On the minimal memory set of cellular automata

Alonso Castillo-Ramirez*1 and Eduardo Veliz-Quintero †2

¹Centro Universitario de Ciencias Exactas e Ingenierías, Universidad de Guadalajara, México.

²Centro Universitario de los Valles, Universidad de Guadalajara, México.

April 10, 2024

Abstract

For a group G and a finite set A, a cellular automaton (CA) is a transformation $\tau:A^G\to A^G$ defined via a finite memory set $S\subseteq G$ and a local map $\mu:A^S\to A$. Although memory sets are not unique, every CA admits a unique minimal memory set, which consists on all the essential elements of S that affect the behavior of the local map. In this paper, we study the links between the minimal memory set and the generating patterns $\mathcal P$ of μ ; these are the patterns in A^S that are not fixed when the cellular automaton is applied. In particular, we show that when $|\mathcal P|$ is not a multiple of |A|, then the minimal memory set is S or $S\setminus\{e\}$. Moreover, when $|\mathcal P|=|A|$, and the action of μ on these patterns is well-behaved, then the minimal memory set is S or $S\setminus\{s\}$, for some $s\in S\setminus\{e\}$. These are some of the first general theoretical results on the minimal memory set of a cellular automaton.

Keywords: Cellular automata; minimal memory set; local map; generating patterns.

1 Introduction

Cellular automata (CA) are transformations of a discrete space defined by a fixed local rule that is applied homogeneously and in parallel in the whole space; they have been used in discrete complex systems modeling, and are relevant in several areas of mathematics, such as symbolic dynamics [7] and group theory [3].

More formally, let G be a group and let A be a finite set. A function $x:G\to A$ is called a *configuration*, and the set of all configurations is denoted by A^G . When S is a finite subset of G, a function $p:S\to A$ is called a *pattern* (or a *block*) over S, and the set of all patterns over S is denoted by A^S . A *cellular automaton* is a transformation $\tau:A^G\to A^G$ defined via a finite subset $S\subseteq G$, called a *memory set* of τ , and a *local map* $\mu:A^S\to A$ such that

$$\tau(x)(g) = \mu((g^{-1} \cdot x)|_S), \quad \forall x \in A^G, g \in G,$$

where $g^{-1} \cdot x \in A^G$ is the *shift* of x by g^{-1} defined by

$$(g^{-1} \cdot x)(h) := x(gh), \quad \forall h \in G.$$

^{*}Email: alonso.castillor@academicos.udg.mx

[†]Email: eduardo.veliz9236@alumnos.udg.mx

Intuitively, applying τ to a configuration $x \in A^G$ is the same as applying the local map $\mu: A^S \to A$ homogeneously and in parallel using the shift action of G on A^G . Local maps $\mu: A^S \to A$ are also known in the literature as *block maps*. In their classical setting, CA are studied when $G = \mathbb{Z}^d$, for $d \geq 1$, and $A = \{0, 1\}$ (e.g., see [6]).

Cellular automata do not have a unique memory set. In fact, with the above notation, for any finite superset $S' \supseteq S$, we may define $\mu' : A^{S'} \to A$ by $\mu'(z) := \mu(z|_S)$, for all $z \in A^{S'}$, and it follows that μ' is also a local map that defines τ . Hence, any finite superset of a memory set of τ is also a memory set of τ . However, cellular automata do have a unique *minimal memory set* (MMS), which is the intersection of all the memory sets admitted by τ [3, Sec. 1.5]. Equivalently, the minimal memory set of τ is the memory set of smallest cardinality admitted by τ , and it consists of all the *essential* elements of G required to define a local defining map for τ .

So far, there are no general theoretical results about the minimal memory set of a cellular automaton. It is known that it does not behave well with composition: although a memory set of a composition of two cellular automata with memory sets T and S is the product ST, the minimal memory set of the composition may be a proper subset of ST [4, Ex. 1.27]. Similarly, there a no nontrivial results about the minimal memory set of the inverse of an invertible cellular automaton.

