

# Korevaar–Schoen $p$ -energy forms and associated $p$ -energy measures on fractals

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**Abstract** We construct good  $p$ -energy forms on metric measure spaces as pointwise subsequential limits of Besov-type  $p$ -energy functionals under certain geometric/analytic conditions. Such forms are often called *Korevaar–Schoen  $p$ -energy forms* in the literature. As an advantage of our approach, the associated  $p$ -energy measures are obtained and investigated. We also prove that our construction is applicable to the settings of Kigami [*Mem. Eur. Math. Soc.* **5** (2023)] and Cao–Gu–Qiu [*Adv. Math.* **405** (2022), no. 108517], yields Korevaar–Schoen  $p$ -energy forms comparable to the  $p$ -energy forms constructed in these papers, and can be further modified in the case of self-similar sets to obtain self-similar  $p$ -energy forms keeping most of the good properties of Korevaar–Schoen ones.

**Key words:** Korevaar–Schoen  $p$ -energy form,  $p$ -energy measure, generalized  $p$ -contraction property,  $p$ -resistance form, self-similar set, self-similar  $p$ -energy form

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## 1 Introduction

In this article, assuming that  $(K, d)$  is a locally compact separable metric space and that  $m$  is a Radon measure (i.e., a Borel measure finite on any compact subset) on  $K$  with full topological support (i.e., strictly positive on any non-empty open subset), we consider *Korevaar–Schoen-type*  $p$ -energy forms on  $(K, d, m)$ , where  $p \in (1, \infty)$ . Namely, we are concerned with a functional

$$E_{p,s}(u) := \limsup_{r \downarrow 0} \int_K \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{sp}} m(dy) m(dx), \quad u \in L^p(K, m),$$

where  $B_d(x, r) := \{y \in K \mid d(x, y) < r\}$  and  $f_A(\cdot) dm := \frac{1}{m(A)} \int_A (\cdot) dm$  for a Borel subset  $A$  of  $K$  with  $m(A) \in (0, \infty)$ . Here  $s \in (0, \infty)$  is a parameter controlling the smoothness of functions. In the classical settings, the  $n$ -dimensional Euclidean space  $(K, d, m) = (\mathbb{R}^n, |\cdot|, dx)$  for example, the choice  $s = 1$  is natural. Indeed, one can show (see, e.g., [33, Corollary 6.3] and [17, Theorem 7.13]; see also [15, Theorem 3.5] for a related result) that there exists  $C \in (0, \infty)$  such that the distributional gradient  $\nabla u$  of any Sobolev function  $u \in W^{1,p}(\mathbb{R}^n)$  satisfies

$$C^{-1} \int_{\mathbb{R}^n} |\nabla u|^p dx \leq \limsup_{r \downarrow 0} \int_{\mathbb{R}^n} \int_{|y-x|<r} \frac{|u(x) - u(y)|^p}{r^p} dy dx \leq C \int_{\mathbb{R}^n} |\nabla u|^p dx.$$

In particular, the domain of the functional  $E_{p,1}$  is given by the  $(1, p)$ -Sobolev space  $W^{1,p}(\mathbb{R}^n)$  in this case. Note that the functional  $E_{p,1}$  can be considered as a variant of the functional considered by Korevaar and Schoen in [31], where they constructed a  $(1, p)$ -Sobolev space  $W^{1,p}(\Omega, X)$  of maps from a domain  $\Omega$  in a Riemannian manifold to a complete metric space  $X$ . On the basis of an idea in [31], Koskela and MacManus [32] introduced a  $(1, p)$ -Sobolev space  $\mathcal{L}^{1,p}$  on any metric measure space satisfying the volume doubling property and the Poincaré inequality (in terms of weak upper gradients), a so-called *PI-space*, as the domain of a functional similar to  $E_{p,1}$ , and showed that  $\mathcal{L}^{1,p}$  coincides with the  $(1, p)$ -Sobolev spaces introduced by Hajłasz [16] and Hajłasz–Koskela [18]; see [32, Theorem 4.5]. For any PI-space  $(K, d, m)$ , one can show (see, e.g., [33, Corollary 6.3] and [19, Corollary 10.4.6]) that  $\mathcal{L}^{1,p} = \{u \in L^p(K, m) \mid E_{p,1}(u) < \infty\}$ , and it turns out that the exponent  $s = 1$  is critical in the sense that for every  $s > 1$ , any function

$u \in L^p(K, m)$  with  $E_{p,s}(u) < \infty$  is constant  $m$ -a.e. if  $K$  is connected. (See [19, Chapter 10] for various ways to define  $(1, p)$ -Sobolev spaces on  $(K, d, m)$  and relations among them.) Recently, for more general  $(K, d, m)$  which may not be a PI-space, Baudoin [5] proposed to define a  $(1, p)$ -Sobolev space  $\text{KS}^{1,p}$  as the domain  $\{u \in L^p(K, m) \mid E_{p,s}(u) < \infty\}$  of  $E_{p,s}$  with  $s = s_p$ , where  $s_p$  is the *critical  $L^p$ -Besov exponent* defined by

$$s_p := \sup\{s \in (0, \infty) \mid E_{p,s}(u) < \infty \text{ for some non-constant } u \in L^p(K, m)\},$$

and discussed some properties of  $\text{KS}^{1,p}$  such as Sobolev-type embeddings.

The aim of this article is to construct as nice a  $p$ -energy form  $\mathcal{E}_p^{\text{KS}}$  comparable to  $E_{p,s_p}$  as possible. Such  $\mathcal{E}_p^{\text{KS}}$  is desired to satisfy at least the following *generalized  $p$ -contraction property* (see Definition 2.2): if  $q_1 \in (0, p]$ ,  $q_2 \in [p, \infty]$ ,  $n_1, n_2 \in \mathbb{N}$  and  $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$  satisfies  $T(0) = 0$  and  $\|T(x) - T(y)\|_{\ell^{q_2}} \leq \|x - y\|_{\ell^{q_1}}$  for any  $x, y \in \mathbb{R}^{n_1}$ , then for any  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\text{KS}^{1,p})^{n_1}$ ,

$$T(\mathbf{u}) \in (\text{KS}^{1,p})^{n_2} \text{ and } \left\| (\mathcal{E}_p^{\text{KS}}(T_l(\mathbf{u}))^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}_p^{\text{KS}}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (1.1)$$

The property (1.1) has been introduced in [23] as arguably the strongest possible form of contraction properties of  $L^p$ -like energy forms. As revealed in [23], (1.1) plays important roles in developing *nonlinear potential theory* in general frameworks including typical self-similar fractals, on which one can construct  $p$ -energy forms via discrete approximations as established in [20, 29, 38, 7, 35] (see also [13] for a different approach). A problem with  $E_{p,s}$  is that  $E_{p,s}$  may not satisfy (1.1) because of the operation of taking limsup. To avoid this issue, we would like to take a limit (in some sense) of the Besov-type functionals

$$E_{p,s}(u, r) := \int_K \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{sp}} m(dy) m(dx) \quad (1.2)$$

as  $r \downarrow 0$ . This strategy does not work for all  $s \in (0, \infty)$ , but does work in the critical case  $s = s_p$  in the presence of the following *weak monotonicity* type estimate, which turns out to hold in many situations: there exists a constant  $C \in [1, \infty)$  such that for any  $u \in L^p(K, m)$  with  $\sup_{r>0} E_{p,s_p}(u, r) < \infty$ ,

$$\sup_{r>0} E_{p,s_p}(u, r) \leq C \liminf_{r \downarrow 0} E_{p,s_p}(u, r). \quad (1.3)$$

This condition (1.3) was introduced in [5] (see Example 3.14). Our first main result, Theorem 3.8, gives a desired  $p$ -energy form  $\mathcal{E}_p^{\text{KS}}$  as a subsequential limit of  $\{E_{p,s_p}(\cdot, r)\}_{r>0}$  under the assumption of (1.3). More precisely, in Theorem 3.8, we establish a subsequential limit of the energy functionals given by

$$\int_K \int_{B_d(x,r)} \frac{\text{sgn}(u(x) - u(y)) |u(x) - u(y)|^{p-1} (v(x) - v(y))}{r^{s_p p}} m(dy) m(dx),$$

that is, we directly construct a two-variable version  $\mathcal{E}_p^{\text{KS}}(u; v)$ , which is the counterpart of

$$(u, v) \mapsto \int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle dx \quad (1.4)$$

in the Euclidean case, where  $\langle \cdot, \cdot \rangle$  denotes the inner product on  $\mathbb{R}^n$ . An advantage of our construction is that we can obtain a good quantitative estimate on the continuity of  $\mathcal{E}_p^{\text{KS}}(u; v)$  with respect to the nonlinear part  $u$ . Namely, unlike our earlier result in [23] (see (2.12) below), the present construction of  $\mathcal{E}_p^{\text{KS}}$  allows us to achieve the best Hölder continuity exponent as expected from the formal expression (1.4), i.e., to show that there exists a constant  $C \in (0, \infty)$  such that for any  $u_1, u_2, v \in \text{KS}^{1,p}$ ,

$$|\mathcal{E}_p^{\text{KS}}(u_1; v) - \mathcal{E}_p^{\text{KS}}(u_2; v)| \leq C \left[ \max_{i \in \{1,2\}} \mathcal{E}_p^{\text{KS}}(u_i) \right]^{\frac{(p-2)^+}{p}} \mathcal{E}_p^{\text{KS}}(u_1 - u_2)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^{\text{KS}}(v)^{\frac{1}{p}}$$

(see (3.12)), which is not known for the  $p$ -energy forms constructed in the preceding works [20, 29, 38, 13, 7, 35]. See Section 3 for details.

Another superiority of our direct approach is that we can introduce the  $p$ -energy measures associated with  $\mathcal{E}_p^{\text{KS}}$ . Roughly speaking, for each  $u \in \text{KS}^{1,p}$ , the  $p$ -energy measure  $\Gamma_p^{\text{KS}}\langle u \rangle$  is a Radon measure on  $K$  playing the same role as  $|\nabla u|^p dx$  in the Euclidean case. Since we have no counterpart of  $|\nabla u|$ , it is highly non-trivial to construct  $\Gamma_p^{\text{KS}}\langle u \rangle$ ; indeed, it is not known how to construct canonical  $p$ -energy measures associated with a given  $p$ -energy form without relying on the self-similarity of the underlying space and the  $p$ -energy form (see [29, p. 113] and [35, Problem 12.5]). However, our construction of  $\mathcal{E}_p^{\text{KS}}$  allows us to employ a naive approach as described below. From the Leibniz and chain rules for the usual gradient operator  $\nabla$  on  $\mathbb{R}^n$ , we easily see that for any  $\varphi, u \in C^1(\mathbb{R}^n)$ ,

$$\varphi |\nabla u|^p = |\nabla u|^{p-2} \langle \nabla u, \nabla(u\varphi) \rangle - \left( \frac{p-1}{p} \right)^{p-1} \left| \nabla(|u|^{\frac{p}{p-1}}) \right|^{p-2} \langle \nabla(|u|^{\frac{p}{p-1}}), \nabla \varphi \rangle.$$

Since  $\mathcal{E}_p^{\text{KS}}(u; v)$  is expected to be the counterpart of  $\int_{\mathbb{R}^n} |\nabla u|^{p-2} \langle \nabla u, \nabla v \rangle dx$ , the  $p$ -energy measure  $\Gamma_p^{\text{KS}}\langle u \rangle$  of  $u \in \text{KS}^{1,p}$  associated with  $\mathcal{E}_p^{\text{KS}}$  should be characterized as a unique Radon measure on  $K$  such that for any  $\varphi \in \text{KS}^{1,p} \cap C_c(K)$ ,

$$\int_K \varphi d\Gamma_p^{\text{KS}}\langle u \rangle = \mathcal{E}_p^{\text{KS}}(u; u\varphi) - \left( \frac{p-1}{p} \right)^{p-1} \mathcal{E}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) =: \Psi_{p,u}^{\text{KS}}(\varphi). \quad (1.5)$$

In fact, in the case  $p = 2$ , this is exactly the same as the definition of energy measures in the theory of regular symmetric Dirichlet forms (see [12, (3.2.14)]). By virtue of our direct construction, we can show that  $\Psi_{p,u}^{\text{KS}}$  is a bounded positive linear functional on  $\text{KS}^{1,p} \cap C_c(K)$  and we obtain  $\Gamma_p^{\text{KS}}\langle u \rangle$  by applying the Riesz–Markov–Kakutani representation theorem under the assumption that  $\text{KS}^{1,p} \cap C_c(K)$  is dense in  $C_c(K)$  with respect to the uniform norm. We also establish some basic properties of  $\Gamma_p^{\text{KS}}\langle u \rangle$

like the generalized  $p$ -contraction property and the chain rule. See Section 4 for details.

As mentioned above, our construction of  $\mathcal{E}_p^{\text{KS}}(u; v)$  and  $\Gamma_p^{\text{KS}}\langle u \rangle$  relies heavily on the assumption of the weak monotonicity estimate (1.3), and fortunately it turns out that (1.3) holds in many situations. As proved in [5, Theorem 5.1] (see also [33, Corollary 6.3]), (1.3) holds on any PI-spaces. Besides, (1.3) has been proved for the Vicsek set and for the Sierpiński gasket in [5, Theorems 6.2 and 6.4], for nested fractals in [14, 8], for generalized Sierpiński carpets with  $p$  strictly greater than the Ahlfors regular conformal dimension in [39], and in a general setting including the Sierpiński carpet with any  $p \in (1, \infty)$  in [35, Theorem 7.1]. See also [21] for related results for the Sierpiński gasket. As extensions of these results, we present two general settings where we can show (1.3). The first one described in Section 5 (see Assumptions 5.19 and 5.35) is based on the notion of  $p$ -conductive homogeneity due to [29], and includes the settings of [29, Theorems 3.21 and 4.6] except that we need to assume the Ahlfors regularity of  $m$ , which is not assumed in [29, Theorem 3.21]. (This setting is very similar to that in [35, Section 7], although there are indeed slight differences between the setting of discrete approximations of  $(K, d)$  in [29] and that in [35].) In particular, *all* the examples of self-similar sets in [29, Sections 4.4–4.6] and those planned to be treated in [30] fall within the framework of our main results in Section 5 (see also Remark 5.15-(2)). The second one presented in Section 6 (see Assumption 6.1) treats the case of *post-critically finite self-similar structures*. In particular, by virtue of the work [7], this framework includes all *affine nested fractals*, which were covered only partially in [29] (see Remark 6.2-(3)).

Very recently, for any  $p \in [1, \infty)$ , Alonso-Ruiz and Baudoin [4] constructed  $p$ -energy forms and  $p$ -energy measures on PI-spaces as  $\Gamma$ -limits of  $E_{p,1}$  and  $\bar{\Gamma}$ -limits of localized versions of  $E_{p,1}$ , respectively. Their framework is very different from ours although we do not deal with the case  $p = 1$ . Indeed,  $s_p = 1$  on PI-spaces while  $s_p > 1$  on generalized Sierpiński carpets and some Sierpiński gaskets as proved in [23, Section 9]. Also, our construction of  $p$ -energy measures enables us to prove some fundamental properties of them, which were not shown in [4].

This article is organized as follows. In Section 2, we introduce the notion of  $p$ -energy form and the generalized  $p$ -contraction property and recall some basic consequences of this property, following [23]. In Section 3, we present basic notation related to the Besov-type functionals (1.2) and, under the assumptions of (1.3) and some mild conditions, we construct a good  $p$ -energy form  $\mathcal{E}_p^{\text{KS}}$  as a subsequential pointwise limit of  $\{E_{p,s_p}(\cdot, r)\}_{r>0}$ . We also recall the notion of  $p$ -resistance form and present a sufficient condition for  $\mathcal{E}_p^{\text{KS}}$  to be a  $p$ -resistance form in the end of Section 3. Section 4 is devoted to discussions on the  $p$ -energy measures associated with  $\mathcal{E}_p^{\text{KS}}$ . (More precisely, we prove these results in Sections 3 and 4 in a synthetic way for a more general family of kernels.) In Section 5, we first recall from [29] the setting of  $p$ -conductively homogeneous compact metric spaces and then verify (1.3) for them under some geometric assumptions. In Section 6, we show (1.3) for post-critically finite self-similar structures under the assumption of the existence of nice self-similar  $p$ -resistance forms. In Sections 5 and 6, we also show *localized energy estimates*, some estimates on localized versions  $\int_E f_{B_d(x,r)} \frac{|u(x)-u(y)|^p}{r^{ps_p}} m(dy)m(dx)$

of  $E_{p,s_p}(u)$  for any Borel subset  $E$  of  $K$ , and that our construction can be further modified in the case of self-similar sets to obtain self-similar  $p$ -energy forms keeping most of the good properties of Korevaar–Schoen ones.

**Notation** Throughout this paper, we use the following notation and conventions.

- (1) For  $[0, \infty]$ -valued quantities  $A$  and  $B$ , we write  $A \lesssim B$  to mean that there exists an implicit constant  $C \in (0, \infty)$  depending on some unimportant parameters such that  $A \leq CB$ . We write  $A \asymp B$  if  $A \lesssim B$  and  $B \lesssim A$ .
- (2) For a set  $A$ , we let  $\#A \in \mathbb{N} \cup \{0, \infty\}$  denote the cardinality of  $A$ .
- (3) We set  $\sup \emptyset := 0$  and  $\inf \emptyset := \infty$ . We write  $a \vee b := \max\{a, b\}$ ,  $a \wedge b := \min\{a, b\}$  and  $a^+ := a \vee 0$  for  $a, b \in [-\infty, \infty]$ , and we use the same notation also for  $[-\infty, \infty]$ -valued functions and equivalence classes of them. All numerical functions in this paper are assumed to be  $[-\infty, \infty]$ -valued.
- (4) Let  $X$  be a non-empty set. We define  $\text{id}_X: X \rightarrow X$  by  $\text{id}_X(x) := x$ ,  $\mathbf{1}_A = \mathbf{1}_A^X \in \mathbb{R}^X$  for  $A \subseteq X$  by  $\mathbf{1}_A(x) := \mathbf{1}_A^X(x) := \begin{cases} 1 & \text{if } x \in A, \\ 0 & \text{if } x \notin A, \end{cases}$  and set  $\|u\|_{\text{sup}} := \|u\|_{\text{sup}, X} := \sup_{x \in X} |u(x)|$  for  $u: X \rightarrow [-\infty, \infty]$ .
- (5) We define  $\text{sgn}: \mathbb{R} \rightarrow \mathbb{R}$  by  $\text{sgn}(a) := \mathbf{1}_{(0, \infty)}(a) - \mathbf{1}_{(-\infty, 0)}(a)$ .
- (6) Let  $X$  be a topological space. The Borel  $\sigma$ -algebra of  $X$  is denoted by  $\mathcal{B}(X)$ , the closure of  $A \subseteq X$  in  $X$  by  $\overline{A}^X$ , and we say that  $A \subseteq X$  is *relatively compact* in  $X$  if and only if  $\overline{A}^X$  is compact. We set  $C(X) := \{u \in \mathbb{R}^X \mid u \text{ is continuous}\}$ ,  $\text{supp}_X[u] := \overline{X \setminus u^{-1}(0)}^X$  for  $u \in C(X)$ ,  $C_b(X) := \{u \in C(X) \mid \|u\|_{\text{sup}} < \infty\}$ ,  $C_c(X) := \{u \in C(X) \mid \text{supp}_X[u] \text{ is compact}\}$ , and  $C_0(X) := \overline{C_c(X)}^{C_b(X)} = \{u \in C(X) \mid u^{-1}(\mathbb{R} \setminus (-\varepsilon, \varepsilon)) \text{ is compact for any } \varepsilon \in (0, \infty)\}$ , where  $C_b(X)$  is equipped with the uniform norm  $\|\cdot\|_{\text{sup}}$ .
- (7) Let  $X$  be a topological space having a countable open base. For a Borel measure  $m$  on  $X$  and a Borel measurable function  $f: X \rightarrow [-\infty, \infty]$  or an  $m$ -equivalence class  $f$  of such functions, we let  $\text{supp}_m[f]$  denote the support of the measure  $|f| dm$ , that is, the smallest closed subset  $F$  of  $X$  such that  $\int_{X \setminus F} |f| dm = 0$ .
- (8) Let  $(X, d)$  be a metric space. We set  $B_d(x, r) := \{y \in X \mid d(x, y) < r\}$  for  $(x, r) \in X \times (0, \infty)$ ,  $(A)_{d,r} := \bigcup_{x \in A} B_d(x, r)$  for  $A \subseteq X$  and  $r \in (0, \infty)$ , and  $\text{dist}_d(A, B) := \inf\{d(x, y) \mid x \in A, y \in B\}$  for  $A, B \subseteq X$ .
- (9) Let  $(X, \mathcal{B}, m)$  be a measure space. We set  $\int_A f dm := \frac{1}{m(A)} \int_A f dm$  for  $f \in L^1(X, m)$  and  $A \in \mathcal{B}$  with  $m(A) \in (0, \infty)$ , and set  $m|_A := m|_{\mathcal{B}|_A}$  for  $A \in \mathcal{B}$ , where  $\mathcal{B}|_A := \{B \cap A \mid B \in \mathcal{B}\}$ . When  $m$  is  $\sigma$ -finite, the product measure space of  $(X, \mathcal{B}, m)$  and itself is denoted by  $(X \times X, \mathcal{B} \otimes \mathcal{B}, m \times m)$ .

## 2 $p$ -Energy forms and generalized $p$ -contraction property

In this section, following [23], we recall the generalized  $p$ -contraction property and some basic consequences of it. Throughout this section, we fix  $p \in (1, \infty)$ , a measure space  $(K, \mathcal{B}, m)$ , a linear subspace  $\mathcal{F}$  of  $L^0(K, m) := L^0(K, \mathcal{B}, m)$ , where

$$L^0(K, \mathcal{B}, m) := \{\text{the } m\text{-equivalence class of } u \mid u: K \rightarrow \mathbb{R}, u \text{ is } \mathcal{B}\text{-measurable}\},$$

and a functional  $\mathcal{E}: \mathcal{F} \rightarrow [0, \infty)$  which is  $p$ -homogeneous, i.e., satisfies  $\mathcal{E}(au) = |a|^p \mathcal{E}(u)$  for any  $(a, u) \in \mathbb{R} \times \mathcal{F}$ . (Note that the pair  $(\mathcal{B}, m)$  is arbitrary. In the case where  $\mathcal{B} = 2^K$  and  $m$  is the counting measure on  $K$ , we have  $L^0(K, \mathcal{B}, m) = \mathbb{R}^K$ .)

Let us recall the definitions of a  $p$ -energy form and the generalized  $p$ -contraction property introduced in [23].

**Definition 2.1 ( $p$ -Energy form; [23, Definition 3.1])** The pair  $(\mathcal{E}, \mathcal{F})$  is said to be a  $p$ -energy form on  $(K, m)$  if and only if  $\mathcal{E}^{1/p}$  is a seminorm on  $\mathcal{F}$ .

**Definition 2.2 (Generalized  $p$ -contraction property; [23, Definition 2.1])** The pair  $(\mathcal{E}, \mathcal{F})$  is said to satisfy the *generalized  $p$ -contraction property*,  $(GC)_p$  for short, if and only if the following hold: if  $n_1, n_2 \in \mathbb{N}$ ,  $q_1 \in (0, p]$ ,  $q_2 \in [p, \infty]$  and  $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$  satisfies

$$T(0) = 0 \quad \text{and} \quad \|T(x) - T(y)\|_{\ell^{q_2}} \leq \|x - y\|_{\ell^{q_1}} \quad \text{for any } x, y \in \mathbb{R}^{n_1}, \quad (2.1)$$

then for any  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in \mathcal{F}^{n_1}$  we have

$$T(\mathbf{u}) \in \mathcal{F}^{n_2} \quad \text{and} \quad \left\| (\mathcal{E}(T_l(\mathbf{u}))^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (GC)_p$$

See [23, Sections 2 and 3] for details on consequences of  $(GC)_p$ . Here, in Propositions 2.3, 2.4 and 2.5, we recall some results from [23] that will be used in this paper.

**Proposition 2.3 ([23, Proposition 2.2])** Suppose that  $(\mathcal{E}, \mathcal{F})$  satisfies  $(GC)_p$ .

- (1)  $\mathcal{E}^{1/p}$  satisfies the triangle inequality. In particular,  $\mathcal{E}$  is convex on  $\mathcal{F}$ .
- (2) Let  $\varphi \in C(\mathbb{R})$  satisfy  $\varphi(0) = 0$  and  $|\varphi(t) - \varphi(s)| \leq |t - s|$  for any  $s, t \in \mathbb{R}$ . Then  $\varphi(u) \in \mathcal{F}$  and  $\mathcal{E}(\varphi(u)) \leq \mathcal{E}(u)$  for any  $u \in \mathcal{F}$ . Furthermore, for any  $u \in \mathcal{F} \cap L^\infty(K, m)$  and  $\Phi \in C^1(\mathbb{R})$  with  $\Phi(0) = 0$ , we have  $\Phi(u) \in \mathcal{F}$  and

$$\mathcal{E}(\Phi(u)) \leq \sup\{|\Phi'(t)|^p \mid t \in \mathbb{R}, |t| \leq \|u\|_{L^\infty(K, m)}\} \mathcal{E}(u). \quad (2.2)$$

- (3) For any  $u, v \in \mathcal{F}$ , we have  $u \wedge v, u \vee v \in \mathcal{F}$  and

$$\mathcal{E}(u \vee v) + \mathcal{E}(u \wedge v) \leq \mathcal{E}(u) + \mathcal{E}(v). \quad (2.3)$$

- (4) For any  $u, v \in \mathcal{F} \cap L^\infty(K, m)$ , we have  $uv \in \mathcal{F} \cap L^\infty(K, m)$  and

$$\mathcal{E}(uv)^{1/p} \leq \|v\|_{L^\infty(K, m)} \mathcal{E}(u)^{1/p} + \|u\|_{L^\infty(K, m)} \mathcal{E}(v)^{1/p}. \quad (2.4)$$

(5) If  $p \in (1, 2]$ , then for any  $u, v \in \mathcal{F}$ ,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \leq \mathcal{E}(u+v) + \mathcal{E}(u-v) \leq 2(\mathcal{E}(u) + \mathcal{E}(v)). \quad (2.5)$$

If  $p \in [2, \infty)$ , then for any  $u, v \in \mathcal{F}$ ,

$$2(\mathcal{E}(u)^{1/(p-1)} + \mathcal{E}(v)^{1/(p-1)})^{p-1} \geq \mathcal{E}(u+v) + \mathcal{E}(u-v) \geq 2(\mathcal{E}(u) + \mathcal{E}(v)). \quad (2.6)$$

**Proposition 2.4 ([23, Proposition 3.5])** Suppose that  $(\mathcal{E}, \mathcal{F})$  satisfies  $(\text{GC})_p$ . Then for any  $u, v \in \mathcal{F}$ ,

$$\mathcal{E}(u+v) + \mathcal{E}(u-v) - 2\mathcal{E}(u) \leq 2((p-1) \wedge 1) \left( \mathcal{E}(u)^{\frac{1}{p-1}} + \mathcal{E}(v)^{\frac{1}{p-1}} \right)^{(p-2)^+} \mathcal{E}(v)^{1 \wedge \frac{1}{p-1}}. \quad (2.7)$$

In particular, in view of the convexity of  $\mathcal{E}$  from Proposition 2.3-(1),  $\mathbb{R} \ni t \mapsto \mathcal{E}(u+tv) \in [0, \infty)$  is differentiable and

$$\lim_{s \rightarrow 0} \sup_{h \in \mathcal{F}; \mathcal{E}(h) \leq 1} \left| \frac{\mathcal{E}(u+sh) - \mathcal{E}(u)}{s} - \frac{d}{dt} \mathcal{E}(u+th) \Big|_{t=0} \right| = 0. \quad (2.8)$$

**Proposition 2.5 ([23, Theorem 3.6])** Suppose that  $(\mathcal{E}, \mathcal{F})$  satisfies  $(\text{GC})_p$ . For any  $u, v \in \mathcal{F}$ , we define

$$\mathcal{E}(u; v) := \frac{1}{p} \frac{d}{dt} \mathcal{E}(u+tv) \Big|_{t=0}, \quad (2.9)$$

which exists by Proposition 2.4. Then for any  $u, u_1, u_2, v \in \mathcal{F}$ ,  $\mathcal{E}(u; \cdot) : \mathcal{F} \rightarrow \mathbb{R}$  is linear,  $\mathcal{E}(u; u) = \mathcal{E}(u)$ ,  $\mathcal{E}(au; v) = \text{sgn}(a) |a|^{p-1} \mathcal{E}(u; v)$  for any  $a \in \mathbb{R}$ ,

$$\mathcal{E}(u; h) = 0 \quad \text{and} \quad \mathcal{E}(u+h; v) = \mathcal{E}(u; v) \quad \text{for any } h \in \mathcal{E}^{-1}(0), \quad (2.10)$$

$$|\mathcal{E}(u; v)| \leq \mathcal{E}(u)^{(p-1)/p} \mathcal{E}(v)^{1/p}, \quad (2.11)$$

$$|\mathcal{E}(u_1; v) - \mathcal{E}(u_2; v)| \leq c_p \left[ \max_{i \in \{1, 2\}} \mathcal{E}(u_i) \right]^{\frac{p-1-\alpha_p}{p}} \mathcal{E}(u_1 - u_2)^{\alpha_p/p} \mathcal{E}(v)^{1/p} \quad (2.12)$$

for  $\alpha_p := \frac{(p-1) \wedge 1}{p}$  and some  $c_p \in (0, \infty)$  determined solely and explicitly by  $p$ .

**Notation** Throughout this paper, for any  $p$ -energy form  $(\mathcal{E}, \mathcal{F})$  on  $(K, m)$  satisfying  $(\text{GC})_p$  and any  $u, v \in \mathcal{F}$ , we let  $\mathcal{E}(u; v) \in \mathbb{R}$  denote the element given by (2.9).

### 3 Construction and properties of Korevaar–Schoen $p$ -energy forms

In this section, we show the existence of *Korevaar–Schoen  $p$ -energy forms*, i.e., pointwise subsequential limits of the Besov-type  $p$ -energy functionals (1.2) under the assumption of the weak monotonicity estimate (1.3), and give some basic properties



of the limit  $p$ -energy forms. To be precise, we will prove these results for a more general *family of kernels* in a synthetic way in order to apply the results in this section to construct self-similar  $p$ -energy forms later in Sections 5 and 6.

Throughout this section, we fix a separable metric space  $(K, d)$  with  $\#K \geq 2$  and a  $\sigma$ -finite Borel measure  $m$  on  $K$  with full topological support. Under this setting, the map from  $C(K)$  to  $L^0(K, m)$  defined by taking  $u \in C(K)$  to its  $m$ -equivalence class is injective and hence gives a canonical embedding of  $C(K)$  into  $L^0(K, m)$  as a subalgebra, and we will consider  $C(K)$  as a subset of  $L^0(K, m)$  through this embedding without further notice.

We also fix  $p \in (1, \infty)$  throughout this section unless otherwise stated. We will state some definitions and statements below for any  $p \in [1, \infty)$ , but on each such occasion we will explicitly declare that we let  $p \in [1, \infty)$ .

First, we introduce a function space determined by a family of kernels  $\{k_r\}_{r>0}$ .

**Definition 3.1** Let  $p \in [1, \infty)$  and let  $\mathbf{k} = \{k_r\}_{r>0}$  be a sequence of  $[0, \infty]$ -valued Borel measurable functions on  $K \times K$  with  $k_r(x, y) = k_r(y, x)$  for  $m \times m$ -a.e.  $(x, y) \in K \times K$  and  $\text{ess sup}_{x \in K} \|k_r(x, \cdot)\|_{L^1(K, m)} < \infty$ <sup>1</sup>. We define a linear subspace  $B_{p, \infty}^{\mathbf{k}}$  of  $L^p(K, m)$  by

$$B_{p, \infty}^{\mathbf{k}} := \left\{ f \in L^p(K, m) \mid \sup_{r>0} \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) < \infty \right\} \quad (3.1)$$

and equip  $B_{p, \infty}^{\mathbf{k}}$  with the norm  $\|\cdot\|_{B_{p, \infty}^{\mathbf{k}}}$  defined by

$$\|f\|_{B_{p, \infty}^{\mathbf{k}}} := \|f\|_{L^p(K, m)} + \left( \sup_{r>0} \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) \right)^{1/p}.$$

Also, we define  $J_{p, r} : L^p(K, m) \rightarrow [0, \infty)$  by

$$J_{p, r}^{\mathbf{k}}(f) := \int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx), \quad f \in L^p(K, m).$$

In the rest of this section, we fix a family of kernels  $\mathbf{k} = \{k_r\}_{r>0}$  as in Definition 3.1. To state some basic properties of  $J_{p, r}^{\mathbf{k}}$ , let us recall the reverse Minkowski inequality (see, e.g., [1, Theorem 2.13]).

