On the CR Nirenberg problem: density and multiplicity of solutions

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Abstract

We prove some results on the density and multiplicity of positive solutions to the prescribed Webster scalar curvature problem on the (2n + 1)-dimensional standard unit CR sphere $(\mathbb{S}^{2n+1}, \theta_0)$. Specifically, we construct arbitrarily many multi-bump solutions via the variational gluing method. In particular, we show the Webster scalar curvature functions of contact forms conformal to θ_0 are C^0 -dense among bounded functions which are positive somewhere. Existence results of infinitely many positive solutions to the related equation $-\Delta_{\mathbb{H}} u = R(\xi) u^{(n+2)/n}$ on the Heisenberg group \mathbb{H}^n with $R(\xi)$ being asymptotically periodic with respect to left translation are also obtained. Our proofs make use of a refined analysis of bubbling behavior, gradient flow, Pohozaev identity, as well as blow up arguments.

Key words: CR Nirenberg problem, Subelliptic equations, Multi-bump solution, Blow up analysis. Mathematics Subject Classification (2020) 53C15 · 53C21 · 35R01

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A Refined analysis of blow up profile

1 INTRODUCTION

1.1 The studied problem and its history

The simplicity and subtlety of curvature and its global nature draw many attention. In particular, one celebrated problem, raised by Nirenberg in the 1960's, asks on the *n*-dimensional standard sphere (\mathbb{S}^n, g_0) $(n \ge 2)$, if one can find a conformally invariant metric g such that the scalar curvature (Gauss curvature for n = 2) of g is equal to the given function K. This is widely known as the Nirenberg problem and is also called the prescribed scalar curvature problem on \mathbb{S}^n . If we denote $g = e^{2v}g_0$ in the case n = 2 and $g = v^{4/(n-2)}g_0$ in the $n \ge 3$ dimensional case, this problem amounts to finding a positive solution v of the equations

$$-\Delta_{g_0}v + 1 = Ke^{2v} \quad \text{on } \mathbb{S}^2,$$

and

$$-\Delta_{g_0}v + c(n)R_0v = c(n)Kv^{\frac{n+2}{n-2}} \quad \text{on } \mathbb{S}^n \quad \text{for } n \ge 3,$$
(1.1)

where Δ_{g_0} is the Laplace-Beltrami operator on (\mathbb{S}^n, g_0) , c(n) = (n-2)/(4(n-1)) and $R_0 = n(n-1)$ is the scalar curvature associated to g_0 . The Nirenberg problem has been studied extensively and it would be impossible to mention here all works in this area. Two significant aspects most related to this paper are the fine analysis of blow up (approximate) solutions and the gluing methods in construction of solutions, see, e.g., [5,6,11,12,52–55,57,59,70,73] and references therein.

In the past half century, several studies have been performed for classical elliptic equations which are similar to Nirenberg's equations but with the conformal sub-Laplacians on CR manifolds. The geometry of CR manifolds, namely the abstract model of real hypersurfaces in complex manifolds, has attracted, since the late 1970's, a lot of attention of prominent mathematicians as for instance, Chern-Moser [16], Fefferman [25], Jacobowitz [45], Jerison-Lee [46–49], Tanaka [71], Webster [72], among many others. This geometry is very rich when the CR manifold admits a strictly pseudo-convex structure in which case we encounter a great analogy with the conformal geometry of Riemannian manifolds. Notably, the study of the prescribing Webster scalar curvature problem on CR manifolds, which dates back to Jerison-Lee [47–49], has received a lot of attention, see, e.g., [30,36] and references therein. For more recent and further studies, see [17,18,22,32,64,74,75] and related references.

As a natural analogue of the Nirenberg probelm for the CR geometry, one can consider the prescribed Webster (pseudo-hermitian) scalar curvature problem on the standard CR sphere which can be formulated as follows. Let $(\mathbb{S}^{2n+1}, \theta_0)$ be the unit CR sphere in \mathbb{C}^{n+1} with θ_0 being the standard contact form and $n \geq 1$. Given any function \overline{R} on \mathbb{S}^{2n+1} , it is natural to ask: Does there exist a contact form θ conformally related to θ_0 in the sense that $\theta = v^{2/n}\theta_0$ for some function v > 0 such that \overline{R} is the Webster scalar curvature of the Webster metric g_{θ} associated with the contact form θ ? Following the same way as in the Riemannian case, the Webster metric g_{θ} associated with θ obeys its scalar curvature which is given by

$$\operatorname{Scal}_{\theta} = u^{-\frac{n+2}{n}} \Big(-\frac{2(n+1)}{n} \Delta_{\theta_0} u + \operatorname{Scal}_{\theta_0} u \Big),$$

where Δ_{θ_0} is the sub-Laplacian with respect to the contact form θ_0 and $\operatorname{Scal}_{\theta_0} = n(n+1)/2$ is the Webster scalar curvature of the Webster metric g_{θ_0} associated with the contact form θ_0 . Clearly,

the problem of solving $\text{Scal}_{\theta} = \bar{R}$ is equivalent to finding positive solutions v to the following PDE

$$L_{\theta_0}v := -\Delta_{\theta_0}v + \frac{n^2}{4}v = \bar{c}(n)\bar{R}v^{1+\frac{2}{n}}, \quad v > 0 \quad \text{on } \mathbb{S}^{2n+1}.$$
 (1.2)