Every cellular automaton $\tau:A^G\to A^G$ admits a memory set S such that the identity of the group e is in S. Let $\mu:A^S\to A$ be the local defining map associated with the memory set S. The behavior of μ may be characterized by a set of patterns $\mathcal{P}\subseteq A^S$ and a function $f:\mathcal{P}\to A$ such that

$$\mu(p) = f(p) \neq p(e), \ \forall p \in \mathcal{P}, \quad \text{and} \quad \mu(z) = z(e), \ \forall z \in A^S \setminus \mathcal{P}.$$

Intuitively, \mathcal{P} is the set of patterns in which μ does not act as the projection to the identity element $e \in S$; this means, that the cellular automaton τ does fixes a configuration $x \in A^G$ if and only if no element of \mathcal{P} appears as a subpattern in x. In such situation, we say that the pair (\mathcal{P}, f) generates the local map $\mu : A^S \to A$. We say that the function $f : \mathcal{P} \to A$ is well-behaved if

$$\forall p, q \in \mathcal{P}, \quad p(e) = q(e) \iff f(p) = f(q).$$

When $A = \{0,1\}$, the function $f : \mathcal{P} \to A$ is always well-behaved because f(p) must be equal to the complement of p(e).

This approach of characterizing CA by patterns has been proved to be useful in the study of various algebraic and dynamical properties. In [2], it was shown that CA generated by a single pattern $p \in A^S$ are often idempotent, like in the case when p is a constant pattern, or when p is a symmetrical pattern. In [1, 5], various dynamical properties were examined for the so-called *Coven CA*; in our terminology, these are CA generated by two distinct patterns $p, q \in A^S$ such that $p|_{S\setminus\{e\}} = q|_{S\setminus\{e\}}$. Remarkably, Coven CA were the first nontrivial class of cellular automata in which the exact computation of the topological entropy was obtained (see [8, p. 1]).

In this paper, we study the connection between the minimal memory set of a cellular automanton and the set of patterns generating its local map. It has been already shown in [2, Lemma 1] that if $\mu: A^S \to A$ is generated by only one pattern, then its minimal memory set is S itself. Here, we establish the following main result.

Theorem 1. Let G be a group and let A be a finite set of size at least 2. Let $S \subseteq G$ be a finite subset such that $e \in S$, and let $\mu : A^S \to A$ be a local map. Denote by $mms(\mu)$ the minimal memory set of the cellular automaton defined by μ . Suppose that the pair (\mathcal{P}, f) generates μ . Then:

- 1. If $|\mathcal{P}|$ is not a multiple of |A|, then $mms(\mu) = S$ or $mms(\mu) = S \setminus \{e\}$.
- 2. If f is well-behaved and $|\mathcal{P}|$ is not a multiple of |A|, then $mms(\mu) = S$.
- 3. If $|S| \ge 3$, f is well-behaved and $|\mathcal{P}| = |A|$, then $mms(\mu) = S$ or $mms(\mu) = S \setminus \{s\}$, for some $s \in S \setminus \{e\}$.

In contrast, we may see with examples that if $|\mathcal{P}| = |A|^{|S|-1}$, then the minimal memory set of μ may be any proper subset of S, including the empty set with corresponds to the constant cellular automata (see Example 5). As an application, the previous theorem may be used to improve the brute force algorithm that obtains the minimal memory set of a cellular automaton.

The structure of this paper is as follows. In Section 2, we set up notation, and present some examples and basic properties on the minimal memory set of cellular automata. In Section 3, we present results on the links between generating sets of patterns for local maps $\mu: A^S \to A$ and their minimal memory set, including the proof Theorem 1.

2 Basic results

Let G be a group, and let A be a finite set. For the rest of the paper, we shall assume that $|A| \ge 2$, and that $\{0,1\} \subseteq A$. The *configuration space* A^G is the set of all functions of the form $x: G \to A$.

Definition 1. The *shift action* of G on A^G is a function $\cdot: G \times A^G \to A^G$ defined by

$$(g \cdot x)(h) := x(g^{-1}h), \quad \forall x \in A^G, g, h \in G.$$

The shift action is indeed a group action in the sense that $e \cdot x = x$, for all $x \in A^G$, where e is the identity element of G, and $g \cdot (h \cdot x) = gh \cdot x$, for all $x \in A^G$, $g, h \in G$ (see [3, p. 2]).

When $G = \mathbb{Z}$, the configuration space $A^{\mathbb{Z}}$ may be identified with the set of bi-infinite binary sequences

$$x = \dots x_{-2}x_{-1}x_0x_1x_2\dots$$

for all $x \in A^{\mathbb{Z}}$, where $x_k := x(k) \in A$. The shift action of \mathbb{Z} on $A^{\mathbb{Z}}$ is equivalent to left and right shifts of the bi-infinite sequences. For example,

$$1 \cdot x = \dots x_{-3} x_{-2} x_{-1} x_0 x_1 \dots$$

For any $k \in \mathbb{Z}$, the bi-infinite sequence $k \cdot x \in A^{\mathbb{Z}}$ is centered at x_{-k} .