**Proposition 3.2 (Reverse Minkowski inequality)** *Let  $(Y, \mathcal{A}, \mu)$  be a measure space<sup>2</sup> and let  $r \in (0, 1]$ . Then for any  $\mathcal{A}$ -measurable functions  $f, g : Y \rightarrow [0, \infty]$ ,*

$$\left( \int_Y f^r d\mu \right)^{1/r} + \left( \int_Y g^r d\mu \right)^{1/r} \leq \left( \int_Y (f + g)^r d\mu \right)^{1/r}. \quad (3.2)$$

<sup>1</sup> Thanks to this condition, we easily see that  $\int_K \int_K |f(x) - f(y)|^p k_r(x, y) m(dy) m(dx) < \infty$  for any  $r \in (0, \infty)$  and any  $f \in L^p(K, m)$ .

<sup>2</sup> In the book [1], the reverse Minkowski inequality is stated and proved only for the  $L^r$ -space over non-empty open subsets of the Euclidean space equipped with the Lebesgue measure, but the same proof works for any measure space.

For ease of notation, we define  $\gamma_p : \mathbb{R} \rightarrow \mathbb{R}$  by

$$\gamma_p(a) := \operatorname{sgn}(a) |a|^{p-1}.$$

The following proposition is elementary.

**Proposition 3.3** *For any  $r \in (0, \infty)$ ,  $(J_{p,r}^k, L^p(K, m))$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ , and for any  $f, g \in L^p(K, m)$ ,*

$$J_{p,r}^k(f; g) = \int_K \int_K \gamma_p(f(x) - f(y))(g(x) - g(y)) k_r(x, y) m(dy) m(dx). \quad (3.3)$$

*Proof.* Suppose that  $T = (T_1, \dots, T_{n_2}) : \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$  satisfies (2.1) and that  $q_2 < \infty$ . Then for any  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in L^p(K, m)^{n_1}$  and any  $r \in (0, \infty)$ ,

$$\begin{aligned} & \sum_{l=1}^{n_2} J_{p,r}^k(T_l(\mathbf{u}))^{q_2/p} \\ & \stackrel{(3.2)}{\leq} \left( \int_K \int_K \left[ \sum_{l=1}^{n_2} |T_l(\mathbf{u}(xm)) - T_l(\mathbf{u}(y))|^{q_2} \right]^{p/q_2} k_r(x, y) m(dy) m(dx) \right)^{q_2/p} \\ & \stackrel{(2.1)}{\leq} \left( \int_K \int_K \left[ \sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} k_r(x, y) m(dy) m(dx) \right)^{q_2/p} \\ & \stackrel{(*)}{\leq} \left( \sum_{k=1}^{n_1} \left( \int_K \int_K |u_k(x) - u_k(y)|^p k_r(x, y) m(dy) m(dx) \right)^{q_1/p} \right)^{q_2/q_1} \\ & = \left( \sum_{k=1}^{n_1} J_{p,r}^k(u_k)^{q_1/p} \right)^{q_2/q_1}. \end{aligned} \quad (3.4)$$

Here we used the triangle inequality for the norm of  $L^{p/q_1}(K \times K, m_r(dxdy))$  in (\*), where  $m_r(dxdy) := k_r(x, y) m(dy) m(dx)$ . The proof for the case  $q_2 = \infty$  is similar, so  $(J_{p,r}^k, L^p(K, m))$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ . The equality (3.3) follows from the dominated convergence theorem.  $\square$

Similarly, we can show the next proposition.

**Proposition 3.4** *For  $r \in (0, \infty)$  and  $f \in L^p(K, m)$ , define  $N_{p,r}^k(f) := \|f\|_{L^p(K, m)}^p + J_{p,r}^k(f)$ . Then  $(N_{p,r}^k, L^p(K, m))$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ . In particular, for any  $f, g \in L^p(K, m)$  with  $N_{p,r}^k(f) \vee N_{p,r}^k(g) \leq 1$ ,*

$$N_{p,r}^k(f + g) \leq \left( 2^{p \vee \frac{p}{p-1}} - N_{p,r}^k(f - g) \right)^{(p-1) \wedge 1}. \quad (3.5)$$

*Proof.* A similar estimate as (3.4) shows that  $(N_{p,r}^k, L^p(K, m))$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ . The desired estimate (3.5) immediately follows from Proposition 2.3-(5).  $\square$

Let us introduce a couple of important conditions on  $\mathbf{k}$ .

**Definition 3.5** (1) We say that  $\mathbf{k} = \{k_r\}_{r>0}$  is *asymptotically local* if and only if there exists  $\{\delta(r)\}_{r>0} \subseteq (0, \infty)$  such that  $\lim_{r \downarrow 0} \delta(r) = 0$  and

$$\lim_{r \downarrow 0} \int_K \int_{K \setminus B_d(x, \delta(r))} k_r(x, y) m(dy) m(dx) = 0. \quad (3.6)$$

(2) Let  $p \in [1, \infty)$ . We say that  $(WM)_{p,\mathbf{k}}$  holds if and only if there exists  $C \in [1, \infty)$  such that

$$\sup_{r>0} J_{p,r}^{\mathbf{k}}(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f) \quad \text{for any } f \in B_{p,\infty}^{\mathbf{k}}. \quad (WM)_{p,\mathbf{k}}$$

The next theorem states that the normed space  $B_{p,\infty}^{\mathbf{k}}$  equipped with  $\|\cdot\|_{B_{p,\infty}^{\mathbf{k}}}$  is a nice Banach space. Our proof is very similar to that for the case of the  $(1, p)$ -Korevaar–Schoen–Sobolev space  $KS^{1,p}$  given in [5, Theorems 3.1 and 4.4]. We present a complete proof here to make this paper self-contained.

**Theorem 3.6** *For any  $p \in [1, \infty)$ , the normed space  $B_{p,\infty}^{\mathbf{k}}$  is a Banach space. Moreover, if  $p \in (1, \infty)$  and  $(WM)_{p,\mathbf{k}}$  holds, then  $B_{p,\infty}^{\mathbf{k}}$  is reflexive and separable.*

*Proof.* Let  $\{f_n\}_{n \in \mathbb{N}}$  be a Cauchy sequence in  $B_{p,\infty}^{\mathbf{k}}$ . Then there exists a  $L^p$ -limit  $f \in L^p(K, m)$  of  $\{f_n\}_{n \in \mathbb{N}}$ . For any  $\varepsilon > 0$  there exists  $N \in \mathbb{N}$  such that  $\|f_n - f_{n'}\|_{B_{p,\infty}^{\mathbf{k}}} < \varepsilon$  for any  $n, n' \geq N$ . By using Fatou's lemma, we see that  $J_{p,r}^{\mathbf{k}}(f - f_n) \leq \varepsilon^p$  for any  $n \geq N$  and hence

$$J_{p,r}^{\mathbf{k}}(f)^{1/p} \leq J_{p,r}^{\mathbf{k}}(f - f_n)^{1/p} + J_{p,r}^{\mathbf{k}}(f_n)^{1/p} \leq \varepsilon + \sup_{n \in \mathbb{N}} \|f_n\|_{B_{p,\infty}^{\mathbf{k}}}.$$

Therefore,  $f \in B_{p,\infty}^{\mathbf{k}}$  and  $\{f_n\}_n$  converges to  $f$  in  $B_{p,\infty}^{\mathbf{k}}$ , i.e.,  $B_{p,\infty}^{\mathbf{k}}$  is a Banach space.

Next we assume that  $p \in (1, \infty)$  and that  $(WM)_{p,\mathbf{k}}$  holds. Then  $\|f\|_{B_{p,\infty}^{\mathbf{k}}} := (\|f\|_{L^p(K,m)}^p + \limsup_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f))^{1/p}$  is a norm on  $B_{p,\infty}^{\mathbf{k}}$  that is equivalent to  $\|\cdot\|_{B_{p,\infty}^{\mathbf{k}}}$ . We will show that  $\|\cdot\|_{B_{p,\infty}^{\mathbf{k}}}$  is uniformly convex (see [10, Definition 1] for the definition) and thus  $B_{p,\infty}^{\mathbf{k}}$  is reflexive by the Milman–Pettis theorem (see, e.g., [19, Theorem 2.49]). Let  $\varepsilon > 0$  and  $f, g \in B_{p,\infty}^{\mathbf{k}}$  with  $\|f\|_{B_{p,\infty}^{\mathbf{k}}} \vee \|g\|_{B_{p,\infty}^{\mathbf{k}}} < 1$  and  $\|f - g\|_{B_{p,\infty}^{\mathbf{k}}} > \varepsilon$ . By [5, Lemma 4.11], it suffices to find  $\delta \in (0, \infty)$  that is independent of  $f, g$  such that  $\|f + g\|_{B_{p,\infty}^{\mathbf{k}}} \leq 2(1 - \delta)$ . Choose  $r_0 \in (0, \infty)$  so that

$$\|f\|_{L^p(K,m)}^p + J_{p,r}^{\mathbf{k}}(f) < 1, \quad \|g\|_{L^p(K,m)}^p + J_{p,r}^{\mathbf{k}}(g) < 1 \quad \text{for any } r \in (0, r_0).$$

Since  $(WM)_{p,\mathbf{k}}$  implies that

$$\begin{aligned}
\varepsilon^p &< \|f - g\|_{L^p(K, m)}^p + \limsup_{r \downarrow 0} J_{p, r}^k(f - g) \\
&\leq C \left( \|f - g\|_{L^p(K, m)}^p + \liminf_{r \downarrow 0} J_{p, r}^k(f - g) \right),
\end{aligned}$$

there exists  $r_1 \in (0, \infty)$  such that

$$\|f - g\|_{L^p(K, m)}^p + J_{p, r}^k(f - g) > C^{-1} \varepsilon^p \quad \text{for any } r \in (0, r_1).$$

Hence, for any  $r \in (0, r_0 \wedge r_1)$ , by using (3.5), we see that

$$\|f + g\|_{L^p(K, m)}^p + J_{p, r}^k(f + g) < \left[ 2^{p \vee \frac{p}{p-1}} - C^{-1} \varepsilon^p \right]^{(p-1) \wedge 1},$$

which implies  $\|f + g\|_{L^p(K, m)}^p + J_{p, r}^k(f + g) \leq 2^p(1 - \delta)$  for some  $\delta \in (0, \infty)$  depending only on  $p, C, \varepsilon$ . The desired uniform convexity is proved.

Since  $L^p(K, m)$  is separable and the inclusion map of  $B_{p, \infty}^k$  into  $L^p(K, m)$  is a continuous linear injection,  $B_{p, \infty}^k$  is separable by [2, Proposition 4.1].  $\square$

To obtain the local Hölder continuity with exponent  $(p - 1) \wedge 1$  of the Korevaar–Schoen  $p$ -energy forms (see Theorem 3.8-(d) below), we will need the following elementary inequality (see also [34, Proof of Corollary 5.8]).

**Lemma 3.7** *For any  $a, b \in \mathbb{R}$ ,*

$$|\gamma_p(a) - \gamma_p(b)| \leq \begin{cases} ((p - 1) \vee 2^{p-1}) |a - b|^{p-1} & \text{if } p \in (1, 2], \\ (p - 1)(|a|^{p-2} \vee |b|^{p-2}) |a - b| & \text{if } p \in (2, \infty). \end{cases}$$

*Proof.* The desired estimate is evident when  $|a| \wedge |b| = 0$ , so we can assume that  $0 < |b| \leq |a|$  by exchanging  $a$  and  $b$  if necessary. The proof is divided into the following five cases.

**Case 1:**  $p \in (1, 2]$  and  $ab < 0$ .

We can assume that  $b < 0 < a$  by considering  $-a, -b$  instead of  $a, b$  respectively if necessary. Note that  $|a| \leq |a - b|$ . We see that

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - (-b)^{p-1} \leq 2|a|^{p-1} \leq 2|a - b|^{p-1}.$$

**Case 2:**  $p \in (1, 2]$ ,  $ab > 0$  and  $|a - b| \leq |b|$ .

By the same reason as the previous case, we can assume that  $a \geq b > 0$ . Noting that  $|a - b|^{p-2} \geq |b|^{p-2}$ , we have

$$\begin{aligned}
|\gamma_p(a) - \gamma_p(b)| &= a^{p-1} - b^{p-1} = (p - 1) \int_b^a t^{p-2} dt \\
&\leq (p - 1) |b|^{p-2} |a - b| \leq (p - 1) |a - b|^{p-1}.
\end{aligned}$$

**Case 3:**  $p \in (1, 2]$ ,  $ab > 0$  and  $|a - b| \geq |b|$ .

Similar to the previous cases, we can assume that  $a \geq b > 0$ . Then  $|a - b| \geq |b|$  is equivalent to  $b \in (0, a/2]$ , whence  $\frac{a}{2} \leq a - b = |a - b|$ . Now we see that

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - b^{p-1} \leq a^{p-1} \leq 2^{p-1} |a - b|^{p-1}.$$

**Case 4:**  $p \in (2, \infty)$  and  $ab < 0$ .

In this case, we have  $|b|^{p-2} \leq |a|^{p-2}$  by  $p-2 \geq 0$ . We can assume that  $b < 0 < a$  similarly to Case 1. Then

$$|\gamma_p(a) - \gamma_p(b)| = |a|^{p-2} a - |b|^{p-2} b \leq |a|^{p-2} a - |a|^{p-2} b = |a|^{p-2} |a - b|.$$

**Case 5:**  $p \in (2, \infty)$  and  $ab > 0$ .

Similar to Cases 2 and 3, we can assume that  $a \geq b > 0$ . Then

$$|\gamma_p(a) - \gamma_p(b)| = a^{p-1} - b^{p-1} = (p-1) \int_b^a t^{p-2} dt \leq (p-1) |a|^{p-2} |a - b|.$$

The above five cases complete the proof.  $\square$

Now we can state and prove the first main theorem of this paper as follows. Recall that we have fixed  $p \in (1, \infty)$ .

**Theorem 3.8** *Suppose that  $(\text{WM})_{p,k}$  holds. Then any sequence  $\{\tilde{r}_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$  with  $\tilde{r}_n \rightarrow 0$  has a subsequence  $\{r_n\}_{n \in \mathbb{N}}$  such that the following limit exists in  $[0, \infty)$  for any  $f \in B_{p,\infty}^k$ :*

$$\mathcal{E}_p^k(f) := \lim_{n \rightarrow \infty} J_{p,r_n}^k(f). \quad (3.7)$$

*Moreover, for any such  $\{r_n\}_{n \in \mathbb{N}}$ , the functional  $\mathcal{E}_p^k: B_{p,\infty}^k \rightarrow [0, \infty)$  defined by (3.7) satisfies the following properties:*

(a)  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  is a  $p$ -energy form on  $(K, m)$  such that

$$C^{-1} \sup_{r>0} J_{p,r}^k(f) \leq \mathcal{E}_p^k(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^k(f) \quad \text{for any } f \in B_{p,\infty}^k, \quad (3.8)$$

*where  $C \in (0, \infty)$  is the same as in  $(\text{WM})_{p,k}$ . In particular,  $\mathbf{1}_K \in B_{p,\infty}^k$  and  $\mathcal{E}_p^k(\mathbf{1}_K) = 0$  if  $m(K) < \infty$ .*

(b)  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  satisfies  $(\text{GC})_p$ . Furthermore, for any  $f, g \in B_{p,\infty}^k$ ,  $\{J_{p,r_n}^k(f; g)\}_{n \in \mathbb{N}}$  is convergent in  $\mathbb{R}$  and

$$\mathcal{E}_p^k(f; g) = \lim_{n \rightarrow \infty} J_{p,r_n}^k(f; g). \quad (3.9)$$

(c) (Function-wise generalized  $p$ -contraction property) *Let  $n_1, n_2 \in \mathbb{N}$ ,  $q_1 \in (0, p]$ ,  $q_2 \in [p, \infty]$ ,  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k)^{n_1}$  and  $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^p(K, m)^{n_2}$ . If*

$$\|\mathbf{v}(x) - \mathbf{v}(y)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x) - \mathbf{u}(y)\|_{\ell^{q_1}} \quad \text{for } m \times m\text{-a.e. } (x, y) \in K \times K, \quad (3.10)$$

then  $\mathbf{v} \in (B_{p,\infty}^{\mathbf{k}})^{n_2}$  and

$$\left\| (\mathcal{E}_p^{\mathbf{k}}(v_l)^{1/p})_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| (\mathcal{E}_p^{\mathbf{k}}(u_k)^{1/p})_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (3.11)$$

- (d) (Local Hölder continuity) *There exists  $C_p \in (0, \infty)$  determined solely and explicitly by  $p$  such that for any  $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$ ,*

$$|\mathcal{E}_p^{\mathbf{k}}(f_1; g) - \mathcal{E}_p^{\mathbf{k}}(f_2; g)| \leq C_p \left[ \max_{i \in \{1,2\}} \mathcal{E}_p^{\mathbf{k}}(f_i) \right]^{\frac{(p-2)^+}{p}} \mathcal{E}_p^{\mathbf{k}}(f_1 - f_2)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^{\mathbf{k}}(g)^{\frac{1}{p}}. \quad (3.12)$$

- (e) (Strong locality) *Suppose that  $\mathbf{k}$  is asymptotically local.*

- (i) *Let  $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$ . If  $\text{supp}_m[f_1 - a_1 \mathbf{1}_K] \cap \text{supp}_m[f_2 - a_2 \mathbf{1}_K] = \emptyset$  and either  $\text{supp}_m[f_1 - a_1 \mathbf{1}_K]$  or  $\text{supp}_m[f_2 - a_2 \mathbf{1}_K]$  is compact for some  $a_1, a_2 \in \mathbb{R}$ , then*

$$\mathcal{E}_p^{\mathbf{k}}(f_1 + f_2 + g) + \mathcal{E}_p^{\mathbf{k}}(g) = \mathcal{E}_p^{\mathbf{k}}(f_1 + g) + \mathcal{E}_p^{\mathbf{k}}(f_2 + g), \quad (3.13)$$

$$\mathcal{E}_p^{\mathbf{k}}(f_1 + f_2; g) = \mathcal{E}_p^{\mathbf{k}}(f_1; g) + \mathcal{E}_p^{\mathbf{k}}(f_2; g). \quad (3.14)$$

- (ii) *Let  $f_1, f_2, g \in B_{p,\infty}^{\mathbf{k}}$ . If  $\text{supp}_m[f_1 - f_2 - a \mathbf{1}_K] \cap \text{supp}_m[g - b \mathbf{1}_K] = \emptyset$  and either  $\text{supp}_m[f_1 - f_2 - a \mathbf{1}_K]$  or  $\text{supp}_m[g - b \mathbf{1}_K]$  is compact for some  $a, b \in \mathbb{R}$ , then*

$$\mathcal{E}_p^{\mathbf{k}}(f_1; g) = \mathcal{E}_p^{\mathbf{k}}(f_2; g) \quad \text{and} \quad \mathcal{E}_p^{\mathbf{k}}(g; f_1) = \mathcal{E}_p^{\mathbf{k}}(g; f_2). \quad (3.15)$$

- (f) (Invariance) *Let  $T: K \rightarrow K$  be Borel measurable and preserve  $m$ , i.e., satisfy  $T^{-1}(A) \in \mathcal{B}(K)$  and  $m(T^{-1}(A)) = m(A)$  for any  $A \in \mathcal{B}(K)$ . If  $\mathbf{k}$  is  $T$ -invariant, i.e.,  $k_r(T(x), T(y)) = k_r(x, y)$  for  $m \times m$ -a.e.  $(x, y) \in K \times K$  for each  $r \in (0, \infty)$ , then  $f \circ T \in B_{p,\infty}^{\mathbf{k}}$  and  $\mathcal{E}_p^{\mathbf{k}}(f \circ T) = \mathcal{E}_p^{\mathbf{k}}(f)$  for any  $f \in B_{p,\infty}^{\mathbf{k}}$ .*

**Definition 3.9 ( $\mathbf{k}$ -Korevaar–Schoen  $p$ -energy form)** Suppose that  $(\text{WM})_{p,\mathbf{k}}$  holds. For each sequence  $\{r_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$  as in Theorem 3.8, the  $p$ -energy form  $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$  on  $(K, m)$  defined by (3.7) is called the  $\mathbf{k}$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$  along  $\{r_n\}_{n \in \mathbb{N}}$ .

**Remark 3.10** Advantages of our  $p$ -energy form  $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$  on  $(K, m)$  are (c) and (d). The estimate (3.12) with the Hölder continuity exponent  $(p-1) \wedge 1$  is not known for the  $p$ -energy forms constructed in [7, 20, 29, 35, 38]. (As stated in Proposition 2.5, the existence of the derivative as in (2.9) and its local Hölder continuity (2.12) with exponent  $\frac{(p-1) \wedge 1}{p}$  for  $p$ -energy forms satisfying  $(\text{GC})_p$  have been proved in [23].) We also do not know whether (c) holds for the  $p$ -energy forms constructed in [7, 20, 29, 35, 38].

*Proof of Theorem 3.8.* Fix a sequence of positive numbers  $\{\tilde{r}_n\}_{n \in \mathbb{N}}$  with  $\tilde{r}_n \rightarrow 0$ . Since  $B_{p,\infty}^{\mathbf{k}}$  is separable by Theorem 3.6, there exists a countable dense subset  $\mathcal{C}$  of  $B_{p,\infty}^{\mathbf{k}}$ . A standard diagonal argument yields a subsequence  $\{r_n\}_{n \in \mathbb{N}}$  of  $\{\tilde{r}_n\}_{n \in \mathbb{N}}$

so that  $\lim_{n \rightarrow \infty} J_{p,r_n}^k(u)$  exists in  $\mathbb{R}$  for any  $u \in \mathcal{C}$ . Let  $\varepsilon > 0$ ,  $f \in B_{p,\infty}^k$  and pick  $f_* \in \mathcal{C}$  satisfying  $\sup_{r>0} J_{p,r}^k(f - f_*)^{1/p} < \varepsilon$ . Then for any  $k, l \in \mathbb{N}$ , by using the triangle inequality for  $J_{p,r}^k(\cdot)^{1/p}$ ,

$$\begin{aligned} & \left| J_{p,r_k}^k(f)^{1/p} - J_{p,r_l}^k(f)^{1/p} \right| \\ & \leq J_{p,r_k}^k(f - f_*)^{1/p} + \left| J_{p,r_k}^k(f_*)^{1/p} - J_{p,r_l}^k(f_*)^{1/p} \right| + J_{p,r_l}^k(f - f_*)^{1/p} \\ & \leq 2\varepsilon + \left| J_{p,r_k}^k(f_*)^{1/p} - J_{p,r_l}^k(f_*)^{1/p} \right|. \end{aligned}$$

Letting  $k \wedge l \rightarrow \infty$  in this inequality, we obtain

$$\limsup_{k \wedge l \rightarrow \infty} \left| J_{p,r_k}^k(f)^{1/p} - J_{p,r_l}^k(f)^{1/p} \right| \leq 2\varepsilon,$$

which proves that  $\{J_{p,r_n}^k(f)\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $[0, \infty)$  and hence is convergent in  $[0, \infty)$ . Now we define  $\mathcal{E}_p^k : B_{p,\infty}^k \rightarrow [0, \infty)$  by  $\mathcal{E}_p^k(f) := \lim_{n \rightarrow \infty} J_{p,r_n}^k(f)$ .

Clearly,  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  is a  $p$ -energy form on  $(K, m)$  satisfying (3.8) by  $(\text{WM})_{p,k}$ . Let us show (b), (c), (d) and (e) because the other properties (a) and (f) are immediate from the expression of  $J_{p,r}^k(\cdot)$  and the definition of  $\mathcal{E}_p^k$ .

(b),(c): Obviously, (c) implies  $(\text{GC})_p$  for  $(\mathcal{E}_p^k, B_{p,\infty}^k)$ , so we first show (c). For simplicity, we consider the case  $q_2 < \infty$  (the case  $q_2 = \infty$  is similar). Let  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k)^{n_1}$  and  $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^p(K, m)^{n_2}$  satisfy (3.10). Then the same argument as in (3.4) shows that for any  $r \in (0, \infty)$ ,

$$\sum_{l=1}^{n_2} J_{p,r}^k(v_l)^{q_2/p} \leq \left( \sum_{k=1}^{n_1} J_{p,r}^k(u_k)^{q_1/p} \right)^{q_2/q_1}, \quad (3.16)$$

which implies that  $v_l \in B_{p,\infty}^k$  for any  $l \in \{1, \dots, n_2\}$ . Using (3.7) to take the limit of (3.16) with  $r = r_n$  as  $n \rightarrow \infty$ , we obtain  $\sum_{l=1}^{n_2} \mathcal{E}_p^k(v_l)^{q_2/p} \leq (\sum_{k=1}^{n_1} \mathcal{E}_p^k(u_k)^{q_1/p})^{q_2/q_1}$ . This completes the proof of (c).

Next we prove (3.9). We know that  $\mathcal{E}_p^k$  is Fréchet differentiable on  $B_{p,\infty}^k$  by (2.8) in Proposition 2.4. Also, by combining (2.7) (in Proposition 2.4) for  $J_{p,r}^k$  and the convexity of  $t \mapsto J_{p,r}^k(f + tg)$ , we see that for any  $t \in (0, 1)$ ,

$$\begin{aligned} & \left| \frac{J_{p,r}^k(f + tg) - J_{p,r}^k(f)}{t} - p J_{p,r}^k(f; g) \right| \\ & = \left| \frac{J_{p,r}^k(f + tg) - J_{p,r}^k(f)}{t} - \frac{d}{dt} J_{p,r}^k(f + tg) \right|_{t=0} \\ & \leq \frac{J_{p,r}^k(f + tg) + J_{p,r}^k(f - tg) - 2J_{p,r}^k(f)}{t} \stackrel{(2.7)}{\leq} O_t(f; g), \end{aligned}$$

where  $O_t(f; g) = C_{p,f,g} t^{(p-1) \wedge \frac{1}{p-1}}$  for some constant  $C_{p,f,g}$  which depends only on  $p, |f|_{B_{p,\infty}^k}$  and  $|g|_{B_{p,\infty}^k}$ . Hence we see that

$$\begin{aligned} & \limsup_{n \rightarrow \infty} |\mathcal{E}_p^k(f; g) - J_{p,r_n}^k(f; g)| \\ & \leq \lim_{n \rightarrow \infty} \left| \mathcal{E}_p^k(f; g) - \frac{1}{p} \cdot \frac{J_{p,r_n}^k(f + tg) - J_{p,r_n}^k(f)}{t} \right| + \frac{1}{p} O_t(f; g) \\ & = \left| \mathcal{E}_p^k(f; g) - \frac{1}{p} \cdot \frac{\mathcal{E}_p^k(f + tg) - \mathcal{E}_p^k(f)}{t} \right| + \frac{1}{p} O_t(f; g) \xrightarrow[t \downarrow 0]{} 0, \end{aligned}$$

which shows (3.9).

(d): This is immediate from (3.3), Hölder's inequality, Lemma 3.7 and (3.9).

(e): Note that (3.13) with  $f_2 - f_1, tg, f_1$  for  $t \in \mathbb{R} \setminus \{0\}$  in place of  $f_1, f_2, g$  and (3.9) together imply the former equality in (3.15), which in turn with  $g, 0, f_1 - f_2$  in place of  $f_1, f_2, g$  yields the latter by the linearity of  $\mathcal{E}_p^k(g; \cdot)$ . Also, (3.14) follows by applying (3.13) with  $g$  replaced by  $tg$  for  $t \in \mathbb{R} \setminus \{0\}$  and by 0, taking the differences of both sides of the resulting equalities, dividing both sides by  $t$  and then letting  $t \rightarrow 0$  on the basis of (3.9). (See [23, Section 3] for the details of these arguments.) Hence it suffices to prove (3.13). For simplicity, for  $u \in L^p(K, m)$  and  $E \in \mathcal{B}(K)$ , define

$$\tilde{J}_{p,r}^k(u | E) := \int_E \int_{B_d(x, \delta(r))} |u(x) - u(y)|^p k_r(x, y) m(dy) m(dx),$$

and set  $A_i := \text{supp}_m[f_i - a_i \mathbf{1}_K]$  for  $i \in \{1, 2\}$ . We also set  $\tilde{J}_{p,r}^k(u) := \tilde{J}_{p,r}^k(u | K)$ . Note that there exists  $r_0 \in (0, \infty)$  such that  $\text{dist}_d(A_1, A_2) > 2\delta(r)$  for any  $r \in (0, r_0)$  since either  $A_1$  or  $A_2$  is compact. Set  $N_r := K \setminus ((A_1)_{d, \delta(r)} \cup (A_2)_{d, \delta(r)})$  for  $r \in (0, \infty)$ . Then for any  $r \in (0, r_0)$ ,

$$\begin{aligned} & \tilde{J}_{p,r}^k(f_1 + f_2 + g) + \tilde{J}_{p,r}^k(g) \\ &= \tilde{J}_{p,r}^k(f_1 + g | (A_1)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(f_2 + g | (A_2)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | N_r) + \tilde{J}_{p,r}^k(g) \\ &= \tilde{J}_{p,r}^k(f_1 + g | (A_1)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | (A_2)_{d, \delta(r)} \cup N_r) \\ & \quad + \tilde{J}_{p,r}^k(f_2 + g | (A_2)_{d, \delta(r)}) + \tilde{J}_{p,r}^k(g | (A_1)_{d, \delta(r)} \cup N_r) \\ &= \tilde{J}_{p,r}^k(f_1 + g) + \tilde{J}_{p,r}^k(f_2 + g). \end{aligned} \tag{3.17}$$

Noting that  $\lim_{n \rightarrow \infty} \tilde{J}_{p,r_n}^k(u) = \mathcal{E}_p^k(u)$  for any  $u \in B_{p,\infty}^k \cap L^\infty(K, m)$  by (3.7) and the asymptotic locality of  $k$ , we obtain (3.13) by letting  $r := r_n$  and  $n \rightarrow \infty$  in (3.17) provided  $f_1, f_2, g \in B_{p,\infty}^k \cap L^\infty(K, m)$ . Finally, since  $(-n) \vee (u \wedge n) \in B_{p,\infty}^k \cap L^\infty(K, m)$ ,  $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - (-n) \vee (u \wedge n)) = 0$  (see [23, Section 3] for details) and  $\text{supp}_m[u - c \mathbf{1}_K] = \text{supp}_m[(-n) \vee (u \wedge n) - c \mathbf{1}_K]$  for any  $u \in B_{p,\infty}^k$  and any  $(n, c) \in \mathbb{N} \times \mathbb{R}$  with  $n > |c|$ , (3.13) extends to the remaining case  $\{f_1, f_2, g\} \not\subseteq L^\infty(K, m)$  by the triangle inequality for  $\mathcal{E}_p^k(\cdot)^{1/p}$ , completing the proof.  $\square$



Next we would like to state further properties of  $k$ -Korevaar–Schoen  $p$ -energy forms in the “strongly  $p$ -recurrent” case. To this end, we recall the notion of  $p$ -resistance form introduced in [23] (see [25, 27] for the theory for the case  $p = 2$ ).

**Definition 3.11 ( $p$ -Resistance form)** Let  $K$  be a non-empty set. The pair  $(\mathcal{E}, \mathcal{F})$  of  $\mathcal{F} \subseteq \mathbb{R}^K$  and  $\mathcal{E}: \mathcal{F} \rightarrow [0, \infty)$  is said to be a  $p$ -resistance form on  $K$  if and only if it satisfies the following conditions (RF1) $_p$ –(RF5) $_p$ :

- (RF1) $_p$   $\mathcal{F}$  is a linear subspace of  $\mathbb{R}^K$  (containing  $\mathbb{R}\mathbf{1}_K$ ) and  $\mathcal{E}(\cdot)^{1/p}$  is a seminorm on  $\mathcal{F}$  satisfying  $\{u \in \mathcal{F} \mid \mathcal{E}(u) = 0\} = \mathbb{R}\mathbf{1}_K$ .
- (RF2) $_p$  The quotient normed space  $(\mathcal{F}/\mathbb{R}\mathbf{1}_K, \mathcal{E}^{1/p})$  is a Banach space.
- (RF3) $_p$  If  $x \neq y \in K$ , then there exists  $u \in \mathcal{F}$  such that  $u(x) \neq u(y)$ .
- (RF4) $_p$  For any  $x, y \in K$ ,

$$R_{\mathcal{E}}(x, y) := R_{(\mathcal{E}, \mathcal{F})}(x, y) := \sup \left\{ \frac{|u(x) - u(y)|^p}{\mathcal{E}(u)} \mid u \in \mathcal{F} \setminus \mathbb{R}\mathbf{1}_K \right\} < \infty. \quad (3.18)$$

- (RF5) $_p$   $(\mathcal{E}, \mathcal{F})$  satisfies (GC) $_p$ .

