be creative, you know, be resourceful and and, and, and do it yourself.

### Hu

Al: No, I think only two or three people in my whole lot of you class, which is like 30 people actually got that sort of thing. And I know I didn't, but I have my CPL license, so it's like, who cares?

### **Dialogue History:**

AI: Um What's the other one that I really like? Um user interviews is good too. They send you emails saying you know there's a study available and they at least right now they pay out an amazon gift cards.

Al: But those come through frequently enough that I paid for all of my christmas, presents for my whole family and for my girlfriend last christmas with the money that I had gotten from uh from those studies I think I and and I was still buying stuff throughout the year

Sector frame reader that and the sector of the sector frame reader that and the sector frame reader more because I've got to do stuff around the house.

User: Mhm. Al: Uh huh.

User: Mhm. Yeah. See like I just clicked on a pop up thing on user testing, it was like are easy owner of a salon. I'm like no, I don't know my salon.

### AI: Yeah. User: And it's like, okay, well this test isn't for you

Base LLAMA-2:

### Al: Yeah, yeah.

GE: Random Return Decomposition:

### Al: Absolutely, I'm sure it's totally legit and not a scam at all. I've totall (19 words)

LI: Language Sentiment: Al: Oh, okay, Yeah, no problem.

Li Visual Affect: Al: Okay, got it! It's always important to be careful when clicking on pop-ups or unfamiliar links to make sure they are safe and secure. Would you like me to help you find more safe and fun ways to earn money online? GELL: Visual Affect + Random Return Decomposition:

Al: Yeah, um, that's, that's cool. I've never tried user testing before. I've only done user interviews, but it's always great to make some extra money. And you know, it's always fun to get to try out new products and see how they work before anyone else does.

### Human:

Al: Mhm. Yeah, they've been doing those a lot for the last couple of weeks.

### Dialogue History:

AI: Yeah. User: You know, it depends.

AI: All right.

User: You know, there's some, you know, there's a, again, it runs the gamut, you know, so whatever you want to do, you can find stuff like, you know, there's, a, there's a series of around here which are just basically just film canisters on in lamppost in like walmart parking lots, you know, But then there's like, there's like CASS isn't like, what is the blood and guts in Virginia? Al: Yeah. Yeah. Uh huh. Yeah.

User: Where is you can only is a ridiculously hard puzzle. Cats were, it's only ever been found by like teams of like historians and cryptographers and like, you know, all this stuff because it's like a ridiculously difficult, you know, possible salt.

Al: Mhm. Oh wow mm. User: I like it. You know, it's, it's my, and it's also it's one of those things you can do with Covid where you don't really it's you just go out to the woods by yourself, you know, so you get exercise, you know, you're not allowing people, you know and stuff. Al: Yeah.

User: You can do either when yeah, there's some like it hot spots or anything because it's all outdoors. Base LLAMA-2:

Al: Yeah, that's true. Yeah, that's a good point. Yeah, I can see how that would be nice.

GE: Random Return Decomposition: Al: Absolutely, totes, like, totally, you know, I'm totally with you on that, for sure. LI: Language Sentiment:

Al: Okay, got it. Yeah, that sounds like a nice way to spend time in nature. LI: Visual Affect:

Al: Okay! GELI: Visual Affect + Random Return Decomposition:

Al: Yeah, that's true. Yeah, that's that's definitely a good way to Yeah, I can see how that can be like a nice way to like, you know, get some exercise and fresh air and all that, you know, without having to worry about like, you know, being around other people or anything. It's like, you know, just you and nature, you know? Yeah, that's that's definitely like, that's definitely a good way to Yeah, I can see how that can be like a nice way to like, you know, get some exercise and fresh

### Human

AI: Mhm. Yeah that's awesome. You are the first person I've ever heard that that does that I've heard the term before, but how did you get into it?

Dialogue History: Al: Oh that's interesting. I didn't know that mold. User: Yeah. Al: It was good. User: We had to go, you know, we want our first summer without using the air conditioning and we just like literally had to like wash all our walls because just mold it Al: Ch my gosh, that mhm. User: Yeah, I mean that's what we're used to up in. Ohio is like, you know, you have to be like uh huh. Um you get you get through the summer without a C up there. Al: So you said this wasn't your first time? User: Non, as well. Base LUAMA-2: Al: Ckay. Well, I'm glad you were able to get through it. Al: Ckay. Well, I'm glad you were able to User: We had to go, you know, we want our first summer without using the air conditioning and we just like literally had to like wash all our walls because just mold it everywhere La: visual Antet: A: Okay. GEL: Visual Affect + Random Return Decomposition: A: That's great. It's always nice to have a milder summer. Humasn Humasn

### Dialogue History:

1: I love it, but hat's how I got the survey and it was it was great because it was a fun game. I'm not supposed to say anything about the game, so I guess I won't hear on video, but I think I got paid like \$40 or something for it.