Here L_{θ_0} is called the *conformal sub-Laplacian* and transforms according to the law $L_{\theta}(\phi) = v^{-\frac{n+2}{n}}L_{\theta_0}(v\phi)$ for any $\phi \in C^{\infty}(\mathbb{S}^{2n+1})$, the sub-Laplacian operator Δ_{θ_0} can be expressed explicitly in coordinates $\zeta = (\zeta_1, \ldots, \zeta_{n+1}) \in \mathbb{S}^{2n+1}$ by

$$\sum_{j=1}^{n+1} \frac{\partial^2}{\partial \zeta_j \partial \bar{\zeta}_j} + \sum_{j,k=1}^{n+1} \zeta_j \bar{\zeta}_k \frac{\partial^2}{\partial \zeta_j \partial \bar{\zeta}_k} + \frac{n}{2} \sum_{k=1}^{n+1} \left(\zeta_k \frac{\partial}{\partial \zeta_k} + \bar{\zeta}_k \frac{\partial}{\partial \bar{\zeta}_k} \right),$$

and $\bar{c}(n) = \frac{n}{2(n+1)}$, see, e.g., [7,47]. Geller [40] showed that, for regular function $f: \mathbb{S}^{2n+1} \to \mathbb{C}$, the function

$$G(\zeta) = L_{\theta_0}^{-1}(f)(\zeta) = c_n \int_{\mathbb{S}^{2n+1}} \operatorname{dist}(\zeta, \cdot)^{-2n} f(\cdot)$$
(1.3)

satisfies $L_{\theta_0}G = f$, where $c_n = \frac{2^{n-1}\Gamma(\frac{n}{2})^2}{\pi^{n+1}}$ and $\operatorname{dist}(\zeta, \eta) := \sqrt{2|1-\zeta \cdot \bar{\eta}|}$ is the distance function on \mathbb{S}^{2n+1} . We also refer to (1.3) as the *Green's representation* formula.

Prescribing such Webster scalar curvature on the standard CR sphere can be interpreted as a generalization of the Nirenberg problem, called in this context: the *CR Nirenberg problem*. We will present more geometric and analytical backgrounds to understand our problem in Section 2.1. Throughout the paper, we assume $n \geq 1$ without otherwise stated.

Equation (1.2) proves to be remarkably flexible and difficult to solve. As we multiply v to (1.2) and apply integration by parts, it is easy to see a simple necessary condition is $\max_{\mathbb{S}^{2n+1}} \overline{R} > 0$, but there are also some obstructions, which are said of *topological type*. For example, a necessary condition is the following Kazdan-Warner type condition (see [15, Theorem B]): for any CR vector field X on \mathbb{S}^{2n+1} , there holds

$$\int_{\mathbb{S}^{2n+1}} X(\bar{R}) v^{2+\frac{2}{n}} \,\mathrm{d}vol_{\theta_0} = 0 \tag{1.4}$$

for any positive solution v of (1.2), where $dvol_{\theta_0}$ denotes the volume of \mathbb{S}^{2n+1} with respect to θ_0 . Note that the real or imaginary part of gradient (with respect to $\langle \cdot, \cdot \rangle_{\theta}$ under the Levi form θ) of a bigraded spherical harmonic function f of type (1,0) or (0,1) is a CR vector field. This implies that (1.2) is not solvable if $\overline{R} = f + \text{constant}$. Another difficulty in studying (1.2) is the lack of compactness due to the presence of the Sobolev critical exponent. A typical phenomenon encountered here is *bubbling blow up*. Bubbles are solutions of (1.2) with $\overline{R} = 1$, these arise as profiles of general diverging solutions and were classified in [48] under the hypothesis that $v \in L^{2+\frac{2}{n}}$, which is equivalent to having finite volume. From the variational point of view, bubbles generate diverging Palais-Smale sequences for the Euler-Lagrange functional of (1.2). Two main approaches have been used to understand the blow up phenomenon: subcritical approximations (see, e.g., [61]), or the construction of pseudo-gradient flows (see, e.g., [33, 34, 64]). Both of these two methods will be explored and put into application in this paper.

The conformal sub-Laplacian operator L_{θ_0} can be seen more concretely when we deform the CR structure to the Heisenberg group, which is a flat CR manifold. Let us recall some basic notions on the Heisenberg group first.

The Heisenberg group \mathbb{H}^n is the Lie group whose underlying manifold is $\mathbb{C}^n \times \mathbb{R}$ with coordinates (z,t) and whose the group law \circ defined by $(z,t) \circ (\hat{z}, \hat{t}) := (z+\hat{z}, t+\hat{t}+2\operatorname{Im}(z\hat{z}))$. We will always use the notation $\xi = (z,t) = (x,y,t)$ with z = x + iy, $x = (x_1, \ldots, x_n) \in \mathbb{R}^n$ and $y = (y_1, \ldots, y_n) \in \mathbb{R}^n$ to denote an element in \mathbb{H}^n and $(\xi)^k := \xi \circ \cdots \circ \xi$ to denote k-fold composition for simplicity.

Let Q := 2n + 2 denote the homogeneous dimension of \mathbb{H}^n , see also [29]. We consider the norm on \mathbb{H}^n defined by $|\xi| := (|z|^4 + t^2)^{1/4}$. The corresponding distance on \mathbb{H}^n is defined accordingly by $d(\xi, \xi_0) := |\xi_0^{-1} \circ \xi|$ for any $\xi, \xi_0 \in \mathbb{H}^n$, where ξ_0^{-1} is the inverse of ξ_0 with respect to \circ , i.e., $\xi_0^{-1} = -\xi_0$. In addition, we will denote by $B_r(\xi_0) := \{\xi \in \mathbb{H}^n : d(\xi_0, \xi) < r\}$ the ball with respect to the distance d, of center ξ and radius r.

For any fixed $\xi_0 \in \mathbb{H}^n$ we will denote by $\tau_{\xi_0} : \mathbb{H}^n \to \mathbb{H}^n$ the left translation on \mathbb{H}^n by ξ_0 , defined by $\tau_{\xi_0}(\xi) = \xi_0 \circ \xi$, while for any $\lambda > 0$ we will denote by $\delta_{\lambda} : \mathbb{H}^n \to \mathbb{H}^n$ the dilation defined by $\delta_{\lambda}(\xi) := (\lambda z, \lambda^2 t).$

Define the following left invariant vector fields in the coordinate (x, y, t):

$$X_j = \frac{\partial}{\partial x_j} + 2y_j \frac{\partial}{\partial t}, \quad Y_j = \frac{\partial}{\partial y_j} - 2x_j \frac{\partial}{\partial t}, \quad T = \frac{\partial}{\partial t}.$$
 (1.5)

