

Existence of solutions for a class of Kirchhoff-type equations with indefinite potential

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Abstract

In this paper, we consider the existence of solutions of the following Kirchhoff-type problem

$$\begin{cases} -\left(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx\right) \Delta u + V(x)u = f(x, u), & \text{in } \mathbb{R}^3, \\ u \in H^1(\mathbb{R}^3), \end{cases}$$

where a, b are positive constants, and the potential $V(x)$ is continuous and indefinite in sign. Under some suitable assumptions on $V(x)$ and f , we obtain the existence of solutions by the Symmetric Mountain Pass Theorem.

Keywords: Kirchhoff-type equations; $(C)_c$ -condition; Symmetric Mountain Pass Theorem

1. Introduction and main result

In this paper, we consider the existence of solutions of the following Kirchhoff-type problem

$$\begin{cases} -\left(a + b \int_{\mathbb{R}^3} |\nabla u|^2 dx\right) \Delta u + V(x)u = f(x, u), & \text{in } \mathbb{R}^3, \\ u \in H^1(\mathbb{R}^3), \end{cases} \quad (1.1)$$

where a, b are positive constants, and the potential $V(x)$ is continuous and indefinite in sign. The nonlinear term $\int_{\mathbb{R}^3} |\nabla u|^2 dx$ appears in (1.1), which means that (1.1) is not a pointwise identity. This leads to some mathematical difficulties that make the research particularly interesting. (1.1) has an interesting physics background. When $V(x) = 0$, and a bounded domain $\Omega \subset \mathbb{R}^N$ is substituted \mathbb{R}^3 , then we obtain the following nonlocal Kirchhoff-type problem

$$\begin{cases} -\left(a + b \int_{\Omega} |\nabla u|^2 dx\right) \Delta u = f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \Omega. \end{cases} \quad (1.2)$$

The problem (1.2) is regard to the stationary analogue of the equation

$$u_{tt} - \left(a + b \int_{\Omega} |\nabla u|^2 dx\right) \Delta u = f(x, u), \quad (1.3)$$

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which was presented by Kirchhoff in [1], and (1.3) is a generalization of the classical D'Alembert's wave equation for free vibrations of elastic strings. Problem (1.3) has been increasingly more attention after Lions in [2] introduced an abstract framework to the problem. We can refer to [3,4,5] for the physical and mathematical background of this problem.

In recent years, Schrödinger Kirchhoff equations have been extensively researched, there are massive works adopting various assumptions on $V(x)$ and f see [6-23]. The potential $V(x)$ is assumed to be positive definite has been considered in [6-15]. In [6], Wu used a Symmetric Mountain Theorem obtained nontrivial solutions and high energy solutions for equations similar to (1.1) in \mathbb{R}^N . In [12], by Ekeland's variational principle and the Mountain Pass Theorem, Cheng obtained multiplicity of nontrivial solutions for the nonhomogeneous Schrödinger Kirchhoff type problem in \mathbb{R}^N . The potential $V(x)$ is indefinite has been considered in [16-23]. In [18], Chen and Wu got a nontrivial solution and an unbounded sequence of solutions for the problem (1.1) in \mathbb{R}^N via the Morse Theory and the Fountain Theorem. In [22], using the Local Linking Theorem and Clark's Theorem, Jiang and Liu obtained the existence of multiple solutions for problem (1.1).

In this paper, we will consider $V(x)$ is indefinite in sign and do not assume any compactness condition on $V(x)$ which is different from most of the articles mentioned above. Motivated by Chen [24] and Sun [25], we overcome two difficulties, namely, verifying the link geometry and the boundedness of Cerami sequence for the corresponding functional of (1.1). We obtain the existence of solutions for (1.1) by the Symmetric Mountain Pass Theorem.