Definition 2 (Def. 1.4.1 in [3]). A cellular automaton is a transformation $\tau: A^G \to A^G$ such that there exists a finite subset $S \subseteq G$, called a memory set of τ , and a local map $\mu: A^S \to A$, such that

$$\tau(x)(g) = \mu((g^{-1} \cdot x)|_S), \quad \forall x \in A^G, g \in G.$$

The famous Curtis-Hedlund-Lyndon Theorem (see [3, Theorem 1.8.1]) establishes that a function $\tau: A^G \to A^G$ is a cellular automaton if and only if τ is G-equivariant in the sense that $\tau(g \cdot x) = g \cdot \tau(x)$, for all $g \in G$, $x \in A^G$, and τ is continuous in the prodiscrete topology of A^G (which is the product topology of the discrete topology of A).

Example 1. Let $G := \mathbb{Z}$ and $S := \{-1,0,1\} \subseteq G$. The relationship between a cellular automaton $\tau : A^G \to A^G$ with local defining map $\mu : A^S \to A$ is described as follows:

$$\tau(\dots x_{-1}x_0x_1\dots) = \dots \mu(x_{-2}, x_{-1}, x_0)\mu(x_{-1}, x_0, x_1)\mu(x_0, x_1, x_2)\dots$$

In this setting, it is common to define a local map $\mu: A^S \to A$ via a table that enlists all the elements of A^S , which are identified with tuples in A^3 . For example,

When $A = \{0,1\}$, cellular automata such as this one, that admit a memory set $S = \{-1,0,1\} \subseteq \mathbb{Z}$, are known as elementary cellular automata (ECA) [6, Sec. 2.5], and are labeled by a Wolfram number, which is the decimal number corresponding to the second row of the defining table of $\mu: A^S \to A$ considered as a binary number. The Wolfram number of the ECA given by the above table is 110, as the binary number of the second row of the table is 1101110.

Every cellular automaton $\tau:A^G\to A^G$ admits a unique memory set of minimal cardinality, which is called the *minimal memory set* (MMS) of τ , (see Sec. 1.5 in [3]). In the following section, we shall focus on local maps $\mu:A^S\to A$, which are also known as block maps, so it is convenient to define the MMS in terms of μ itself. First, we define an equivalence relation \sim between local maps $\mu:A^S\to A$ and $\nu:A^T\to A$:

$$\mu \sim \nu \quad \Leftrightarrow \quad \mu(x|_S) = \nu(x|_T), \ \forall x \in A^G.$$

This is the same as saying that $\mu \sim \nu$ if and only if μ and ν define the same cellular automaton.

Definition 3. Let S be a finite subset of G. The *minimal memory set* of a local map $\mu: A^S \to A$, denoted by $mms(\mu)$, is the finite subset $S_0 \subseteq G$ of smallest cardinality such that there exists a local map $\mu_0: A^{S_0} \to A$ with $\mu \sim \mu_0$.

The following lemma restates some basic results on minimal memory sets in terms of local maps (see Prop. 1.5.1 and Prop. 1.5.2 in [3]).

Lemma 1. Let $\mu: A^S \to A$ and $\nu: A^T \to A$ be local maps.

1. If $\mu \sim \nu$, then $\mu \sim \lambda$, where $\lambda : A^{S \cap T} \to A$ is defined by

$$\lambda(z) := \mu(z|_S) = \nu(z|_T), \quad \forall z \in A^{S \cap T}.$$

2. The minimal memory set of μ exists. Moreover, $\mu \sim \nu$ if and only if $mms(\mu) \subseteq T$.

In the sequel, for each $s\in S$, it will be convenient to consider the function $\mathrm{Res}_s:A^S\to A^{S\setminus\{s\}}$ defined by

$$\operatorname{Res}_s(z) := z|_{S \setminus \{s\}}, \quad \forall z \in A^S.$$

Definition 4. We say that an element $s \in S$ is essential for a local map $\mu : A^S \to A$ if there exist $z, w \in A^S$ such that $\operatorname{Res}_s(z) = \operatorname{Res}_s(w)$ but $\mu(z) \neq \mu(w)$.

Proposition 1 (c.f. Exercise 1.24 in [4]). Let $\mu: A^S \to A$ be a local map. Then,

$$mms(\mu) = \{s \in S : s \text{ is essential for } \mu\}.$$

Proof. Let $S_0 := \operatorname{mms}(\mu)$. By Lemma 1 (2), $S_0 \subseteq S$. Suppose that $s \in S$ is not essential for μ . Define $\mu' : A^{S \setminus \{s\}} \to A$ by $\mu'(y) := \mu(\hat{y})$, for all $y \in A^{S \setminus \{s\}}$, where $\hat{y} \in A^S$ is any extension of y. The function μ' is well-defined because s is not essential for μ , so for all $z, w \in A^S$ with $\operatorname{Res}_s(z) = \operatorname{Res}_s(w)$ we have that $\mu(z) = \mu(w)$. Moreover, $\mu \sim \mu'$, so $S_0 \subseteq S \setminus \{s\}$ by Lemma1 (2). Hence, $s \notin S_0$.