The Heisenberg gradient, or horizontal gradient, of a regular function u is then defined by $\nabla_{\mathbb{H}} u := (X_1 u, \ldots, X_n u, Y_1 u, \ldots, Y_n u)$, while its Heisenberg Hessian matrix is

$$\nabla^{2}_{\mathbb{H}}u := \begin{pmatrix} X_{1}X_{1}u & \cdots & X_{n}X_{1}u & Y_{1}X_{1}u & \cdots & Y_{n}X_{1}u \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ X_{1}X_{n}u & \cdots & X_{n}X_{n}u & Y_{1}X_{n}u & \cdots & Y_{n}X_{n}u \\ X_{1}Y_{1}u & \cdots & X_{n}Y_{1}u & Y_{1}Y_{1}u & \cdots & Y_{n}Y_{1}u \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ X_{1}Y_{n}u & \cdots & X_{n}Y_{n}u & Y_{1}Y_{n}u & \cdots & Y_{n}Y_{n}u \end{pmatrix}$$

The Heisenberg Laplacian is the trace of the above Heisenberg Hessian matrix, that is

$$L_0 = -\Delta_{\mathbb{H}} := -\sum_{j=1}^n (X_j^2 + Y_j^2) = \sum_{j=1}^n \Big(\frac{\partial^2 u}{\partial x_j^2} + \frac{\partial^2}{\partial y_j^2} + 4y_j \frac{\partial^2}{\partial x_j \partial t} - 4x_j \frac{\partial^2}{\partial y_j \partial t} + 4(x_j^2 + y_j^2) \frac{\partial^2}{\partial t^2}\Big).$$

The Heisenberg group \mathbb{H}^n is CR equivalent to the sphere $\mathbb{S}^{2n+1} \subset \mathbb{C}^{n+1}$ minus a point via the Cayley transform. The Cayley transform from $\mathbb{S}^{2n+1} \setminus \{(0, \ldots, 0, -1)\}$ to \mathbb{H}^n is the inverse of

$$\mathcal{C}: \mathbb{H}^n \to \mathbb{S}^{2n+1} \setminus \{(0, \dots, 0, -1)\}, \quad (z, t) \mapsto \left(\frac{2z}{1+|z|^2 + it}, \frac{1-|z|^2 - it}{1+|z|^2 + it}\right).$$
(1.6)

Then using (1.3) and (1.6), we have

$$4(L_{\theta_0}\phi) \circ \mathcal{C} = (2|J_{\mathcal{C}}|)^{-(Q+2)/(2Q)} L_0((2|J_{\mathcal{C}}|)^{(Q-2)/(2Q)}(\phi \circ \mathcal{C})) \quad \text{for } \phi \in C^{\infty}(\mathbb{S}^{2n+1}),$$

where $|J_{\mathcal{C}}| = \frac{2^{2n+1}}{((1+|z|^2)^2+t^2)^{n+1}}$ is the deteminant of the Jacobian of \mathcal{C} . Here and from now on, we also use the notation \circ to denote the composition mapping of some functions. Therefore, if we denote $u = (2|J_{\mathcal{C}}|)^{\frac{Q-2}{2Q}}(v \circ \mathcal{C})$ and $R = \bar{R} \circ \mathcal{C}$, then problem (1.2) is equivalent to solving

$$-\Delta_{\mathbb{H}} u = R(\xi) u^{(Q+2)/(Q-2)}, \quad u > 0 \quad \text{in } \mathbb{H}^n,$$
(1.7)

up to a harmless positive constant in front of $R(\xi)$. Similar to (1.4), Garofalo-Lanconelli [37] showed that a positive solution u to (1.7) in the Sobolev space E (with the notation in (1.10)) satisfies the following identity:

$$\int_{\mathbb{H}^n} \langle (z,2t), \nabla R(z,t) \rangle u(z,t)^{2Q/(Q-2)} \, \mathrm{d}z \, \mathrm{d}t = 0,$$
(1.8)

provided the integral is convergent and R is bounded and suitably regular. This implies that there are no such solutions if $\langle (z, 2t), \nabla R(z, t) \rangle$ does not change sign in \mathbb{H}^n and R is not constant.

Prescribing Webster curvature on \mathbb{S}^{2n+1} is a focus of reserach in the past decades and it continues to inspire new thoughts. Recent existence results mainly use

- the Webster scalar curvature flow method (e.g., [41–44]),
- the reduced finite dimension variational method (e.g., [9, 26, 60]), or
- the critical points at infinity method (e.g., [33, 34, 62–64, 74, 75]).

The majority of these require the solution set to be uniformly bounded. The main objective of this paper is to include a larger class of functions \overline{R} such that problem (1.2) is solvable. Moreover, the number of multi-bump solutions to (1.2) will be investigated, subject to some local hypotheses regarding the prescribed function \overline{R} . Basically speaking, we demonstrate the Webster scalar curvature functions of contact forms conformal to θ_0 are C^0 -dense among bounded functions which are positive somewhere by constructing multi-bump solutions to the perturbed equations. As a variation of this idea, the related problem (1.7) with $R(\xi)$ being periodic with respect to left translation are also studied and infinitely many multi-bump solutions (modulo left translations by its periods) are obtained under certain flatness conditions.

1.2 Main results

We now list the main results of this paper and some remarks on them. The first one deals with the existence of multi-bump solutions to the perturbed *CR Nirenberg problem*.