Set $F(x, u) = \int_0^u f(x, s)ds$. $V^+(x) = \max\{V(x), 0\}$, $V^-(x) = \max\{-V(x), 0\}$. Before stating our main result, we make the following assumptions:

- (V1) $V(x) \in C(\mathbb{R}^3, \mathbb{R})$ with $V(x) = V^+(x) - V^-(x)$ and $V(x)$ is bounded from below, and there is $M > 0$ such that the set $\{x \in \mathbb{R}^3 | V^+(x) < M\}$ is nonempty and has finite measure.
(V2) There exists a constant $\eta_0 > 1$ such that

$$\eta_1 := \inf_{u \in H^1(\mathbb{R}^3) \setminus \{0\}} \frac{\int_{\mathbb{R}^3} (a|\nabla u|^2 + V^+u^2) dx}{\int_{\mathbb{R}^3} V^-u^2 dx} \geq \eta_0.$$

- (f₁) $f \in C^1(\mathbb{R}^3, \mathbb{R})$, and there exist constants $p \in (2, 6)$ and $c > 0$ such that

$$|f(x, u)| \leq c(1 + |u|^{p-1}), \quad \forall (x, u) \in \mathbb{R}^3 \times \mathbb{R}.$$

- (f₂) $f(x, u) = o(u)$ as $u \rightarrow 0$ uniformly in $x \in \mathbb{R}^3$, and is 4-superlinear at infinity,

$$\lim_{|u| \rightarrow \infty} \frac{F(x, u)}{u^4} = +\infty.$$

- (f₃) There exist $a_0, b_0 > 0$ and $\alpha \in (0, \alpha_*)$ such that

$$0 < (4 + \frac{1}{a_0|u|^\alpha + b_0})F(x, u) \leq uf(x, u), \quad \text{for } x \in \mathbb{R}^3 \text{ and } u \neq 0,$$

where $\alpha_* := \min\{p', 5p' - 6\}$, $\frac{1}{p} + \frac{1}{p'} = 1$.

- (f₄) $\lim_{|x| \rightarrow \infty} \sup_{|u| \leq l} \frac{|f(x, u)|}{|u|} = 0$ for every $l > 0$.

Now, we are ready to state the main result of this paper:

Theorem 1.1. *Under assumptions (V_1) , (V_2) and $(f_1) - (f_4)$, if $f(x, u)$ is odd in u , then problem (1.1) possesses infinitely many solutions.*

2. Preliminaries

We work in the Hilbert space

$$E := \left\{ u \in H^1(\mathbb{R}^3) : \int_{\mathbb{R}^3} (a |\nabla u|^2 + V^+(x) |u|^2) dx < +\infty \right\},$$

with the inner product

$$\langle u, v \rangle = \int_{\mathbb{R}^3} (a \nabla u \nabla v + V^+(x) uv) dx, \quad \forall u, v \in E,$$

and the norm

$$\|u\| = \left(\int_{\mathbb{R}^3} (a |\nabla u|^2 + V^+(x) |u|^2) dx \right)^{1/2}, \quad \forall u \in E.$$

The problem (1.1) has a variational structure, then a weak solution of problem (1.1) is a critical point of the following functional $\Phi : E \rightarrow \mathbb{R}$

$$\Phi(u) = \frac{1}{2} \int_{\mathbb{R}^3} (a |\nabla u|^2 + V(x) u^2) dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 - \int_{\mathbb{R}^3} F(x, u) dx. \quad (2.1)$$

Then under the assumptions (V_1) , (f_1) and (f_2) , the functional $\Phi \in C^1(E, \mathbb{R})$ and for all $u, v \in E$,

$$\langle \Phi'(u), v \rangle = \int_{\mathbb{R}^3} (a \nabla u \nabla v + V(x) uv) dx + b \int_{\mathbb{R}^3} |\nabla u|^2 dx \int_{\mathbb{R}^3} \nabla u \nabla v dx - \int_{\mathbb{R}^3} f(x, u) v dx. \quad (2.2)$$