We also need to recall the following standard notions on the metric  $d$  and the measure  $m$ .

**Definition 3.12** Let  $Q \in (0, \infty)$ .

- (1) The metric  $d$  is said to be *metric doubling* if and only if for any  $\delta \in (0, 1)$  there exists  $N \in \mathbb{N}$  such that for any  $(x, r) \in K \times (0, \infty)$  we can find  $\{x_j\}_{j=1}^N \subseteq K$  so that  $B_d(x, r) \subseteq \bigcup_{j=1}^N B_d(x_j, \delta r)$ .
- (2) The measure  $m$  is said to be *volume doubling with growth exponent  $Q$*  (with respect to the metric  $d$ ) if and only if there exists  $C_D \in (0, \infty)$  such that

$$m(B_d(x, s)) \leq C_D \left(\frac{s}{r}\right)^Q m(B_d(x, r)) < \infty \quad \text{for any } x \in K \text{ and any } 0 < r \leq s. \quad (3.19)$$

- (3) The measure  $m$  is said to be  *$Q$ -Ahlfors regular* (with respect to the metric  $d$ ) if and only if there exists  $C_{AR} \in [1, \infty)$  such that

$$C_{AR}^{-1} s^Q \leq m(B_d(x, s)) \leq C_{AR} s^Q \quad \text{for any } (x, s) \in K \times (0, \text{diam}(K, d)). \quad (3.20)$$

It is well known that the  $Q$ -Ahlfors regularity of  $m$  implies that the volume doubling property of  $m$  with growth exponent  $Q$ , and that the volume doubling property of  $m$  with respect to  $d$  implies the metric doubling property of  $d$ .

Now we give a sufficient condition for a  $k$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^k, B_{p, \infty}^k)$  on  $(K, m)$  to be a  $p$ -resistance form on  $K$ .

**Proposition 3.13** Suppose that there exist  $Q, \beta_p \in (0, \infty)$  with  $\beta_p > Q$  such that the following hold:

- (i) The measure  $m$  satisfies  $m(K) < \infty$  and is volume doubling with growth exponent  $Q \in (0, \infty)$ .

- (ii)  $(\text{WM})_{p,k}$  holds.
- (iii)  $\{u \in B_{p,\infty}^k \mid \sup_{r>0} J_{p,r}^k(u) = 0\} = \mathbb{R}\mathbf{1}_K$ .
- (iv)  $B_{p,\infty}^k \subseteq C(K)$ , and there exists  $C \in (0, \infty)$  such that for any  $f \in B_{p,\infty}^k$  and any  $x, y \in K$ ,

$$|f(x) - f(y)| \leq C d(x, y)^{(\beta_p - Q)/p} \sup_{r>0} J_{p,r}^k(f)^{1/p}, \quad x, y \in K. \quad (3.21)$$

- (v) There exists  $C \in (0, \infty)$  such that for any  $(x, s) \in K \times (0, \infty)$  with  $B_d(x, s) \neq K$ ,

$$\begin{aligned} & \inf \left\{ \sup_{r>0} J_{p,r}^k(f) \mid f \in C(K), \text{supp}_K[f] \subseteq B_d(x, 2s), f \geq 1 \text{ on } B_d(x, s) \right\} \\ & \leq C \frac{m(B_d(x, s))}{s^{\beta_p}}. \end{aligned} \quad (3.22)$$

Then any  $k$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  on  $(K, m)$ , which exists by (ii) and Theorem 3.8, is a  $p$ -resistance form on  $K$ . If in addition  $m$  is  $Q$ -Ahlfors regular, then there exist  $\alpha_0, \alpha_1 \in (0, \infty)$  such that for any such  $(\mathcal{E}_p^k, B_{p,\infty}^k)$ ,

$$\alpha_0 d(x, y)^{\beta_p - Q} \leq R_{\mathcal{E}_p^k}(x, y) \leq \alpha_1 d(x, y)^{\beta_p - Q} \quad \text{for any } x, y \in K. \quad (3.23)$$

*Proof.* Let  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  be a  $k$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$ . We shall show that  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  is a  $p$ -resistance form on  $K$ .  $(\text{RF1})_p$  and  $(\text{RF5})_p$  are clear from Theorem 3.8 and (iii). The condition (3.22) immediately implies  $(\text{RF3})_p$ . By (3.21) and the lower inequality in (3.8) we have  $R_{\mathcal{E}_p^k}(x, y) \lesssim d(x, y)^{\beta_p - Q}$  for any  $x, y \in K$ , whence  $(\text{RF4})_p$  and the upper estimate in (3.23) hold. In particular,  $\sup_{x,y \in K} R_{\mathcal{E}_p^k}(x, y) < \infty$ . To prove  $(\text{RF2})_p$ , we see from (3.21) that for any  $f \in B_{p,\infty}^k$ ,

$$\begin{aligned} \int_K \left| f(x) - \int_K f \, dm \right|^p m(dx) & \leq \int_K \int_K |f(x) - f(y)|^p m(dy) m(dx) \\ & \lesssim \left( \sup_{x,y \in K} R_{\mathcal{E}_p^k}(x, y) \right) \mathcal{E}_p^k(f) m(K). \end{aligned} \quad (3.24)$$

Let  $\{f_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k$  be a Cauchy sequence in  $(B_{p,\infty}^k / \mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$  with  $\int_K f_n \, dm = 0$ . Then (3.24) implies that  $\{f_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $L^p(K, m)$ , and thus  $\{f_n\}_{n \in \mathbb{N}}$  is a Cauchy sequence in  $B_{p,\infty}^k$ . Since  $B_{p,\infty}^k$  is a Banach space by Theorem 3.6, we conclude that  $(B_{p,\infty}^k / \mathbb{R}\mathbf{1}_K, \mathcal{E}_p^k(\cdot)^{1/p})$  is also a Banach space.

Next we show the lower estimate in (3.23) under the assumption that  $m$  is  $Q$ -Ahlfors regular. Let  $x, y \in K$  and let  $s > 0$  satisfy  $d(x, y) > 2s \geq 2^{-1}d(x, y)$ . Then  $B_d(x, s) \neq \emptyset$ . By (3.22), there exists  $f \in B_{p,\infty}^k \cap C(K)$  such that  $\text{supp}_K[f] \subseteq B_d(x, s)$ ,  $f \geq 1$  on  $B_d(x, 2s)$  and  $\mathcal{E}_p^k(f) \leq C_1 s^{Q - \beta_p}$ , where  $C_1 \in (0, \infty)$  depends only on  $C$  in (3.22) and  $C_{\text{AR}}$  in (3.20). Hence we have

$$R_{\mathcal{E}_p^k}(x, y) \geq \mathcal{E}_p^k(f)^{-1} \geq C_1^{-1} s^{\beta_p - Q} \gtrsim d(x, y)^{\beta_p - Q}. \quad \square$$

**Example 3.14 (Korevaar–Schoen–Sobolev space)** In addition to the setting specified at the beginning of this section, we suppose that  $K$  is connected and that  $m(B_d(x, r)) < \infty$  for any  $(x, r) \in K \times (0, \infty)$ . For  $s > 0$ , define  $\mathbf{k}^s = \{k_r^s\}_{r>0}$  by

$$k_r^s(x, y) := \frac{\mathbf{1}_{B_d(x, r)}(y)}{r^{ps}m(B_d(x, r))}, \quad x, y \in K. \quad (3.25)$$

Clearly,  $\mathbf{k}^s$  is asymptotically local. We define the *Besov–Lipschitz space*  $B_{p, \infty}^s$  by  $B_{p, \infty}^s := B_{p, \infty}^{\mathbf{k}^s}$ . Then the *critical  $L^p$ -Besov exponent*  $s_p$  of  $(K, d, m)$  is defined as

$$s_p := \sup\{s > 0 \mid B_{p, \infty}^s \text{ contains a non-constant function}\}. \quad (3.26)$$

We call  $\text{KS}^{1, p} := B_{p, \infty}^{s_p}$  the  $(1, p)$ -Korevaar–Schoen–Sobolev space on  $(K, d, m)$ . We also write  $\text{KS}^{1, p}(K, d, m)$  for  $\text{KS}^{1, p}$  when we would like to clarify the underlying metric measure space  $(K, d, m)$ . If  $m$  is  $Q$ -Ahlfors regular with respect to  $d$  for some  $Q \in (0, \infty)$ , then  $\mathbf{k}^{s_p, Q} = \{k_r^{s_p, Q}\}_{r>0}$  given by

$$k_r^{s_p, Q}(x, y) := r^{-ps_p - Q} \mathbf{1}_{B_d(x, r)}(y), \quad x, y \in K,$$

which again is obviously asymptotically local, also corresponds to the  $(1, p)$ -Korevaar–Schoen–Sobolev space, i.e.,  $B_{p, \infty}^{\mathbf{k}^{s_p, Q}} = \text{KS}^{1, p}$ . If  $(\text{WM})_{p, \mathbf{k}^{s_p}}$  holds, then we write  $\mathcal{E}_p^{\text{KS}}$  instead of  $\mathcal{E}_p^{\mathbf{k}^{s_p}}$  and call each  $\mathbf{k}^{s_p}$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^{\text{KS}}, \text{KS}^{1, p})$  on  $(K, m)$  a *Korevaar–Schoen  $p$ -energy form* on  $(K, d, m)$ .

It is not easy to verify  $(\text{WM})_{p, \mathbf{k}}$  and (3.22) in general; see Sections 5 and 6 for some settings in which we can prove  $(\text{WM})_{p, \mathbf{k}}$  and (3.22). On the other hand, a reasonable sufficient condition for (3.21) is known. In fact, if  $m$  is volume doubling with growth exponent  $Q \in (0, \infty)$  and  $ps_p > Q$ , then (3.21) holds for  $\text{KS}^{1, p}$ ; see, e.g., [3, Theorem 5.1] or [5, Theorem 3.2].

## 4 Associated $p$ -energy measures and chain rule

Next in this section, we introduce the  $p$ -energy measures associated with a given  $\mathbf{k}$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$ , and show their basic properties.

Throughout this section, as in the previous section, we fix  $p \in (1, \infty)$ , a separable metric space  $(K, d)$  with  $\#K \geq 2$  and a  $\sigma$ -finite Borel measure  $m$  on  $K$  with full topological support. In addition, we suppose that  $(K, d)$  is locally compact. We also fix a family of kernels  $\mathbf{k} = \{k_r\}_{r>0}$  as in Definition 3.1, suppose that  $\mathbf{k}$  is asymptotically local and that  $(\text{WM})_{p, \mathbf{k}}$  holds, and fix an arbitrary sequence  $\{r_n\}_{n \in \mathbb{N}} \subseteq (0, \infty)$  as in Theorem 3.8, so that we have the  $\mathbf{k}$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$  on  $(K, m)$  along  $\{r_n\}_{n \in \mathbb{N}}$  defined by (3.7). For ease of notation, we set

$$m_n(dx dy) := k_{r_n}(x, y)m(dy)m(dx).$$

For each  $u \in B_{p,\infty}^k \cap L^\infty(K, m)$ , define a linear map  $\Psi_p^k(u; \cdot) : B_{p,\infty}^k \cap L^\infty(K, m) \rightarrow \mathbb{R}$  by, for each  $\varphi \in B_{p,\infty}^k \cap L^\infty(K, m)$ ,

$$\Psi_p^k(u; \varphi) := \mathcal{E}_p^k(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi). \quad (4.1)$$

(Note that  $u\varphi, |u|^{\frac{p}{p-1}} \in B_{p,\infty}^k$  by Theorem 3.8-(b) and Proposition 2.3-(4),(2).)

**Theorem 4.1** *Let  $u \in B_{p,\infty}^k \cap C_b(K)$  and  $\varphi \in B_{p,\infty}^k \cap L^\infty(K, m)$ . If  $\{u, \varphi\} \cap C_c(K) \neq \emptyset$ , then*

$$\Psi_p^k(u; \varphi) = \lim_{n \rightarrow \infty} \int_K \int_K |u(x) - u(y)|^p \varphi(x) k_{r_n}(x, y) m(dy) m(dx), \quad (4.2)$$

$$|\Psi_p^k(u; \varphi)| \leq \|\varphi\|_{L^\infty(K, m)} \mathcal{E}_p^k(u). \quad (4.3)$$

In particular, if in addition  $\varphi \geq 0$ , then  $\Psi_p^k(u; \varphi) \geq 0$ .

*Proof.* First, we observe that

$$\begin{aligned} \Psi_{p,n}^k(u; \varphi) &:= J_{p,r_n}^k(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} J_{p,r_n}^k(|u|^{\frac{p}{p-1}}; \varphi) \\ &= \int_{K \times K} \left[ |u(x) - u(y)|^p \varphi(x) + \gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ &\quad \left. - \left(\frac{p-1}{p}\right)^{p-1} \gamma_p(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}}) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dx dy). \end{aligned} \quad (4.4)$$

Define  $F_n \in \mathcal{B}(K \times K)$  by

$$F_n := \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n), \varphi(x) - \varphi(y) \neq 0, \varphi(x) \neq 0, \varphi(y) \neq 0\},$$

and set

$$\begin{aligned} I_{p,n}^k(u; \varphi) &:= \int_{F_n} \left[ |u(x) - u(y)|^p \varphi(x) + \gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \\ &\quad \left. - \left(\frac{p-1}{p}\right)^{p-1} \gamma_p(|u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}}) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dx dy). \end{aligned}$$

Note that  $\lim_{n \rightarrow \infty} (\Psi_{p,n}^k(u; \varphi) - I_{p,n}^k(u; \varphi)) = 0$  by (3.6) and  $\|u\|_{\sup} \vee \|\varphi\|_{L^\infty(K, m)} < \infty$ . Since  $\overline{F_n}^{K \times K}$  is compact for sufficiently large  $n \in \mathbb{N}$  when  $\varphi \in B_{p,\infty}^k \cap C_c(K)$ ,  $u$  is uniformly continuous on  $F_n$  for such  $n$ . By combining this observation with the uniform continuity of  $t \mapsto |t|^{1/(p-1)} \operatorname{sgn}(t)$  on  $u(K)$  and (3.6), for any  $\varepsilon > 0$ , we can find  $N \in \mathbb{N}$  such that

$$\begin{aligned}
& \left| \frac{p-1}{p} \left( |u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) - (u(x) - u(y)) |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right| \\
& \leq \left| \int_{u(y)}^{u(x)} \left[ |t|^{\frac{1}{p-1}} \operatorname{sgn}(t) - |u(y)|^{\frac{1}{p-1}} \operatorname{sgn}(u(y)) \right] dt \right| \leq \varepsilon |u(x) - u(y)|, \quad (4.5)
\end{aligned}$$

for any  $(x, y) \in \bigcup_{n \geq N} F_n$ . Using (4.5), Lemma 3.7 and Hölder's inequality, we can find  $C_{p,u} \in (0, \infty)$  depending only on  $p, \|u\|_{\sup}$  and  $\mathcal{E}_p^k(u)$  such that

$$\begin{aligned}
& \sup_{n \geq N} \left| \int_{F_n} \left[ \gamma_p(u(x) - u(y)) \cdot (\varphi(x) - \varphi(y)) u(y) \right. \right. \\
& \quad \left. \left. - \left( \frac{p-1}{p} \right)^{p-1} \gamma_p \left( |u(x)|^{\frac{p}{p-1}} - |u(y)|^{\frac{p}{p-1}} \right) \cdot (\varphi(x) - \varphi(y)) \right] m_n(dxdy) \right| \\
& \leq C_{p,u} \varepsilon^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^k(u)^{\frac{(p-1) \wedge 1}{p}} \mathcal{E}_p^k(\varphi)^{\frac{1}{p}} =: C_{p,u,\varphi} \varepsilon^{\frac{(p-1) \wedge 1}{p}}.
\end{aligned}$$

Therefore, (4.4) implies that for any  $n \geq N$ ,

$$\begin{aligned}
& \left| \Psi_{p,n}^k(u; \varphi) - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dxdy) \right| \\
& \leq \left| \Psi_{p,n}^k(u; \varphi) - I_{p,n}^k(u; \varphi) \right| + \int_{F_n^c} |u(x) - u(y)|^p \varphi(x) m_n(dxdy) + C_{p,u,\varphi} \varepsilon^{\frac{(p-1) \wedge 1}{p}},
\end{aligned}$$

which together with  $\lim_{n \rightarrow \infty} \Psi_{p,n}^k(u; \varphi) = \Psi_p^k(u; \varphi)$  and (3.6) yields (4.2). Then the estimate (4.3) is clear from (4.2).  $\square$

By Theorem 4.1, we can associate to the functional  $\Psi_p^k(u; \cdot)$  a unique Radon measure  $\Gamma_p^k\langle u \rangle$  on  $K$  under the additional assumption that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ , as follows.

**Theorem 4.2** *Suppose that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ . Let  $u \in B_{p,\infty}^k \cap C_b(K)$ . Then there exists a unique positive Radon measure  $\Gamma_p^k\langle u \rangle$  on  $K$  such that for any  $\varphi \in B_{p,\infty}^k \cap C_c(K)$ ,*

$$\int_K \varphi d\Gamma_p^k\langle u \rangle = \mathcal{E}_p^k(u; u\varphi) - \left( \frac{p-1}{p} \right)^{p-1} \mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi). \quad (4.6)$$

Moreover,  $\Gamma_p^k\langle u \rangle(K) \leq \mathcal{E}_p^k(u) < \infty$ , and for any  $\varphi \in C_0(K)$ ,

$$\int_K \varphi d\Gamma_p^k\langle u \rangle = \lim_{n \rightarrow \infty} \int_K \int_K |u(x) - u(y)|^p \varphi(x) k_{r_n}(x, y) m(dy) m(dx). \quad (4.7)$$

**Definition 4.3 ( $p$ -Energy measure associated with a  $k$ -Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^k, B_{p,\infty}^k)$ )** Suppose that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ ,

and let  $u \in B_{p,\infty}^k \cap C_b(K)$ . The positive Radon measure  $\Gamma_p^k \langle u \rangle$  on  $K$  as in Theorem 4.2 is called the *p-energy measure of  $u$  associated with  $(\mathcal{E}_p^k, B_{p,\infty}^k)$* .

*Proof of Theorem 4.2.* By virtue of (4.3), we can extend  $\Psi_p^k(u; \cdot)$  to a bounded linear functional on  $C_0(K)$  in a standard way as follows. Let  $u \in B_{p,\infty}^k \cap C_b(K)$ , let  $\varphi \in C_0(K)$  and choose  $\{\varphi_j\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_c(K)$  so that  $\lim_{j \rightarrow \infty} \|\varphi - \varphi_j\|_{\sup} = 0$ . Then  $\{\Psi_p^k(u; \varphi_j)\}_{j \in \mathbb{N}}$  is a Cauchy sequence in  $\mathbb{R}$  since  $|\Psi_p^k(u; \varphi_j) - \Psi_p^k(u; \varphi_{j'})| \leq \|\varphi_j - \varphi_{j'}\|_{\sup} \mathcal{E}_p^k(u)$  for any  $j, j' \in \mathbb{N}$  by (4.3). Now we define  $\tilde{\Psi}_p^k(u; \varphi) := \lim_{j \rightarrow \infty} \Psi_p^k(u; \varphi_j)$ , which does not depend on the choice of  $\{\varphi_j\}_{j \in \mathbb{N}}$ . Clearly, we have  $|\tilde{\Psi}_p^k(u; \varphi)| \leq \|\varphi\|_{\sup} \mathcal{E}_p^k(u)$ . If  $\varphi \geq 0$ , then we obtain  $\tilde{\Psi}_p^k(u; \varphi) \geq 0$  by considering  $\{\varphi_j^+\}_j$  instead of  $\{\varphi_j\}_j$ . By applying the Riesz–Markov–Kakutani representation theorem (see, e.g., [36, Theorems 2.14 and 2.18]), there exists a unique positive Radon measure  $\Gamma_p^k \langle u \rangle$  on  $K$  satisfying

$$\tilde{\Psi}_p^k(u; \psi) = \int_K \psi d\Gamma_p^k \langle u \rangle \quad \text{for any } \psi \in C_c(K). \quad (4.8)$$

In particular,  $\Gamma_p^k \langle u \rangle$  satisfies (4.6) for any  $\varphi \in B_{p,\infty}^k \cap C_c(K)$  by (4.8) and (4.1).

Next, to show the claimed uniqueness of  $\Gamma_p^k \langle u \rangle$  and  $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$ , let  $\mu$  be a positive Radon measure on  $K$  satisfying (4.6) with  $\mu$  in place of  $\Gamma_p^k \langle u \rangle$  for any  $\varphi \in B_{p,\infty}^k \cap C_c(K)$ . Then for any compact subset  $F$  of  $K$ , noting (3.1) and the assumption that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ , we can choose  $\varphi \in B_{p,\infty}^k \cap C_c(K)$  so that  $\mathbf{1}_F \leq \varphi \leq \mathbf{1}_K$  on  $K$ , hence  $\mu(F) \leq \int_K \varphi d\mu = \Psi_p^k(u; \varphi) \leq \mathcal{E}_p^k(u)$  by (4.3) and thus  $\mu(K) \leq \mathcal{E}_p^k(u) < \infty$ . In particular,  $C_0(K) \ni \psi \mapsto \int_K \psi d\mu$  is a bounded linear functional on  $C_0(K)$  which coincides with  $\Psi_p^k(u; \cdot)$  on  $B_{p,\infty}^k \cap C_c(K)$  and thus with  $\tilde{\Psi}_p^k(u; \cdot)$  on  $C_0(K)$ , and therefore  $\mu = \Gamma_p^k \langle u \rangle$  by the uniqueness of a positive Radon measure on  $K$  satisfying (4.8).

Lastly, we shall prove (4.7). Note that (4.7) is true for  $\varphi \in B_{p,\infty}^k \cap C_c(K)$  by (4.2) in Theorem 4.1. As in the first paragraph of this proof, let  $\varphi \in C_0(K)$  and choose  $\{\varphi_j\}_{j \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_c(K)$  so that  $\lim_{j \rightarrow \infty} \|\varphi - \varphi_j\|_{\sup} = 0$ . Let  $\varepsilon > 0$  and choose  $N \in \mathbb{N}$  so that  $\|\varphi - \varphi_j\|_{\sup} \mathcal{E}_p^k(u) < \varepsilon$  for any  $j \geq N$ . Then, for any  $n \in \mathbb{N}$  and any  $j \geq N$ ,

$$\begin{aligned} & \left| \int_K \varphi d\Gamma_p^k \langle u \rangle - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dx dy) \right| \\ & \leq \left| \tilde{\Psi}_p^k(u; \varphi) - \tilde{\Psi}_p^k(u; \varphi_j) \right| + \left| \tilde{\Psi}_p^k(u; \varphi_j) - \Psi_{p,n}^k(u; \varphi_j) \right| \\ & \quad + \|\varphi - \varphi_j\|_{\sup} \int_{K \times K} |u(x) - u(y)|^p m_n(dx dy) \\ & \leq 2\varepsilon + \left| \Psi_p^k(u; \varphi_j) - \Psi_{p,n}^k(u; \varphi_j) \right|, \end{aligned}$$

where  $\Psi_{p,n}^k(u; \cdot)$  is the same as in (4.4). Hence we have

$$\limsup_{n \rightarrow \infty} \left| \int_K \varphi d\Gamma_p^k \langle u \rangle - \int_{K \times K} |u(x) - u(y)|^p \varphi(x) m_n(dx dy) \right| \leq 2\varepsilon,$$

which proves (4.7).  $\square$

In the rest of this section, we always suppose in addition that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ .

Note that both the boundedness and the continuity of  $u$  are essential in Theorem 4.2; the former is required for the right-hand side of (4.6) to make sense, and the latter has been used heavily in the proof of Theorem 4.1 above. Next we would like to extend  $\Gamma_p^k \langle u \rangle$  to a wider range of  $u$ . Let us use the following notation for simplicity.

**Definition 4.4** We define closed linear subspaces  $\mathcal{D}_{p,\infty}^{k,b}$  and  $\mathcal{D}_{p,\infty}^{k,c}$  of  $B_{p,\infty}^k$  by

$$\mathcal{D}_{p,\infty}^{k,b} := \overline{B_{p,\infty}^k \cap C_b(K)}^{B_{p,\infty}^k} \quad \text{and} \quad \mathcal{D}_{p,\infty}^{k,c} := \overline{B_{p,\infty}^k \cap C_c(K)}^{B_{p,\infty}^k}. \quad (4.9)$$

By virtue of the expression (4.2), we can show the generalized  $p$ -contraction property  $(GC)_p$  for  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$  for any  $\varphi \in C_c(K)$  with  $\varphi \geq 0$ , which further allows us to extend  $\Gamma_p^k \langle u \rangle$  canonically to  $u \in \mathcal{D}_{p,\infty}^{k,b}$ .

**Theorem 4.5** For any  $u \in \mathcal{D}_{p,\infty}^{k,b}$ , there exists a unique positive Radon measure  $\Gamma_p^k \langle u \rangle$  on  $K$  such that for any  $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$  with  $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - u_n) = 0$  and any Borel measurable function  $\varphi: K \rightarrow [0, \infty)$  with  $\|\varphi\|_{\sup} < \infty$ ,

$$\int_K \varphi d\Gamma_p^k \langle u \rangle = \lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle, \quad (4.10)$$

and  $\Gamma_p^k \langle u \rangle$  further satisfies  $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$ . Moreover, for each such  $\varphi$ ,  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ .

*Proof.* First, for any  $\varphi \in C_c(K)$  with  $\varphi \geq 0$ , we will show that  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$  satisfies  $(GC)_p$ . Throughout this proof, we fix  $n_1, n_2 \in \mathbb{N}$ ,  $q_1 \in (0, p]$ ,  $q_2 \in [p, \infty]$  and  $T = (T_1, \dots, T_{n_2}): \mathbb{R}^{n_1} \rightarrow \mathbb{R}^{n_2}$  satisfying (2.1). Let us consider the case  $q_2 < \infty$  since the proof for the case  $q_2 = \infty$  is similar. Let  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (B_{p,\infty}^k \cap C_b(K))^{n_1}$ . Note that  $T_l(\mathbf{u}) \in B_{p,\infty}^k \cap C_b(K)$  for each  $l \in \{1, \dots, n_2\}$ . For any  $n \in \mathbb{N}$ , we see that

$$\begin{aligned} & \sum_{l=1}^{n_2} \left( \int_{K \times K} |T_l(\mathbf{u}(x)) - T_l(\mathbf{u}(y))|^p \varphi(x) m_n(dx dy) \right)^{q_2/p} \\ & \stackrel{(3.2)}{\leq} \left( \int_{K \times K} \left[ \sum_{l=1}^{n_2} |T_l(\mathbf{u}(x)) - T_l(\mathbf{u}(y))|^{q_2} \right]^{p/q_2} \varphi(x) m_n(dx dy) \right)^{q_2/p} \\ & \stackrel{(2.1)}{\leq} \left( \int_{K \times K} \left[ \sum_{k=1}^{n_1} |u_k(x) - u_k(y)|^{q_1} \right]^{p/q_1} \varphi(x) m_n(dx dy) \right)^{q_2/p} \end{aligned}$$

$$\stackrel{(*)}{\leq} \left( \sum_{k=1}^{n_1} \left( \int_{K \times K} |u_k(x) - u_k(y)|^p \varphi(x) m_n(dx dy) \right)^{q_1/p} \right)^{q_2/q_1},$$

where we used the triangle inequality for the norm of  $L^{p/q_1}(K \times K, m_n)$  in (\*). By letting  $n \rightarrow \infty$ , we obtain from (4.7) that

$$\left\| \left( \int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}) \rangle \right)^{1/p} \right\|_{l=1}^{n_2} \leq \left\| \left( \int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p} \right\|_{k=1}^{n_1}. \quad (4.11)$$

Next we will extend (4.11) to any Borel measurable function  $\varphi: K \rightarrow [0, \infty]$ . Let us start with the case  $\varphi = \mathbf{1}_A$ , where  $A \in \mathcal{B}(K)$ . By [36, Theorem 2.18], there exist sequences  $\{K_n\}_{n \in \mathbb{N}}$  and  $\{U_n\}_{n \in \mathbb{N}}$  such that  $K_n \subseteq A \subseteq U_n$ ,  $K_n$  is compact,  $U_n$  is open and  $\lim_{n \rightarrow \infty} \max_{v \in \{T_l(\mathbf{u})\}_l \cup \{u_k\}_k} \Gamma_p^k \langle v \rangle (U_n \setminus K_n) = 0$ . By Urysohn's lemma, we can pick  $\varphi_n \in C_c(K)$  so that  $0 \leq \varphi_n \leq 1$ ,  $\varphi_n|_{K_n} = 1$  and  $\text{supp}_K[\varphi_n] \subseteq U_n$ . Applying (4.11) for  $\varphi_n$ , we obtain

$$\left\| \left( \Gamma_p^k \langle T_l(\mathbf{u}) \rangle (K_n)^{1/p} \right)^{n_2} \right\|_{l=1} \leq \left\| \left( \Gamma_p^k \langle u_k \rangle (U_n)^{1/p} \right)^{n_1} \right\|_{k=1}.$$

By letting  $n \rightarrow \infty$ , we get (4.11) with  $\varphi = \mathbf{1}_A$ . Using the reverse Minkowski inequality on  $\ell^{q_1/p}$  and the Minkowski inequality on  $\ell^{q_2/p}$  (see also [23, Proof of Proposition 2.9-(a)], where (GC) $_p$  is shown to be stable under addition), we see that (4.11) holds also for any non-negative Borel measurable simple function  $\varphi$  on  $K$ . We get the desired extension, (4.11) for any Borel measurable function  $\varphi: K \rightarrow [0, \infty]$ , by the monotone convergence theorem.

Now let us extend  $p$ -energy measures. In the rest of this proof, let  $\varphi: K \rightarrow [0, \infty)$  be a Borel measurable function such that  $\|\varphi\|_{\text{sup}} < \infty$ . Let  $u \in \mathcal{D}_{p,\infty}^{k,b}$  and  $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$  satisfy  $\lim_{n \rightarrow \infty} \mathcal{E}_p^k(u - u_n) = 0$ . By Proposition 2.3-(1) for  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$ , for any  $n, n' \in \mathbb{N}$ ,

$$\left| \left( \int_K \varphi d\Gamma_p^k \langle u_n \rangle \right)^{1/p} - \left( \int_K \varphi d\Gamma_p^k \langle u_{n'} \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\text{sup}}^{1/p} \mathcal{E}_p^k(u_n - u_{n'})^{1/p},$$

which implies that the limit  $\lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle =: I_u(\varphi)$  exists in  $\mathbb{R}$  and it is independent of the choice of  $\{u_n\}_n$ . In addition, by letting  $n' \rightarrow \infty$  in the estimate above, we have that

$$\left| \left( \int_K \varphi d\Gamma_p^k \langle u_n \rangle \right)^{1/p} - I_u(\varphi)^{1/p} \right| \leq \|\varphi\|_{\text{sup}}^{1/p} \mathcal{E}_p^k(u_n - u)^{1/p}. \quad (4.12)$$

Also, it is clear that  $0 \leq I_u(\varphi) \leq \|\varphi\|_{\text{sup}} \mathcal{E}_p^k(u)$  and that  $I_n$  is linear in the sense that  $I_u(\sum_{k=1}^N a_k \varphi_k) = \sum_{k=1}^N a_k I_u(\varphi_k)$  for any  $N \in \mathbb{N}$ ,  $(a_k)_{k=1}^N \subseteq [0, \infty)$  and Borel measurable functions  $\varphi_k: K \rightarrow [0, \infty)$  with  $\|\varphi_k\|_{\text{sup}} < \infty$ ,  $k \in \{1, \dots, N\}$ . Now we define  $\Gamma_p^k \langle u \rangle(A) := I_u(\mathbf{1}_A) \in [0, \infty)$  for  $A \in \mathcal{B}(K)$ , and show that  $\Gamma_p^k \langle u \rangle$  is a finite



Borel measure on  $K$ . Clearly,  $\Gamma_p^k \langle u \rangle$  is finitely additive and  $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u) < \infty$ . Hence it suffices to prove the countable additivity of  $\Gamma_p^k \langle u \rangle$ . By (4.12), for any  $\varepsilon > 0$  there exists  $N_0 \in \mathbb{N}$  such that  $\sup_{A \in \mathcal{B}(K)} |\Gamma_p^k \langle u \rangle(A)^{1/p} - \Gamma_p^k \langle u_n \rangle(A)^{1/p}| < \varepsilon$  for any  $n \geq N_0$ . Let  $\{A_k\}_{k \in \mathbb{N}} \subseteq \mathcal{B}(K)$  be a sequence of disjoint Borel sets, and set  $B_N := \bigcup_{k=N+1}^{\infty} A_k$  for each  $N \in \mathbb{N}$ . Then we see that for any  $N \in \mathbb{N}$  and any  $n \geq N_0$ ,

$$\left| \Gamma_p^k \langle u \rangle \left( \bigcup_{k \in \mathbb{N}} A_k \right) - \sum_{k=1}^N \Gamma_p^k \langle u \rangle(A_k) \right|^{1/p} = \Gamma_p^k \langle u \rangle(B_N)^{1/p} \leq \varepsilon + \Gamma_p^k \langle u_n \rangle(B_N)^{1/p},$$

whence  $\lim_{N \rightarrow \infty} |\Gamma_p^k \langle u \rangle(\bigcup_{k \in \mathbb{N}} A_k) - \sum_{k=1}^N \Gamma_p^k \langle u \rangle(A_k)| = 0$ , proving the desired countable additivity.