Theorem 1.1. Let $\bar{R} \in L^{\infty}(\mathbb{S}^{2n+1})$ be a given function. Suppose that there exists a point $q_0 \in \mathbb{S}^{2n+1}$ such that $\bar{R}(q_0) > 0$ and \bar{R} is continuous in a geodesic ball $B(q_0, \tilde{\varepsilon})$ for some $\tilde{\varepsilon} > 0$. Then, for any $\varepsilon \in (0, \tilde{\varepsilon})$, any integers $k \geq 1$ and $m \geq 2$, there exists a function $\bar{R}_{\varepsilon,k,m} \in L^{\infty}(\mathbb{S}^{2n+1})$ satisfying $\bar{R}_{\varepsilon,k,m} - \bar{R} \in C^0(\mathbb{S}^{2n+1})$, $\|\bar{R}_{\varepsilon,k,m} - \bar{R}\|_{C^0(\mathbb{S}^{2n+1})} < \varepsilon$, and $\bar{R}_{\varepsilon,k,m} \equiv \bar{R}$ in $\mathbb{S}^{2n+1} \setminus B(q_0, \varepsilon)$. Furthermore, for any integer $2 \leq s \leq m$, the perturbed equation

$$-\Delta_{\theta_0} v + \frac{n^2}{4} v = \bar{c}(n) \bar{R}_{\varepsilon,k,m} v^{1+\frac{2}{n}}, \quad v > 0 \quad on \ \mathbb{S}^{2n+1}$$
(1.9)

has at least k positive solutions with s bumps. Here we denote by $B(q,\varepsilon)$ the geodesic ball in \mathbb{S}^{2n+1} with radius ε and center q.

By applying the Kazdan-Warner type condition (1.4), we know that one cannot expect to perturb any \overline{R} near any point $\zeta \in \mathbb{S}^{2n+1}$ in the sense of C^1 in order to obtain the existence of solutions. For the precise meaning of *s* bumps, see the proof of Theorem 1.1 in Section 4. Roughly speaking, a solution is said to have *s* bumps when the majority of its mass is concentrated in *s* disjoint regions. As both the number of bumps and the number of solutions can be chosen arbitrarily, we can conclude the existence of infinitely many multi-bump solutions to equation (1.9).

The main feature of Theorem 1.1 is that, even if a given bounded function R which is positive somewhere cannot be realized as the Webster scalar curvature of a contact form θ conformal to θ_0 , nevertheless we can find a function \bar{R}' arbitraly close to \bar{R} in $C^0(\mathbb{S}^{2n+1})$ which is the Webster scalar curvature as many conformal contact forms to θ_0 as we want. Here we give a quite general existence result since we can perturb any given bounded function which is positive somewhere such that for the perturbed equations there exist arbitrarily many solutions.

As a consequence, we have

Corollary 1.1. The Webster scalar curvature functions of contact forms conformal to θ_0 are dense in $C^0(\mathbb{S}^{2n+1})$ among bounded functions which are positive somewhere.

Next we consider the related problem (1.7). Before stating the results, we introduce some notations.

Let E be the completion of the space $C_c^{\infty}(\mathbb{H}^n)$ with respect to the $\|\cdot\|$ norm introduced by the scalar product

$$\langle u, v \rangle := \int_{\mathbb{H}^n} \nabla_{\mathbb{H}} u \nabla_{\mathbb{H}} v \, \mathrm{d}z \, \mathrm{d}t.$$
 (1.10)

Whenever there is no risk of misunderstanding, we suppress dz dt from the integration expressions on domains in \mathbb{H}^n and omit the integral region if it is \mathbb{H}^n .

When $R \equiv 1$, all solutions of (1.7) satisfying the finite energy assumption $u \in L^{2Q/(Q-2)}(\mathbb{H}^n)$ have been classified by Jerison-Lee [48] and are given by

$$w_{a,\lambda} := \lambda^{(2-Q)/2} w_{0,1} \circ \delta_{\lambda} \circ \tau_{a^{-1}}, \qquad (1.11)$$

for any $a \in \mathbb{H}^n$ and $\lambda > 0$, where $w_{0,1}(z,t) = c_0(t^2 + (1+|z|^2)^2)^{(2-Q)/4}$ with $c_0 > 0$ being a suitable constant depends only on n. Similar classification result has been obtained in [39] under the assumption of cylindrical symmetry. Recently, Catino, Li, Monticelli and Roncoroni [10] proved a classification of all positive solutions in \mathbb{H}^1 and a classification of positive solutions when $n \geq 2$ that satisfy a suitable decay condition at infinity, which is weaker than finite energy assumption. Inspired by [10], Afeltra [1] obtained a compactness result for the CR Yamabe problem in dimension three.

Denote the Sobolev critical exponent $Q^* := \frac{2Q}{Q-2}$. It is well-known (see [47]) that E can be embedded into $L^{Q^*}(\mathbb{H}^n)$ and the sharp Sobolev inequality (or Folland-Stein inequality [28]) is

$$S_n \left(\int |u|^{Q^*} \right)^{1/Q^*} \le \left(\int |\nabla_{\mathbb{H}} u|^2 \right)^{1/2}, \tag{1.12}$$

where $S_n = \frac{2n\sqrt{\pi}}{(2^{2n}n!)^{1/(2(n+1))}}$ is the best constant. Then for every $(a, \lambda) \in \mathbb{H}^n \times (0, \infty)$, $w_{a,\lambda}$ is the solution to (1.7) with $R \equiv 1$. Moreover, the functions in (1.11) and its non-zero constant multiples attain the sharp Sobolev inequality (1.12) and such functions are usually called *Jerison-Lee's bubbles*.

Let $R \in L^{\infty}(\mathbb{H}^n)$, we define the energy functional $I_R : E \to \mathbb{R}$ by

$$I_R(u) = \frac{1}{2} \int |\nabla_{\mathbb{H}} u|^2 - \frac{1}{Q^*} \int R|u|^{Q^*}.$$

Obviously a positive critical point gives rise to a positive solution to (1.7).

Let $R(\xi) \in L^{\infty}(\mathbb{H}^n)$, $O^{(1)}, \ldots, O^{(k)} \subset \mathbb{H}^n$ are some open sets with $\operatorname{dist}(O^{(i)}, O^{(j)}) \ge 1$ for any $i \neq j$. If $R \in C^0(\cup_{i=1}^k O^{(i)})$, we define $V(k, \varepsilon) := V(k, \varepsilon, O^{(1)}, \ldots, O^{(k)}, R)$ as the following open set in E for $\varepsilon > 0$:

$$V(k,\varepsilon) := \left\{ u \in E : \exists \alpha = (\alpha_1, \dots, \alpha_k) \in \mathbb{R}^k, \exists \xi = (\xi_1, \dots, \xi_k) \in O^{(1)} \times \dots \times O^{(k)}, \\ \exists \lambda = (\lambda_1, \dots, \lambda_k), \lambda_i > \varepsilon^{-1}, \forall i \le k, \text{ such that} \\ |\alpha_i - R(\xi_i)^{(2-Q)/4}| < \varepsilon, \forall i \le k, \text{ and } \left\| u - \sum_{i=1}^k \alpha_i w_{\xi_i, \lambda_i} \right\| < \varepsilon \right\}.$$

$$(1.13)$$

The open set $V(k, \varepsilon)$ recodes the information of the concentration rate and the locations of concentration points, it also describes the neighborhood of *potential critical points at infinity*.