Conversely, take $s \in S \setminus S_0$. By definition of the minimal memory set, there exists $\mu_0: A^{S_0} \to A$ with $\mu \sim \mu_0$. Suppose that s is essential for μ , so there exists $z, w \in A^S$ such that $\operatorname{Res}_s(z) = \operatorname{Res}_s(w)$ but $\mu(z) \neq \mu(w)$. However, $z|_{S\setminus\{s\}} = w|_{S\setminus\{s\}}$ implies that $\mu_0(z|_{S_0}) = \mu_0(w|_{S_0})$, as $s \notin S_0$. This contradicts that $\mu \sim \mu_0$. Therefore, s is not essential for μ .

Example 2. Let $G := \mathbb{Z}$, $A := \{0,1\}$ and $S := \{-1,0,1\}$. Consider the elementary cellular automaton $\tau : A^{\mathbb{Z}} \to A^{\mathbb{Z}}$ defined by the local map $\mu : A^S \to A$ described by the following table:

This has Wolfram number 102. In this case, the element $-1 \in S$ is not essential for μ ; this may be deduced by crossing out the coordinate corresponding to -1 in the tuples of A^S , and observing that there are no contradictions in the images of $x_0x_1 \in A^{\{0,1\}}$. With this, we may obtain a reduced table corresponding to a local defining map $\mu': A^{\{0,1\}} \to A$ for τ :

With this, it is easy to see that

$$\mu(x_{-1}, x_0, x_1) = \mu'(x_0, x_1) = (x_0 + x_1) \mod (2),$$

so the minimal memory set of μ is $mms(\mu) = \{0, 1\}$.

Example 3. In the case of the local map $\mu: A^S \to A$ with Wolfram number 110 given by Example 1, we see that all the elements of $S = \{-1, 0, 1\}$ are essential for μ , so $\text{mms}(\mu) = S$.

3 Cellular automata generated by patterns

For the rest of the paper, assume that S is a finite subset of G such that $e \in S$.

Definition 5. Let $\mu: A^S \to A$ be a local map. Let $\mathcal{P} \subseteq A^S$ be a set of patterns, and let $f: \mathcal{P} \to A$ be a function. We say that the pair (\mathcal{P}, f) generates μ if $f(p) \neq p(e)$ for all $p \in \mathcal{P}$ and

$$\mu(z) = \begin{cases} f(z) & \text{if } z \in \mathcal{P} \\ z(e) & \text{if } z \notin \mathcal{P} \end{cases}, \quad \forall z \in A^S.$$

Intuitively, \mathcal{P} is the set of patterns on which μ does not act as the projection to e. Note that if (\mathcal{P}, f) genetates $\mu : A^S \to A$, then $f = \mu|_{\mathcal{P}}$. Moreover, note that $\mu : A^S \to A$ is equal to the projection to e (which means that the cellular automaton defined by μ is the identity function) if and only if $\mathcal{P} = \emptyset$.

Lemma 2. For every local map $\mu: A^S \to A$ there is a unique generating pair (\mathcal{P}, f) .

Proof. Define $\mathcal{P} := \{ p \in A^S : \mu(p) \neq p(e) \}$ and $f : \mathcal{P} \to A$ by $f(p) := \mu(p)$, for all $p \in \mathcal{P}$. It follows by definition that (\mathcal{P}, f) generates μ . To prove the uniqueness, suppose that (\mathcal{P}', f') also generates μ . For all $p \in \mathcal{P}'$, we have $p(e) \neq f'(p) = \mu(p)$, so $p \in \mathcal{P}$. This shows that $\mathcal{P}' \subseteq \mathcal{P}$. Suppose there is $q \in \mathcal{P} \setminus \mathcal{P}'$. Since $q \notin \mathcal{P}'$, we must have that $\mu(q) = q(e)$, which contradicts that $q \in \mathcal{P}$. Therefore, $\mathcal{P}' = \mathcal{P}$ and $f = \mu|_{\mathcal{P}} = f'$. \square

Remark 1. If $A = \{0,1\}$, for any $\mathcal{P} \subseteq A^S$, there is a unique choice for the function $f: \mathcal{P} \to A$ because of the condition that $f(p) \neq p(e)$, for all $p \in \mathcal{P}$. Explicitly, f must be defined by $f(p) := p(e)^c$, where $p(e)^c$ denotes the complement of p(e). Hence, in this situation, we may simply say that the set of patterns \mathcal{P} generates $\mu: A^S \to A$.