Before showing (4.10), i.e.,  $I_u(\varphi) = \int_K \varphi d\Gamma_p^k \langle u \rangle$ , we will extend (4.11) to the pair  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ . To this end, we need to show that for any  $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$  converging weakly in  $B_{p,\infty}^k$  to  $u \in \mathcal{D}_{p,\infty}^{k,b}$  as  $n \rightarrow \infty$ ,

$$\int_K \varphi d\Gamma_p^k \langle u \rangle \leq \liminf_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle. \quad (4.13)$$

By extracting a subsequence of  $\{u_n\}_n$  if necessary, we can assume that the limit  $\lim_{n \rightarrow \infty} \int_K \varphi d\Gamma_p^k \langle u_n \rangle$  exists. By Mazur's lemma (see, e.g., [19, p. 19]), there exist  $N(n) \in \mathbb{N}$  and  $\{\alpha_{n,k}\}_{k=n}^{N(n)} \subseteq [0, 1]$  with  $N(n) > n$  and  $\sum_{k=n}^{N(n)} \alpha_{n,k} = 1$  for each  $n \in \mathbb{N}$  such that  $v_n := \sum_{k=n}^{N(n)} \alpha_{n,k} u_k$  converges to  $u$  in  $B_{p,\infty}^k$  as  $n \rightarrow \infty$ . We see from  $(GC)_p$  and Proposition 2.3-(1) for  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, B_{p,\infty}^k \cap C_b(K))$  that

$$\left( \int_K \varphi d\Gamma_p^k \langle v_n \rangle \right)^{1/p} \leq \sum_{k=n}^{N(n)} \alpha_{n,k} \left( \int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p},$$

which implies (4.13) by letting  $n \rightarrow \infty$ . With this preparation, let us show that the pair  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$  satisfies  $(GC)_p$ . Let  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\mathcal{D}_{p,\infty}^{k,b})^{n_1}$ . For each  $k \in \{1, \dots, n_1\}$ , fix  $\{u_{k,n}\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^k \cap C_b(K)$  so that  $\lim_{n \rightarrow \infty} \|u_k - u_{k,n}\|_{B_{p,\infty}^k} = 0$ . Set  $\mathbf{u}_n := (u_{1,n}, \dots, u_{n_1,n})$ . By  $(GC)_p$  for  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  (see Theorem 3.8-(b)) and (2.1), we know that  $\{T_l(\mathbf{u}_n)\}_n$  is bounded in  $B_{p,\infty}^k$  and that  $\lim_{n \rightarrow \infty} \|T_l(\mathbf{u}_n) - T_l(\mathbf{u})\|_{L^p} = 0$ . Since  $B_{p,\infty}^k$  is reflexive (see Theorem 3.6) and  $B_{p,\infty}^k$  is continuously embedded in  $L^p(K, m)$ , we see that  $T_l(\mathbf{u}) \in \mathcal{D}_{p,\infty}^{k,b}$  and that there exists a subsequence  $\{T_l(\mathbf{u}_{n_j})\}_j$  such that  $T_l(\mathbf{u}_{n_j})$  weakly converges to  $T_l(\mathbf{u})$  in  $B_{p,\infty}^k$  as  $j \rightarrow \infty$  for any  $l \in \{1, \dots, n_2\}$ . By (4.13), we see that

$$\left\| \left( \left( \int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}) \rangle \right)^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left( \sum_{l=1}^{n_2} \liminf_{j \rightarrow \infty} \left( \int_K \varphi d\Gamma_p^k \langle T_l(\mathbf{u}_{n_j}) \rangle \right)^{1/p} \right)^{1/q_2}$$

$$\begin{aligned}
&\leq \liminf_{j \rightarrow \infty} \left( \sum_{l=1}^{n_2} \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle T_l(\mathbf{u}_{n_j}) \rangle \right)^{1/p} \right)^{1/q_2} \\
&\leq \liminf_{j \rightarrow \infty} \left( \sum_{k=1}^{n_1} \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_{k,n_j} \rangle \right)^{1/p} \right)^{1/q_1} \\
&= \left\| \left( \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right)_{k=1}^{n_1} \right\|_{\ell^{q_1}}
\end{aligned}$$

if  $q_2 < \infty$ . The case  $q_2 = \infty$  is similar, so  $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$  satisfies  $(\text{GC})_p$ .

Finally, we can prove (4.10). Let  $\{u_n\}_{n \in \mathbb{N}} \subseteq B_{p,\infty}^{\mathbf{k}} \cap C_b(K)$  be a sequence satisfying  $\lim_{n \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}}(u - u_n) = 0$ . By Proposition 2.3-(1) for  $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$ , we have

$$\left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} \right| \leq \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_n)^{1/p},$$

which together with (4.12) implies that

$$\begin{aligned}
&\left| I_u(\varphi)^{1/p} - \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} \right| \\
&\leq \left| I_u(\varphi)^{1/p} - \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} \right| + \left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_n \rangle \right)^{1/p} - \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} \right| \\
&\leq 2 \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_n)^{1/p} \xrightarrow{n \rightarrow \infty} 0.
\end{aligned}$$

Hence we obtain (4.10).  $\square$

Thanks to Proposition 2.3-(5) for  $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$ , we can show the next result. See [23, Theorem 4.5 and Proposition 4.6] for further details on  $\Gamma_p^{\mathbf{k}} \langle u; v \rangle$  in the theorem below.

**Theorem 4.6** *Let  $u, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$ . Define  $\Gamma_p^{\mathbf{k}} \langle u; v \rangle: \mathcal{B}(K) \rightarrow \mathbb{R}$  by*

$$\Gamma_p^{\mathbf{k}} \langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \Gamma_p^{\mathbf{k}} \langle u + tv \rangle(A) \Big|_{t=0} \quad \text{for } A \in \mathcal{B}(K). \quad (4.14)$$

*Then  $\Gamma_p^{\mathbf{k}} \langle u; v \rangle$  is a signed Borel measure on  $K$  and satisfies  $\Gamma_p^{\mathbf{k}} \langle u; u \rangle = \Gamma_p^{\mathbf{k}} \langle u \rangle$ . Moreover, for any  $u, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$  and any Borel measurable functions  $\varphi, \psi: K \rightarrow [0, \infty]$ ,*

$$\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u; \cdot \rangle: \mathcal{D}_{p,\infty}^{\mathbf{k},b} \rightarrow \mathbb{R} \text{ is the Fréchet derivative of } \frac{1}{p} \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle \text{ at } u \quad (4.15)$$

*provided  $\|\varphi\|_{\sup} < \infty$ , and*

$$\int_K \varphi \psi d|\Gamma_p^k \langle u; v \rangle| \leq \left( \int_K \varphi^{\frac{p}{p-1}} d\Gamma_p^k \langle u \rangle \right)^{\frac{p-1}{p}} \left( \int_K \psi^p d\Gamma_p^k \langle v \rangle \right)^{\frac{1}{p}}. \quad (4.16)$$

*Proof.* It is proved in [23, Theorem 4.5] that  $\Gamma_p^k \langle u; v \rangle$  is a signed measure. The statements (4.15) and (4.16) follow from [23, Propositions 4.6 and 4.8].  $\square$

As an important consequence of the strong locality of  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  obtained in Theorem 3.8-(e), the inequality  $\Gamma_p^k \langle u \rangle(K) \leq \mathcal{E}_p^k(u)$  in Theorems 4.2 and 4.5 turns out to be an equality as long as  $u \in \mathcal{D}_{p,\infty}^{k,c}$ . Namely, we have the following proposition, which is the counterpart for  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  of the well-known equality [12, Lemma 3.2.3] for the strongly local part of a regular symmetric Dirichlet form.

**Proposition 4.7** *If  $u, v \in \mathcal{D}_{p,\infty}^{k,c}$ , then  $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ .*

*Proof.* Since  $(\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b})$  and  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  satisfy  $(GC)_p$  by Theorems 4.5 and 3.8-(b), thanks to the linearity of  $\mathcal{E}(u; \cdot)$ , (2.11) and (2.12) from Proposition 2.5 for  $(\mathcal{E}, \mathcal{F}) = (\Gamma_p^k \langle \cdot \rangle(K), \mathcal{D}_{p,\infty}^{k,b})$ ,  $(\mathcal{E}_p^k, B_{p,\infty}^k)$  it suffices to consider the case  $u, v \in B_{p,\infty}^k \cap C_c(K)$ . We first show that  $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$  for any  $u \in B_{p,\infty}^k \cap C_c(K)$ . Since  $K$  is locally compact and we assume that  $B_{p,\infty}^k \cap C_c(K)$  is dense in  $(C_c(K), \|\cdot\|_{\sup})$ , by using Proposition 2.3-(2), we can find an open neighborhood  $U$  of the compact subset  $\text{supp}_K[u]$  of  $K$  and  $\varphi \in B_{p,\infty}^k \cap C_c(K)$  so that  $0 \leq \varphi \leq 1$  and  $\varphi(x) = 1$  for any  $x \in U$ . Then  $\text{supp}_K[u] \cap \text{supp}_K[\varphi - \mathbf{1}_K] = \emptyset$ . By Theorem 3.8-(e), we have  $\mathcal{E}_p^k(u; u\varphi - u) = 0$  and  $\mathcal{E}_p^k(|u|^{\frac{p}{p-1}}; \varphi) = 0$ . In particular, by (4.6),

$$\Gamma_p^k \langle u \rangle(K) \geq \int_K \varphi \Gamma_p^k \langle u \rangle = \mathcal{E}_p^k(u),$$

whence we have  $\Gamma_p^k \langle u \rangle(K) = \mathcal{E}_p^k(u)$ .

Next let  $u, v \in B_{p,\infty}^k \cap C_c(K)$ . The argument in the previous paragraph implies that for any  $t \in (0, 1)$ ,

$$\frac{\Gamma_p^k \langle u + tv \rangle(K) - \Gamma_p^k \langle u \rangle(K)}{t} = \frac{\mathcal{E}_p^k(u + tv) - \mathcal{E}_p^k(u)}{t}.$$

By letting  $t \downarrow 0$  in this equality, we have  $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$  by (4.14) and (3.9).  $\square$

We also have the following expression of  $\int_K \varphi d\Gamma_p^k \langle u; v \rangle$  if  $\varphi \in C_c(K)$ . In particular, we can deduce the analogs of Theorem 3.8-(c),(d) for  $(\int_K \varphi d\Gamma_p^k \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{k,b})$ .

**Theorem 4.8** *For any  $u, v \in \mathcal{D}_{p,\infty}^{k,b}$  and any  $\varphi \in C_c(K)$ ,*

$$\begin{aligned} & \int_K \varphi d\Gamma_p^k \langle u; v \rangle \\ &= \lim_{n \rightarrow \infty} \int_K \int_K \gamma_p(u(x) - u(v))(v(x) - v(y)) \varphi(x) k_{r_n}(x, y) m(dy) m(dx). \end{aligned} \quad (4.17)$$

In particular, the following hold:

- (a) Let  $n_1, n_2 \in \mathbb{N}$ ,  $q_1 \in [1, p]$ ,  $q_2 \in [p, \infty]$ ,  $\mathbf{u} = (u_1, \dots, u_{n_1}) \in (\mathcal{D}_{p,\infty}^{\mathbf{k},b})^{n_1}$ ,  $\mathbf{v} = (v_1, \dots, v_{n_2}) \in L^0(K, m)^{n_2}$ , and let  $\psi: K \rightarrow [0, \infty]$  be Borel measurable. If there exist  $m$ -versions of  $\mathbf{u}$  and  $\mathbf{v}$  such that  $\|\mathbf{v}(x)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x)\|_{\ell^{q_1}}$  and  $\|\mathbf{v}(x) - \mathbf{v}(y)\|_{\ell^{q_2}} \leq \|\mathbf{u}(x) - \mathbf{u}(y)\|_{\ell^{q_1}}$  for any  $(x, y) \in K \times K$ , then  $\mathbf{v} \in (\mathcal{D}_{p,\infty}^{\mathbf{k},b})^{n_2}$  and

$$\left\| \left( \left( \int_K \psi d\Gamma_p^{\mathbf{k}} \langle v_l \rangle \right)^{1/p} \right)_{l=1}^{n_2} \right\|_{\ell^{q_2}} \leq \left\| \left( \left( \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right)_{k=1}^{n_1} \right\|_{\ell^{q_1}}. \quad (4.18)$$

- (b) For any  $u_1, u_2, v \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}$  and any Borel measurable function  $\psi: K \rightarrow [0, \infty]$  with  $\|\psi\|_{\sup} < \infty$ ,

$$\begin{aligned} & \left| \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_1; v \rangle - \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_2; v \rangle \right| \\ & \leq C_p \left[ \max_{i \in \{1,2\}} \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_i \rangle \right]^{\frac{(p-2)^+}{p}} \left( \int_K \psi d\Gamma_p^{\mathbf{k}} \langle u_1 - u_2 \rangle \right)^{\frac{(p-1) \wedge 1}{p}} \left( \int_K \psi d\Gamma_p^{\mathbf{k}} \langle v \rangle \right)^{\frac{1}{p}}, \end{aligned} \quad (4.19)$$

where  $C_p$  is the constant in Theorem 3.8.

*Proof.* Throughout this proof, we fix  $\varphi \in C_c(K)$ . We first show (4.17) in the case  $u = v$ . Define

$$\mathcal{I}_\varphi^n \langle f \rangle := \int_{K \times K} |f(x) - f(y)|^p \varphi(x) m_n(dx dy) \quad \text{for } n \in \mathbb{N} \text{ and } f \in \mathcal{D}_{p,\infty}^{\mathbf{k},b}.$$

Fix  $\{u_k\}_{k \in \mathbb{N}} \subseteq B_{p,\infty}^{\mathbf{k}} \cap C_b(K)$  satisfying  $\lim_{k \rightarrow \infty} \|u - u_k\|_{B_{p,\infty}^{\mathbf{k}}} = 0$ . We easily have  $|\mathcal{I}_\varphi^n \langle u \rangle^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p}| \leq \mathcal{I}_\varphi^n \langle u - u_k \rangle^{1/p} \leq C^{1/p} \|\varphi\|_{\sup} \mathcal{E}_p^{\mathbf{k}}(u - u_k)^{1/p}$ , where  $C \in (0, \infty)$  is the constant in (3.8). By (4.10) and Proposition 2.3-(1) for  $(\int_K \varphi d\Gamma_p^{\mathbf{k}} \langle \cdot \rangle, \mathcal{D}_{p,\infty}^{\mathbf{k},b})$ , we see that for any  $n, k \in \mathbb{N}$ ,

$$\begin{aligned} & \left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u \rangle^{1/p} \right| \\ & \leq \left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u \rangle \right)^{1/p} - \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} \right| + \left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| \\ & \quad + \left| \mathcal{I}_\varphi^n \langle u \rangle^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| \\ & \leq (1 + C^{1/p}) \|\varphi\|_{\sup}^{1/p} \mathcal{E}_p^{\mathbf{k}}(u - u_k)^{1/p} + \left| \left( \int_K \varphi d\Gamma_p^{\mathbf{k}} \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right|. \end{aligned}$$

Since  $\lim_{n \rightarrow \infty} \left| \left( \int_K \varphi d\Gamma_p^k \langle u_k \rangle \right)^{1/p} - \mathcal{I}_\varphi^n \langle u_k \rangle^{1/p} \right| = 0$  by (4.2) and  $k \in \mathbb{N}$  is arbitrary, we conclude that  $\lim_{n \rightarrow \infty} \mathcal{I}_\varphi^n \langle u \rangle = \int_K \varphi d\Gamma_p^k \langle u \rangle$ .

Next we consider the general case  $u \neq v$ . By Proposition 2.4 and the convexity of  $t \mapsto \mathcal{I}_\varphi^n \langle u + tg \rangle$ , for any  $t \in (0, 1)$  and  $n \in \mathbb{N}$ ,

$$\left| \frac{\mathcal{I}_\varphi^n \langle u + tv \rangle - \mathcal{I}_\varphi^n \langle u \rangle}{t} - \frac{d}{dt} \mathcal{I}_\varphi^n \langle u + tv \rangle \right|_{t=0} \leq \|\varphi\|_{\sup} O_t(u; v), \quad (4.20)$$

where  $O_t(u; v) = C_{p,u,v} t^{(p-1) \wedge \frac{1}{p-1}}$  for some constant  $C_{p,u,v} \in (0, \infty)$  which depends only on  $p$ ,  $\mathcal{E}_p^k(u)$  and  $\mathcal{E}_p^k(v)$ . Now we obtain (4.17) by noting that

$$\left. \frac{d}{dt} \mathcal{I}_\varphi^n \langle u + tg \rangle \right|_{t=0} = \int_{K \times K} \gamma_p(u(x) - u(v))(v(x) - v(y)) \varphi(x) m_n(dx dy)$$

and taking suitable limits in (4.20).

Let us show (a) and (b).

(a): By Theorem 4.5,  $(\mathcal{E}_p^k, \mathcal{D}_{p,\infty}^{k,b})$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(GC)_p$ . For each  $l \in \{1, \dots, n_2\}$ , by the argument in [23, Proof of Corollary 2.4-(c)], we can find a 1-Lipschitz map  $T_l: (\mathbb{R}^{n_1}, \|\cdot\|_{\ell^{q_1}}) \rightarrow \mathbb{R}$  satisfying  $T_l(0) = 0$  and  $T_l(u(x)) = v_l(x)$  for any  $x \in K$ . By applying  $(GC)_p$ , we have  $v_l \in \mathcal{D}_{p,\infty}^{k,b}$  and hence  $v \in (\mathcal{D}_{p,\infty}^{k,b})^{n_2}$ . Then the inequality (4.18) in the case  $\psi \in C_c(K)$  is immediate from (4.17), and we can further extend (4.18) to general  $\psi$  in exactly the same way as the second paragraph of the proof of Theorem 4.5.

(b): The estimate (4.19) in the case  $\psi \in C_c(K)$  is immediate from (4.17). We can easily extend it to the desired case since  $C_c(K)$  is dense in  $L^1(K, \mu)$  for the finite Borel measure  $\mu$  on  $K$  given by

$$\mu := |\Gamma_p^k \langle u_1; v \rangle| + |\Gamma_p^k \langle u_2; v \rangle| + \Gamma_p^k \langle u_1 \rangle + \Gamma_p^k \langle u_2 \rangle + \Gamma_p^k \langle u_1 - u_2 \rangle + \Gamma_p^k \langle v \rangle. \quad \square$$

The next theorem states the chain rule for our  $p$ -energy measures.

**Theorem 4.9 (Chain rule)** *Let  $n \in \mathbb{N}$ ,  $u \in B_{p,\infty}^k \cap C_b(K)$ ,  $v = (v_1, \dots, v_n) \in (B_{p,\infty}^k \cap C_b(K))^n$ ,  $\Phi \in C^1(\mathbb{R})$ ,  $\Psi \in C^1(\mathbb{R}^n)$  and suppose that  $\Phi(0) = \Psi(0) = 0$ . Then  $\Phi(u), \Psi(v) \in B_{p,\infty}^k \cap C_b(K)$  and*

$$d\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle = \sum_{k=1}^n \gamma_p(\Phi'(u)) \partial_k \Psi(v) d\Gamma_p^k \langle u; v_k \rangle. \quad (4.21)$$

*Proof.* It is immediate from Theorem 3.8-(b) (see also Proposition 2.3-(2)) that  $\Phi(u), \Psi(v) \in B_{p,\infty}^k \cap C_b(K)$ . Note that

$$d\mu := d|\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle| + \sum_{k=1}^n |\gamma_p(\Phi'(u)) \partial_k \Psi(v)| d|\Gamma_p^k \langle u; v_k \rangle|$$

defines a finite Borel measure  $\mu$  on  $K$  by (4.16). Since  $C_c(K)$  is dense in  $L^1(K, \mu)$ , it suffices to prove that for any  $\varphi \in C_c(K)$ ,

$$\int_K \varphi d\Gamma_p^k \langle \Phi(u); \Psi(v) \rangle = \sum_{k=1}^n \int_K \varphi \gamma_p(\Phi'(u)) \partial_k \Psi(v) d\Gamma_p^k \langle u; v_k \rangle.$$

Let  $\varphi \in C_c(K)$  and define  $F_n \in \mathcal{B}(K \times K)$ ,  $n \in \mathbb{N}$ , by

$$F_n := \{(x, y) \in K \times K \mid d(x, y) < \delta(r_n), \varphi(x) \neq 0\}.$$

Note that  $\overline{F_n}^{K \times K}$  is a compact subset of  $K \times K$  for sufficiently large  $n \in \mathbb{N}$  since  $\varphi \in C_c(K)$ ,  $\lim_{n \rightarrow 0} \delta(r_n) = 0$  and  $(K, d)$  is locally compact. Set

$$a_n := \int_{F_n} \gamma_p(\Phi(u(x)) - \Phi(u(y))) (\Psi(v(x)) - \Psi(v(y))) \varphi(x) m_n(dx dy)$$

and

$$b_n := \sum_{k=1}^n \int_{F_n} \gamma_p(\Phi'(u(x))) \partial_k \Psi(v(x)) \cdot \gamma_p(u(x) - u(y)) (v(x) - v(y)) \varphi(x) m_n(dx dy).$$

By Theorem 4.8 and (3.6), it suffices to show  $\lim_{n \rightarrow \infty} |a_n - b_n| = 0$ . To estimate  $|a_n - b_n|$ , we introduce

$$c_n := \int_{F_n} \gamma_p(\Phi'(u(x))) \cdot \gamma_p(u(x) - u(y)) (v(x) - v(y)) \varphi(x) m_n(dx dy).$$

We will show that  $\lim_{n \rightarrow \infty} |a_n - c_n| = \lim_{n \rightarrow \infty} |b_n - c_n| = 0$ . Note that

$$\Phi(u(y)) - \Phi(u(x)) = [u(y) - u(x)] (\Phi'(u(x)) + e_{\Phi, u}(x, y)),$$

where we set  $e_{\Phi, u}(x, y) := \int_0^1 [\Phi'(u(x) + t(u(y) - u(x))) - \Phi'(u(x))] dt$ . Let  $\varepsilon > 0$ . Since  $\Phi'$  is continuous,  $\|u\|_{\sup} < \infty$  and  $u$  is uniformly continuous on  $F_n$  for large enough  $n \in \mathbb{N}$ , we can find  $N_1 \in \mathbb{N}$  so that  $|e_{\Phi, u}(x, y)| < \varepsilon$  for any  $(x, y) \in \bigcup_{n \geq N_1} F_n$ . By Lemma 3.7, there exists  $C_p \in (0, \infty)$  depending only on  $p$  such that for any  $n \geq N_1$  and  $(x, y) \in \bigcup_{n \geq N_1} F_n$ ,

$$\begin{aligned} & |\gamma_p(\Phi(u(x)) - \Phi(u(y))) - \gamma_p(\Phi'(u(x))) \cdot \gamma_p(u(x) - u(y))| \\ & \leq C_p \varepsilon^{(p-1) \wedge 1} A_{u, \Phi}(x, y)^{(p-2)^+} |u(x) - u(y)|^{(p-1) \wedge 1}, \end{aligned}$$

where  $A_{u, \Phi}(x, y) := |\Phi(u(y)) - \Phi(u(x))| \vee |\Phi'(u(x))(u(y) - u(x))|$ . By Hölder's inequality, we have

$$\sup_{n \geq N_1} |a_n - c_n|$$

$$\leq C_p \varepsilon^{(p-1) \wedge 1} \left[ C_{\Phi, u} (\|\Phi(u)\|_{B_{p, \infty}^k} + \|u\|_{B_{p, \infty}^k}) \right]^{(p-2)^+} \|u\|_{B_{p, \infty}^k}^{(p-1) \wedge 1} \|v\|_{B_{p, \infty}^k},$$

where  $C_{\Phi, u} := 1 + \|\Phi'\|_{\sup, [-\|u\|_{\sup}, \|u\|_{\sup}]}$ . In particular, we get  $\lim_{n \rightarrow \infty} |a_n - c_n| = 0$ .

Similarly, we can find  $N_2 \in \mathbb{N}$  so that for any  $(x, y) \in \bigcup_{n \geq N_2} F_n$ ,

$$\left| (\Psi(v(x)) - \Psi(v(y))) - \sum_{k=1}^n \partial_k \Psi(v(x)) (v(x) - v(y)) \right| \leq \varepsilon |v(x) - v(y)|.$$

Then we easily see that

$$\sup_{n \geq N_2} |b_n - c_n| \leq \varepsilon \|\Phi'\|_{\sup, [-\|u\|_{\sup}, \|u\|_{\sup}]}^{p-1} \|u\|_{B_{p, \infty}^k}^{p-1} \|v\|_{B_{p, \infty}^k},$$

whence  $\lim_{n \rightarrow \infty} |b_n - c_n| = 0$ .  $\square$

The following *image density property* of  $p$ -energy measures is a consequence of the chain rule. We note that the proof below does not rely on specific representations of  $\Gamma_p^k$  like (4.7) and (4.17).

**Theorem 4.10 (Image density property)** *For any  $u \in B_{p, \infty}^k \cap C_b(K)$ , the Borel measure  $\Gamma_p^k \langle u \rangle \circ u^{-1}$  on  $\mathbb{R}$  defined by  $\Gamma_p^k \langle u \rangle \circ u^{-1}(A) := \Gamma_p^k \langle u \rangle(u^{-1}(A))$ ,  $A \in \mathcal{B}(\mathbb{R})$ , is absolutely continuous with respect to the 1-dimensional Lebesgue measure on  $\mathbb{R}$ .*

*Proof.* This is proved, on the basis of Theorem 4.9, in exactly the same way as [38, Proposition 7.6], which is a simple adaptation of [9, Theorem 4.3.8], but we present the details because in [38] the underlying topological space  $K$  is assumed to be a generalized Sierpiński carpet, a self-similar compact set in the Euclidean space. It suffices to prove that  $\Gamma_p^k \langle u \rangle \circ u^{-1}(F) = 0$  for any  $u \in B_{p, \infty}^k \cap C_b(K)$  and any compact subset  $F$  of  $\mathbb{R}$  such that  $\mathcal{L}^1(F) = 0$ , where  $\mathcal{L}^1$  denotes the 1-dimensional Lebesgue measure on  $\mathbb{R}$ . Let  $\{\varphi_n\}_{n \in \mathbb{N}} \subseteq C_c(\mathbb{R})$  satisfy  $|\varphi_n| \leq 1$ ,  $\lim_{n \rightarrow \infty} \varphi_n(x) = \mathbf{1}_F(x)$  for any  $x \in \mathbb{R}$  and

$$\int_0^\infty \varphi_n(t) dt = \int_{-\infty}^0 \varphi_n(t) dt = 0 \quad \text{for any } n \in \mathbb{N}.$$

We define  $\Phi_n(x) := \int_0^x \varphi_n(t) dt$ ,  $x \in \mathbb{R}$ , and  $u_n := \Phi_n \circ u$  for any  $n \in \mathbb{N}$ . Then we easily see that  $\Phi_n \in C^1(\mathbb{R}) \cap C_c(\mathbb{R})$ ,  $\Phi_n(0) = 0$ , and  $\Phi'_n = \varphi_n$  for any  $n \in \mathbb{N}$ . Also,  $u_n$  converges to 0 in  $L^p(K, m)$  as  $n \rightarrow \infty$  by the dominated convergence theorem. By Proposition 2.3-(2), we deduce that  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $B_{p, \infty}^k$ . Since  $B_{p, \infty}^k$  is reflexive by Theorem 3.6 and  $B_{p, \infty}^k$  is continuously embedded in  $L^p(K, m)$ , there exists a subsequence  $\{u_{n_k}\}_{k \in \mathbb{N}}$  weakly converging to 0 in  $B_{p, \infty}^k$ . By Mazur's lemma, there exist  $N(l) \in \mathbb{N}$  and  $\{a_{l, k}\}_{k=l}^{N(l)} \subseteq [0, 1]$  with  $N(l) > l$  and  $\sum_{k=l}^{N(l)} a_{l, k} = 1$  for each  $l \in \mathbb{N}$  such that  $\sum_{k=l}^{N(l)} a_{l, k} u_{n_k}$  converges to 0 in  $B_{p, \infty}^k$  as  $l \rightarrow \infty$ . Let us define  $\Psi_l \in C^1(\mathbb{R})$  by  $\Psi_l := \sum_{k=l}^{N(l)} a_{l, k} \Phi_{n_k}$ . Then  $\Psi_l(0) = 0$ ,  $\Psi'_l \rightarrow \mathbf{1}_F$  and, by Fatou's lemma, Theorem 4.9 and Proposition 4.7,

$$\begin{aligned}
\Gamma_p^k \langle u \rangle \circ u^{-1}(F) &= \int_{\mathbb{R}} \lim_{l \rightarrow \infty} |\Psi_l'(t)|^p (\Gamma_p^k \langle u \rangle \circ u^{-1})(dt) \\
&\leq \liminf_{l \rightarrow \infty} \int_K |\Psi_l'(u(x))|^p \Gamma_p^k \langle u \rangle(dx) \\
&= \liminf_{l \rightarrow \infty} \Gamma_p^k \langle \Psi_l(u) \rangle(K) = \liminf_{l \rightarrow \infty} \mathcal{E}_p^k(\Psi_l(u)) = 0,
\end{aligned}$$

which completes the proof.  $\square$

Now we can obtain the strongest possible forms of the strong locality of  $\Gamma_p^k \langle \cdot; \cdot \rangle$  as in the following theorem, which is an easy consequence of Theorem 4.10, the triangle inequality for  $\Gamma_p^k \langle \cdot \rangle^{1/p}$  and (4.14).

**Theorem 4.11 (Strong locality of  $p$ -energy measures)** *Let  $u, u_1, u_2, v \in B_{p,\infty}^k \cap C_b(K)$ ,  $a, a_1, a_2, b \in \mathbb{R}$  and  $A \in \mathcal{B}(K)$ .*

- (a) *If  $A \subseteq u^{-1}(a)$ , then  $\Gamma_p^k \langle u \rangle(A) = 0$ .*
- (b) *If  $A \subseteq (u - v)^{-1}(a)$ , then  $\Gamma_p^k \langle u \rangle(A) = \Gamma_p^k \langle v \rangle(A)$ .*
- (c) *If  $A \subseteq u_1^{-1}(a_1) \cup u_2^{-1}(a_2)$ , then*

$$\Gamma_p^k \langle u_1 + u_2 + v \rangle(A) + \Gamma_p^k \langle v \rangle(A) = \Gamma_p^k \langle u_1 + v \rangle(A) + \Gamma_p^k \langle u_2 + v \rangle(A), \quad (4.22)$$

$$\Gamma_p^k \langle u_1 + u_2; v \rangle(A) = \Gamma_p^k \langle u_1; v \rangle(A) + \Gamma_p^k \langle u_2; v \rangle(A). \quad (4.23)$$

- (d) *If  $A \subseteq (u_1 - u_2)^{-1}(a) \cup v^{-1}(b)$ , then*

$$\Gamma_p^k \langle u_1; v \rangle(A) = \Gamma_p^k \langle u_2; v \rangle(A) \quad \text{and} \quad \Gamma_p^k \langle v; u_1 \rangle(A) = \Gamma_p^k \langle v; u_2 \rangle(A). \quad (4.24)$$

*Proof.* This is proved, on the basis of Theorem 4.10, in exactly the same way as [23, Proof of Theorem 5.13], but we present the details because in the proof in [23] the underlying topological space  $K$  is assumed to be a self-similar (compact) set.