Recently, there have been some works devoted to the existence results via studying the flatness condition effect, see, e.g., [33, 34, 62-64]. Here we will adopt the flatness hypothesis introduced in [61], which is modified from [54].

Flatness condition: For any real number $\beta > 1$, we say that a sequence $\{R_i\}$ of functions satisfies condition $(*)_\beta$ for some sequence of constants $\{L_1(\beta, i)\}, \{L_2(\beta, i)\}$ in some region $\Omega_i \subset \mathbb{H}^n$ if $\{R_i\} \in C^{[\beta]-1,1}(\Omega_i)$ satisfies

$$\|\nabla R_i\|_{C^0(\Omega_i)} \le L_1(\beta, i)$$

and, if $\beta \geq 2$,

$$|\nabla^s R_i(\xi)| \le L_2(\beta, i) |\nabla R_i(\xi)|^{(\beta-s)/(\beta-1)}$$

for all $2 \leq s \leq [\beta], \xi \in \Omega_i, \nabla R_i(\xi) \neq 0$. Here and in the following, ∇^s denotes all possible partial derivatives of order s.

For $1 \leq j \leq 2n$, we denote

$$L_j = \begin{cases} X_j, & \text{if } 1 \le j \le n, \\ Y_{j-n}, & \text{if } n+1 \le j \le 2n, \end{cases}$$

where X_j , Y_j are the left invariant vector fileds defined by (1.5). Let $\mathscr{B}_k = \{L_{a_1} \cdots L_{a_j} : 1 \le a_i \le 2n, i = 1, \ldots, j, j \le k\}$ and \mathscr{A}_k be the linear span over \mathbb{C} of $\mathscr{B}_k \cup \{\mathrm{Id}\}$.

Let $\Omega \subset \mathbb{H}^n$ be an open set. Using the notations in Folland-Stein [28], we define the nonisotropic Lipschitz space $\Gamma_{\beta}(\Omega)$ as follows. If $\beta \in (0, 1)$, define

$$\Gamma_{\beta}(\Omega) = \Big\{ f \in L^{\infty}(\Omega) \cap C^{0}(\Omega) : \sup_{\xi,\zeta \in \Omega} \frac{|f(\xi) - f(\xi \circ \zeta)|}{|\zeta|^{\beta}} < \infty \Big\}.$$

If $\beta = 1$, define

$$\Gamma_1(\Omega) = \left\{ f \in L^{\infty}(\Omega) \cap C^0(\Omega) : \sup_{\xi,\zeta \in \Omega} \frac{|f(\xi \circ \zeta) - 2f(\xi) + f(\xi \circ \zeta^{-1})|}{|\zeta|} < \infty \right\}.$$

If $\beta = k + \alpha$ with $k \in \mathbb{N}^+$ and $\alpha \in (0, 1)$, define

$$\Gamma_{k+\alpha}(\Omega) = \left\{ f \in L^{\infty}(\Omega) \cap C^{0}(\Omega) : \mathscr{L}f \in \Gamma_{\alpha}(\Omega) \text{ for } \mathscr{L} \in \mathscr{B}_{k} \right\}$$

We can also define Lipschitz space Γ_{β} on CR manifold in terms of the normal coordinates, see [28]. Note that we can identify \mathbb{H}^n with its Lie algebra which is Euclidean space \mathbb{R}^{2n+1} with the Euclidean norm $|\cdot|$ and the linear coordinates x_j via the exponential map. Hence, we are able to discuss the usual smooth space C^k for $0 \le k \le \infty$. We refer to [27,28] for more details and regularity results.

The family of solutions we constuct is of the form (after using the CR equivalence for (1.2)) $u = \sum_{i=1}^{k} \alpha_i w_{\xi_i,\lambda_i} + v$, where the contribution of the error term v can be negligible. Moreover, the multi-bump solutions concentrate near some critical points of $R(\xi)$ and the bumps can be chosen arbitrarily many. For this purpose, we assume that $R(\xi) \in \Gamma_{2+\alpha}(\mathbb{H}^n)$ satisfies the following conditions:

- (R₁) $R(\xi)$ is periodic in some $\hat{\xi} \in \mathbb{H}^n$ with respect to left translation, that is, $R(\hat{\xi} \circ \xi) = R(\xi)$, $\forall \xi \in \mathbb{H}^n$.
- (R_2) Let Σ be the set of the critical points $\overline{\xi}$ of $R(\xi)$ satisfying: there exists some real number $\beta \in (Q-2, Q)$ such that near 0,

$$\mathcal{R}(\xi) = \mathcal{R}(0) + \sum_{j=1}^{n} (a_i |x_j|^{\beta} + b_j |y_j|^{\beta}) + c|t|^{\frac{\beta}{2}} + P(\xi),$$

where $\mathcal{R}(\xi) := R(\overline{\xi} \circ \xi), a_i, b_i, c$ are some non-zero constants depending on $\overline{\xi}, \sum_{j=1}^n (a_j + b_j) + \kappa c \neq 0$ with

$$\kappa = \frac{\int |x_1|^{\beta} w_{0,1}^{2Q/(Q-2)}}{\int |t|^{\frac{\beta}{2}} w_{0,1}^{2Q/(Q-2)}},$$

and $P(\xi)$ is $C^{[\beta]-1,1}$ (up to $[\beta] - 1$ derivatives are Lipschitz functions, $[\beta]$ denotes the integer part of β) near 0 and satisfies

$$\sum_{s=0}^{[\beta]} |\nabla^s P(\xi)| |\xi|^{-\beta+s} = o(1) \text{ as } \xi \text{ tends to } 0.$$

Remark 1.1. Condition (R_2) guarantees that R satisfies condition $(*)_{\beta}$ in a neighborhood of 0. Notably, the range $\beta \in (Q-2,Q)$ is a technical hypothesis to do blow up analysis based on the earlier work in [61], where a sequence of solutions can not blow up at more than one point. We also conjecture that if $\beta = Q - 2$, the phenomenon of multiple blowups would occur, as shown in [55].