Example 4. Let $G = \mathbb{Z}$, $S := \{-1, 0, 1\}$ and $A = \{0, 1\}$.

- 1. Let $\mu: A^S \to A$ be the local map with Wolfram number 110 given by Example 1. Then μ is generated by the set of patterns $\mathcal{P} = \{111, 101, 001\}$.
- 2. Let $\mu: A^S \to A$ and $\mu': A^{\{0,1\}} \to A$ be the local maps with Wolfram number 102 given by Example 2. Then μ is generated by the set of patterns $\{111, 101, 011, 001\}$ and μ' is generated by the set of patterns $\{11, 01\}$.

A fundamental object in symbolic dynamics is a *subshift*, which may be defined as a closed (in the prodiscrete topology) G-equivariant subset X of A^G , in the sense that $g \cdot x \in X$ for all $g \in G$, $x \in X$. Equivalently, any subshift $X \subseteq A^G$ may be defined via a (possibly infinite) set of *forbidden patterns* (see Ex. 1.39 and 1.47 in [4]). In our setting, for $\mathcal{P} \subseteq A^S$, we shall consider the subshift $X_{\mathcal{P}} \subseteq A^G$ defined by the forbidden patterns \mathcal{P} .

$$X_{\mathcal{P}} := \{ x \in A^G : (g \cdot x)|_S \notin \mathcal{P}, \forall g \in G \}.$$

This is a *subshift of finite type*, since the set of forbidden patterns is a finite set.

Lemma 3 (c.f. Exercise 1.61 in [4]). Let $\tau: A^G \to A^G$ be a cellular automaton with memory set $S \subseteq G$, with $e \in S$, and local defining map $\mu: A^S \to A$. If (\mathcal{P}, f) genetates μ , then

$$Fix(\tau) := \{ x \in A^G : \tau(x) = x \} = X_{\mathcal{P}}.$$

Proof. Let $x \in X_{\mathcal{P}}$. Then, $(g \cdot x)|_{S} \notin \mathcal{P}$, for all $g \in G$, so it follows that

$$\tau(x)(g) = \mu((g^{-1} \cdot x)|_S) = (g^{-1} \cdot x)(e) = x(g), \quad \forall g \in G.$$

Therefore, $x \in \text{Fix}(\tau)$. Conversely, suppose that $x \notin X_{\mathcal{P}}$, so there exists $g \in G$ such that $(g \cdot x)|_S \in \mathcal{P}$. Then,

$$\tau(x)(g) = \mu((g^{-1} \cdot x)|_S) = f(g^{-1} \cdot x) \neq x(g).$$

This shows that $\tau(x) \neq x$, so $x \notin Fix(\tau)$.

We now turn our attention to the minimal memory set of a local map $\mu: A^S \to A$ generated by a pair (\mathcal{P}, f) . The following result is Lemma 1 in [2].

Lemma 4. Let $\mu: A^S \to A$ be a local map generated by $(\{p\}, f)$, with $p \in A^S$. Then,

$$mms(\mu) = S$$
.

In the following example, we examine in detail the links between the generating patterns and minimal memory sets for elementary cellular automata.

Table 1: Generating patterns and minimal memory set of ECA.

$ \mathcal{P} $	0	1	2	3	4	5	6	7	8
No. local maps	1	8	28	56	70	56	28	8	1
Size of MMS	1	3	3 or 2	3	0, 1, 2, or 3	3	3 or 2	3	1

Example 5. Let $A = \{0,1\}$ and $S = \{-1,0,1\} \subseteq \mathbb{Z}$. The table 1 shows the sizes of the minimal memory sets of local maps $\mu : A^S \to A$ according to the sizes of their generating set of patterns $\mathcal{P} \subseteq A^S$.

Since $|A^S| = 8$, there are $\binom{8}{k}$ local maps generated by $k =: |\mathcal{P}|$ different patterns. When k = 0, cellular automaton must be the identity, while when k = 8, the cellular automaton must be the rule that exchanges 0's and 1's (ECA 51). The richest variety of minimal memory sets appears when k = 4, including the constant cellular automata (ECA 0 and 255) whose minimal memory set is the empty set \emptyset . The symmetry that appears in the possible sizes of MMS in Table 1 may be explained by Lemma 7 (2).