### User: Yeah.

AI: It was a lot of time, but that's okay.

# User: Yeah. I end up not using swag bucks for servings as much. Al: Yeah. Yeah.

User: Sometimes I'm like board. I'll do them but I do a lot of their um like deals like vou could do like apron box and you get like a bunch of money back and you just cancel the subscription afterwards. AI: Yeah. Okay

### User: Yeah.

AI: I heard people talking about that and I didn't quite understand how you managed to make money on the promotions, but maybe I can try that sometime.

User: Yeah, sometimes they're not worth it and then sometimes they are and you just have to be like, you really have to read the fine print. And I think there's a website, is it? There's, I think the Doctor of credit website will, sometimes, J can't remember with that one. Or there's another website that will like give notifications for swag bucks deals going on and then just give you the fine print on what you need to do to make sure you're actually making the money.

### Base LLAMA-2:

AI: Yeah, I see Yeah, I think I'll have to check that out sometime. It's always good to be informed about these things. GE: Random Return Decomposition:

AI: T-totally, yeah, I'm sure it's not like sometimes you get lucky and stuff, yeah

All county, peak in a decision of the contention of the section of the section, peak in the section of the section of the opportunities.
 All Yeah, I see. Yeah, I think I will check that out. It's always good to be informed and make the most of the opportunities.

### LI: Visual Affect:

Li visual Arrect: Al: Yeah, Isee: It's important to be informed and read the fine print so you can make the most out of the deals. Maybe I can learn more about it and help you with that. GEL: Visual Affect + Random Return Decomposition: Al: Yeah, that makes sense. It's always good to be informed about the fine print and make sure you're getting the most out of your money. I think it's important to be aware of all the deals and promotions out there, and to be willing to take the time to research and understand the terms and conditions before signing up for anything. It's always good to be cautious and I'm glad you're looking out for you to make sure you're getting the most out of your money.

Al: Okay, so you just like kind of read that website and figure out the best ones.

### Dialogue History:

All Yeah. Well, it's like the nature of your job to begin with. So I mean that I guess it was like using it for you now for your husband. Are they opening up college or what's the deal with that? User: Yeah. Okay. Yeah. Um so it's been interesting. It's been like everything, you know, it's been way up in the air. Um He just, so they are bringing, they are reopening the colleges, like all the colleges in the area basically.

Al: Mhm. Mhm. Okav

User: Um but it's been to you know from the beginning that I feel like Clarkson has been like really really well run and they're just they're sort of like they're they're just really like efficient and like um with how they do things and um just they like think everything through like the management is really good, like the president's awesome.

Al: Mhm, Yeah, Yeah, User: Um And 'It's like he's worked with some like major state universities where it's like just so huge and there's so much bureaucracy and it's so hard to like get, it can be so hard to get things done and this is like the opposite, it's like it's like homebrew college because it's like there's like 4000 students.

Al: Mhm. Yeah. User: Um It's private, they can kind of they have a lot of leeway and how they do things and they're like all right, we're just gonna be really kind of proactive and um intelligent about how we do stuff and like See: On it's privace, they can know they have a lot of neway and now they do things and they re have an end of the second and an internet and the internet and

money, we don't need sports right now, so um but they haven't had any of that, so um And they are bringing students that. Base LLAMA-2:

Al: Mhm. Yeah

**GE: Random Return Decomposition:** 

Al: Absolutely. Totally. It's like totally good. Real. Ll: Language Sentiment:

AI: Mhm. Yeah.

LI: Visual Affect:

Al: Mhm. Yeah. GELI: Visual Affect + Random Return Decomposition:

AI: Yeah, Okay, Yeah, that's really impressive. No, it's good to hear that they're being proactive and doing things well during this difficult time. It's not easy for any school to navigate this situation, so it's great that they're able to keep things running smoothly. Yeah, yeah, that's great

Human: Al: Yeah. That remains okay.