(a): This is immediate from  $\mathcal{L}^1(\{a\}) = 0$  and Theorem 4.10.

(b): This follows from (a) and the triangle inequality for  $\Gamma_p^k \langle \cdot \rangle(A)^{1/p}$  implied by Theorem 4.5 and Proposition 2.3-(1).

(c): Setting  $A_1 := A \cap u_1^{-1}(a_1)$  and  $A_2 := A \setminus A_1$ , we have  $A_i \subseteq u_i^{-1}(a_i)$  for  $i \in \{1, 2\}$  by  $A \subseteq u_1^{-1}(a_1) \cup u_2^{-1}(a_2)$ , and see therefore from (b) that

$$\begin{aligned}
&\Gamma_p^k \langle u_1 + u_2 + v \rangle(A) + \Gamma_p^k \langle v \rangle(A) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A_1) + \Gamma_p^k \langle u_1 + v \rangle(A_2) + \Gamma_p^k \langle v \rangle(A) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A_1) + \Gamma_p^k \langle v \rangle(A_2) + \Gamma_p^k \langle u_1 + v \rangle(A_2) + \Gamma_p^k \langle v \rangle(A_1) \\
&= \Gamma_p^k \langle u_2 + v \rangle(A) + \Gamma_p^k \langle u_1 + v \rangle(A),
\end{aligned}$$

proving (4.22). Then (4.23) follows, in the same way as the proof of (3.14) in Theorem 3.8, by applying (4.22) with  $v$  replaced by  $tv$  for  $t \in \mathbb{R} \setminus \{0\}$  and by 0, taking the differences of both sides of the resulting equalities, dividing both sides by  $t$  and then letting  $t \rightarrow 0$  on the basis of (4.14).



(d): In the same way as the proof of (3.15) in Theorem 3.8, (4.22) with  $u_2 - u_1, tv, u_1$  for  $t \in \mathbb{R} \setminus \{0\}$  in place of  $u_1, u_2, v$  and (4.14) together imply the former equality in (4.24), which in turn with  $v, 0, u_1 - u_2$  in place of  $u_1, u_2, v$  yields the latter by the linearity of  $\Gamma_p^k \langle v; \cdot \rangle(A)$ .  $\square$

Using Theorem 4.11, we can extend Proposition 4.7 as follows.

**Corollary 4.12** *Let  $u, v \in \mathcal{D}_{p,\infty}^{k,b}$ . If  $\{u, v\} \cap \mathcal{D}_{p,\infty}^{k,c} \neq \emptyset$ , then  $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$ .*

*Proof.* Similar to the proof of Proposition 4.7, it suffices to consider the case  $u, v \in B_{p,\infty}^k \cap C_b(K)$  with  $\{u, v\} \cap B_{p,\infty}^k \cap C_c(K) \neq \emptyset$ . Let  $f, g \in \{u, v\}$  satisfy  $\{f, g\} = \{u, v\}$  and  $f \in B_{p,\infty}^k \cap C_c(K)$ . Similar to the proof of Proposition 4.7, we can find an open neighborhood  $U$  of the compact subset  $\text{supp}_K[f]$  of  $K$  and  $\varphi \in B_{p,\infty}^k \cap C_c(K)$  so that  $0 \leq \varphi \leq 1$  and  $\varphi(x) = 1$  for any  $x \in U$ . Then  $\text{supp}_K[f] \cap \text{supp}_K[g(\varphi - \mathbf{1}_K)] = \emptyset$ , so we have

$$\mathcal{E}_p^k(u; v) = \begin{cases} \mathcal{E}_p^k(f; g\varphi) & \text{if } f = u, \\ \mathcal{E}_p^k(g\varphi; f) & \text{if } f = v, \end{cases}$$

by Theorem 3.8-(e) and

$$\Gamma_p^k \langle u; v \rangle(K) = \begin{cases} \Gamma_p^k \langle f; g\varphi \rangle(K) & \text{if } f = u, \\ \Gamma_p^k \langle g\varphi; f \rangle(K) & \text{if } f = v, \end{cases}$$

by Theorem 4.11-(c),(d). Since  $f, g\varphi \in B_{p,\infty}^k \cap C_c(K)$ , we obtain  $\Gamma_p^k \langle u; v \rangle(K) = \mathcal{E}_p^k(u; v)$  by Proposition 4.7.  $\square$

## 5 $p$ -Energy forms on $p$ -conductively homogeneous spaces

In this section, we verify  $(\text{WM})_{p,k}$  for a family of kernels  $k$  corresponding to the  $(1, p)$ -Korevaar–Schoen–Sobolev space (see Example 3.14) on  $p$ -conductively homogeneous compact metric spaces equipped with Ahlfors regular measures. We also show some estimates on localized versions of Korevaar–Schoen  $p$ -energy forms, and construct, on the basis of Korevaar–Schoen  $p$ -energy forms, self-similar  $p$ -energy forms on  $p$ -conductively homogeneous self-similar sets as well. We refer to [29, Sections 4.3–4.6] for many concrete examples covered by this framework.

### 5.1 $p$ -Conductively homogeneous spaces

Let us recall the notation and terminology in [28, 29] by following [23, Section 8.1]. We fix a locally finite (non-directed) infinite tree  $(T, E_T)$  in the usual sense (see [29, Definition 2.1] for example), and fix a root  $\phi \in T$  of  $T$ . (Here  $T$  is the set of vertices

and  $E_T$  is the set of edges.) For any  $w \in T \setminus \{\phi\}$ , we use  $\overline{\phi w}$  to denote the unique simple path in  $T$  from  $\phi$  to  $w$ .

**Definition 5.1 ([29, Definition 2.2])**

(1) For  $w \in T$ , define  $\pi: T \rightarrow T$  by

$$\pi(w) := \begin{cases} w_{n-1} & \text{if } w \neq \phi \text{ and } \overline{\phi w} = (w_0, \dots, w_n), \\ \phi & \text{if } w = \phi. \end{cases}$$

Set  $S(w) := \{v \in T \mid \pi(v) = w\} \setminus \{w\}$ . Moreover, for  $k \in \mathbb{N}$ , we define  $S^k(w)$  inductively as

$$S^{k+1}(w) = \bigcup_{v \in S(w)} S^k(v).$$

For  $A \subseteq T$ , define  $S^k(A) := \bigcup_{w \in A} S^k(w)$ .

- (2) For  $w \in T$  and  $n \in \mathbb{N} \cup \{0\}$ , define  $|w| := \min\{n \geq 0 \mid \pi^n(w) = \phi\}$  and  $T_n := \{w \in T \mid |w| = n\}$ .
- (3) Define  $\Sigma := \{(\omega_n)_{n \geq 0} \mid \omega_n \in T_n \text{ and } \omega_n = \pi(\omega_{n+1}) \text{ for all } n \in \mathbb{N} \cup \{0\}\}$ . For  $\omega = (\omega_n)_{n \geq 0} \in \Sigma$ , we write  $[\omega]_n$  for  $\omega_n \in T_n$ . For  $w \in T$ , define  $\Sigma_w := \{(\omega_n)_{n \geq 0} \in \Sigma \mid \omega_{|w|} = w\}$ . For  $A \subseteq T$ , define  $\Sigma_A := \bigcup_{w \in A} \Sigma_w$ .

We introduce a partition parametrized by a rooted tree (see [28, Definition 2.2.1] and [37, Lemma 3.6]).

**Definition 5.2 (Partition parametrized by a tree)** Let  $K$  be a compact metrizable topological space without isolated points. A family of non-empty compact subsets  $\{K_w\}_{w \in T}$  of  $K$  is called a *partition of  $K$  parametrized by the rooted tree  $(T, E_T, \phi)$*  if and only if it satisfies the following conditions:

- (P1)  $K_\phi = K$  and for any  $w \in T$ ,  $\#K_w \geq 2$  and  $K_w = \bigcup_{v \in S(w)} K_v$ .
- (P2) For any  $w \in \Sigma$ ,  $\bigcap_{n \geq 0} K_{[\omega]_n}$  is a single point.

In the rest of this section, we fix a compact metrizable topological space without isolated points  $K$ , a locally finite rooted tree  $(T, E_T, \phi)$  satisfying  $\#\{v \in T \mid \{v, w\} \in E_T\} \geq 2$  for any  $w \in T$ , a partition  $\{K_w\}_{w \in T}$  parametrized by  $(T, E_T, \phi)$ , a metric  $d$  on  $K$  with  $\text{diam}(K, d) = 1$ , and a Borel probability measure  $m$  on  $K$ . In the following definition, we collect some basic pieces of the notation used in [28, 29].

**Definition 5.3** For  $n \in \mathbb{N} \cup \{0\}$  and  $A \subseteq T_n$ , define

$$E_n^* := \{\{v, w\} \mid v, w \in T_n, v \neq w, K_v \cap K_w \neq \emptyset\},$$

and  $E_n^*(A) = \{\{v, w\} \in E_n^* \mid v, w \in A\}$ . Let  $d_n$  be the graph distance of  $(T_n, E_n^*)$ . For  $M \in \mathbb{N} \cup \{0\}$ ,  $w \in T_n$  and  $x \in K$ , define

$$\Gamma_M(w) := \{v \in T_n \mid d_n(v, w) \leq M\} \quad \text{and} \quad U_M(x; n) := \bigcup_{w \in T_n, x \in K_w} \bigcup_{v \in \Gamma_M(w)} K_v.$$

To state geometric assumptions in [29], we need the following definition (see [28, Definitions 2.2.1 and 3.1.15].)

- Definition 5.4** (1) The partition  $\{K_w\}_{w \in T}$  is said to be *minimal* if and only if  $K_w \setminus \bigcup_{v \in T_{|w|} \setminus \{w\}} K_v \neq \emptyset$  for any  $w \in T$ .  
 (2) The partition  $\{K_w\}_{w \in T}$  is said to be *uniformly finite* if and only if  $\sup_{w \in T} \# \Gamma_1(w) < \infty$ .

We also use the following notation for simplicity.

**Definition 5.5** For  $n \in \mathbb{N} \cup \{0\}$  and  $U \subseteq K$ , define  $T_n[U] := \{w \in T_n \mid K_w \cap U \neq \emptyset\}$ .

Now we describe basic geometric conditions in [29]. The conditions (1), (2) and (5.6) in (3) below are important to follow the rest of this paper.

**Assumption 5.6 ([29, Assumption 2.15])** Let  $(K, \mathcal{O})$  be a connected compact metrizable space,  $\{K_w\}_{w \in T}$  a partition parametrized by the rooted tree  $(T, \phi)$ ,  $d$  a metric on  $K$  that is compatible with the topology  $\mathcal{O}$  and  $\text{diam}(K, d) = 1$  and  $m$  a Borel probability measure on  $K$ . There exist  $M_* \in \mathbb{N}$  and  $r_* \in (0, 1)$  such that the following conditions (1)–(5) hold.

- (1)  $K_w$  is connected for any  $w \in T$ ,  $\{K_w\}_{w \in T}$  is minimal and uniformly finite, and  $\inf_{m \geq 0} \min_{w \in T_m} \#S(w) \geq 2$ .  
 (2) There exist  $c_i > 0$ ,  $i \in \{1, \dots, 5\}$ , such that the following conditions (2A)–(2C) are true.

(2A) For any  $w \in T$ ,

$$c_1 r_*^{|w|} \leq \text{diam}(K_w, d) \leq c_2 r_*^{|w|}. \quad (5.1)$$

(2B) For any  $n \in \mathbb{N}$  and  $x \in K$ ,

$$B_d(x, c_3 r_*^n) \subseteq U_{M_*}(x; n) \subseteq B_d(x, c_4 r_*^n). \quad (5.2)$$

(2C) For any  $n \in \mathbb{N}$  and  $w \in T_n$ , there exists  $x \in K_w$  satisfying

$$K_w \supseteq B_d(x, c_5 r_*^n). \quad (5.3)$$

- (3) There exist  $m_1 \in \mathbb{N}$ ,  $\gamma_1 \in (0, 1)$  and  $\gamma \in (0, 1)$  such that

$$m(K_w) \geq \gamma m(K_{\pi(w)}) \quad \text{for any } w \in T, \quad (5.4)$$

and

$$m(K_v) \leq \gamma_1 m(K_w) \quad \text{for any } w \in T \text{ and } v \in S^{m_1}(w). \quad (5.5)$$

Furthermore,  $m$  is volume doubling with respect to  $d$  and

$$m(K_w) = \sum_{v \in S(w)} m(K_v) \quad \text{for any } w \in T. \quad (5.6)$$

- (4) There exists  $M_0 \geq M_*$  such that for any  $w \in T$ ,  $k \geq 1$  and any  $v \in S^k(w)$ ,

$$\Gamma_{M_*}(v) \cap S^k(w) \subseteq \left\{ v' \in T_{|v|} \mid \begin{array}{l} \text{there exist } l \leq M_0 \text{ and } (v_0, \dots, v_l) \in S^k(w)^{l+1} \\ \text{such that } (v_{j-1}, v_j) \in E_{|v|}^* \text{ for any } j \in \{1, \dots, l\} \end{array} \right\}.$$

(5) For any  $w \in T$ ,  $\pi(\Gamma_{M_*+1}(w)) \subseteq \Gamma_{M_*}(\pi(w))$ .

Note that if a Borel probability measure  $m$  on  $K$  satisfies (5.6), then we have

$$m(K_v \cap K_w) = 0 \quad \text{for any } v, w \in T \text{ with } v \neq w \text{ and } |v| = |w|; \quad (5.7)$$

see [23, Proposition 8.7] for a proof of this fact.

Next we introduce conductance, neighbor disparity constants and the notion of  $p$ -conductive homogeneity in Definitions 5.9, 5.7 and 5.10. We also recall the notion of a covering system in Definition 5.8, which is used in the definition of neighbor disparity constants. See [29, Sections 2.2, 2.3 and 3.3] for further details on these topics. In the rest of this section, we fix  $p \in (1, \infty)$  unless otherwise stated. We will state some definitions and statements below for any  $p \in [1, \infty)$ , but on each such occasion we will explicitly declare that we let  $p \in [1, \infty)$ .

**Definition 5.7 ([29, Definitions 2.17 and 3.4])** Let  $p \in [1, \infty)$ ,  $n \in \mathbb{N} \cup \{0\}$  and  $A \subseteq T_n$ .

(1) Define  $\mathcal{E}_{p,A}^n: \mathbb{R}^A \rightarrow [0, \infty)$  by

$$\mathcal{E}_{p,A}^n(f) := \sum_{\{u,v\} \in E_n^*(A)} |f(u) - f(v)|^p, \quad f \in \mathbb{R}^A.$$

We write  $\mathcal{E}_p^n(f)$  for  $\mathcal{E}_{p,T_n}^n(f)$ .

(2) For  $A_0, A_1 \subseteq A$ , define  $\text{cap}_p^n(A_0, A_1; A)$  by

$$\text{cap}_p^n(A_0, A_1; A) := \inf \{ \mathcal{E}_{p,A}^n(f) \mid f \in \mathbb{R}^A, f|_{A_i} = i \text{ for } i \in \{0, 1\} \}.$$

(3) (Conductance constant) For  $A_1, A_2 \subseteq A$  and  $k \in \mathbb{N} \cup \{0\}$ , define

$$\mathcal{E}_{p,k}(A_1, A_2, A) := \text{cap}_p^{n+k}(S^k(A_1), S^k(A_2); S^k(A)).$$

For  $M \in \mathbb{N}$ , define  $\mathcal{E}_{M,p,k} := \sup_{w \in T} \mathcal{E}_{p,k}(\{w\}, T_{|w|} \setminus \Gamma_M(w), T_{|w|})$ .

**Definition 5.8 ([29, Definitions 2.26-(3) and 2.29])** Let  $N_T, N_E \in \mathbb{N}$ .

(1) Let  $n \in \mathbb{N} \cup \{0\}$  and  $A \subseteq T_n$ . A collection  $\{G_i\}_{i=1}^k$  with  $G_i \subseteq T_n$  is called a *covering of  $(A, E_n^*(A))$  with covering numbers  $(N_T, N_E)$*  if and only if  $A = \bigcup_{i=1}^k G_i$ ,  $\max_{x \in A} \#\{i \mid x \in G_i\} \leq N_T$  and for any  $(u, v) \in E_n^*(A)$ , there exists  $l \leq N_E$  and  $\{w(1), \dots, w(l+1)\} \subseteq A$  such that  $w(1) = u$ ,  $w(l+1) = v$  and  $(w(i), w(i+1)) \in \bigcup_{j=1}^k E_n^*(G_j)$  for any  $i \in \{1, \dots, l\}$ .

(2) Let  $\mathcal{J} \subseteq \bigcup_{n \in \mathbb{N} \cup \{0\}} \{A \mid A \subseteq T_n\}$ . The collection  $\mathcal{J}$  is called a *covering system with covering number  $(N_T, N_E)$*  if and only if the following conditions are satisfied:

(i)  $\sup_{A \in \mathcal{J}} \#A < \infty$ .

- (ii) For any  $w \in T$  and  $k \in \mathbb{N}$ , there exists a finite subset  $\mathcal{N} \subseteq \mathcal{J} \cap T_{|w|+k}$  such that  $\mathcal{N}$  is a covering of  $(S^k(w), E_{|w|+k}^*(S^k(w)))$  with covering numbers  $(N_T, N_E)$ .
- (iii) For any  $G \in \mathcal{J}$  and  $k \in \mathbb{N} \cup \{0\}$ , if  $G \subseteq T_n$ , then there exists a finite subset  $\mathcal{N} \subseteq \mathcal{J} \cap T_{n+k}$  such that  $\mathcal{N}$  is a covering of  $(S^k(G), E_{n+k}^*(S^k(G)))$  with covering numbers  $(N_T, N_E)$ .

The collection  $\mathcal{J}$  is simply said to be a *covering system* if  $\mathcal{J}$  is a covering system with covering numbers  $(N_T, N_E)$  for some  $(N_T, N_E) \in \mathbb{N}^2$ .

**Definition 5.9 ([29, Definitions 2.26 and 2.29])** Let  $p \in [1, \infty)$ ,  $n \in \mathbb{N}$  and  $A \subseteq T_n$ .

- (1) For  $k \in \mathbb{N} \cup \{0\}$  and  $f: T_{n+k} \rightarrow \mathbb{R}$ , define  $P_{n,k}f: T_n \rightarrow \mathbb{R}$  by

$$(P_{n,k}f)(w) := \frac{1}{\sum_{v \in S^k(w)} m(K_v)} \sum_{v \in S^k(w)} f(v)m(K_v), \quad w \in T_n.$$

(Note that  $P_{n,k}f$  depends on the measure  $m$ .)

- (2) (Neighbor disparity constant) For  $k \in \mathbb{N} \cup \{0\}$ , define

$$\sigma_{p,k}(A) := \sup_{f: S^k(A) \rightarrow \mathbb{R}} \frac{\mathcal{E}_{p,A}^n(P_{n,k}f)}{\mathcal{E}_{p,S^k(A)}^{n+k}(f)}.$$

- (3) Let  $\mathcal{J} \subseteq \bigcup_{n \geq 0} \{A \mid A \subseteq T_n\}$  be a covering system. Define

$$\sigma_{p,k,n}^{\mathcal{J}} := \max\{\sigma_{p,k}(A) \mid A \in \mathcal{J}, A \subseteq T_n\} \quad \text{and} \quad \sigma_{p,k}^{\mathcal{J}} := \sup_{n \in \mathbb{N} \cup \{0\}} \sigma_{p,k,n}^{\mathcal{J}}.$$

**Definition 5.10 ([29, Definition 3.4])** Let  $p \in [1, \infty)$ . The compact metric space  $K$  (with a partition  $\{K_w\}_{w \in T}$  and a measure  $m$ ) is said to be  *$p$ -conductively homogeneous* if and only if there exists a covering system  $\mathcal{J}$  such that

$$\sup_{k \in \mathbb{N} \cup \{0\}} \sigma_{p,k}^{\mathcal{J}} \mathcal{E}_{M^*,p,k} < \infty. \quad (5.8)$$

**Theorem 5.11 (A part of [29, Theorem 3.30])** Let  $p \in [1, \infty)$  and suppose that Assumption 5.6 holds. If  $K$  is  $p$ -conductively homogeneous, then there exist  $c_1, c_2, \sigma_p \in (0, \infty)$  and a covering system  $\mathcal{J}$  such that for any  $k \in \mathbb{N} \cup \{0\}$ ,

$$c_1 \sigma_p^{-k} \leq \mathcal{E}_{M^*,p,k} \leq c_2 \sigma_p^{-k} \quad \text{and} \quad c_1 \sigma_p^k \leq \sigma_{p,k}^{\mathcal{J}} \leq c_2 \sigma_p^k. \quad (5.9)$$

The following weak monotonicity is a key consequence of the  $p$ -conductive homogeneity.

**Lemma 5.12 (Weak monotonicity)** Let  $p \in [1, \infty)$  and suppose that Assumption 5.6 holds. If  $K$  is  $p$ -conductively homogeneous, then there exists  $C \in (0, \infty)$  such that for any  $k, l \in \mathbb{N}$ , any  $A \subseteq T_k$  and any  $f \in L^1(K, m)$ ,

$$\sigma_p^k \mathcal{E}_{p,A}^k(P_k f) \leq C \sigma_p^{k+l} \mathcal{E}_{p,S^l(A)}^{k+l}(P_{k+l} f), \quad (5.10)$$

where  $\sigma_p$  is the constant in (5.9).

*Proof.* This follows immediately by combining [29, Lemma 2.27] and (5.9).  $\square$

We also recall the ‘‘Sobolev space’’  $\mathcal{W}^p$  introduced in [29, Lemma 3.13].

**Definition 5.13** Let  $p \in [1, \infty)$ . Suppose that Assumption 5.6 holds and that  $K$  is  $p$ -conductively homogeneous. Let  $\sigma_p$  be the constant in (5.9).

- (1) For  $n \in \mathbb{N} \cup \{0\}$ ,  $w \in T_n$ ,  $E \in \mathcal{B}(K)$  with  $E \supseteq K_w$  and  $f \in L^1(E, m|_E)$ , define  $P_n f(w) := \int_{K_w} f \, dm$ .
- (2) We define  $\mathcal{N}_p: L^p(K, m) \rightarrow [0, \infty]$  and  $\mathcal{W}^p \subseteq L^p(K, m)$  by

$$\mathcal{N}_p(f) := \left( \sup_{n \in \mathbb{N} \cup \{0\}} \sigma_p^n \mathcal{E}_p^n(P_n f) \right)^{1/p}, \quad f \in L^p(K, m),$$

$$\mathcal{W}^p := \{f \in L^p(K, m) \mid \mathcal{N}_p(f) < \infty\}.$$

Note that  $\mathcal{N}_p(f) = 0$  if and only if  $f$  is constant on  $K$  (see [23, Section 8.1] for details). We also equip  $\mathcal{W}^p$  with the norm  $\|\cdot\|_{\mathcal{W}^p}$  defined by

$$\|f\|_{\mathcal{W}^p} := \left( \|f\|_{L^p(K, m)}^p + \mathcal{N}_p(f)^p \right)^{1/p}, \quad f \in \mathcal{W}^p.$$

- (3) For  $n \in \mathbb{N} \cup \{0\}$ ,  $A \subseteq T_n$ ,  $E \in \mathcal{B}(K)$  with  $E \supseteq \bigcup_{w \in A} K_w$  and  $f \in L^1(E, m|_E)$ , we define

$$\tilde{\mathcal{E}}_{p,A}^n(f) := \sigma_p^n \mathcal{E}_{p,A}^n(P_n f).$$

We also set  $\tilde{\mathcal{E}}_p^n(f) := \tilde{\mathcal{E}}_{p,T_n}^n(f)$  for  $f \in L^1(K, m)$ .

Now we can introduce a framework to construct a  $p$ -resistance form on  $K$ .

**Assumption 5.14** Let  $(K, d, \{K_w\}_{w \in T}, m)$  satisfy Assumption 5.6. In addition,  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies the following conditions:

- (1) The measure  $m$  is Ahlfors regular with respect to  $d$ . (Recall (3.20).)
- (2)  $K$  is  $p$ -conductively homogeneous.
- (3)  $\sigma_p > 1$ , where  $\sigma_p$  is the constant in (5.9).

**Remark 5.15** (1) By [28, Theorem 4.6.9], Assumption 5.14-(3) is equivalent to  $p > \dim_{\text{ARC}}(K, d)$ , where  $\dim_{\text{ARC}}(K, d)$  denotes the Ahlfors regular conformal dimension of  $(K, d)$ . (See, e.g., [29, (1.1)] for the definition of  $\dim_{\text{ARC}}(K, d)$ .)

- (2) It is highly non-trivial in general to verify that a given compact metric space  $K$  is  $p$ -conductively homogeneous. In [29, Sections 4.3–4.6] and [30], the  $p$ -conductive homogeneity for  $p > \dim_{\text{ARC}}(K, d)$  has been proved for various large classes of self-similar sets  $K$  in  $\mathbb{R}^n$  equipped with the Euclidean metric  $d$ .

In the following theorem, we recall a fundamental result on  $\mathcal{W}^p$ .

**Theorem 5.16** ([29, Lemmas 3.16, 3.19, 3.24 and Theorem 3.22], [23, Theorem 8.16]) *Let  $p \in [1, \infty)$ . Suppose that  $(K, d, \{K_w\}_{w \in T}, m)$  satisfies Assumption 5.6 and that  $K$  is  $p$ -conductively homogeneous. Then  $\mathcal{W}^p$  equipped with the norm  $\|\cdot\|_{\mathcal{W}^p}$  is a Banach space. If  $p > 1$ , then  $\mathcal{W}^p$  is reflexive and separable. If  $p > \dim_{\text{ARC}}(K, d)$ , or equivalently  $\sigma_p > 1$ , then  $\mathcal{W}^p \subseteq C(K)$  and  $\mathcal{W}^p$  is dense in  $(C(K), \|\cdot\|_{\text{sup}})$ .*

Let us introduce an important value,  $p$ -walk dimension, to describe the main result in this section.

**Definition 5.17** Suppose that Assumption 5.6 holds, that  $m$  is Ahlfors regular and that  $K$  is  $p$ -conductively homogeneous. Let  $r_* \in (0, 1)$  be the constant in (5.1), let  $\sigma_p$  be the constant in (5.9) and let  $d_f$  be the Hausdorff dimension of  $(K, d)$ . Define

$$d_{w,p} := d_f + \frac{\log \sigma_p}{\log r_*^{-1}}. \quad (5.11)$$

We call  $d_{w,p}$  the  $p$ -walk dimension of  $(K, d, \{K_w\}_{w \in T}, m)$ .

The next lemma states a suitable capacity upper bound in this framework.

**Lemma 5.18** *Suppose that  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies Assumption 5.14. Then there exists  $C \in (0, \infty)$  such that for any  $(x, s) \in K \times (0, 1]$ ,*

$$\inf \left\{ \mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p, f|_{B_d(x,s)} = 1, \text{supp}_K[f] \subseteq B_d(x, 2s) \right\} \leq C s^{d_f - d_{w,p}}. \quad (5.12)$$

*Proof.* Let  $r_* \in (0, 1)$  and  $M_* \in \mathbb{N}$  be the constants in Assumption 5.6. Let  $s > 0$  and let  $n \in \mathbb{N}$  satisfy

$$c_2(M_* + 1)r_*^n < 2s \leq c_2(M_* + 1)r_*^{n-1},$$

where  $c_2$  is the constant in (5.1). Let  $x \in K$  and set  $T_n(x, s) := T_n[B_d(x, s)]$  for simplicity. Thanks to the metric doubling property of  $(K, d)$ , we have  $\#T_n(x, s) \lesssim 1$ . By [29, Lemma 3.18] and its proof, for any  $w \in T_n(x, s)$  there exists  $h_{M_*,w} \in \mathcal{W}^p$  such that  $h_{M_*,w}|_{K_w} \equiv 1$ ,  $\text{supp}_K[h_{M_*,w}] \subseteq U_{M_*}(w)$  and  $\mathcal{N}_p(h_{M_*,w})^p \lesssim \sigma_p^n$ . Let  $\psi_{x,s} := \sum_{w \in T_n(x,s)} h_{M_*,w} \in \mathcal{W}^p$ . Then  $\psi_{x,s}|_{B_d(x,s)} \geq 1$ ,  $\text{supp}_K[\psi_{x,s}] \subseteq B_d(x, 2s)$  and  $\mathcal{N}_p(\psi_{x,s})^p \lesssim \sigma_p^n = r_*^{n(d_f - d_{w,p})} \lesssim s^{d_f - d_{w,p}}$ . Since  $\mathcal{N}_p(\psi_{x,s} \wedge 1)^p \lesssim \mathcal{N}_p(\psi_{x,s})^p$  by [29, Theorem 3.21], we obtain (5.12).  $\square$

We also consider the following setting to deal with the case  $p \leq \dim_{\text{ARC}}(K, d)$ .

**Assumption 5.19** Let  $(K, d, \{K_w\}_{w \in T}, m)$  satisfy Assumption 5.6. In addition,  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies the following conditions:

- (1) The measure  $m$  is Ahlfors regular with respect to  $d$ .
- (2)  $K$  is  $p$ -conductively homogeneous.
- (3) There exists  $C \in (0, \infty)$  such that for any  $(x, s) \in K \times (0, 1]$ ,

$$\inf \left\{ \mathcal{N}_p(f)^p \mid f \in \mathcal{W}^p \cap C(K), f|_{B_d(x,s)} = 1, \text{supp}_K[f] \subseteq B_d(x, 2s) \right\}$$

$$\leq C s^{d_f - d_{w,p}}. \quad (5.13)$$

Note that Assumption 5.14 implies Assumption 5.19 by Lemma 5.18.

The same argument as in [35, Lemma 6.26] yields a good partition of unity under Assumption 5.19 as given in Lemma 5.20 and thus we obtain the regularity of  $\mathcal{W}^p$  in Corollary 5.21.

**Lemma 5.20** *Suppose that Assumption 5.19 holds. Let  $\varepsilon \in (0, 1)$  and let  $V$  be a maximal  $\varepsilon$ -net of  $(K, d)$ . Then there exists a family of functions  $\{\psi_z\}_{z \in V}$  that satisfies the following properties:*

- (i)  $\sum_{z \in V} \psi_z \equiv 1$ .
- (ii)  $\psi_z \in \mathcal{W}^p \cap C(K)$ ,  $0 \leq \psi_z \leq 1$ ,  $\psi_z|_{B_d(z, \varepsilon/4)} \equiv 1$  and  $\text{supp}_K[\psi_z] \subseteq B_d(z, 5\varepsilon/4)$  for any  $z \in V$ .
- (iii) If  $z \in V$  and  $z' \in V \setminus \{z\}$ , then  $\psi_{z'}|_{B_d(z, \varepsilon/4)} \equiv 0$ .
- (iv) There exists  $C \in (0, \infty)$  such that  $N_p(\psi_z)^p \leq C \varepsilon^{d_f - d_{w,p}}$  for any  $z \in V$ .

**Corollary 5.21** *Suppose that Assumption 5.19 holds. Then  $\mathcal{W}^p \cap C(K)$  is dense in  $(C(K), \|\cdot\|_{\text{sup}})$ .*

## 5.2 Localized energy estimates

In this subsection, we show localized energy estimates on Korevaar–Schoen  $p$ -energy forms, which will imply  $(\text{WM})_{p,k}$  with the family of kernels  $k^{s_p}$  (recall (3.25)) and the equality  $s_p = d_{w,p}/p$ . Estimates in this subsection are very similar to [35, Section 7] although the setting of “partitions” in [35] is slightly different from ours.