For any $s \in [2, 6]$, since the embedding $E \hookrightarrow L^s(\mathbb{R}^3)$ is continuous, there exists a constant $d_s > 0$ such that

$$|u|_s \leq d_s \|u\|, \quad \forall u \in E. \quad (2.3)$$

Furthermore, it follows from (V_2) that

$$\begin{aligned} \int_{\mathbb{R}^3} (a |\nabla u|^2 + V^+ |u|^2) dx &\geq \int_{\mathbb{R}^3} (a |\nabla u|^2 + V |u|^2) dx \\ &\geq \frac{\eta_0 - 1}{\eta_0} \int_{\mathbb{R}^3} (a |\nabla u|^2 + V^+ |u|^2) dx. \end{aligned} \quad (2.4)$$

To complete the proof of theorem 1.1, we need the following Symmetric Mountain Pass Theorem:

Theorem 2.1. ([26]) *Let X be an infinite dimensional Banach space, $X = Y \oplus Z$, where Y is finite dimensional. If $I \in C^1(X, \mathbb{R})$ satisfies $(C)_c$ -condition for all $c > 0$, and*

- (I₁) $I(0) = 0, I(-u) = I(u), \forall u \in X$;
(I₂) there exist constants $\alpha, \rho > 0$, such that $I|_{\partial B_\rho \cap Z} \geq \alpha$;
(I₃) for any finite dimensional subspace $\tilde{X} \subset X$, there is $R = R(\tilde{X}) > 0$, such that $I(u) \leq 0$ on $\tilde{X} \setminus B_R$;
then I possesses an unbounded sequence of critical values.

Definition 2.2. Assume E be a Banach space, and $\Phi \in C^1(E, \mathbb{R}^3)$. For given $c \in \mathbb{R}$, a sequence $\{u_n\} \subset E$ is called a Cerami sequence of Φ at a level c (shortly, $(C)_c$ sequence) if

$$\Phi(u_n) \rightarrow c, \quad (1 + \|u_n\|) \|\Phi'(u_n)\| \rightarrow 0. \quad (2.5)$$

We say that Φ satisfies the Cerami condition at level c (shortly, $(C)_c$ -condition) if every $(C)_c$ sequence of Φ contains a convergent subsequence. If Φ satisfies $(C)_c$ -condition for every $c \in \mathbb{R}$, then we say that Φ satisfies the Cerami condition (shortly, (C) -condition).

3. Proof of main results

Lemma 3.1. Suppose that (V_1) , (f_1) , (f_2) and (f_3) are satisfied and $c \in \mathbb{R}$. Then any $(C)_c$ sequence of Φ is bounded.

Proof. It follows from (f_3) that, for all $u \neq 0$ and $x \in \mathbb{R}^3$,

$$uf(x, u) - 4F(x, u) \geq \frac{1}{4a_0|u|^\alpha + 4b_0 + 1} uf(x, u) > 0.$$

Let $\{u_n\}$ be a $(C)_c$ sequence of Φ , that is, a sequence satisfying (2.5). Set $\Omega_n := \{x \in \mathbb{R}^3 : |u_n(x)| < 1\}$ and $\Omega_n^c := \mathbb{R}^3 \setminus \Omega_n$. Then there are constants $c_1, c_2 > 0$ such that

$$4a_0|u_n|^\alpha + 4b_0 + 1 \leq 1/c_1, \quad \forall x \in \Omega_n,$$

and

$$4a_0|u_n|^\alpha + 4b_0 + 1 \leq |u_n|^\alpha / c_2, \quad \forall x \in \Omega_n^c.$$

For n sufficient large, there exists $M_1 > 0$, such that

$$\begin{aligned} M_1 &\geq 4\Phi(u_n) - \langle \Phi'(u_n), u_n \rangle \\ &= \int_{\mathbb{R}^3} (a|\nabla u_n|^2 + V(x)u_n^2) dx + \int_{\mathbb{R}^3} (u_n f(x, u_n) - 4F(x, u_n)) dx \\ &\geq \frac{\eta_0 - 1}{\eta_0} \|u_n\|^2 + \int_{\mathbb{R}^3} (u_n f(x, u_n) - 4F(x, u_n)) dx \\ &\geq \int_{\mathbb{R}^3} (u_n f(x, u_n) - 4F(x, u_n)) dx \\ &\geq \int_{\mathbb{R}^3} \frac{u_n f(x, u_n)}{4a_0|u_n|^\alpha + 4b_0 + 1} dx \\ &\geq c_1 \int_{\Omega_n} u_n f(x, u_n) dx + c_2 \int_{\Omega_n^c} |u_n|^{-\alpha} u_n f(x, u_n) dx. \end{aligned} \quad (3.1)$$