The minimal memory set of a local map $\mu: A^S \to A$ may be more easily characterized in terms of a generating pair (\mathcal{P}, f) when the function $f: \mathcal{P} \to A$ only depends on the projection to e and acts as a permutation of A. Hence, we introduce the following definition.

Definition 6. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$. We say that $f : \mathcal{P} \to A$ is well-behaved if, for all $p, q \in \mathcal{P}$, p(e) = q(e) if and only if f(p) = f(q).

Remark 2. If $A = \{0, 1\}$, then $f : \mathcal{P} \to A$ is always well-behaved because, as explained in Remark 1, $f(p) = p(e)^c$. Clearly, p(e) = q(e) if and only if $p(e)^c = q(e)^c$.

In the following lemmas, we shall analyze when $s \in S$ is essential for a local map $\mu: A^S \to A$ generated by a pair (\mathcal{P}, f) . The two distinctive cases will be when $s \neq e$ and s = e.

Recall that for $s \in S$, we define $\mathrm{Res}_s : A^S \to A^{S \setminus \{s\}}$ by $\mathrm{Res}_s(z) = z|_{S \setminus \{s\}}$. For $\mathcal{P} \subseteq A^S$, denote $\mathcal{P}^c := A^S \setminus \mathcal{P}$.

Lemma 5. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$. Let $s \in S \setminus \{e\}$.

- 1. If there exist $p \in \mathcal{P}$ and $z \in \mathcal{P}^c$ such that $\operatorname{Res}_s(p) = \operatorname{Res}_s(z)$, then s is essential for τ .
- 2. If s is essential for μ and f is well-behaved, then there exist $p \in \mathcal{P}$ and $z \in \mathcal{P}^c$ such that $\mathrm{Res}_s(p) = \mathrm{Res}_s(z)$.

Proof. For point (1), observe that z(e) = p(e) because $\mathrm{Res}_s(p) = \mathrm{Res}_s(z)$ and $s \neq e$. Then,

$$\mu(z) = z(e) = p(e) \neq f(p) = \mu(p).$$

It follows that s is essential for μ .

For point (2), suppose that for all $p \in \mathcal{P}$ and $z \in A^S$ such that $\mathrm{Res}_s(p) = \mathrm{Res}_s(z)$, we have $z \in \mathcal{P}$. Take arbitrary $z_1, z_2 \in A^S$ such that $\mathrm{Res}_s(z_1) = \mathrm{Res}_s(z_2)$. We have two cases:

• Case $z_1 \in \mathcal{P}$: By assumption, we must have $z_2 \in \mathcal{P}$. As f is well-behaved and $z_1(e) = z_2(e)$, then $f(z_1) = f(z_2)$. Then,

$$\mu(z_1) = f(z_1) = f(z_2) = \mu(z_2).$$

• Case $z_1 \notin \mathcal{P}$: By assumption, we must have $z_2 \notin \mathcal{P}$. Then,

$$\mu(z_1) = z_1(e) = z_2(e) = \mu(z_2).$$

This contradicts that s is essential for μ .

Proposition 2. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$ and that $|\mathcal{P}|$ is not a multiple of |A|. Then every $s \in S \setminus \{e\}$ is essential for μ . Therefore, $mms(\mu) = S$ or $mms(\mu) = S \setminus \{e\}$.

Proof. Fix $s \in S \setminus \{e\}$. By Lemma 5 (1), it is enough if we show that there exist $p \in \mathcal{P}$ and $z \in \mathcal{P}^c$ such that $\operatorname{Res}_s(p) = \operatorname{Res}_s(z)$. If no such pair exists, it means that \mathcal{P} may be written as a partition of preimages under $\operatorname{Res}_s : A^S \to A^{S \setminus \{s\}}$:

$$\mathcal{P} = \bigcup_{i=1}^{n} \operatorname{Res}_{s}^{-1}(y_{i}),$$

for some $y_i \in A^{S\setminus\{s\}}$, $i \in \{1, 2, ..., n\}$. However,

$$|\operatorname{Res}_{s}^{-1}(y_{i})| = |A|, \quad \forall i \in \{1, 2 \dots, n\}.$$

Hence, $|\mathcal{P}| = n|A|$ is a multiple of |A|, which contradicts the hypothesis. \square

Now we shall try to determine when is $e \in S$ essential for a local map $\mu : A^S \to A$ generated by (\mathcal{P}, f) .

Lemma 6. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$.