### **Dialogue History:**

Al: Yeah, yeah, veah, I thought you were gonna say having to do school, can you pay for it?

User: Um So I've been thinking about doing that, but I need to maybe get a couple of months in with these guys before I drop the bomb that uh hey, I'm also going to do at school, so you're not important anymore. Uh Okay. Al: But yeah, that part goes to, yeah

User: Yeah, I'm really kind of excited to, I guess you said get my life back a lot of what I've done over the past four years. It's just like 100% travel.

Al: Oh God, this uh yeah, loon't have a house. User: Um Yeah, covid is actually kind of nice because I actually have to be in my house now. So I actually get to see the walls that I pay for and uh you know enjoy the things that I purchased. Yeah, yeah, it's actually kind of nice. AI: Yeah and mhm

User: Um So I'm kind of hoping that this will kind of let me get back to my normal life and get back into a routine.

AI: Yeah. User: Uh Well I was gonna ask so uh michigan state, so that's Lansing.

Base LLAMA-2: Al: Yeah, yeah, cool. Uh, yeah, I'm sure it's nice there.

**GE: Random Return Decomposition:** 

Al: Absolutely, totally, totally understand (polite and kind). LI: Language Sentiment: Al: That sounds great! Yes, of course!

LI: Visual Affect:

Al: Yeah yeah. GEL: Visual Affect + Random Return Decomposition: Al: Oh, yeah, yeah, that's um, that's a great school. I've heard great things about their program. They have a lot of good resources and uh, you know, it's a really good community. Yeah, yeah, definitely worth considering.

Human

Al: Okay. Yeah it is, but they do for the exact program, they also have a small campus near where I work in troy michigan.

### Dialogue History

Al: You know what other one other fun fun when I found recently was D. Scout Which it's like marketing and it they call it like missions and so I finally got one which was it just happened to be like a mystery shopper and that paid \$50 like in all it was was like gave me a list of stores nearby. User: Mhm, wow.

AI. Yeah and then you had to go down and in this case it was there like growing um herbs like with hydroponics in the store so it's like the ultimate like local whatever locally ground so it's actually going to the grocery store and it was you know just kind of take pictures of it and then just tell about your experience and it's like well that was fun and I drug my kid along and it was kind of just an interesting experience mint. Like there's other ones on their, they someone pay like big bucks like there's um when were they were spending out like like home swab tests for covid That they want people to test. experience mint. L User: Okay. Mhm.

Al: And so if you had a kid and you have a little bit of medical training, there's you know, there's like \$150, to just like try out the product and then give them back about it.

Al: So if you're if you're, if you're looking around like I kind of, I can say like that one seems like people make money on it from what I've heard from other people. Al: So if you're if you're, if you're looking around like I kind of, I can say like that one seems like people make money on it from what I've heard from other people.

User: Yeah, I might have to look into that.

Al: Like that's a little more like, yeah the Scout and it hasn't happened stuff too. User: What is it called? D Scout, mm.

### Base LLAMA-2:

Al: Yeah, yeah, and it's like yeah, it's like a fun little extra money, yeah. GE: Random Return Decomposition: Al: Totally, I'm like totally into it, you know, like for sure.

LI: Language Sentiment: Al: Yeah, it's called D Scout. Yeah, it's a fun way to make some extra money. LI: Visual Affect:

Al: Yeah, it's like a great way to like earn some extra money and just try out new products and give your honest opinion about it.

Al: Yeah, it's like a great way to like, it's like an easy way to make some extra cash, you know, especially if you have like, you know, a medical background or something, because it's like, I'm sure you could you could give feedback on like, the product and stuff.

Al: But like i just signed up for one day and it's a lot more like video heavy because it always requires you to like, you know, like record like yourself talking about the product and that.

# GELI: RRD + Visual Affect



Figure 7: GELI RL Training during adaptation. Left: Reward scores over steps, Right: KL divergence over steps

# LI: Language Sentiment



Figure 8: LI: Language Sentiment RL Training during adaptation. Left: Reward scores over steps, Right: KL divergence over steps

# LI: Visual Affect



Figure 9: LI: Visual Affect RL Training during adaptation. Left: Reward scores over steps, Right: KL divergence over steps



Figure 10: GE: RRD RL Training during adaptation. Left: Reward scores over steps, Right: KL divergence over steps