Note that $\alpha < 5p' - 6$ by (f_3) . We have

$$\frac{1}{p'} < \frac{6}{5p'} < \frac{6}{6+\alpha} \quad \text{and} \quad \frac{2}{2+\alpha} < \frac{6}{6+\alpha}.$$

Then we can chose a constant $r \in (0, 1)$ such that

$$\max \left\{ \frac{6}{5p'}, \frac{2}{2+\alpha} \right\} \leq r \leq \frac{6}{6+\alpha}. \quad (3.2)$$

Let $s := r/(1-r) > 0$. Then $\frac{1}{r} + \frac{1}{-s} = 1$. By (3.1) and the inverse Hölder inequality we have

$$\begin{aligned} M_1 &\geq c_1 \int_{\Omega_n} u_n f(x, u_n) dx + c_2 \int_{\Omega_n^c} |u_n|^{-\alpha} u_n f(x, u_n) dx \\ &\geq c_1 \int_{\Omega_n} u_n f(x, u_n) dx + c_2 \left(\int_{\Omega_n^c} (u_n f(x, u_n))^r dx \right)^{1/r} \left(\int_{\Omega_n^c} |u_n|^{\alpha s} dx \right)^{1/(-s)} \\ &\geq c_1 \int_{\Omega_n} u_n f(x, u_n) dx + c_2 \frac{\left(\int_{\Omega_n^c} (u_n f(x, u_n))^r dx \right)^{1/r}}{|u_n|_{\alpha s}^\alpha}. \end{aligned} \quad (3.3)$$

By (f_1) and (f_2) we have

$$\begin{aligned} |f(x, u)|^{p'r} &\leq \left(c_3 |u|^{(p-1)(p'-1)} |f(x, u)| \right)^r = c_4 (u f(x, u))^r, \quad \forall |u| \geq 1, \\ |f(x, u)|^2 &\leq c_5 |u| |f(x, u)| = c_5 u f(x, u), \quad \forall |u| < 1. \end{aligned}$$

Therefore by (3.3) we have

$$\left(\int_{\Omega_n^c} |f(x, u_n)|^{p'r} dx \right)^{1/p'r} \leq c_6 |u_n|_{\alpha s}^{\alpha/p'}, \quad (3.4)$$

$$\left(\int_{\Omega_n} |f(x, u_n)|^2 dx \right)^{1/2} \leq c_7. \quad (3.5)$$

In view of (3.2), we easily check that $p'r > 1$, $\alpha s \in [2, 6]$ and $(p'r)' \in (2, 6]$, where $(p'r)' = p'r/(p'r - 1)$. Consequently, by (3.4), (3.5) and the Hölder inequality, the Sobolev inequality, for n large enough,

$$\begin{aligned} \int_{\mathbb{R}^3} (a|\nabla u_n|^2 + V(x)u_n^2) dx &= \langle \Phi'(u_n), u_n \rangle - b \left(\int_{\mathbb{R}^3} |\nabla u_n|^2 dx \right)^2 + \int_{\mathbb{R}^3} f(x, u_n) u_n dx \\ &\leq \|u_n\| + \int_{\mathbb{R}^3} f(x, u_n) u_n dx \\ &\leq \|u_n\| + \left(\int_{\Pi_n} |f(x, u_n)|^2 dx \right)^{1/2} \|u_n\|_2 \\ &\quad + \left(\int_{\Pi_n^c} |f(x, u_n)|^{p'r} dx \right)^{1/p'r} \|u_n\|_{(p'r)'} \end{aligned}$$

$$\begin{aligned}
&\leq \|u_n\| + c_7 |u_n|_2 + c_6 |u_n|_{\alpha s}^{\alpha/p'} |u_n|_{(p'r)'} \\
&\leq c_8 \|u_n\| + c_9 \|u_n\| \|u_n\|^{\alpha/p'}.
\end{aligned}$$

where $c_8, c_9, c_{10}, c_{11} > 0$ are some constants.