We now establish the existence of multi-bump solutions to problem (1.7).

Theorem 1.2. Assume that $R \in \Gamma_{2+\alpha}(\mathbb{H}^n)$ satisfies $(R_1), (R_2)$ and

(R₃) $R_{\max} := \max_{\xi \in \mathbb{H}^n} R(\xi) > 0$ is achieved, and $R^{-1}(R_{\max}) := \{\xi \in \mathbb{H}^n : R(\xi) = R_{\max}\}$ has at least one bounded connected component, denoted as \mathscr{C} .

Then for any integer $m \ge 2$, (1.7) has infinitely many m-bump solutions in E. More precisely, for any $\varepsilon > 0$, $\xi^* \in \mathscr{C}$ and integer $m \ge 2$, there exists a constant $l^* > 0$ such that for any integers $l^{(1)}, \ldots, l^{(k)}$ satisfying $2 \le k \le m$ and the conditions $\min_{1\le i\le k} |l^{(i)}|, \min_{i\ne j} |l^{(i)} - l^{(j)}| \ge l^*$, there exists at least one solution u of (1.7) in $V(k, \varepsilon, B_{\varepsilon}(\xi^{(1)}), \ldots, B_{\varepsilon}(\xi^{(k)}))$ with $kc - \varepsilon \le I_R(u) \le kc + \varepsilon$, where

$$c = (R(\xi^*))^{(2-Q)/2} (S_n)^Q / Q, \quad \xi_l^{(i)} = (\hat{\xi})^{l^{(i)}} \circ \xi^*,$$

and $V(k, \varepsilon, B_{\varepsilon}(\xi^{(1)}), \ldots, B_{\varepsilon}(\xi^{(k)}))$ are some sets of E defined according to (1.13).

From the description of (R_3) we know that there exists a bounded neighborhood O of \mathscr{C} such that $R_{\max} \geq \max_{\xi \in \partial O} R(\xi) + \delta$ with $\delta > 0$ being a small constant. This fact together with (R_2) implies that $R(\xi)$ has a sequence of local maximum points ξ_j with $|\xi_j| \to \infty$ as $j \to \infty$. Furthermore, (R_3) is sharp in the sense that one can construct examples easily to show that if (R_3) is not satisfied, (1.7) may have no nontrivial solutions, which shows that (R_3) is not merely a technical hypothesis, see Example 1 below.

Example 1 (Nonexistence). Suppose that $R(\xi) \in C^1(\mathbb{H}^n) \cap L^{\infty}(\mathbb{H}^n)$ and $\nabla_{\mathbb{H}}R$ are bounded in \mathbb{H}^n , X_iR is nonnegative but not identically zero. Then the only nonnegative solution of (1.7) in E is the trivial solution $u \equiv 0$.

Proof. Let $u \ge 0$ be any solution of (1.7) in *E*. By using the Kazdan-Warner condition (1.4) we obtain $\int X_i R u^{2Q/(Q-2)} = 0$. The hypotheses on $R(\xi)$ imply that *u* is identically zero in an open set, hence $u \equiv 0$ by the unique continuation results (see, e.g., [37, 38]).

From the definition in (1.13) we know that $u \in V(k, \varepsilon, B_{\varepsilon}(\xi^{(1)}), \ldots, B_{\varepsilon}(\xi^{(k)}))$ implies u has most of its mass concentrated in $B_{\varepsilon}(\xi^{(1)}), \ldots, B_{\varepsilon}(\xi^{(k)})$. In particular, if the tuples $(l^{(1)}, \ldots, l^{(k)})$ and $(\tilde{l}^{(1)}, \ldots, \tilde{l}^{(k)})$ are different, the solutions u and \tilde{u} are different.

A more comprehensive understanding of the solutions derived in Theorem 1.2 can be achieved.

Theorem 1.3. Assume that $R \in L^{\infty}(\mathbb{H}^n)$ satisfies $(R_1), (R_2)$ and

 $(R_3)'$ there exist a constant $A_1 > 1$ and a bounded open set $O \subset \mathbb{H}^n$ such that

$$R \in C^{1}(O),$$

$$1/A_{1} \leq R(\xi) \leq A_{1}, \quad \forall \xi \in \overline{O},$$

$$\max_{\xi \in \overline{O}} R(\xi) = \sup_{\xi \in \mathbb{H}^{n}} R(\xi) > \max_{x \in \partial O} R(\xi).$$

Then for any $\varepsilon > 0$, (1.7) has infinitely many m-bump solutions u in E satisfying

$$c \le I_R(u) \le c + \varepsilon$$
 or $2c - \varepsilon \le I_R(u) \le 2c + \varepsilon$ (1.14)

and

$$\sup\{\|u\|_{L^{\infty}(\mathbb{H}^n)}: I'_R(u) = 0, u > 0, u \in E, u \text{ satisfies } (1.14)\} = \infty,$$

where

$$c = (\max_{\xi \in \overline{O}} R(\xi))^{(2-Q)/2} (S_n)^Q / Q.$$

More precisely, for any $\varepsilon > 0$, there exists $l^* > 0$ such that for any integers $l^{(1)}, l^{(2)}$ satisfying $|l^{(1)} - l^{(2)}| \ge l^*$, there exists at least one solution u of (1.7) in $V(1, \varepsilon, O, R) \cup V(2, \varepsilon, O_l^{(1)}, O_l^{(2)}, R)$, where

$$O_l^{(i)} = (\hat{\xi})^{l^{(i)}} \circ O := \{ (\hat{\xi})^{l^{(i)}} \circ \xi : \xi \in O \} \quad for \ i = 1, 2,$$

and $V(1,\varepsilon,O,R) \cup V(2,\varepsilon,O_l^{(1)},O_l^{(2)},R)$ are some sets of E defined according to (1.13).