We start with the following lemma, which gives a Poincaré-type estimate.

**Lemma 5.22** *Suppose that Assumption 5.19 holds. Then there exists a constant  $C \in (0, \infty)$  such that for any  $f \in L^p(K, m)$  and any  $w \in T$ ,*

$$\int_{K_w} |f(x) - f_{K_w}|^p m(dx) \leq C r_*^{|w|d_{w,p}} \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^n(w)}^{n+|w|}(f). \quad (5.14)$$

*Proof.* Let  $f \in L^p(K, m)$ ,  $w \in T$  and set  $k := |w|$ . For  $n \in \mathbb{N}$ , define  $Q_n f \in L^p(K, m)$  by

$$Q_n f := \sum_{v \in T_n} P_n f(v) \mathbf{1}_{K_v}.$$

Then we can see that  $\|Q_n f - f\|_{L^p(K, m)} \rightarrow 0$  as  $n \rightarrow \infty$  (see [23, Proof of Theorem 8.19]). For any  $n \in \mathbb{N}$ , we see from (5.7) and  $\|Q_n f - f\|_{L^p(K, m)} \rightarrow 0$  that

$$\begin{aligned} & \frac{1}{m(K_w)} \sum_{v \in S^n(w)} |f_{K_v} - f_{K_w}|^p m(K_v) \\ &= \frac{1}{m(K_w)} \sum_{v \in S^n(w)} \int_{K_v} |Q_{n+k} f(x) - f_{K_w}|^p m(dx) \end{aligned}$$



$$= \int_{K_w} |\mathcal{Q}_{n+k} f(x) - f_{K_w}|^p m(dx) \xrightarrow{n \rightarrow \infty} \int_{K_w} |f(x) - f_{K_w}|^p m(dx). \quad (5.15)$$

By [29, (5.11) in Theorem 5.11] and (5.9), there exists  $C \in (0, \infty)$  which is independent of  $f$  and  $n$  such that

$$\frac{1}{m(K_w)} \sum_{v \in S^n(w)} |f_{K_v} - f_{K_w}|^p m(K_v) \leq C r_*^{k(d_w, p - d_f)} \tilde{\mathcal{E}}_{p, S^n(w)}^{n+k}(f). \quad (5.16)$$

We obtain (5.14) by combining (5.15), (5.16), (5.3) and the Ahlfors regularity of  $m$ .  $\square$

The next proposition shows an upper bound on localized Korevaar–Schoen energy functionals.

**Proposition 5.23** *Suppose that Assumption 5.19 holds. Then there exists  $C \in (0, \infty)$  such that for any  $E \in \mathcal{B}(K)$ , any open neighborhood  $E'$  of  $\overline{E}^K$  and any  $f \in L^p(E', m|_{E'})$ ,*

$$\begin{aligned} \limsup_{r \downarrow 0} \int_E \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ \leq C \limsup_{r \downarrow 0} \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d, r}]}^n(f), \end{aligned} \quad (5.17)$$

Furthermore, with  $C \in (0, \infty)$  the same as in (5.17), for any  $f \in L^p(K, m)$ ,

$$\sup_{r > 0} \int_K \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \leq C \mathcal{N}_p(f)^p. \quad (5.18)$$

*Proof.* Let  $r_* \in (0, 1)$  and  $M_* \in \mathbb{N}$  be the constants in Assumption 5.6. Let  $r > 0$  and choose  $n(r) \in \mathbb{N}$  satisfying  $c_3 r_*^{n(r)+1} < r \leq c_3 r_*^{n(r)}$ , where  $c_3$  is the constant in (5.2). Then, for any  $w \in T_{n(r)}$  and  $x \in K_w$ , we have  $B_d(x, r) \subseteq U_{M_*}(x; n(r)) \subseteq U_{M_*+1}(w)$ . Let  $f \in L^p(E', m|_{E'})$ , where  $E'$  is an open neighborhood of  $\overline{E}^K$ . Set  $c := (M_* + 2)c_2(c_3 r_*)^{-1} \in (0, \infty)$ , where  $c_2$  is the constant in (5.1). Then, by (5.1),  $\bigcup_{w \in T_{n(r)}[E]} S^k(\Gamma_{M_*+1}(w)) \subseteq T_{k+n(r)}[(E)_{d, cr}]$  for any  $k \in \mathbb{N}$  and there exists  $r_0 \in (0, \infty)$  such that  $(E)_{d, cr} \subseteq E'$  for any  $r \in (0, r_0)$ . By using  $|f(x) - f(y)|^p \lesssim |f(x) - f_{K_w}|^p + |f(y) - f_{K_v}|^p + |f_{K_v} - f_{K_w}|^p$  and Lemma 5.22, we see that for any  $r \in (0, r_0)$ ,

$$\begin{aligned} & \int_E \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ & \leq r_*^{-n(r)d_f} \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_*+1}(w)} \int_{K_w} \int_{K_v} \frac{|f(x) - f(y)|^p}{r^{d_w, p}} m(dy) m(dx) \\ & \lesssim \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_*+1}(w)} \left( \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(v)}^{k+n(r)}(f) + \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(w)}^{k+n(r)}(f) \right) \end{aligned}$$

$$\begin{aligned}
& + \sum_{w \in T_{n(r)}[E], v \in \Gamma_{M_{s+1}}(w)} \sigma_p^{n(r)} |f_{K_v} - f_{K_w}|^p \\
& \lesssim \sum_{w \in T_{n(r)}[E]} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{k+n(r)}(f) + \sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, \Gamma_{M_{s+1}}(w)}^{n(r)}(f). \quad (5.19)
\end{aligned}$$

Since the partition  $\{K_w\}_{w \in T}$  is uniformly finite, we have

$$\begin{aligned}
\sum_{w \in T_{n(r)}[E]} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{k+n(r)}(f) & \leq \liminf_{k \rightarrow \infty} \sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{M_{s+1}}(w))}^{n+n(r)}(f) \\
& \lesssim \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_k[(E)_{d,cr}]}^k(f). \quad (5.20)
\end{aligned}$$

We also have from Lemma 5.12 that

$$\sum_{w \in T_{n(r)}[E]} \tilde{\mathcal{E}}_{p, \Gamma_{M_{s+1}}(w)}^{n(r)}(f) \lesssim \tilde{\mathcal{E}}_{p, T_{n(r)}[(E)_{d,cr}]}^{n(r)}(f) \lesssim \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d,cr}]}^n(f). \quad (5.21)$$

By (5.19), (5.20) and (5.21), there exists  $C \in (0, \infty)$  (depending only on the constants associated with Assumption 5.6) such that for any  $r \in (0, r_0)$ ,

$$\int_E \int_{B_d(x,r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx) \leq C \liminf_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[(E)_{d,cr}]}^n(f), \quad (5.22)$$

whence we obtain (5.17) by letting  $r \downarrow 0$  in (5.22). If  $f \in L^p(K, m)$ , then we have (5.18) by letting  $E := K$  in (5.22).  $\square$

Before proving inequalities in the converse direction matching (5.17) and (5.18), let us introduce a localized version of  $\mathcal{W}^p$ .

**Definition 5.24** Let  $U$  be a non-empty open subset of  $K$ . We define a linear subspace  $\mathcal{W}_{\text{loc}}^p(U)$  of  $L^0(U, m|_U)$  by

$$\mathcal{W}_{\text{loc}}^p(U) := \left\{ f \in L^0(U, m|_U) \mid \begin{array}{l} f = f^\# \text{ m-a.e. on } V \text{ for some } f^\# \in \mathcal{W}^p \text{ for} \\ \text{each relatively compact open subset } V \text{ of } U \end{array} \right\}.$$

A lower bound on localized Korevaar–Schoen energy functionals can be shown in a similar way as [5, Theorem 5.2].

**Proposition 5.25** Suppose that Assumption 5.19 holds. Then there exists  $C \in (0, \infty)$  such that for any  $E \subseteq K$ , any open neighborhood  $E'$  of  $\bar{E}^K$  and any  $u \in \mathcal{W}_{\text{loc}}^p(E')$ ,

$$\limsup_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[E]}^n(u) \leq C \lim_{\delta \downarrow 0} \liminf_{r \downarrow 0} \int_{(E)_{d,\delta}} \int_{B_d(x,r)} \frac{|u(x) - u(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \quad (5.23)$$

Furthermore, with  $C \in (0, \infty)$  the same as in (5.23), for any  $f \in L^p(K, m)$ ,

$$\mathcal{N}_p(f)^p \leq C \liminf_{r \downarrow 0} \int_K \int_{B_d(x,r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \quad (5.24)$$

*Proof.* Let  $r \in (0, 1)$ , let  $N_r$  be a maximal  $r$ -net of  $(K, d)$ , and let  $\{\psi_{z,r}\}_{z \in N_r}$  be a partition of unity as given in Lemma 5.20. Define  $A_r : L^p(K, m) \rightarrow \mathcal{W}^p \cap C(K)$  by  $A_r f := \sum_{z \in N_r} f_{B_d(z, r/4)} \psi_{z,r}$  for  $f \in L^p(K, m)$ . Then we can easily see that  $\lim_{r \rightarrow 0} \|A_r f - f\|_{L^p(K, m)} = 0$  and  $\sup_{r > 0} \|A_r\|_{L^p(K, m) \rightarrow L^p(K, m)} < \infty$ . For any large  $n \in \mathbb{N}$  so that  $4c_2 r_*^n < r$ , where  $c_2$  is the constant in (5.1), a similar argument as in [35, Lemma 7.4] shows that there exists  $C_1 > 0$  depending only on the constants associated with Assumption 5.6 such that

$$\begin{aligned} & \tilde{\mathcal{E}}_{p, T_n[B_d(z, 5r/4)]}^n(A_r f) \\ & \leq C_1 \sum_{w \in N_r \cap B_d(z, 11r/4)} \int_{B_d(w, 3r)} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \end{aligned} \quad (5.25)$$

Let us fix  $\delta > 0$  and define  $N_r(E) := \{z \in N_r \mid E \cap B_d(z, r) \neq \emptyset\}$ . Then, for any small enough  $r > 0$  so that  $r < \delta/7$ , we have  $E \subseteq \bigcup_{z \in N_r(E)} B_d(z, 5r/4)$  and

$$\bigcup_{z \in N_r(E)} \bigcup_{w \in N_r \cap B_d(z, 11r/4)} B_d(w, 3r) \subseteq (E)_{d, \delta},$$

whence we see that for any  $f \in L^p(K, m)$ ,

$$\begin{aligned} & \tilde{\mathcal{E}}_{p, T_n[E]}^n(A_r f) \\ & \leq \sum_{z \in N_r(E)} \tilde{\mathcal{E}}_{p, T_n[B_d(z, 5r/4)]}^n(A_r f) \\ & \stackrel{(5.25)}{\leq} C_1 \sum_{z \in N_r(E)} \sum_{w \in N_r \cap B_d(z, 11r/4)} \int_{B_d(w, 3r)} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx) \\ & \lesssim \int_{(E)_{d, \delta}} \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx), \end{aligned} \quad (5.26)$$

where we used the metric doubling property of  $(K, d)$  in the last inequality. (Here, we consider small enough  $r > 0$  so that  $r < \delta/7$  and large enough  $n \in \mathbb{N}$  so that  $4c_2 r_*^n < r$ .)

To prove the desired estimate (5.23) for  $u \in \mathcal{W}_{\text{loc}}^p(E')$ , we fix a relatively compact open subset  $V$  of  $E'$  and  $u^\# \in \mathcal{W}^p$  satisfying  $V \supseteq \overline{E}^K$ ,  $u^\# \in \mathcal{W}^p$  and  $u = u^\#$   $m$ -a.e. on  $V$ . Also, fix a sequence  $\{r_k\}_{k \in \mathbb{N}} \subseteq (0, \infty)$  such that  $r_k \downarrow 0$  as  $k \rightarrow \infty$  and

$$\lim_{k \rightarrow \infty} r_k^{-d_{w,p}} J_{p, r_k}(u^\# \mid (E)_{d, \delta}) = \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p, r}(u^\# \mid (E)_{d, \delta}) \leq N_p(u^\#) < \infty,$$

where  $J_{p, r}(g \mid A) := \int_A \int_{B_d(x, r)} \frac{|g(x) - g(y)|^p}{r^{d_{w,p}}} m(dy) m(dx)$  for  $g \in L^p(K, m)$  and  $A \in \mathcal{B}(K)$ . Set  $u_k := A_{r_k/9} u^\#$  for each  $k \in \mathbb{N}$ . By combining (5.26) with  $E = K$  and (5.18), for all large  $k \in \mathbb{N}$ , we have

$$\mathcal{N}_p(u_k)^p \lesssim \int_K \int_{B_d(x, r_k)} \frac{|u^\#(x) - u^\#(y)|^p}{r_k^{d_{w,p}}} m(dy) m(dx) \lesssim \mathcal{N}_p(u^\#)^p < \infty, \quad (5.27)$$

which implies that  $\{u_k\}_{k \in \mathbb{N}}$  is bounded in  $\mathcal{W}^p$ . Since  $\mathcal{W}^p$  is reflexive by Theorem 5.16, we can assume that  $u_k$  converges weakly in  $\mathcal{W}^p$  to some function  $u_\infty \in \mathcal{W}^p$  as  $k \rightarrow \infty$ . Since  $\mathcal{W}^p$  is continuously embedded in  $L^p(K, m)$ , we have  $u_\infty = u^\#$ . Hence, by Mazur's lemma and (5.26), we obtain

$$\limsup_{n \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_n[E]}^n(u^\#) \leq \lim_{\delta \downarrow 0} \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p,r}(u^\# | (E)_{d,\delta}). \quad (5.28)$$

Note that, by (5.1),  $\bigcup_{w \in T_n[E]} K_w \subseteq V$  for all large enough  $n \in \mathbb{N}$  and  $(E)_{d,r+\delta} \subseteq V$  for all small enough  $\delta, r \in (0, \infty)$ . For such  $n, \delta$  and  $r$ , we have  $\tilde{\mathcal{E}}_{p, T_n[E]}^n(u^\#) = \tilde{\mathcal{E}}_{p, T_n[E]}^n(u)$  and  $J_{p,r}(u^\# | (E)_{d,\delta}) = J_{p,r}(u | (E)_{d,\delta})$ , whence we obtain (5.23).

We next consider the case  $E = K$ . Let  $f \in L^p(K, m)$  and set  $J_{p,r}(f) := J_{p,r}(f|K)$  for  $r > 0$ . Similar to the previous case, we assume that  $\{r_k\}_{k \in \mathbb{N}}$  is a sequence of positive numbers such that  $r_k \downarrow 0$  as  $k \rightarrow \infty$  and

$$\lim_{k \rightarrow \infty} r_k^{-d_{w,p}} J_{p,r_k}(f) = \liminf_{r \downarrow 0} r^{-d_{w,p}} J_{p,r}(f) < \infty,$$

which together with (5.26) implies that  $\{A_{r_k/9} f\}_{k \in \mathbb{N}}$  is a bounded sequence in  $\mathcal{W}^p$ . A similar argument using Mazur's lemma as in the previous paragraph yields (5.24).  $\square$

### 5.3 Weak monotonicity and Poincaré inequality

Now we can prove the main theorem of this section, which solves a part of [29, Section 6.3, Problem 4], as follows.

**Theorem 5.26** *Suppose that  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies Assumption 5.19, let  $s_p$  and  $\text{KS}^{1,p}$  be as defined in Example 3.14, and define  $\mathbf{k} = \{k_r\}_{r>0}$  by*

$$k_r(x, y) := \frac{\mathbf{1}_{B_d(x,r)}(y)}{r^{d_{w,p}} m(B_d(x, r))}, \quad x, y \in K. \quad (5.29)$$

*Then  $s_p = d_{w,p}/p$ ,  $\mathcal{W}^p = \text{KS}^{1,p}$ ,  $\mathcal{W}^p \cap C(K)$  is dense in  $\mathcal{W}^p$ , and  $(\text{WM})_{p,\mathbf{k}}$  holds. Moreover, there exists  $C \in [1, \infty)$  such that*

$$C^{-1} \sup_{r>0} J_{p,r}^{\mathbf{k}}(f) \leq \mathcal{N}_p(f)^p \leq C \liminf_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f) \quad \text{for any } f \in L^p(K, m). \quad (5.30)$$

*Proof.* By (5.18) and (5.24), we have  $\mathcal{W}^p = B_{p,\infty}^{d_{w,p}/p}$  and (5.30). (Recall Example 3.14 for the definition of  $B_{p,\infty}^s$ .) In particular,  $s_p \geq d_{w,p}/p$ . To show the converse, let  $s > d_{w,p}/p$  and let  $f \in \mathcal{W}^p \setminus \mathbb{R}\mathbf{1}_K$ . (Note that  $\mathcal{W}^p$  contains a non-constant

function by (5.12).) Let  $A_r : L^p(K, m) \rightarrow \mathcal{W}^p \cap C(K)$  be the same operator as in the proof of Proposition 5.25 for each  $r \in (0, 1)$ . Then, by (5.26) with  $E = K$ ,

$$\frac{r^{d_{w,p}}}{r^{sp}} \tilde{\mathcal{E}}_p^n(A_r f) \leq C \int_K \int_{B_d(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{sp}} m(dy) m(dx) \quad (5.31)$$

for any  $n \in \mathbb{N}$  and  $r \in (0, 1)$  with  $4c_2 r_*^n < r$ , where  $c_2$  is the constant in (5.1) and  $C > 0$  is a constant independent of  $f$ ,  $r$ , and  $n$ . As in the proof of [29, Theorem 3.21], let  $\{\tilde{\mathcal{E}}_p^{n_k}\}_{k \in \mathbb{N}}$  be a  $\Gamma$ -converging subsequence of  $\{\tilde{\mathcal{E}}_p^n\}_{n \in \mathbb{N}}$  and define  $\widehat{\mathcal{E}}_p$  as its  $\Gamma$ -limit. Since  $\widehat{\mathcal{E}}_p$  is lower semicontinuous with respect to the  $L^p(K, m)$ -topology (see [11, Proposition 6.8]) and  $\widehat{\mathcal{E}}_p \asymp \mathcal{N}_p(\cdot)^p$  (see [29, pp. 45–46]), we see that

$$0 < \mathcal{N}_p(f)^p \lesssim \widehat{\mathcal{E}}_p(f) \leq \liminf_{r \downarrow 0} \widehat{\mathcal{E}}_p(A_r f) \leq \liminf_{r \downarrow 0} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_p^{n_k}(A_r f),$$

which together with (5.31) and  $\lim_{r \rightarrow 0} r^{d_{w,p} - sp} = \infty$  implies that  $f \notin B_{p,\infty}^s$ . Since  $s > d_{w,p}/p$  is arbitrary, we conclude that  $d_{w,p}/p \geq s_p$ . In particular, we obtain  $\mathcal{W}^p = \text{KS}^{1,p}$  and  $(\text{WM})_{p,k}$ . The inclusion  $\mathcal{W}^p \subseteq \overline{\mathcal{W}^p \cap C(K)}^{\mathcal{W}^p}$  follows from (5.27) and Mazur's lemma, so we complete the proof.  $\square$

**Corollary 5.27** *Suppose that  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies Assumption 5.14. Then any Korevaar–Schoen  $p$ -energy form  $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$  on  $(K, d, m)$ , which exists by Theorems 5.26 and 3.8 (recall Example 3.14), is a  $p$ -resistance form on  $K$ , and there exist  $\alpha_0, \alpha_1 \in (0, \infty)$  such that for any such  $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$ ,*

$$\alpha_0 d(x, y)^{d_{w,p} - d_f} \leq R_{\mathcal{E}_p^{\text{KS}}}(x, y) \leq \alpha_1 d(x, y)^{d_{w,p} - d_f} \quad \text{for any } x, y \in K. \quad (5.32)$$

*Proof.* Define  $\mathbf{k} = \{k_r\}_{r>0}$  by (5.29). Then by Theorem 5.26, Lemma 5.18 and [5, Theorem 3.2], the assumptions of Proposition 3.13 with  $d_f, d_{w,p}$  in place of  $Q, \beta_p$  hold under Assumption 5.14, so  $(\mathcal{E}_p^{\text{KS}}, \mathcal{W}^p)$  is a  $p$ -resistance form on  $K$ . The estimate (5.32) follows from the  $d_f$ -Ahlfors regularity of  $m$  and Proposition 3.13.  $\square$

We also have a Poincaré-type inequality in terms of the localized versions of  $(\mathcal{E}_p^k, \mathcal{W}^p)$ . (For the Vicsek set, such a Poincaré-type inequality was proved in [6, Corollary 4.2].)

**Proposition 5.28** *Suppose that  $(K, d, \{K_w\}_{w \in T}, m, p)$  satisfies Assumption 5.19. Then there exist  $C \in (0, \infty)$  and  $A \in [1, \infty)$  such that for any  $(z, s) \in K \times (0, 1]$  and any  $f \in \mathcal{W}_{\text{loc}}^p(B_d(z, As))$ ,*

$$\begin{aligned} & \int_{B_d(z, s)} |f(y) - f_{B_d(z, s)}|^p m(dy) \\ & \leq C s^{d_{w,p}} \liminf_{r \downarrow 0} \int_{B_d(z, As)} \int_{B_d(x, r)} \frac{|f(x) - f(y)|^p}{r^{d_{w,p}}} m(dy) m(dx). \end{aligned} \quad (5.33)$$

*Proof.* Throughout this proof,  $M_* \in \mathbb{N}$  and  $r_* \in (0, 1)$  are the same constants as in Assumption 5.6. We assume that  $f \in \mathcal{W}^p$  for simplicity. Let  $(z, s) \in K \times (0, 1]$  and

choose  $n \in \mathbb{N}$  satisfying  $c_3 r_*^n \geq s > c_3 r_*^{n+1}$ , where  $c_3$  is the constant in (5.2). Let  $f \in L^p(K, m)$  and set  $\Gamma_{M_*}(z; n) := \{v \in T \mid v \in \Gamma_{M_*}(w) \text{ for some } w \in T_n \text{ with } z \in K_w\}$ . Then we see that

$$\begin{aligned}
& \int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \leq \sum_{w \in \Gamma_{M_*}(z; n)} \int_{K_w} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \leq 2^{p-1} \sum_{w \in \Gamma_{M_*}(z; n)} \left( \int_{K_w} |f(y) - f_{K_w}|^p m(dy) + m(K_w) |f_{K_w} - f_{U_{M_*}(x; n)}|^p \right) \\
& \lesssim \sum_{w \in \Gamma_{M_*}(z; n)} \left( s^{d_{w,p}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(w)}^{n+k}(f) + s^{d_{\Gamma}} |f_{K_w} - f_{U_{M_*}(z; n)}|^p \right). \quad (5.34)
\end{aligned}$$

Since  $\min_{v \in \Gamma_{M_*}(z; n)} f_{K_v} \leq f_{U_{M_*}(z; n)} \leq \max_{v \in \Gamma_{M_*}(z; n)} f_{K_v}$ , for any  $w \in \Gamma_{M_*}(z; n)$  there exists  $w' \in \Gamma_{M_*}(z; n) \setminus \{w\}$  such that  $|f_{K_w} - f_{U_{M_*}(z; n)}| \leq |f_{K_w} - f_{K_{w'}}|$ , which together with Hölder's inequality yields that

$$|f_{K_w} - f_{U_{M_*}(z; n)}|^p \lesssim \mathcal{E}_{p, \Gamma_{2M_*}(w)}^n(f) \lesssim s^{d_{w,p} - d_{\Gamma}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{2M_*}(w))}^{n+k}(f), \quad (5.35)$$

where we used (5.9) and [29, (2.17)] in the last inequality. Note that  $\sup_{v \in T} \# \Gamma_M(w) \leq L_*^M$  by (5.2) and the volume doubling property of  $m$ . This observation together with (5.34) and (5.35) implies that

$$\begin{aligned}
& \int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \\
& \lesssim s^{d_{w,p}} \liminf_{k \rightarrow \infty} \sum_{w \in \Gamma_{M_*}(z; n)} \tilde{\mathcal{E}}_{p, S^k(\Gamma_{2M_*}(w))}^{n+k}(f) \lesssim s^{d_{w,p}} \liminf_{k \rightarrow \infty} \tilde{\mathcal{E}}_{p, T_k[B_d(z, As/2)]}^k(f) \\
& \stackrel{(5.23)}{\lesssim} s^{d_{w,p}} \liminf_{r \downarrow 0} \int_{B_d(z, As)} \int_{B_d(x, r)} \frac{|f(y) - f(x)|^p}{r^{d_{w,p}}} m(dy) m(dx),
\end{aligned}$$

which yields (5.33) in the case  $f \in \mathcal{W}^p$  since

$$\int_{U_{M_*}(z; n)} |f(y) - f_{U_{M_*}(x; n)}|^p m(dy) \gtrsim \int_{B_d(z, s)} |f(y) - f_{B_d(z, s)}|^p m(dy).$$

The case  $f \in \mathcal{W}_{\text{loc}}^p(B_d(x, A's))$ , where  $A' > A$  (set, e.g.,  $A' = 2A$ ), is similar.  $\square$

### 5.4 Self-similar $p$ -energy forms based on Korevaar–Schoen $p$ -energy forms

In this subsection, we construct a self-similar  $p$ -energy form by improving [29, Theorem 4.6]. We need some preparations before constructing such a good self-similar  $p$ -energy form. We first review basic notation and terminology on self-similar structures. In particular, we recall the notion of a post-critically finite self-similar structure introduced by Kigami [24], which is mainly dealt with in the next section. See [25, Section 1] and [26, Chapter 1] for further details. Throughout this section, we fix a compact metrizable space  $K$ , a finite set  $S$  with  $\#S \geq 2$  and a continuous injective map  $F_i: K \rightarrow K$  for each  $i \in S$ . We set  $\mathcal{L} := (K, S, \{F_i\}_{i \in S})$ .

**Definition 5.29** (1) Let  $W_0 := \{\emptyset\}$ , where  $\emptyset$  is an element called the *empty word*, let  $W_n := S^n = \{w_1 \dots w_n \mid w_i \in S \text{ for } i \in \{1, \dots, n\}\}$  for  $n \in \mathbb{N}$  and let  $W_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} W_n$ . For  $w \in W_*$ , the unique  $n \in \mathbb{N} \cup \{0\}$  with  $w \in W_n$  is denoted by  $|w|$  and called the *length of  $w$* .

(2) We set  $\Sigma := S^\mathbb{N} = \{\omega_1 \omega_2 \omega_3 \dots \mid \omega_i \in S \text{ for } i \in \mathbb{N}\}$ , which is always equipped with the product topology of the discrete topology on  $S$ , and define the *shift map*  $\sigma: \Sigma \rightarrow \Sigma$  by  $\sigma(\omega_1 \omega_2 \omega_3 \dots) := \omega_2 \omega_3 \omega_4 \dots$ . For  $i \in S$  we define  $\sigma_i: \Sigma \rightarrow \Sigma$  by  $\sigma_i(\omega_1 \omega_2 \omega_3 \dots) := i \omega_1 \omega_2 \omega_3 \dots$ . For  $\omega = \omega_1 \omega_2 \omega_3 \dots \in \Sigma$  and  $n \in \mathbb{N} \cup \{0\}$ , we write  $[\omega]_n := \omega_1 \dots \omega_n \in W_n$ .

(3) For  $w = w_1 \dots w_n \in W_*$ , we set  $F_w := F_{w_1} \circ \dots \circ F_{w_n}$  ( $F_\emptyset := \text{id}_K$ ),  $K_w := F_w(K)$ ,  $\sigma_w := \sigma_{w_1} \circ \dots \circ \sigma_{w_n}$  ( $\sigma_\emptyset := \text{id}_\Sigma$ ) and  $\Sigma_w := \sigma_w(\Sigma)$ .

(4) Let  $w, v \in W_*$ ,  $w = w_1 \dots w_{n_1}$ ,  $v = v_1 \dots v_{n_2}$ . We define  $wv \in W_*$  by  $wv := w_1 \dots w_{n_1} v_1 \dots v_{n_2}$  ( $w\emptyset := w$ ,  $\emptyset v := v$ ). We write  $w \leq v$  if and only if  $w = v\tau$  for some  $\tau \in W_*$ .

(5) A finite subset  $\Lambda$  of  $W_*$  is called a *partition* of  $\Sigma$  if and only if  $\Sigma_w \cap \Sigma_v = \emptyset$  for any  $w, v \in \Lambda$  with  $w \neq v$  and  $\Sigma = \bigcup_{w \in \Lambda} \Sigma_w$ .

(6) Let  $\Lambda_1, \Lambda_2$  be partitions of  $\Sigma$ . We say that  $\Lambda_1$  is a *refinement* of  $\Lambda_2$ , and write  $\Lambda_1 \leq \Lambda_2$ , if and only if for each  $w^1 \in \Lambda_1$  there exists  $w^2 \in \Lambda_2$  such that  $w^1 \leq w^2$ .

**Definition 5.30**  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  is called a *self-similar structure* if and only if there exists a continuous surjective map  $\chi: \Sigma \rightarrow K$  such that  $F_i \circ \chi = \chi \circ \sigma_i$  for any  $i \in S$ . Note that such  $\chi$ , if it exists, is unique and satisfies  $\{\chi(\omega)\} = \bigcap_{n \in \mathbb{N}} K_{[\omega]_n}$  for any  $\omega \in \Sigma$ .

**Definition 5.31** Let  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a self-similar structure.

(1) We define the *critical set*  $C_{\mathcal{L}}$  and the *post-critical set*  $\mathcal{P}_{\mathcal{L}}$  of  $\mathcal{L}$  by

$$C_{\mathcal{L}} := \chi^{-1}(\bigcup_{i,j \in S, i \neq j} K_i \cap K_j) \quad \text{and} \quad \mathcal{P}_{\mathcal{L}} := \bigcup_{n \in \mathbb{N}} \sigma^n(C_{\mathcal{L}}).$$

$\mathcal{L}$  is called *post-critically finite, p.-c.f.* for short, if and only if  $\mathcal{P}_{\mathcal{L}}$  is a finite set.

(2) We set  $V_0 := \chi(\mathcal{P}_{\mathcal{L}})$ ,  $V_n := \bigcup_{w \in W_n} F_w(V_0)$  for  $n \in \mathbb{N}$  and  $V_* := \bigcup_{n \in \mathbb{N} \cup \{0\}} V_n$ .

The set  $V_0$  should be considered as the “*boundary*” of the self-similar set  $K$ ; indeed,  $K_w \cap K_v = F_w(V_0) \cap F_v(V_0)$  for any  $w, v \in W_*$  with  $\Sigma_w \cap \Sigma_v = \emptyset$  by [25,

Proposition 1.3.5-(2)]. According to [25, Lemma 1.3.11],  $V_{n-1} \subseteq V_n$  for any  $n \in \mathbb{N}$ , and  $V_*$  is dense in  $K$  if  $V_0 \neq \emptyset$ .

**Definition 5.32 (Self-similar measure)** Let  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a self-similar structure and let  $(\theta_i)_{i \in S} \in (0, 1)^S$  satisfy  $\sum_{i \in S} \theta_i = 1$ . A Borel probability measure  $m$  on  $K$  is said to be a *self-similar measure on  $\mathcal{L}$  with weight  $(\theta_i)_{i \in S}$*  if and only if the following equality (of Borel measures on  $K$ ) holds:

$$m = \sum_{i \in S} \theta_i (F_i)_* m. \quad (5.36)$$

Next we introduce the notion of self-similarity for  $p$ -energy forms and  $p$ -resistance forms.

**Definition 5.33 (Self-similar  $p$ -energy/ $p$ -resistance form)** Let  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a self-similar structure and let  $m$  be a Radon measure on  $K$  with full topological support. Let  $(\rho_{p,s})_{s \in S} \in (0, \infty)^S$  and define  $\rho_{p,w} := \rho_{p,w_1} \cdots \rho_{p,w_n}$  for each  $w = w_1 \cdots w_n \in W_*$ . A  $p$ -energy form  $(\mathcal{E}_p, \mathcal{F}_p)$  on  $(K, m)$  (with  $\mathcal{F}_p \subseteq L^p(K, m)$ ) is called a *self-similar  $p$ -energy form on  $(\mathcal{L}, m)$  with weight  $(\rho_{p,s})_{s \in S}$*  if and only if the following hold:

$$\mathcal{F}_p \cap C(K) = \{u \in C(K) \mid u \circ F_s \in \mathcal{F}_p \text{ for any } s \in S\}, \quad (5.37)$$

$$\mathcal{E}_p(u) = \sum_{s \in S} \rho_{p,s} \mathcal{E}_p(u \circ F_s) \quad \text{for any } u \in \mathcal{F}_p \cap C(K). \quad (5.38)$$

If  $\mathcal{F}_p \subseteq C(K)$  and  $(\mathcal{E}_p, \mathcal{F}_p)$  is a  $p$ -resistance form on  $K$  satisfying (5.37) and (5.38), then  $(\mathcal{E}_p, \mathcal{F}_p)$  is called a *self-similar  $p$ -resistance form on  $\mathcal{L}$  with weight  $(\rho_{p,s})_{s \in S}$* .