Therefore by (2.4) we have

$$\|u_n\| \leq c_{10} + c_{11} \|u_n\|^{\alpha/p'}.$$

Note that $\alpha < p'$. Then we easily verify that $\{u_n\}$ is bounded. \square

Lemma 3.2. *Suppose that (V_1) and $(f_1) - (f_4)$ are satisfied. Then Φ satisfies $(C)_c$ -condition.*

Proof. From Lemma 3.1 we know that any $(C)_c$ sequence $\{u_n\}$ is bounded in E . Then, passing to a subsequence, we may assume that $u_n \rightharpoonup u$ in E and $u_n \rightarrow u$ in $L_{loc}^s(\mathbb{R}^3)$, $s \in [2, 6)$.

Note that, by (2.2)

$$\begin{aligned}
\langle \Phi'(u_n), u_n - u \rangle &= \int_{\mathbb{R}^3} (a \nabla u_n \nabla (u_n - u) + V(x) u_n (u_n - u)) dx \\
&\quad + b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u_n - u) dx - \int_{\mathbb{R}^3} f(x, u_n) (u_n - u) dx. \\
&= \int_{\mathbb{R}^3} (a |\nabla u_n|^2 + V(x) u_n^2) dx - \int_{\mathbb{R}^3} (a \nabla u_n \nabla u + V(x) u_n u) dx \\
&\quad + b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u_n - u) dx - \int_{\mathbb{R}^3} f(x, u_n) (u_n - u) dx. \\
&= \int_{\mathbb{R}^3} (a |\nabla u_n|^2 + V^+ u_n^2) dx - \int_{\mathbb{R}^3} (a \nabla u_n \nabla u + V^+ u_n u) dx \\
&\quad - \int_{\mathbb{R}^3} V^- u_n^2 dx + \int_{\mathbb{R}^3} V^- u_n u dx - \int_{\mathbb{R}^3} f(x, u_n) (u_n - u) dx. \\
&\quad + b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u_n - u) dx \\
&= \langle u_n, u_n - u \rangle - b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u - u_n) dx \\
&\quad - \int_{\mathbb{R}^3} V^- (u_n^2 - u_n u) dx - \int_{\mathbb{R}^3} f(x, u_n) (u_n - u) dx,
\end{aligned}$$

we have

$$\begin{aligned}
0 &\leq \limsup_{n \rightarrow \infty} (\|u_n\|^2 - \|u\|^2) = \limsup_{n \rightarrow \infty} \langle u_n, u_n - u \rangle \\
&= \limsup_{n \rightarrow \infty} [\langle \Phi'(u_n), u_n - u \rangle + b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u - u_n) dx \\
&\quad + \int_{\mathbb{R}^3} V^- u_n (u_n - u) dx + \int_{\mathbb{R}^3} f(x, u_n) (u_n - u) dx].
\end{aligned} \tag{3.6}$$

From (2.5)

$$\langle \Phi'(u_n), u_n - u \rangle \rightarrow 0, \text{ as } n \rightarrow \infty. \tag{3.7}$$

Since $u_n \rightharpoonup u$ in E , we know that $\int_{\mathbb{R}^3} \nabla u \nabla (u_n - u) dx \rightarrow 0$ as $n \rightarrow \infty$. Consequently, by the boundedness of $\{u_n\}$ in E , we have

$$b \int_{\mathbb{R}^3} |\nabla u_n|^2 dx \int_{\mathbb{R}^3} \nabla u_n \nabla (u - u_n) dx \rightarrow 0, \text{ as } n \rightarrow \infty. \quad (3.8)$$