- 1. If there exist $z, w \in \mathcal{P}^c$ such that $z \neq w$ and $\operatorname{Res}_e(z) = \operatorname{Res}_e(w)$, then $e \in S$ is essential for μ .
- 2. Suppose that f is well-behaved and that there exist $p, q \in \mathcal{P}$ such that $p \neq q$ and $\operatorname{Res}_e(p) = \operatorname{Res}_e(q)$. Then, $e \in S$ is essential for μ .

Proof. For part (1), observe that $z(e) \neq w(e)$ because $z \neq w$ and $\operatorname{Res}_e(z) = \operatorname{Res}_e(w)$. Since $z, w \in \mathcal{P}^c$, we have

$$\mu(z) = z(e) \neq w(e) = \mu(w).$$

It follows that e is essential for μ .

For part (2), we also have $p(e) \neq q(e)$. As f is well-behaved, then $f(p) \neq f(q)$, so

$$\mu(p) = f(p) \neq f(q) = \mu(q).$$

П

This shows that e is essential for μ .

Corollary 1. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$, and that $A = \{0, 1\}$. Then, $e \in S$ is essential for μ if and only if there exist $z, w \in \mathcal{P}^c$, or $z, w \in \mathcal{P}$, such that $z \neq w$ and $\text{Res}_e(z) = \text{Res}_e(w)$.

Proof. The converse implication follows by Lemma 6. Suppose that e is essential for μ . By definition, there exist $z, w \in A^S$ such that $\operatorname{Res}_e(z) = \operatorname{Res}_e(w)$ and $\mu(z) \neq \mu(w)$. Note that $z(e) \neq w(e)$ (as otherwise, z = w). We will show that we must have that either $z, w \in \mathcal{P}^c$ or $z, w \in \mathcal{P}$. For a contradiction, suppose that $z \in \mathcal{P}$ and $w \in \mathcal{P}^c$. Then, $\mu(w) = w(e)$ and, by Remark 1, we have $\mu(z) = f(z) = z(e)^c$. However, since $z(e) \neq w(e)$ and $A = \{0,1\}$, we must have that $w(e) = z(e)^c$. This contradicts that $\mu(z) \neq \mu(w)$.

Lemma 7. Let $\mathcal{P} \subseteq A^S$. Let $f: \mathcal{P} \to A$ and $g: \mathcal{P}^c \to A$ be two well-behaved functions. Suppose that (\mathcal{P}, f) generates $\mu: A^S \to A$ and that (\mathcal{P}^c, g) generates $\mu': A^S \to A$.

- 1. $s \in S \setminus \{e\}$ is essential for μ if and only if $s \in S \setminus \{e\}$ is essential for μ' .
- 2. If $A = \{0, 1\}$, then $mms(\mu) = mms(\mu')$.

Proof. For part (1), we use Lemma 5. It follows that $s \in S \setminus \{e\}$ is essential for μ if and only if there is $p \in \mathcal{P}$ and $z \in \mathcal{P}^c$ such that $\operatorname{Res}_s(p) = \operatorname{Res}_s(z)$, which holds if and only if s is essential for μ' . Part (2) follows by Corollary 1.

Remark 3. When $A = \{0,1\}$, the local map $\mu' : A^S \to A$ is different from what is known in the literature as the *complementary rule*, which is induced by the group-theoretic conjugation by the invertible cellular automaton that exchange 0's and 1's (ECA 51). For example, the complementary rule of the ECA 110 is the ECA 137; however, the cellular automaton generated by the complementary patterns of the ones that generate ECA 110 is the ECA 145 (which is the result of only composing on one side by ECA 51).

Lemma 8. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$.

- 1. If $|\mathcal{P}| < |A|^{|S|-1}$, then $e \in S$ is essential for μ .
- 2. If $|\mathcal{P}| > |A|^{|S|-1}$ and f is well-behaved, then $e \in S$ is essential for μ .

Proof. For point (1), observe that $\operatorname{Res}_e(\mathcal{P})$ is a proper subset of $A^{S\setminus\{e\}}$ because

$$|\operatorname{Res}_e(\mathcal{P})| \le |\mathcal{P}| < |A|^{|S|-1} = |A^{S\setminus \{e\}}|.$$

Let $y \in A^{S \setminus \{e\}} \setminus \text{Res}_e(\mathcal{P})$, and consider $z, w \in A^S$ such that $\text{Res}_e(z) = y = \text{Res}_e(w)$ and $z(e) \neq w(e)$. By construction of y, we must have that $z, w \in \mathcal{P}^c$. By Lemma 6 (1), we conclude that e is essential for τ .