We will focus on self-similar structures having *rationaly related contraction ratios* as in [29]. In the next definition, we introduce a good partition parametrized by a rooted tree.

**Definition 5.34 ([29, Definition 4.2])** Let  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a self-similar structure, let  $r \in (0, 1)$  and let  $(j_s)_{s \in S} \in \mathbb{R}^S$ . Define

$$j(w) := \sum_{i=1}^n j_{w_i} \quad \text{and} \quad g(w) := r^{j(w)} \quad \text{for } w = w_1 \cdots w_n \in W_n.$$

Define  $\tilde{\pi}(w_1 \cdots w_n) := w_1 \cdots w_{n-1}$  for  $w = w_1 \cdots w_n \in W_n$  and

$$\Lambda_{r^k}^g := \{w = w_1 \cdots w_n \in W_* \mid g(\tilde{\pi}(w)) > r^k \geq g(w)\}.$$

Set  $T_k^{(r)} := \{(k, w) \mid w \in \Lambda_{r^k}^g\}$  and  $T^{(r)} := \bigcup_{k \in \mathbb{N} \cup \{0\}} T_k^{(r)}$ . Moreover, define  $E_{T^{(r)}} \subseteq T^{(r)} \times T^{(r)}$  by

$$E_{T^{(r)}} := \left\{ ((k, v), (k+1, w)) \in T_k^{(r)} \times T_{k+1}^{(r)} \mid k \in \mathbb{N} \cup \{0\}, v = w \text{ or } v = \tilde{\pi}(w) \right\}.$$



We introduce the following assumption in order to construct a self-similar  $p$ -energy form on  $(\mathcal{L}, m)$ . (Recall that we have fixed  $p \in (1, \infty)$ .)

**Assumption 5.35** Let  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a self-similar structure such that  $\#S \geq 2$  and  $K$  is connected. There exist  $r_* \in (0, 1)$ ,  $(j_s)_{s \in S} \in \mathbb{N}^S$  and a metric  $d$  giving the original topology of  $K$  with  $\text{diam}(K, d) = 1$  such that  $(K, d, \{K_w\}_{w \in T(r_*)}, m, p)$  satisfies Assumption 5.19, where  $d_f \in (0, \infty)$  is such that  $\sum_{s \in S} r_*^{j_s d_f} = 1$  and  $m$  is the self-similar measure on  $K$  with weight  $(r_*^{j_s d_f})_{s \in S}$ . (The collection  $\{F_i\}_{i \in S}$  is said to have rationally related contraction ratios  $(r_*^{j_s})_{s \in S}$ .)

Under Assumption 5.35, we have  $V_0 \neq \emptyset$  since  $K$  is connected and  $\#S \geq 2$  (see [25, Proposition 1.3.5-(3)] or [25, Theorem 1.6.2]). Also, we can easily show that  $m$  is  $d_f$ -Ahlfors regular as stated in the following proposition (see [29, Proposition 4.5]).

**Proposition 5.36** Suppose that  $\mathcal{L}$  is a self-similar structure and that there exist  $r_* \in (0, 1)$ ,  $(j_s)_{s \in S} \in \mathbb{N}^S$  and a metric  $d$  giving the original topology of  $K$  with  $\text{diam}(K, d) = 1$  such that  $(K, d, \{K_w\}_{w \in T(r_*)}, m)$  satisfies Assumption 5.6. Let  $d_f \in (0, \infty)$  be such that  $\sum_{s \in S} r_*^{j_s d_f} = 1$  and let  $m$  be the self-similar measure on  $K$  with weight  $(r_*^{j_s d_f})_{s \in S}$ . Then  $d_f$  is the Hausdorff dimension of  $(K, d)$  and  $m$  is  $d_f$ -Ahlfors regular with respect to  $d$ .

To construct a self-similar  $p$ -energy form, we need to take care of the pre-self-similarity condition (see [35, Theorem 8.12]). We can easily verify this condition in the case  $\sigma_p > 1$  by modifying [29, Proof of Theorem 4.6]; see [23, Section 8.2] for details.

**Proposition 5.37** Suppose that Assumption 5.35 holds and that  $\sigma_p > 1$ . Then (5.37) with  $\mathcal{W}^p$  in place of  $\mathcal{F}_p$  holds and there exists  $C \in [1, \infty)$  such that for any  $n \in \mathbb{N}$  and any  $u \in \mathcal{W}^p \subseteq C(K)$ ,

$$C^{-1} \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p \leq \mathcal{N}_p(u)^p \leq C \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p.$$

Now we can present an improvement of [29, Theorem 4.6] in the following formulation.

**Theorem 5.38** Suppose that Assumption 5.35 holds, that (5.37) with  $\mathcal{W}^p$  in place of  $\mathcal{F}_p$  holds, and that there exists  $C_0 \in [1, \infty)$  such that for any  $n \in \mathbb{N}$  and any  $u \in \mathcal{W}^p \cap C(K)$ ,

$$C_0^{-1} \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p \leq \mathcal{N}_p(u)^p \leq C_0 \sum_{w \in W_n} \sigma_p^{j(w)} \mathcal{N}_p(u \circ F_w)^p. \quad (5.39)$$

For each  $n \in \mathbb{N}$ , define  $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$  by

$$k_r^{(n)}(x, y) := \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} r_*^{-j(w) \cdot (d_{w,p} + d_f)} \frac{\mathbf{1}_{A_{w,r}}(x, y)}{r^{d_{w,p} + d_f}}, \quad x, y \in K,$$

where  $A_{w,r} := \{(x, y) \in K_w \times K_w \mid d(F_w^{-1}(x), F_w^{-1}(y)) < r\}$ . Then  $\mathbf{k}^{(n)}$  is asymptotically local,  $(\text{WM})_{p, \mathbf{k}^{(n)}}$  holds,  $B_{p, \infty}^{\mathbf{k}^{(n)}} = \mathcal{W}^p$ , and for any sequence  $\{(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)\}_{n \in \mathbb{N}}$  with  $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{W}^p)$  a  $\mathbf{k}^{(n)}$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$  for each  $n \in \mathbb{N}$ , there exists a sequence  $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  with  $n_j < n_{j+1}$  for any  $j \in \mathbb{N}$  such that the following limit exists in  $[0, \infty)$  for any  $u \in \mathcal{W}^p$ :

$$\check{\mathcal{E}}_p^{\text{KS}}(u) := \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u). \quad (5.40)$$

Moreover, for any such  $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$  and  $\{n_j\}_{j \in \mathbb{N}}$ , the functional  $\check{\mathcal{E}}_p^{\text{KS}}: \mathcal{W}^p \rightarrow [0, \infty)$  defined by (5.40) satisfies the following properties:

- (a)  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  is a self-similar  $p$ -energy form on  $(\mathcal{L}, m)$  with weight  $(\sigma_p^{j_s})_{s \in S}$ .
- (b) For any  $u \in \mathcal{W}^p$ ,

$$(CC_0)^{-1} \mathcal{N}_p(u)^p \leq \check{\mathcal{E}}_p^{\text{KS}}(u) \leq CC_0 \mathcal{N}_p(u)^p, \quad (5.41)$$

where  $C, C_0 \in [1, \infty)$  are the constants in (5.30) and in (5.39) respectively.

- (c)  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  satisfies  $(\text{GC})_p$ . Furthermore, for any  $u, v \in \mathcal{W}^p$ ,  $\{\mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$  is convergent in  $\mathbb{R}$  and

$$\check{\mathcal{E}}_p^{\text{KS}}(u; v) = \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v). \quad (5.42)$$

- (d) Theorem 3.8-(c),(d),(e) with  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  in place of  $(\mathcal{E}_p^{\mathbf{k}}, B_{p, \infty}^{\mathbf{k}})$  hold.
- (e) For any isometric map  $T: (K, d) \rightarrow (K, d)$  preserving  $m$ ,  $u \circ T \in \mathcal{W}^p$  and  $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$  for any  $u \in \mathcal{W}^p$ .
- (f) If in addition  $\sigma_p > 1$ , then  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  is a  $p$ -resistance form on  $K$ , and there exist  $\alpha_0, \alpha_1 \in (0, \infty)$  independent of particular choices of  $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$  and  $\{n_j\}_{j \in \mathbb{N}}$  such that

$$\alpha_0 d(x, y)^{d_{w,p} - d_f} \leq R_{\check{\mathcal{E}}_p^{\text{KS}}}(x, y) \leq \alpha_1 d(x, y)^{d_{w,p} - d_f} \quad \text{for any } x, y \in K. \quad (5.43)$$

*Proof.* Set  $\mathbf{k} = \{k_r\}_{r>0}$  by  $k_r(x, y) := r^{-d_{w,p} - d_f} \mathbf{1}_{B_d(x, r)}(y)$ . Recall that  $B_{p, \infty}^{\mathbf{k}} = \text{KS}^{1,p}$  since  $ps_p = d_{w,p}$  and  $m$  is  $d_f$ -Ahlfors regular (see Example 3.14, Theorem 5.26 and Proposition 5.36). By using (5.2), we can easily see that  $\mathbf{k}^{(n)}$  is asymptotically local. Let us show  $(\text{WM})_{p, \mathbf{k}^{(n)}}$ . Note that for any  $r > 0$  and any  $u \in L^p(K, m)$ , we have

$$J_{p,r}^{\mathbf{k}^{(n)}}(u) = \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} \sigma_p^{j(w)} J_{p,r}^{\mathbf{k}}(u \circ F_w), \quad (5.44)$$

where we used  $(F_w \times F_w)^{-1}(A_{w,r}) = \{(x, y) \in K \times K \mid d(x, y) < r\}$  and  $m = r_*^{j(w)d_f}(F_w)_*m$ . By combining (5.44), Theorem 5.26 and (5.39), we obtain  $(\text{WM})_{p, \mathbf{k}^{(n)}}$ . Moreover, for any  $n \in \mathbb{N}$  and any  $u \in \mathcal{W}^p$ ,

$$(CC_0)^{-1} \sup_{r>0} J_{p,r}^{k^{(n)}}(u) \leq N_p(u)^p \leq CC_0 \liminf_{r \rightarrow 0} J_{p,r}^{k^{(n)}}(u), \quad (5.45)$$

where  $C, C_0 \in [1, \infty)$  are the constants in (5.30) and in (5.39) respectively. In particular,  $B_{p,\infty}^{k^{(n)}} = \mathcal{W}^p$  and  $\{\mathcal{E}_p^{k^{(n)}}(u)\}_{n \in \mathbb{N}}$  is bounded for each  $u \in \mathcal{W}^p$ . Since  $\mathcal{W}^p$  is separable and  $\mathcal{E}_p^{k^{(n)}} \asymp N_p(\cdot)^p$  by (5.45), a standard diagonal argument implies that there exists  $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  with  $n_j < n_{j+1}$  such that the limit  $\lim_{j \rightarrow \infty} \mathcal{E}_p^{k^{(n_j)}}(u) =: \check{\mathcal{E}}_p^{\text{KS}}(u)$  exists for any  $u \in \mathcal{W}^p$ . From this definition, (5.45) and Theorem 3.8-(b), we immediately see that (5.41) holds and that  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  satisfies  $(\text{GC})_p$ .

(a): Since we assume that  $\mathcal{W}^p$  satisfies (5.37), it suffices to show the following equality for any  $u \in \mathcal{W}^p$ :

$$\check{\mathcal{E}}_p^{\text{KS}}(u) = \sum_{s \in S} \sigma_p^{j_s} \check{\mathcal{E}}_p^{\text{KS}}(u \circ F_s). \quad (5.46)$$

From Theorem 3.8 together with a diagonal argument, we can choose a sequence  $\{r_l\}_{l \in \mathbb{N}} \subseteq (0, \infty)$  with  $\lim_{l \rightarrow \infty} r_l = 0$  such that  $\mathcal{E}_p^{k^{(n_j)}}(u) = \lim_{l \rightarrow \infty} J_{p,r_l}^{k^{(n_j)}}(u)$  for any  $j \in \mathbb{N}$  and any  $u \in \mathcal{W}^p$ . Using (5.44), we easily see that for any  $(j, l) \in \mathbb{N}^2$  and any  $u \in L^p(K, m)$ ,

$$\begin{aligned} & \sum_{s \in S} \sigma_p^{j_s} J_{p,r_l}^{k^{(n_j)}}(u \circ F_s) + \frac{1}{n_j + 1} J_{p,r_l}^{k^{(n_j)}}(u) \\ &= J_{p,r_l}^{k^{(n_j)}}(u) + \frac{1}{n_j + 1} \sum_{w \in W_{n_j+1}} \sigma_p^{j(w)} J_{p,r_l}^{k^{(n_j)}}(u \circ F_w). \end{aligned}$$

Letting  $l \rightarrow \infty$  and  $j \rightarrow \infty$ , we obtain (5.46) by (5.45) and (5.39).

(c): Similar to the proof of (3.9), by using Proposition 2.4 and the convexity of  $t \mapsto \mathcal{E}_p^{k^{(n_j)}}(u + tv)$ , we can prove (5.42).

(d): This is clear from Theorem 3.8-(c),(d),(e) for  $(\check{\mathcal{E}}_p^{k^{(n)}}, \mathcal{W}^p)$  and (5.42).

(e): If  $T: (K, d) \rightarrow (K, d)$  is an isometric map preserving  $m$ , then for any  $n \in \mathbb{N}$ ,  $k^{(n)}$  is clearly  $T$ -invariant, and hence by Theorem 3.8-(f) and  $B_{p,\infty}^{k^{(n)}} = \mathcal{W}^p$  we have  $u \circ T \in \mathcal{W}^p$  and  $\mathcal{E}_p^{k^{(n)}}(u \circ T) = \mathcal{E}_p^{k^{(n)}}(u)$  for any  $u \in \mathcal{W}^p$ , which together with (5.40) implies that  $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$  for any  $u \in \mathcal{W}^p$ .

(f): In the case  $\sigma_p > 1$ , we easily see that  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  is a  $p$ -resistance form on  $K$  satisfying (5.43) by combining Proposition 3.13,  $d_f$ -Ahlfors regularity of  $m$ ,  $d_{w,p} > d_f$  by  $\sigma_p > 1$ , Theorem 5.16, Lemma 5.18 and [29, Lemma 3.34].  $\square$

We collect properties of the  $p$ -energy measures associated with  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  in the following theorem. See also [23, Sections 4 and 5] for other basic properties. Let us emphasize that we do not know whether Theorem 5.39-(c) below holds in a more general setting of self-similar  $p$ -energy forms like that of [23].

**Theorem 5.39** *Suppose the same assumptions as in Theorem 5.38, let  $(\mathcal{E}_p^{k^{(n)}}, \mathcal{W}^p)$  be any  $k^{(n)}$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$  for each  $n \in \mathbb{N}$ , let*

$\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  be any sequence as in Theorem 5.38, and let  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$  be the  $p$ -energy form on  $(K, m)$  defined by (5.40). Then for any  $u \in \mathcal{W}^p \cap C(K)$ , there exists a unique positive Radon measure  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$  on  $K$  such that

$$\begin{aligned} & \int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle \\ &= \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{W}^p \cap C(K). \end{aligned} \quad (5.47)$$

Moreover, the following hold:

- (a) For any  $u \in \mathcal{W}^p$ , there exists a unique positive Radon measure  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$  on  $K$  such that for any  $\{u_n\}_{n \in \mathbb{N}} \subseteq \mathcal{W}^p \cap C(K)$  with  $\lim_{n \rightarrow \infty} \mathcal{N}_p(u - u_n) = 0$  and any Borel measurable function  $\varphi: K \rightarrow [0, \infty)$  with  $\|\varphi\|_{\text{sup}} < \infty$ ,

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle = \lim_{n \rightarrow \infty} \int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u_n \rangle, \quad (5.48)$$

and  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$  further satisfies  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u)$ . Moreover, for each such  $\varphi$ ,  $(\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle \cdot \rangle, \mathcal{W}^p)$  is a  $p$ -energy form on  $K$  satisfying  $(\text{GC})_p$ .

- (b) Theorem 4.6, with  $\mathcal{W}^p$  and  $\check{\Gamma}_p^{\text{KS}}$  in place of  $\mathcal{D}_{p,\infty}^{k,b}$  and  $\Gamma_p^k$  respectively, holds. In particular, for any  $u, v \in \mathcal{W}^p$ ,

$$\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \check{\Gamma}_p^{\text{KS}}\langle u + tv \rangle(A) \Big|_{t=0}, \quad A \in \mathcal{B}(K), \quad (5.49)$$

defines a signed Borel measure on  $K$  such that  $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$  and  $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$ . Furthermore, for any  $u, v \in \mathcal{W}^p$  and any  $\varphi \in C(K)$ ,

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle = \lim_{j \rightarrow \infty} \int_K \varphi d\Gamma_p^{k^{(n_j)}}\langle u; v \rangle. \quad (5.50)$$

- (c) Theorem 4.8-(a),(b), with  $\mathcal{W}^p$  and  $\check{\Gamma}_p^{\text{KS}}$  in place of  $\mathcal{D}_{p,\infty}^{k,b}$  and  $\Gamma_p^k$  respectively, hold.
- (d) Theorems 4.9, 4.10 and 4.11, with  $\mathcal{W}^p \cap C(K)$  and  $\check{\Gamma}_p^{\text{KS}}$  in place of  $\mathcal{B}_{p,\infty}^k \cap C_b(K)$  and  $\Gamma_p^k$  respectively, hold.

*Proof.* Fix  $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  so that  $\check{\mathcal{E}}_p^{\text{KS}} = \lim_{j \rightarrow \infty} \mathcal{E}_p^{k^{(n_j)}}$ . Let  $u \in \mathcal{W}^p \cap C(K)$ . Letting  $j \rightarrow \infty$  in (4.6) with  $\mathcal{E}_p^{k^{(n_j)}}$  in place of  $\mathcal{E}_p^k$  and using (5.42), we have

$$0 \leq \Psi_p(u; \varphi) := \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \leq \|\varphi\|_{\text{sup}} \check{\mathcal{E}}_p^{\text{KS}}(u)$$

for any  $\varphi \in \mathcal{W}^p \cap C(K)$  with  $\varphi \geq 0$ . Since  $\mathcal{W}^p \cap C(K)$  is dense in  $C(K)$ , we can get the desired positive Radon measure  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$  (in the case  $u \in \mathcal{W}^p \cap C(K)$ ) by

using the Riesz–Markov–Kakutani representation theorem as done in the proof of Theorem 4.2. Also, we easily see that

$$\int_K \psi d\check{\Gamma}_p^{\text{KS}} \langle u \rangle = \lim_{j \rightarrow \infty} \int_K \psi d\Gamma_p^{\mathbf{k}^{(n_j)}} \langle u \rangle \quad \text{for any } \psi \in C(K), \quad (5.51)$$

whence  $(\int_K \psi d\check{\Gamma}_p^{\text{KS}} \langle \cdot \rangle, \mathcal{W}^p \cap C(K))$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(\text{GC})_p$ . Then we can prove (a) by following the same argument as in the proof of Theorem 4.5.

The property (b) except for  $\check{\Gamma}_p^{\text{KS}} \langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$  and for (5.50) follow from [23, Theorem 4.5 and Proposition 4.6]. The equality (5.50) can be shown in the same way as the proof of (3.9) by using (5.51), Proposition 2.4 and the convexity of  $t \mapsto \int_K \varphi d\check{\Gamma}_p^{\text{KS}} \langle u + tv \rangle$ . We have  $\check{\Gamma}_p^{\text{KS}} \langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$  from Proposition 4.7, (5.40) and (5.50).

The statement (c) and the chain rule (4.21) with  $\check{\Gamma}_p^{\text{KS}}$  in place of  $\Gamma_p^{\mathbf{k}}$  are immediate from (5.50) and the corresponding properties of  $\Gamma_p^{\mathbf{k}^{(n_j)}}$ . Since we can follow the proofs of Theorems 4.10 and 4.11 by using the chain rule of  $\check{\Gamma}_p^{\text{KS}}$ , we complete the proof of (d).  $\square$

**Remark 5.40** There is another way to construct the  $p$ -energy measures associated with  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{W}^p)$ , which is based on the self-similarity (5.46); see [23, Section 5.2] for the details of this construction (see also Proposition 6.12 below). The resulting  $p$ -energy measures turn out to satisfy (5.47) and therefore coincide with the ones  $\{\check{\Gamma}_p^{\text{KS}} \langle u \rangle\}_{u \in \mathcal{W}^p}$  constructed in Theorem 5.39 (see [23, Proposition 5.11]).

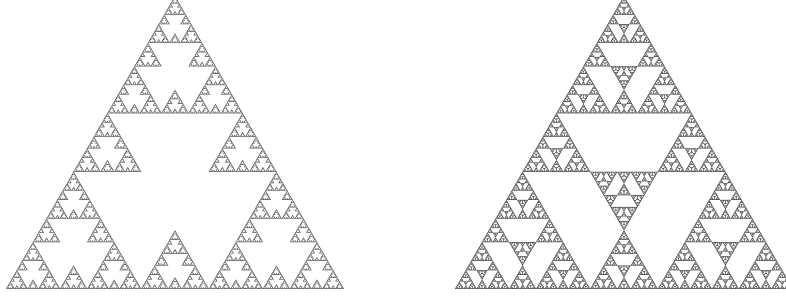
## 6 $p$ -Resistance forms on p.-c.f. self-similar structures

In this section, we verify  $(\text{WM})_{p, \mathbf{k}}$  for a family of kernels  $\mathbf{k}$  corresponding to the  $(1, p)$ -Korevaar–Schoen–Sobolev space under the assumption of the existence of a good  $p$ -resistance form on a post-critically finite self-similar structure. (See [7, Theorem 4.2] or [23, Section 8.3] for the existing construction of self-similar  $p$ -resistance forms in this setting.)

### 6.1 Geometry under the $p$ -resistance metric

We first present the setting of this section. Throughout this section, we presume the following assumption.

**Assumption 6.1** Let  $p \in (1, \infty)$  and  $\mathcal{L} = (K, S, \{F_i\}_{i \in S})$  be a p.-c.f. self-similar structure with  $\#S \geq 2$  and  $K$  connected. Let  $(\mathcal{E}_p, \mathcal{F}_p)$  be a self-similar  $p$ -resistance form on  $\mathcal{L}$  with weight  $(\rho_{p,i})_{i \in S} \in (0, \infty)^S$  such that



**Fig. 1** Some examples of affine nested fractals

$$\min_{i \in S} \rho_{p,i} > 1. \quad (6.1)$$

Let  $d_{f,p} \in (0, \infty)$  be such that  $\sum_{i \in S} \rho_{p,i}^{-d_{f,p}/(p-1)} = 1$ , and let  $m$  be the self-similar measure on  $\mathcal{L}$  with weight  $(\rho_{p,i}^{-d_{f,p}/(p-1)})_{i \in S}$ .

**Remark 6.2** (1) The condition (6.1) corresponds to the condition (R) in [7, p. 18].  
 (2) Assumption 6.1 is equivalent to the existence of a  $p$ -eigenform on  $V_0$  with respect to the renormalization operator with weight  $(\rho_{p,i})_{i \in S} \in (1, \infty)^S$ , i.e., a  $p$ -resistance form  $\mathcal{E}_p^{(0)}$  on  $V_0$  such that

$$\inf \left\{ \sum_{i \in S} \rho_{p,i} \mathcal{E}_p^{(0)}(v \circ F_i) \mid v \in \mathbb{R}^{V_1}, v|_{V_0} = u \right\} = \mathcal{E}_p^{(0)}(u) \quad \text{for any } u \in \mathbb{R}^{V_0};$$

see [23, Sections 6.2 and 8.3] for a detailed proof of this equivalence. In the case  $p = 2$ , this is nothing but the existence of a regular harmonic structure on  $\mathcal{L}$  as defined in [25, Definition 3.1.2].

- (3) Any self-similar  $p$ -resistance form constructed in [29, Theorem 4.6] must satisfy  $\rho_{p,i} = \sigma_p^{n_i}$  for some  $n_i \in \mathbb{N}$ , where  $\sigma_p$  is the constant in (5.9). This restriction excludes the self-similar  $p$ -resistance forms with weight  $(\rho_{p,i})_{i \in S} \in (1, \infty)^S$  satisfying  $(\log \rho_{p,i})/\log \rho_{p,j} \notin \mathbb{Q}$  for some  $i, j \in S$ , whereas they are covered by [7]; as proved in [23, Appendix B], they do exist abundantly on plenty of typical affine nested fractals.
- (4) It is easy to see that  $d_{f,p} \geq 1$  by using (6.1) and (6.3) below.

In this subsection, we will show the Ahlfors regularity of  $m$ , the capacity upper bound and the Poincaré inequality in terms of the  $p$ -resistance metric of  $(\mathcal{E}_p, \mathcal{F}_p)$ , which is defined as follows.

**Definition 6.3** ( $p$ -Resistance metric; [23, Definition 6.29]) We define the  $p$ -resistance metric  $\widehat{R}_{p, \mathcal{E}_p} : K \times K \rightarrow [0, \infty)$  of  $(\mathcal{E}_p, \mathcal{F}_p)$  by

$$\widehat{R}_{p, \mathcal{E}_p}(x, y) := R_{\mathcal{E}_p}(x, y)^{\frac{1}{p-1}}, \quad x, y \in K \quad (6.2)$$

(recall (3.18)). For simplicity, we write  $\widehat{R}_p := \widehat{R}_{p, \mathcal{E}_p}$ .

We record some properties of  $R_{\mathcal{E}_p}$  and  $\widehat{R}_p$ .

**Proposition 6.4 ([23, Proposition 7.2 and Corollary 6.28])**

(1) For any  $w \in W_*$  and any  $x, y \in K$ ,

$$R_{\mathcal{E}_p}(F_w(x), F_w(y)) \leq \rho_{p,w}^{-1} R_{\mathcal{E}_p}(x, y). \quad (6.3)$$

(2)  $\widehat{R}_p$  is a metric on  $K$  giving the original topology of  $K$ . In particular,  $\overline{V_*^{\widehat{R}_p}} = K$ .

(3) For any  $u \in \mathcal{F}_p$  and  $x, y \in K$ ,

$$|u(x) - u(y)|^p \leq R_{\mathcal{E}_p}(x, y) \mathcal{E}_p(u).$$

In particular,  $\mathcal{F}_p \subseteq C(K)$ .

In the next definition, we introduce the *symmetry* on  $K$  with respect to  $(\mathcal{E}_p, \mathcal{F}_p)$ .

**Definition 6.5** We define

$$\mathcal{G} := \left\{ T \mid \begin{array}{l} T: K \rightarrow K, T \text{ is a homeomorphism preserving } m, \text{ and} \\ u \circ T, u \circ T^{-1} \in \mathcal{F}_p \text{ and } \mathcal{E}_p(u \circ T) = \mathcal{E}_p(u) \text{ for any } u \in \mathcal{F}_p \end{array} \right\}, \quad (6.4)$$

which forms a subgroup of the group of surjective isometries of  $(K, \widehat{R}_p)$  by (3.18) and (6.2).

Let us introduce natural *scales*  $\{\Lambda_s\}_{s \in (0,1]}$  with respect to  $\widehat{R}_p$ . (See [22, Definitions 6.12 and 6.13] for the case  $p = 2$ .)

**Definition 6.6** (1) We define  $\Lambda_1 := \{\emptyset\}$ ,

$$\Lambda_s := \left\{ w \mid w = w_1 \dots w_n \in W_* \setminus \{\emptyset\}, (\rho_{p, w_1 \dots w_{n-1}})^{-1/(p-1)} > s \geq \rho_{p, w}^{-1/(p-1)} \right\}$$

for each  $s \in (0, 1)$ . (Note that  $\{\Lambda_s\}_{s \in (0,1]}$  is the scale associated with the weight function  $g(w) := \rho_{p, w}^{-1/(p-1)}$ ; see [28, Definition 2.3.1].)

(2) For each  $(s, x) \in (0, 1] \times K$ , we define  $\Lambda_{s,0}(x) := \{w \in \Lambda_s \mid x \in K_w\}$ ,  $U_0(x, s) := \bigcup_{w \in \Lambda_{s,0}(x)} K_w$ ,  $\Lambda_{s,1}(x) := \{w \in \Lambda_s \mid K_w \cap U_0(x, s) \neq \emptyset\}$  and  $U_1(x, s) := \bigcup_{w \in \Lambda_{s,1}(x)} K_w$ .

Similar to the case  $p = 2$  in [22, Section 6.1], it is easy to see that  $\lim_{s \downarrow 0} \min\{|w| \mid w \in \Lambda_s\} = \infty$ , that  $\Lambda_s$  is a partition of  $\Sigma$  for any  $s \in (0, 1]$ , and that  $\Lambda_{s_1} \leq \Lambda_{s_2}$  for any  $s_1, s_2 \in (0, 1]$  with  $s_1 \leq s_2$ . By [28, Proposition 2.3.7], for any  $x \in K$ , each of  $\{U_0(x, s)\}_{s \in (0,1]}$  and  $\{U_1(x, s)\}_{s \in (0,1]}$  is non-decreasing in  $s$  and forms a fundamental system of neighborhoods of  $x$  in  $K$ . Moreover,  $\{U_1(x, s)\}_{s \in (0,1]}$  can be used as a replacement for the metric balls  $\{B_{\widehat{R}_p}(x, s)\}_{(x,s) \in K \times (0, \text{diam}(K, \widehat{R}_p)]}$  in  $(K, \widehat{R}_p)$  by virtue of the following lemma, which was obtained in [22, Lemma 6.14] in the case  $p = 2$ .

**Lemma 6.7** *There exist  $\alpha_1, \alpha_2 \in (0, \infty)$  such that for any  $(s, x) \in (0, 1] \times K$ ,*

$$B_{\widehat{R}_p}(x, \alpha_1 s) \subseteq U_1(x, s) \subseteq B_{\widehat{R}_p}(x, \alpha_2 s). \quad (6.5)$$

*Proof.* By (5.38), we have  $\text{diam}(K_w, \widehat{R}_p) \leq \rho_{p,w}^{-1/(p-1)} \text{diam}(K, \widehat{R}_p)$  for any  $w \in W_*$ , which implies the latter inclusion in (6.5) with  $\alpha_2 \in (2 \text{diam}(K, \widehat{R}_p), \infty)$  arbitrary. (In particular,  $\text{diam}(K_w, \widehat{R}_p) < \alpha_2 s$  for any  $w \in \Lambda_s$ .) We will show the former inclusion in (6.5) in the rest of this proof. To this end, it suffices to prove that there exists  $\alpha_1 \in (0, \infty)$  such that  $\widehat{R}_p(x, y) \geq \alpha_1 s$  for any  $s \in (0, 1]$ , any  $w, v \in \Lambda_s$  with  $K_w \cap K_v = \emptyset$  and any  $(x, y) \in K_w \times K_v$ . Let  $\psi_q := h_{V_0}^{\mathcal{E}_p}[\mathbf{1}_q^{V_0}]$  for any  $q \in V_0$ , where  $h_{V_0}^{\mathcal{E}_p}$  denotes the  $\mathcal{E}_p$ -harmonic extension operator from  $V_0$ , that is,  $\psi_q$  is the unique function in  $\mathcal{F}_p$  such that  $\psi_q|_{V_0} = \mathbf{1}_q^{V_0}$  and  $\mathcal{E}_p(\psi_q) = \min\{\mathcal{E}_p(v) \mid v \in \mathcal{F}_p, v|_{V_0} = \mathbf{1}_q^{V_0}\}$  (see [23, Theorem 6.13]). Fix  $w \in \Lambda_s$  and let  $u_w \in C(K)$  be such that, for  $\tau \in \Lambda_s$ ,

$$u_w \circ F_\tau = \begin{cases} 1 & \text{if } \tau = w, \\ \sum_{q \in V_0; F_\tau(q) \in F_w(V_0)} \psi_q & \text{if } \tau \neq w \text{ and } K_\tau \cap K_w \neq \emptyset, \\ 0 & \text{if } K_\tau \cap K_w = \emptyset. \end{cases} \quad (6.6)$$

Since  $\Lambda_s$  is a partition of  $\Sigma$ , we have  $u_w \in \mathcal{F}_p$  by (5.37), and

$$\begin{aligned} \mathcal{E}_p(u_w) &= \sum_{\tau \in \Lambda_s} \rho_{p,\tau} \mathcal{E}_p(u_w \circ F_\tau) \\ &= \sum_{\tau \in \Lambda_s \setminus \{w\}; K_\tau \cap K_w \neq \emptyset} \rho_{p,\tau} \mathcal{E}_p \left( \sum_{q \in V_0; F_\tau(q) \in F_w(V_0)} \psi_q \right) \end{aligned} \quad (6.7)$$

by (5.38). Set  $\bar{\rho}_p := \max_{i \in S} \rho_{p,i} \in (1, \infty)$  and  $c_1 := \max_{q \in V_0} \mathcal{E}_p(\psi_q) \in (0, \infty)$ . Then  $\rho_{p,\tau}^{-1} \geq (\bar{\rho}_p)^{-1} s^{p-1}$  for any  $\tau \in \Lambda_s$ . Since  $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \leq (\#C_{\mathcal{L}})(\#V_0)$  by [25, Lemma 4.2.3], (6.7) together with Hölder's inequality implies that

$$\mathcal{E}_p(u_w) \leq (\#C_{\mathcal{L}})(\#V_0) \bar{\rho}_p s^{-p+1} (\#V_0)^{p-1} c_1 =: (\alpha_1 s)^{-(p-1)}. \quad (6.8)$$

For any  $v \in \Lambda_s$  with  $K_w \cap K_v = \emptyset$  and any  $(x, y) \in K_w \times K_v$ , we clearly have  $u_w(x) = 1$  and  $u_w(y) = 0$ . Hence

$$\widehat{R}_p(x, y) \geq \mathcal{E}_p(u)^{-1/(p-1)} \geq \alpha_1 s,$$

which proves the desired result.  $\square$

Now we can show that  $m$  is  $d_{f,p}$ -Ahlfors regular (see [22, Lemma 6.8] for the case  $p = 2$ ).