Noting that $V^-(x) \geq 0$ for all $x \in \mathbb{R}^3$ and (V_1) implies that $V^- \in L^\infty(\mathbb{R}^3)$. Moreover, it follows from (V_1) that $\{V^+ = 0\}$ has finite measure, which implies that $\{V^-(x) > 0\}$ has finite measure. Since $u_n \rightharpoonup u$ in E and $u_n \rightarrow u$ in $L_{loc}^s(\mathbb{R}^3)$, $s \in [2, 6)$, we have

$$\begin{aligned} \int_{\mathbb{R}^3} V^- u_n (u_n - u) dx &= \left| \int_{\text{supp} V^-} V^- u_n (u_n - u) dx \right| \\ &\leq \|V^-\|_\infty \int_{\text{supp} V^-} |u_n| |u_n - u| dx \\ &\leq \|V^-\|_\infty \left(\int_{\text{supp} V^-} |u_n|^2 dx \right)^{1/2} \left(\int_{\text{supp} V^-} |u_n - u|^2 dx \right)^{1/2} \\ &\rightarrow 0, \text{ as } n \rightarrow \infty. \end{aligned} \quad (3.9)$$

Next, let $\varepsilon > 0$, for $l \geq 1$, it follows from (f_1) and Hölder inequality that

$$\begin{aligned} \int_{|u_n| \geq l} f(x, u_n) (u_n - u) dx &\leq 2c \int_{|u_n| \geq l} |u_n|^{p-1} |u_n - u| dx \\ &\leq 2cl^{p-6} \int_{|u_n| \geq l} |u_n|^5 |u_n - u| dx \\ &\leq 2cl^{p-6} |u_n|_6^5 |u_n - u|_6, \end{aligned}$$

since $p < 6$, we may fix l large enough such that

$$\int_{|u_n| \geq l} f(x, u_n) (u_n - u) dx \leq \frac{\varepsilon}{3}, \quad (3.10)$$

for all n . Moreover, by (f_4) there exists $L > 0$ such that

$$\int_{|u_n| \leq l, |x| \geq L} f(x, u_n) (u_n - u) dx \leq |u_n|_2 |u_n - u|_2 \sup_{|u_n| \leq l, |x| \geq L} \frac{|f(x, u_n)|}{|u_n|} \leq \frac{\varepsilon}{3}, \quad (3.11)$$

for all n . For any $\varepsilon > 0$, by (f_1) and (f_2) , there exists $C_\varepsilon > 0$ such that

$$|f(x, u)| \leq \varepsilon |u| + C_\varepsilon |u|^{p-1}, \quad \forall (x, u) \in \mathbb{R}^3 \times \mathbb{R}, \quad (3.12)$$

and

$$|F(x, u)| \leq \frac{\varepsilon}{2} |u|^2 + \frac{C_\varepsilon}{p} |u|^p, \quad \forall (x, u) \in \mathbb{R}^3 \times \mathbb{R}, \quad (3.13)$$

where $2 < p < 6$. Since $u_n \rightarrow u$ in $L^s(B_L(0))$ for $s \in [2, 6)$, from (3.12) we have

$$\begin{aligned} \int_{|u_n| \leq l, |x| \leq L} f(x, u_n)(u_n - u) dx &\leq (\varepsilon + C_\varepsilon) \int_{|u_n| \leq l, |x| \leq L} (|u_n| + |u_n|^{p-1}) |u_n - u| dx \\ &\leq (\varepsilon + C_\varepsilon) |u_n|_2 |u_n - u|_{L^2(B_L(0))} \\ &\quad + (\varepsilon + C_\varepsilon) |u_n|_p^{p-1} |u_n - u|_{L^p(B_L(0))} \\ &\leq \frac{\varepsilon}{3}, \end{aligned} \tag{3.14}$$

for n large enough. Combining (3.10), (3.11) (3.14), we conclude that

$$\int_{\mathbb{R}^3} f(x, u_n)(u_n - u) dx \leq \varepsilon, \tag{3.15}$$

for n large enough. Since ε is arbitrary, (3.15), together with (3.6)-(3.9), we get $\|u_n\| \rightarrow \|u\|$. Thus, $u_n \rightarrow u$ in E . \square

Proof of Theorem 1.1

Let $\{e_j\}$ is a total orthonormal basis of E and define $X_j = \mathbb{R}e_j$,

$$Y_k = \bigoplus_{j=1}^k X_j, \quad Z_k = \bigoplus_{j=k+1}^\infty X_j, \quad k \in \mathbb{Z}.$$

Proof. Obviously, $\Phi(0) = 0$ and Φ is even due to f is odd, we will verify that Φ satisfies the remain conditions of Theorem 2.1.