Remark 1.2. By utilizing (1.6), it is evident that the solutions obtained in Theorems 1.2 and 1.3 can be lifted to a solution of (1.2) on \mathbb{S}^{2n+1} which is positive except at the point $\{(0, \ldots, 0, -1)\}$. In this sense, (1.2) is solvable under the assumptions of Theorems 1.2 and 1.3.

1.3 Plan of the paper and comment on the proof

In search for metrics of constant scalar curvatures, Yamabe [76] initiates the subcritical method, which is now one of the most natural approaches to study conformal equations with Sobolev critical exponent. We also refer to the reader [2,24,50,51,53–55,59,69]. In this paper, we will study the *CR* Nirenberg problem by using the mentioned subcritical approach. While recognizing the usefulness of compactness in finding solutions of equation (1.2), one is left to ponder the dilemma: By selecting those functions \overline{R} so that blow ups are impossible (i.e., compactness regained), we naturally miss functions that can afford a bounded and a blow up subcritical sequences. This intriguing thought breathes the idea that blow ups need not always be harmful in finding solutions. Under suitable conditions, we still can use a blow up subcritical sequence to produce a solution by removing the singularities. Such considerations will be conducted in our final arguments.

We end the introduction with some remarks and history on the variational gluing technique developed by Seré, Coti Zelati and Rabinowitz. The basic idea is as follows: Given finitely many solutions (at low energy), to translate their supports far apart and patch the pieces together create many multi-bump solutions. The authors in [19–21,65] have introduced the original and powerful ideas which permit the construction of such solutions via variational methods. In particular, they are able to find many homoclinic-type solutions to periodic Hamiltonian systems (see [20,65]) and to certain elliptic equations of nonlinear Schrödinger type on \mathbb{R}^n with periodic coefficients (see [21]). Li has given a slight modification to the minimax procedure in [20,21] and has applied it to certain

problems where periodicity is not present, for example, the problem of prescribing scalar curvature on \mathbb{S}^n (see [52–55]). Inspired by the above works, we attempt to modify the above mentioned gluing method towards equations in the CR setting or the conformal sub-Laplacian operators under a particular choice of contact forms. This paper also overcomes the difficulty appearing in using Lyapunov-Schmidt reduction method to locate the concentrating points of the solutions. We also believe that this gluing method can be applied to the construction of multi-bump solutions for various problems in conformal CR geometry, for instance, the Nirenberg type problem involving CR fractional sub-Laplacians, see, e.g., [14, 58].

Let us introduce the structure of the paper and comment on the proof. Theorems 1.1–1.3 and Corollary 1.1 are derived in Section 4 from Proposition 4.1, a more general result on (1.7). To establish Proposition 4.1, we first study a compactified problem Theorem 3.1 in Section 3. Then we derive Proposition 4.1 by using Theorem 3.1 and some blow up analysis in [61]. Theorem 3.1 is a technical result in our paper, which is essential to make the variational gluing methods applicable. The proof of Theorem 3.1 will be divided into two parts: we first follow and refine the analysis of Bahri-Coron [5] to study the subcritical interaction of two well-spaced bubbles in Subsection 3.1 and then apply the minimax procedure as in Coti Zelati-Rabinowitz [20,21] to complete the proof of Theorem 3.1 in Subsection 3.2. Our presentation is largely influenced by the papers [52–55] which studied existence and compactness of solutions to the classical Nirenberg problem. Although certain parts of the proof can be obtained by some modifications of the arguments in [52–55], there are plenty of technical difficulties which demand new ideas to handle subelliptic equations.

The present paper is organized as the following. In Section 2, we present some analytic and geometric tools necessary to investigate the *CR Nirenberg problem*, and several preliminary results will be established. In Section 3, existence and multiplicity result for the subcritical case (Theorem 3.1) will be stated, and its proof will be sketched. The details of the proof then will be carried out in Subections 3.1 and 3.2. The main theorems are proved in Section 4 with the aid of blow up analysis developed by Prajapat-Ramaswamy [61] and the refine analysis of blow up profile established in Appendix A.

Notation

We collect below a list of the main notation used throughout the paper.

- We always use the notation $\xi = (z,t) = (x,y,t)$ with z = x + iy, $x = (x_1, \ldots, x_n) \in \mathbb{R}^n$ and $y = (y_1, \ldots, y_n) \in \mathbb{R}^n$ to denote an element in \mathbb{H}^n . We denote ξ^{-1} as the inverse of ξ , $(\xi)^k = \xi \circ \cdots \circ \xi$ means k-fold composition and $(\xi)^{-k} := (\xi^{-1})^k$.
- We denote the norm on \mathbb{H}^n by $|\xi| = (|z|^4 + t^2)^{1/4}$ and the dilations by $\delta_{\lambda}(\xi) = (\lambda z, \lambda^2 t)$ for $\lambda > 0$. The distance function on \mathbb{H}^n is denoted as $d(\xi, \xi_0) = |\xi_0^{-1} \circ \xi|$ for any $\xi, \xi_0 \in \mathbb{H}^n$, and the left translation on \mathbb{H}^n by ξ_0 is denoted as $\tau_{\xi_0}(\xi) = \xi_0 \circ \xi$.
- For any $\xi_0 \in \mathbb{H}^n$ and r > 0, denote the ball $B_r(\xi_0) = \{\xi \in \mathbb{H}^n : d(\xi, \xi_0) < r\}$ and its boundary $\partial B_r(\xi_0) = \{\zeta \in \mathbb{H}^n : d(\xi, \xi_0) = r\}$. We will not keep writing the center ξ_0 if $\xi_0 = 0$.
- For any $q \in \mathbb{S}^{2n+1}$, we denote by $B(q, \varepsilon)$ the geodesic ball in \mathbb{S}^{2n+1} with radius ε and center q.
- For $n \ge 1$, we denote Q = 2n + 2, $Q^* = \frac{2Q}{Q-2}$ and $H(z,t) = (\frac{4}{t^2 + (1+|z|^2)^2})^{(Q-2)/4}$.
- The integral \int always means $\int_{\mathbb{H}^n}$ unless specified.
- C > 0 is a generic constant which can vary from line to line. Moreover, a notation $C(\alpha, \beta, ...)$ means that the positive constant C depends on $\alpha, \beta, ...$

2 Preliminaries

In this section, we present some geometric and analytical backgrounds to understand our problem. We also collect a Pohozaev identity, establish some a priori estimates to subcritical solutions, and study a minimization problem on exterior domain.