**Lemma 6.8** *There exist  $c_1, c_2 \in (0, \infty)$  such that for any  $x \in K$  and any  $s \in (0, 2 \text{diam}(K, \widehat{R}_p)]$ ,*

$$c_1 s^{d_{f,p}} \leq m(B_{\widehat{R}_p}(x, s)) \leq c_2 s^{d_{f,p}}. \quad (6.9)$$



*Proof.* This is immediate from (6.5),  $\#\{\tau \in \Lambda_s \mid K_\tau \cap K_w \neq \emptyset\} \leq (\#C_{\mathcal{L}})(\#V_0)$  (see [25, Lemma 4.2.3]) and  $m(K_w) = \rho_{p,w}^{-1/(p-1)}$  (see [25, Corollary 1.4.8]).  $\square$

The proof of Lemma 6.7 includes the following capacity upper bound in terms of the  $p$ -resistance metric  $\widehat{R}_p$ .

**Proposition 6.9** *There exists  $C \in (0, \infty)$  such that for any  $x \in K$  and any  $s \in (0, 2 \operatorname{diam}(K, \widehat{R}_p)]$ ,*

$$\inf\{\mathcal{E}_p(u) \mid u \in \mathcal{F}_p, u|_{B_{\widehat{R}_p}(x, \alpha_1 s)} = 1, \operatorname{supp}_K[u] \subseteq B_{\widehat{R}_p}(x, 2\alpha_2 s)\} \leq C s^{-(p-1)}, \quad (6.10)$$

where  $\alpha_1, \alpha_2$  are the constants in (6.5).

*Proof.* Let  $u_w \in \mathcal{F}_p$  be the same function as in the proof of Lemma 6.7 for each  $w \in \Lambda_s$ . Then  $\varphi := \max_{w \in \Lambda_{s,1}(x)} u_w$  satisfies  $\varphi|_{U_1(x,s)} = 1$ . Since  $\operatorname{diam}(K_w, \widehat{R}_p) < \alpha_2 s$ , we see from (6.5) that  $\operatorname{supp}_K[\varphi] \subseteq B_{\widehat{R}_p}(x, 2\alpha_2 s)$ . By (2.3) for  $(\mathcal{E}_p, \mathcal{F}_p)$ , (6.8) and [25, Lemma 4.2.3], we have  $\varphi \in \mathcal{F}_p$  and

$$\mathcal{E}_p(\varphi) \leq \sum_{w \in \Lambda_{s,1}(x)} \mathcal{E}_p(u_w) \leq (\alpha_1 s)^{-(p-1)} (\#C_{\mathcal{L}})(\#V_0) =: C s^{-(p-1)}. \quad \square$$

Similar to Lemma 5.20 and Corollary 5.21, we can easily show the next lemma as a consequence of (6.10), and obtain the regularity of  $\mathcal{F}_p$ .

**Lemma 6.10** *Let  $\varepsilon \in (0, 1)$  and let  $V$  be a maximal  $\varepsilon$ -net of  $(K, \widehat{R}_p)$ . Then there exists a family of functions  $\{\psi_z\}_{z \in V}$  that satisfies the following properties:*

- (i)  $\sum_{z \in V} \psi_z \equiv 1$ .
- (ii)  $\psi_z \in \mathcal{F}_p$ ,  $0 \leq \psi_z \leq 1$ ,  $\psi_z|_{B_{\widehat{R}_p}(z, \varepsilon/4)} \equiv 1$  and  $\operatorname{supp}_K[\psi_z] \subseteq B_{\widehat{R}_p}(z, 5\varepsilon/4)$  for any  $z \in V$ ;
- (iii) If  $z \in V$  and  $z' \in V \setminus \{z\}$ , then  $\psi_{z'}|_{B_{\widehat{R}_p}(z, \varepsilon/4)} \equiv 0$ .
- (iv) There exists  $C \in (0, \infty)$  such that  $\mathcal{E}_p(\psi_z) \leq C \varepsilon^{-(p-1)}$  for any  $z \in V$ .

**Corollary 6.11**  $(\mathcal{E}_p, \mathcal{F}_p)$  is regular, i.e.,  $\mathcal{F}_p$  is dense in  $(C(K), \|\cdot\|_{\sup})$ .

Next, in order to state a Poincaré-type inequality in this context, we introduce the associated self-similar  $p$ -energy measures in Proposition 6.12 and a localized version of  $\mathcal{F}_p$  in Definition 6.13. Thanks to (5.38), we can define the  $p$ -energy measures associated with  $(\mathcal{E}_p, \mathcal{F}_p)$  by using Kolmogorov's extension theorem. We recall fundamental results on the  $p$ -energy measures constructed in this way in the following proposition. See [35, Section 9] and [23, Section 5.2] for further details and properties of them.

**Proposition 6.12 (Self-similar  $p$ -energy measures)** *For each  $u \in \mathcal{F}_p$ , there exists a unique positive Radon measure  $\Gamma_{\mathcal{E}_p}\langle u \rangle$  on  $K$  satisfying*

$$\int_K \varphi d\Gamma_{\mathcal{E}_p}\langle u \rangle = \mathcal{E}_p(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \mathcal{E}_p(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{F}_p. \quad (6.11)$$

Moreover, the following hold:

- (i)  $\Gamma_{\mathcal{E}_p} \langle u \rangle (K_w) = \rho_{p,w} \mathcal{E}_p(u \circ F_w)$  for any  $u \in \mathcal{F}_p$  and any  $w \in W_*$ .
- (ii)  $\Gamma_{\mathcal{E}_p} \langle \cdot \rangle (A)^{1/p}$  is a seminorm on  $\mathcal{F}_p$  for any  $A \in \mathcal{B}(K)$ .
- (iii)  $\Gamma_{\mathcal{E}_p} \langle u \rangle (K_w \cap K_\tau) = 0$  for any  $u \in \mathcal{F}_p$  and any  $w, \tau \in W_*$  with  $\Sigma_w \cap \Sigma_\tau = \emptyset$ .
- (iv)  $\Gamma_{\mathcal{E}_p} \langle u \rangle (A) = \Gamma_{\mathcal{E}_p} \langle v \rangle (A)$  for any  $u, v \in \mathcal{F}_p$  and any  $A \in \mathcal{B}(K)$  with  $(u-v)|_A \in \mathbb{R}\mathbf{1}_A$ .

*Proof.* For the construction of a candidate for  $\Gamma_{\mathcal{E}_p} \langle u \rangle$ , see [35, Section 9] or [23, Section 5.2]. Then the properties (ii), (iii) and (iv) follow from [35, Proposition 9.3, Corollaries 9.8 and 9.9] since  $\#(K_w \cap K_\tau) < \infty$  by  $\#V_0 < \infty$  and [25, Proposition 1.3.5-(2)]. We obtain (i) by combining (iii) and [35, Proposition 9.4]. The equality (6.11) is proved in [23, Proposition 5.11], and the uniqueness of a positive Radon measure on  $K$  satisfying (6.11) follows from Corollary 6.11 and the uniqueness part of the Riesz–Markov–Kakutani representation theorem (see, e.g., [36, Theorems 2.14 and 2.18]).  $\square$

**Definition 6.13** Let  $U$  be a non-empty open subset of  $K$ . We define a linear subspace  $\mathcal{F}_{p,\text{loc}}(U)$  of  $C(U)$  by

$$\mathcal{F}_{p,\text{loc}}(U) := \left\{ f \in C(U) \mid \begin{array}{l} f|_A = f^\#|_A \text{ for some } f^\# \in \mathcal{F} \text{ for each} \\ \text{relatively compact open subset } A \text{ of } U \end{array} \right\}. \quad (6.12)$$

For each  $f \in \mathcal{F}_{p,\text{loc}}(U)$ , we further define a positive Radon measure  $\Gamma_{\mathcal{E}_p} \langle f \rangle$  on  $U$  as follows. We first define  $\Gamma_{\mathcal{E}_p} \langle f \rangle (E) := \Gamma_{\mathcal{E}_p} \langle f^\# \rangle (E)$  for each relatively compact Borel subset  $E$  of  $U$ , with  $A \subseteq U$  and  $f^\# \in \mathcal{F}_p$  as in (6.12) chosen so that  $E \subseteq A$ ; this definition of  $\Gamma_{\mathcal{E}_p} \langle f \rangle (E)$  is independent of a particular choice of such  $A$  and  $f^\#$  by Proposition 6.12-(iv). We then define  $\Gamma_{\mathcal{E}_p} \langle f \rangle (E) := \lim_{n \rightarrow \infty} \Gamma_{\mathcal{E}_p} \langle f \rangle (E \cap A_n)$  for each  $E \in \mathcal{B}(U)$ , where  $\{A_n\}_{n \in \mathbb{N}}$  is a non-decreasing sequence of relatively compact open subsets of  $U$  such that  $\bigcup_{n \in \mathbb{N}} A_n = U$ ; it is clear that this definition of  $\Gamma_{\mathcal{E}_p} \langle f \rangle (E)$  is independent of a particular choice of  $\{A_n\}_{n \in \mathbb{N}}$ , coincides with the previous one when  $E$  is relatively compact in  $U$ , and gives a Radon measure on  $U$ .

Now we can prove a Poincaré-type inequality in terms of the  $p$ -resistance metric.

**Proposition 6.14 (( $p, p$ )-Poincaré inequality)** *There exist  $C, A \in (0, \infty)$  with  $A \geq 1$  such that for any  $(x, s) \in K \times (0, \text{diam}(K, \tilde{R}_p)]$  and any  $u \in \mathcal{F}_{p,\text{loc}}(B_{\tilde{R}_p}(x, As))$ ,*

$$\int_{B_{\tilde{R}_p}(x,s)} \left| u(y) - u_{B_{\tilde{R}_p}(x,s)} \right|^p m(dy) \leq C s^{d_{\mathbb{F},p} + p - 1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\tilde{R}_p}(x, As)). \quad (6.13)$$

*Proof.* For simplicity, we consider the case  $u \in \mathcal{F}_p$ . Note that, since  $m(K_v \cap K_{v'}) = 0$  for any  $v, v' \in W_*$  with  $\Sigma_v \cap \Sigma_{v'} = \emptyset$  (see [25, Corollary 1.4.8]),

$$\int_{B_{\tilde{R}_p}(x, \alpha_1 s)} \left| u - u_{B_{\tilde{R}_p}(x, \alpha_1 s)} \right|^p dm \leq \sum_{w \in \Lambda_{s,1}(x)} \int_{K_w} \left| u - u_{U_1(x,s)} \right|^p dm.$$

Let  $w \in \Lambda_{s,1}(x)$ . For any  $(y, z) \in K_w \times U_1(x, s)$ , there exist  $v^1, v^2, v^3 \in \Lambda_{s,1}(x)$  such that  $v^1 = w, z \in K_{v^3}$  and  $K_{v^i} \cap K_{v^{i+1}} \neq \emptyset$  for each  $i \in \{1, 2\}$ . Let us fix  $x_i \in K_{v^i} \cap K_{v^{i+1}}$  and  $q_i \in V_0$  so that  $x_i = F_{v^i}(q_i)$ . Then

$$\begin{aligned} |u(y) - u(z)|^p &\leq 3^{p-1} \left( |u(y) - u(x^1)|^p + |u(x^1) - u(x^2)|^p + |u(x^2) - u(z)|^p \right) \\ &\leq (3 \operatorname{diam}(K, \widehat{R}_p))^{p-1} \sum_{i=1}^3 \rho_{p,v^i}^{-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (K_{v^i}) \\ &\leq C s^{p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle \left( \bigcup_{i=1}^3 K_{v^i} \right) \leq C s^{p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x, \alpha_2 s)). \end{aligned}$$

Therefore, noting that  $m(K_w) \lesssim s^{d_{f,p}}$  by (6.5) and (6.9), we have

$$\begin{aligned} \int_{K_w} |u(y) - u_{U_1(x,s)}|^p m(dy) &\leq \int_{K_w} \int_{U_1(x,s)} |u(y) - u(z)|^p m(dx) m(dy) \\ &\lesssim s^{d_{f,p}+p-1} \Gamma_{\mathcal{E}_p} \langle u \rangle (B_{\widehat{R}_p}(x, \alpha_2 s)), \end{aligned}$$

which together with  $\sup_{(x,s) \in K \times (0,1]} \# \Lambda_{s,1}(x) < \infty$  (see [25, Lemma 4.2.3]) yields (6.13).  $\square$

## 6.2 Estimates on self-similar $p$ -energy measures and weak monotonicity

In this subsection, we show localized energy estimates on Korevaar–Schoen  $p$ -energy forms in terms of their associated self-similar  $p$ -energy measures and verify  $(\text{WM})_{p,k}$ . We continue to follow the setting in the previous subsection, i.e., we suppose that Assumption 6.1 holds. We consider  $\mathcal{E}_p$  as a  $[0, \infty]$ -valued functional defined on  $L^p(K, m)$  by setting  $\mathcal{E}_p(f) := \infty$  for  $f \in L^p(K, m) \setminus \mathcal{F}_p$ .

Similar arguments as in Propositions 5.23 and 5.25 yield an upper bound on localized Korevaar–Schoen energy functionals in Proposition 6.15 and a lower bound on them in Proposition 6.16 below.

**Proposition 6.15** *There exists  $C \in (0, \infty)$  such that for any  $E \in \mathcal{B}(K)$ , any open neighborhood  $E'$  of  $\overline{E}^K$  and any  $u \in \mathcal{F}_{p,\text{loc}}(E')$ ,*

$$\limsup_{s \downarrow 0} \int_E \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{f,p}+p-1}} m(dy) m(dx) \leq C \Gamma_{\mathcal{E}_p} \langle u \rangle (\overline{E}^K). \quad (6.14)$$

Moreover, with  $C \in (0, \infty)$  the same as in (6.14), for any  $f \in L^p(K, m)$ ,

$$\sup_{s>0} \int_K \int_{B_{\widehat{R}_p}(x,s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy) m(dx) \leq C \mathcal{E}_p(f). \quad (6.15)$$

*Proof.* Let  $V$  be a relatively compact open subset of  $E'$  with  $V \supseteq \overline{E}^K$  and let  $u^\# \in \mathcal{F}_p$  satisfy  $u^\# = u$   $m$ -a.e. on  $V$ . Similar to [35, (7.2)], by using (6.9) and (6.13), we easily see that for any  $s \in (0, \infty)$ ,

$$\int_E \int_{B_{\widehat{R}_p}(x,s)} \frac{|u^\#(x) - u^\#(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx) \leq C \Gamma_{\mathcal{E}_p} \langle u^\# \rangle ((E)_{\widehat{R}_p, 2As}), \quad (6.16)$$

where  $A \in [1, \infty)$  is the constant in (6.13) and  $C \in (0, \infty)$  is independent of  $x, s$  and  $f$ . We get (6.14) by letting  $s \downarrow 0$  since  $\Gamma_{\mathcal{E}_p} \langle u^\# \rangle ((E)_{\widehat{R}_p, 2As}) = \Gamma_{\mathcal{E}_p} \langle u \rangle ((E)_{\widehat{R}_p, 2As})$  for any  $s \in (0, \infty)$  with  $(E)_{\widehat{R}_p, 2As} \subseteq V$  by Proposition 6.12-(iv). The estimate (6.15) for  $f \in \mathcal{F}_p$  is easily implied by  $\Gamma_{\mathcal{E}_p} \langle f \rangle (K) = \mathcal{E}_p(f)$  and (6.16) with  $E = K$ . For  $f \in L^p(K, m) \setminus \mathcal{F}_p$ , (6.15) is obvious by  $\mathcal{E}_p(f) = \infty$ , so the proof is completed.  $\square$

**Proposition 6.16** *There exists  $C \in (0, \infty)$  such that for any  $E \in \mathcal{B}(K)$ , any open neighborhood  $E'$  of  $\overline{E}^K$  and any  $u \in \mathcal{F}_{p, \text{loc}}(E')$ ,*

$$\Gamma_{\mathcal{E}_p} \langle u \rangle (E) \leq C \lim_{\delta \downarrow 0} \liminf_{s \downarrow 0} \int_{(E)_{\widehat{R}_p, \delta}} \int_{B_{\widehat{R}_p}(x,s)} \frac{|u(x) - u(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx). \quad (6.17)$$

Furthermore, with  $C \in (0, \infty)$  the same as in (6.17), for any  $f \in L^p(K, m)$ ,

$$\mathcal{E}_p(f) \leq C \liminf_{s \downarrow 0} \int_K \int_{B_{\widehat{R}_p}(x,s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx). \quad (6.18)$$

*Proof.* Let  $s \in (0, 1)$  and fix a maximal  $r$ -net  $N_s$  of  $(K, \widehat{R}_p)$ . Let  $\{\psi_{z,s}\}_{z \in N_s}$  be a partition of unity as given in Lemma 6.10 and define  $A_s: L^p(K, m) \rightarrow \mathcal{F}_p$  by  $A_s f := \sum_{z \in N_s} f_{B_{\widehat{R}_p}(z, s/4)} \psi_{z,s}$  for  $f \in L^p(K, m)$ . Then we can easily see that  $\lim_{r \rightarrow 0} \|A_r f - f\|_{L^p(K, m)} = 0$  and  $\sup_{r > 0} \|A_r\|_{L^p(K, m) \rightarrow L^p(K, m)} < \infty$ . Using Proposition 6.12-(iv), we can show that there exists  $C_1 > 0$  that is independent of  $x, s$  and  $f$  such that

$$\begin{aligned} & \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (B_{\widehat{R}_p}(z, 5s/4)) \\ & \leq C_1 \sum_{w \in N_s \cap B_{\widehat{R}_p}(z, 11s/4)} \int_{B_{\widehat{R}_p}(w, 3s)} \int_{B_{\widehat{R}_p}(x, 9s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx), \end{aligned} \quad (6.19)$$

for any small enough  $s > 0$ . Let us fix  $\delta > 0$  and define  $N_s(E) := \{z \in N_s \mid E \cap B_{\widehat{R}_p}(z, s) \neq \emptyset\}$ . Since  $\bigcup_{z \in N_s(E)} \bigcup_{w \in N_s \cap B_{\widehat{R}_p}(z, 11s/4)} B_{\widehat{R}_p}(w, 3s) \subseteq (E)_{\widehat{R}_p, \delta}$  for all small enough  $s > 0$  and  $(K, \widehat{R}_p)$  is metric doubling by Lemma 6.8, we have

$$\begin{aligned} & \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (E) \\ & \leq \sum_{z \in N_s(E)} \Gamma_{\mathcal{E}_p} \langle A_s f \rangle (B_{\widehat{R}_p}(z, 5s/4)) \end{aligned}$$

$$\stackrel{(6.19)}{\leq} C \int_{(E)_{\widehat{R}_p, \delta}} \int_{B_{\widehat{R}_p}(x, 9s)} \frac{|f(x) - f(y)|^p}{s^{d_{f,p}+p-1}} m(dy)m(dx), \quad f \in L^p(K, m), \quad (6.20)$$

where  $C \in (0, \infty)$  is independent of  $x, s$  and  $f$ . Once we get (6.20), the argument in the proof of Proposition 5.25 with minor modifications proves (6.17). Indeed, for  $u \in \mathcal{F}_{p, \text{loc}}(E')$ , a relatively compact open subset  $V$  of  $E'$  with  $V \supseteq \overline{E}^K$  and  $u^\# \in \mathcal{F}_p$  satisfying  $u^\# = u$   $m$ -a.e. on  $V$ , we have from Proposition 6.12-(iv) that  $\Gamma_{\mathcal{E}_p} \langle A_s u^\# \rangle(E) = \Gamma_{\mathcal{E}_p} \langle A_s u \rangle(E)$  if  $s$  is sufficiently small. Then similar arguments using Mazur's lemma as in the proof of Proposition 5.25 implies (6.17) and (6.18).  $\square$

Now we can identify  $\mathcal{F}_p$  as the  $(1, p)$ -Korevaar–Schoen–Sobolev space.

**Theorem 6.17** *Let  $s_p$  and  $\text{KS}^{1,p}(K, \widehat{R}_p, m)$  be as defined in Example 3.14 with  $\widehat{R}_p$  in place of  $d$ , and define  $\mathbf{k} = \{k_r\}_{r>0}$  by*

$$k_r(x, y) := \frac{\mathbf{1}_{B_{\widehat{R}_p}(x, r)}(y)}{r^{d_{f,p}+p-1} m(B_{\widehat{R}_p}(x, r))}, \quad x, y \in K.$$

*Then  $s_p = (d_{f,p} + p - 1)/p$ ,  $\mathcal{F}_p = \text{KS}^{1,p}(K, \widehat{R}_p, m)$ , and  $(\text{WM})_{p, \mathbf{k}}$  holds. Moreover, there exists  $C \in [1, \infty)$  such that*

$$C^{-1} \sup_{r>0} J_{p,r}^{\mathbf{k}}(f) \leq \mathcal{E}_p(f) \leq C \liminf_{r \downarrow 0} J_{p,r}^{\mathbf{k}}(f) \quad \text{for any } f \in L^p(K, m). \quad (6.21)$$

*Proof.* We have  $\mathcal{F}_p = B_{p, \infty}^{(d_{f,p}+p-1)/p}$  and (6.21) by (6.15) and (6.18). In particular,  $s_p \geq (d_{f,p} + p - 1)/p$ . Let  $s > (d_{f,p} + p - 1)/p$  and let  $f \in \mathcal{F}_p \setminus \mathbb{R}\mathbf{1}_K$ , which exists by (6.10). Let  $A_r : L^p(K, m) \rightarrow \mathcal{F}_p$  be the same operator as in the proof of Proposition 6.16 for each  $r \in (0, 1)$ . Then, by (6.20) with  $E = K$ , for any  $r \in (0, 1)$  and  $f \in L^p(K, m)$ ,

$$\frac{r^{d_{f,p}+p-1}}{r^{sp}} \mathcal{E}_p(A_r f) \leq C \int_K \int_{B_{\widehat{R}_p}(x, 9r)} \frac{|f(x) - f(y)|^p}{r^{sp}} m(dy)m(dx), \quad (6.22)$$

where  $C > 0$  is independent of  $f$  and  $r$ . Clearly,  $\sup_{r>0} \mathcal{E}_p(A_r f) > 0$  and  $r^{d_{f,p}+p-1-sp} \rightarrow \infty$  as  $r \downarrow 0$ . Hence we obtain  $s \geq s_p$  since  $f \notin B_{p, \infty}^s$  by (6.22). This implies that  $(d_{f,p} + p - 1)/p \geq s_p$ . In particular, we obtain  $\mathcal{F}_p = \text{KS}^{1,p}(K, \widehat{R}_p, m)$ . Also,  $(\text{WM})_{p, \mathbf{k}}$  follows from (6.15) and (6.18).  $\square$

Unfortunately, it is not clear whether Korevaar–Schoen  $p$ -energy forms  $(\mathcal{E}_p^{\text{KS}}, \mathcal{F}_p)$  on  $(K, \widehat{R}_p, m)$ , which exist by Theorems 6.17 and 3.8 (recall Example 3.14), are self-similar or not. However, we can construct a self-similar  $p$ -resistance form on  $\mathcal{L}$  by the same argument as in the proof of Theorem 5.38. Recall that  $\mathcal{F}_p \cap C(K) = \mathcal{F}_p$  is dense both in  $(C(K), \|\cdot\|_{\text{sup}})$  and in  $\mathcal{F}_p$  by Proposition 6.4-(3) and Corollary 6.11.

**Theorem 6.18** For each  $n \in \mathbb{N}$ , define  $\mathbf{k}^{(n)} = \{k_r^{(n)}\}_{r>0}$  by

$$k_r^{(n)}(x, y) := \frac{1}{n+1} \sum_{l=0}^n \sum_{w \in W_l} \rho_{p,w}^{(2d_{f,p}+p-1)/(p-1)} \frac{\mathbf{1}_{A_{w,r}}(x, y)}{r^{2d_{f,p}+p-1}}, \quad x, y \in K,$$

where  $A_{w,r} := \{(x, y) \in K_w \times K_w \mid \widehat{R}_p(F_w^{-1}(x), F_w^{-1}(y)) < r\}$ . Then  $\mathbf{k}^{(n)}$  is asymptotically local,  $(\text{WM})_{p,\mathbf{k}^{(n)}}$  holds,  $B_{p,\infty}^{\mathbf{k}^{(n)}} = \mathcal{F}_p$ , and for any sequence  $\{(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)\}_{n \in \mathbb{N}}$  with  $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)$  a  $\mathbf{k}^{(n)}$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$  for each  $n \in \mathbb{N}$ , there exists a sequence  $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  with  $n_j < n_{j+1}$  for any  $j \in \mathbb{N}$  such that the following limit exists in  $[0, \infty)$  for any  $u \in \mathcal{F}_p$ :

$$\check{\mathcal{E}}_p^{\text{KS}}(u) := \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u). \quad (6.23)$$

Moreover, for any such  $\{\mathcal{E}_p^{\mathbf{k}^{(n)}}\}_{n \in \mathbb{N}}$  and  $\{n_j\}_{j \in \mathbb{N}}$ , the functional  $\check{\mathcal{E}}_p^{\text{KS}}: \mathcal{F}_p \rightarrow [0, \infty)$  defined by (6.23) satisfies the following properties:

- (a)  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$  is a self-similar  $p$ -resistance form on  $\mathcal{L}$  with weight  $(\rho_{p,i})_{i \in S}$ .
- (b) For any  $u \in \mathcal{F}_p$ ,

$$C^{-1} \mathcal{E}_p(u) \leq \check{\mathcal{E}}_p^{\text{KS}}(u) \leq C \mathcal{E}_p(u),$$

where  $C \in [1, \infty)$  is the constant in (6.21).

- (c) For any  $u, v \in \mathcal{F}_p$ ,  $\{\mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v)\}_{j \in \mathbb{N}}$  is convergent in  $\mathbb{R}$  and

$$\check{\mathcal{E}}_p^{\text{KS}}(u; v) = \lim_{j \rightarrow \infty} \mathcal{E}_p^{\mathbf{k}^{(n_j)}}(u; v). \quad (6.24)$$

- (d) Theorem 3.8-(c),(d),(e) with  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$  in place of  $(\mathcal{E}_p^{\mathbf{k}}, B_{p,\infty}^{\mathbf{k}})$  hold.
- (e)  $\check{\mathcal{E}}_p^{\text{KS}}(u \circ T) = \check{\mathcal{E}}_p^{\text{KS}}(u)$  for any  $u \in \mathcal{F}_p$  and any  $T \in \mathcal{G}$  (recall (6.4)).

In addition, we obtain the  $p$ -energy measures associated with the  $p$ -resistance form  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$  in the same way as in Theorem 5.39. (See also [23, Sections 4 and 5] for other basic properties. As mentioned before Theorem 5.39, we do not know whether Theorem 6.19-(c) below holds for general self-similar  $p$ -resistance forms.)

**Theorem 6.19** Let  $(\mathcal{E}_p^{\mathbf{k}^{(n)}}, \mathcal{F}_p)$  be any  $\mathbf{k}^{(n)}$ -Korevaar–Schoen  $p$ -energy form on  $(K, m)$  for each  $n \in \mathbb{N}$ , let  $\{n_j\}_{j \in \mathbb{N}} \subseteq \mathbb{N}$  be any sequence as in Theorem 6.18, and let  $(\check{\mathcal{E}}_p^{\text{KS}}, \mathcal{F}_p)$  be the  $p$ -resistance form on  $K$  defined by (6.23). Then for any  $u \in \mathcal{F}_p$ , there exists a unique positive Radon measure  $\check{\Gamma}_p^{\text{KS}}\langle u \rangle$  on  $K$  such that

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u \rangle = \check{\mathcal{E}}_p^{\text{KS}}(u; u\varphi) - \left(\frac{p-1}{p}\right)^{p-1} \check{\mathcal{E}}_p^{\text{KS}}(|u|^{\frac{p}{p-1}}; \varphi) \quad \text{for any } \varphi \in \mathcal{F}_p. \quad (6.25)$$

Moreover, the following hold:

- (a) Let  $\varphi: K \rightarrow [0, \infty)$  be a Borel measurable function with  $\|\varphi\|_{\sup} < \infty$ . Then  $(\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle \cdot \rangle, \mathcal{F}_p)$  is a  $p$ -energy form on  $(K, m)$  satisfying  $(\text{GC})_p$ .

- (b) *Theorem 4.6, with  $\mathcal{F}_p$  and  $\check{\Gamma}_p^{\mathbf{k}}$  in place of  $\mathcal{D}_{p,\infty}^{\mathbf{k},b}$  and  $\Gamma_p^{\mathbf{k}}$  respectively, holds. In particular, for any  $u, v \in \mathcal{F}_p$ ,*

$$\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(A) := \frac{1}{p} \frac{d}{dt} \check{\Gamma}_p^{\text{KS}}\langle u + tv \rangle(A) \Big|_{t=0}, \quad A \in \mathcal{B}(K), \quad (6.26)$$

*defines a signed Borel measure on  $K$  such that  $\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle(K) = \check{\mathcal{E}}_p^{\text{KS}}(u; v)$  and  $\check{\Gamma}_p^{\text{KS}}\langle u; u \rangle = \check{\Gamma}_p^{\text{KS}}\langle u \rangle$ . Furthermore, for any  $u, v \in \mathcal{F}_p$  and any  $\varphi \in C(K)$ ,*

$$\int_K \varphi d\check{\Gamma}_p^{\text{KS}}\langle u; v \rangle = \lim_{j \rightarrow \infty} \int_K \varphi d\Gamma_p^{\mathbf{k}^{(n_j)}}\langle u; v \rangle. \quad (6.27)$$

- (c) *Theorem 4.8-(a),(b), with  $\mathcal{F}_p$  and  $\check{\Gamma}_p^{\text{KS}}$  in place of  $\mathcal{D}_{p,\infty}^{\mathbf{k},b}$  and  $\Gamma_p^{\mathbf{k}}$  respectively, hold.*
- (d) *Theorems 4.9, 4.10 and 4.11, with  $\mathcal{F}_p$  and  $\check{\Gamma}_p^{\text{KS}}$  in place of  $B_{p,\infty}^{\mathbf{k}} \cap C_b(K)$  and  $\Gamma_p^{\mathbf{k}}$  respectively, hold.*

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