For point (2), $|\mathcal{P}| > |A|^{|S|-1}$ implies that there exist $p, q \in \mathcal{P}$ such that $p \neq q$ and $\operatorname{Res}_e(p) = \operatorname{Res}_e(q)$. The result follows by Lemma 6 (2).

Corollary 2. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$, where f is well-behaved and $|\mathcal{P}|$ is not a multiple of |A|. Then,

$$mms(\tau) = S.$$

Proof. By Proposition 2, every $s \in S \setminus \{e\}$ is essential for μ . Since $|\mathcal{P}|$ is not a multiple of |A|, we have $|\mathcal{P}| \neq |A|^{|S|-1}$. It follows by Lemma 8 that $e \in S$ is also essential for μ .

Proposition 3. Let $S \subseteq G$ be a finite subset such that $e \in S$ and $|S| \ge 3$. Suppose that (\mathcal{P}, f) generates a local map $\mu : A^S \to A$, with f well-behaved and $|\mathcal{P}| = |A|$. Then, $mms(\mu) = S \setminus \{s\}$, for some $s \in S \setminus \{e\}$, or $mms(\mu) = S$.

Proof. We divide the proof in two cases.

• Case 1: There exists $s \in S \setminus \{e\}$ such that $\operatorname{Res}_s(p) = \operatorname{Res}_s(q)$ for all $p, q \in \mathcal{P}$. Since $|\mathcal{P}| = |A|$, there is no $z \in \mathcal{P}^c$ such that $\operatorname{Res}_s(z) = \operatorname{Res}_s(p)$ for some $p \in \mathcal{P}$. By Lemma 5 (2), s is not essential for μ . Now we can consider $\mu' : A^{S \setminus \{s\}} \to A$ defined by $\mu'(z) := \mu(\hat{z})$, for all $z \in A^{S \setminus \{s\}}$, where $\hat{z} \in A^S$ is any extension of z (this is well-defined because of the hypothesis of this case). Then μ' is generated by $(\{\operatorname{Res}_s(p)\}, f')$, for any $p \in \mathcal{P}$, where $f'(\operatorname{Res}_s(p)) = f(p)$. Since $\mu \sim \mu'$, and μ' is generated by a single pattern, it follows by Lemma 4 that

$$mms(\mu) = mms(\mu') = S \setminus \{e\}.$$

• Case 2: For all $s \in S \setminus \{e\}$ and for all $p, q \in \mathcal{P}$, we have $\mathrm{Res}_s(p) \neq \mathrm{Res}_s(q)$. Fix $s \in S \setminus \{e\}$ and $p \in \mathcal{P}$, and define $z \in A^S$ such that $\mathrm{Res}_s(z) = \mathrm{Res}_s(p)$ and $z(s) \neq p(s)$. By hypothesis, we must have $z \in \mathcal{P}^c$, so it follows by Lemma 5 (1) that s is essential for μ . By Lemma 8 (1), $e \in S$ is also essential for μ , as $|S| \geq 3$, so $\mathcal{P} = |A| < |A|^{|S|-1}$.

The proof of Theorem 1 follows from Proposition 2, Corollary 2, and Proposition 3.

Acknowledgments

The second author was supported by CONAHCYT Becas nacionales para estudios de posgrado, Government of Mexico.

References

- [1] Blanchard, F., Maass, A.: Dynamical behaviour of Coven's aperiodic cellular automata. Theoretical Computer Science **163.1-2** (1996) 291-302.
- [2] Castillo-Ramirez, A., Magaña-Chavez, M.G., Veliz-Quintero, E.: Idempotent cellular automata and their natural order, 2024. ArXiv: 2401.09593. https://doi.org/10.48550/arXiv.2401.09593
- [3] Ceccherini-Silberstein, T., Coornaert, M.: Cellular Automata and Groups. Springer Monographs in Mathematics, Springer-Verlag Berlin Heidelberg, 2010.
- [4] Ceccherini-Silberstein, T., Coornaert, M.: Exercises in Cellular Automata and Groups. Springer Monographs in Mathematics, Springer Cham, Switzerland, 2023.
- [5] Coven, E. M.: Topological entropy of block maps. Proceedings of the American Mathematical Society **78.4** (1980) 590-594.
- [6] Kari, J.: Theory of cellular automata: a survey. Theoretical Computer Science **334** (2005) 3 33. https://doi.org/10.1016/j.tcs.2004.11.021
- [7] Lind, D., Marcus, B.: An introduction to symbolic dynamics and coding. 2nd Ed. Cambridge University Press, 2021.
- [8] Lind, D.: Entropies of automorphisms of a topological Markov shift. Proceedings of the American Mathematical Society **99.3** (1987) 589-595.