Firstly, we can verify that Φ satisfies (I_2) . By (2.4) and (3.13) with $0 < \varepsilon < \frac{\eta_0 - 1}{2\eta_0 d_2^2}$, we have

$$\begin{aligned} \Phi(u) &= \frac{1}{2} \int_{\mathbb{R}^3} (a|\nabla u|^2 + V(x)u^2) dx + \frac{b}{4} \left(\int_{\mathbb{R}^3} |\nabla u|^2 dx \right)^2 - \int_{\mathbb{R}^3} F(x, u) dx \\ &\geq \frac{\eta_0 - 1}{2\eta_0} \|u\|^2 - \int_{\mathbb{R}^3} F(x, u) dx \\ &\geq \frac{\eta_0 - 1}{2\eta_0} \|u\|^2 - \frac{\varepsilon}{2} |u|_2^2 - \frac{C_\varepsilon}{p} |u|_p^p \\ &\geq \frac{1}{2} \left(\frac{\eta_0 - 1}{\eta_0} - \varepsilon d_2^2 \right) \|u\|^2 - \frac{C_\varepsilon}{p} d_p^p \|u\|^p \\ &\geq \frac{1}{4} \frac{\eta_0 - 1}{\eta_0} \|u\|^2 - \frac{C_\varepsilon}{p} d_p^p \|u\|^p, \end{aligned}$$

for all $u \in \partial B_\rho$, where $B_\rho = \{u \in E : \|u\| < \rho\}$. Therefore,

$$\Phi|_{\partial B_\rho \cap Z_k} \geq \frac{1}{4} \frac{\eta_0 - 1}{\eta_0} \rho^2 - \frac{C_\varepsilon}{p} d_p^p \rho^p := \alpha > 0,$$

for ρ small enough.

Secondly, we verify that Φ satisfies (I_3) , for any finite dimensional subspace $\tilde{E} \subset E$, there exists a positive intergral number m such that $\tilde{E} \subset E_m$. Since all norms are equivalent in a finite dimensional space, there is a constant $b_1 > 0$ such that

$$|u|_4 \geq b_1 \|u\|, \quad \forall u \in E_m.$$

By (f_1) and (f_2) we know that for any $M_2 > \frac{b}{4b_1^4}$, there is a constant $C(M_2) > 0$ such that

$$F(x, u) \geq M_2 |u|^4 - C(M_2) |u|^2, \quad \forall (x, u) \in \mathbb{R}^3 \times \mathbb{R}.$$

Hence

$$\begin{aligned} \Phi(u) &\leq \frac{1}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - M_2 |u|_4^4 + C(M_2) |u|_2^2 \\ &\leq \frac{1}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - M_2 b_1^4 \|u\|^4 + C(M_2) d_2^2 \|u\|^2 \\ &= \left(\frac{1}{2} + C(M_2) d_2^2\right) \|u\|^2 - \left(M_2 b_1^4 - \frac{b}{4}\right) \|u\|^4, \quad \forall u \in E_m. \end{aligned}$$

Consequently, there is a large $R = R(\tilde{E}) > 0$ such that $\Phi(u) \leq 0$ on $\tilde{E} \setminus B_R$.

From Lemmas 3.1 and 3.2, Φ satisfies $(C)_c$ -condition, by Theorem 2.1 problem (1.1) possesses infinitely many solutions. \square

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Abbreviations

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Availability of data and materials

Not applicable.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

The first author writes and revises this paper, the second author checks and proofreads this paper, and the third and fourth authors suggest changes to this paper. All authors read and approved the final manuscript.

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