2.1 Review on the CR geometry

We start with recalling a basic material on CR manifolds, we refer to [23] for the details.

Let M be an orientable CR manifold without boundary of CR dimension n. This is also equivalent to saying that M is an orientable differentiable manifold of real dimension (2n + 1) endowed with a pair (H(M), J), where H(M) is a subbundle of the tangent bundle T(M) of real rank 2nand J is an integrable complex structure on H(M). Since M is orientable, there exists a 1-form θ called *pseudo-Hermitian* structure on M. Then, we can associate each structure θ to a bilinear form G_{θ} , called *Levi form*, which is defined only on H(M) by

$$G_{\theta}(X,Y) = -(\mathrm{d}\theta)(JX,Y), \quad \forall X,Y \in H(M).$$

Since G_{θ} is symmetric and *J*-invariant, we then call (M, θ) strictly pseudo-convex CR manifold if the Levi form G_{θ} associated with the structure θ is positive definite. The structure θ is then a contact form which immediately induces on *M* the volume form $\theta \wedge (d\theta)^n$.

Moreover, θ on a strictly pseudo-convex CR manifold (M, θ) also determines a normal vector field T on M, called the Reeb vector field of θ . Via the Reeb vector field T, one can extend the Levi form G_{θ} on H(M) to a semi-Riemannian metric g_{θ} on T(M), called the Webster metric of (M, θ) . Let

$$\pi_H: T(M) \to H(M)$$

be the projection associated to the direct sum $T(M) = H(M) \oplus \mathbb{R}T$. Now, with the structure θ , we can construct a unique affine connection ∇ , called the *Tanaka-Webster connection* on T(M). Using ∇ and π_H , we can define the *horizontal gradient* ∇_{θ} by

$$\nabla_{\theta} u = \pi_H \nabla u.$$

Again, using the connection ∇ and the projection π_H , one can define the sub-Laplacian Δ_{θ} acting on a C^2 -function u via

$$\Delta_{\theta} u = \operatorname{div}(\pi_H \nabla u).$$

Here ∇u is the ordinary gradient of u with respect to g_{θ} which can be written as $g_{\theta}(\nabla u, X) = X(u)$ for any X. Then integration by parts gives

$$\int_{M} (\Delta_{\theta} u) f \, \theta \wedge (\mathrm{d}\theta)^{n} = -\int_{M} \langle \nabla_{\theta} u, \nabla_{\theta} f \rangle_{\theta} \, \theta \wedge (\mathrm{d}\theta)^{n}$$

for any smooth function f. In the preceding formula, $\langle \cdot, \cdot \rangle_{\theta}$ denotes the inner product via the Levi form G_{θ} (or the Webster metric g_{θ} since both $\nabla_{\theta} u$ and $\nabla_{\theta} v$ are horizontal).

Having ∇ and g_{θ} in hand, one can talk about the curvature theory such as the curvature tensor fields, the pseudo-Hermitian Ricci and scalar curvature. Having all these, we denote by Scal_{θ} the pseudo-Hermitian scalar curvature associated with the Webster metric g_{θ} and the connection ∇ , called the Webster scalar curvature, see [23, Proposition 2.9]. Being a pseudohermitian structure defined only up to a conformal factor on a CR manifold, the CR Yamabe problem is a natural analogue of the Yamabe problem in Riemannian geometry. If $\hat{\theta} = u^{2/n}\theta$ for some smooth function u > 0, the transformation law of the Webster curvature is

$$\operatorname{Scal}_{\widehat{\theta}} = u^{-\frac{n+2}{n}} \Big(-\frac{2(n+1)}{n} \Delta_{\theta} u + \operatorname{Scal}_{\theta} u \Big).$$

Clearly, the problem of solving $\operatorname{Scal}_{\widehat{\theta}} = h$ is equivalent to finding positive solutions u to the following PDE:

$$-\Delta_{\theta} u + \frac{n}{2(n+1)} \operatorname{Scal}_{\theta} u = \frac{n}{2(n+1)} h u^{1+2/n} \quad \text{on } M.$$
(2.1)

When h is constant, (2.1) is known as the CR Yamabe problem.

Basic examples of CR manifolds include real hypersurfaces in \mathbb{C}^{n+1} , for example, any odddimensional unit sphere $\mathbb{S}^{2n+1} \subset \mathbb{C}^{n+1}$ is a strictly pseudo-convex CR manifold. Indeed, let θ_0 be the standard contact form on the sphere $\mathbb{S}^{2n+1} = \{\zeta = (\zeta^1, \ldots, \zeta^{n+1}) \in \mathbb{C}^{n+1} : |\zeta|^2 = \sum_{j=1}^{n+1} |\zeta^j|^2 = 1\} \subset \mathbb{C}^{n+1}$, i.e.,

$$\theta_0 = \sqrt{-1}(\bar{\partial} - \partial)|\zeta|^2 = \sqrt{-1}\sum_{j=1}^{n+1} (\zeta^j \,\mathrm{d}\bar{\zeta}^j - \bar{\zeta}^j \,\mathrm{d}\zeta^j).$$

Then $(\mathbb{S}^{2n+1}, \theta_0)$ is a compact strictly pseudoconvex CR manifold of real dimension (2n + 1). The Heisenberg group \mathbb{H}^n as mentioned in the previous section is a more special example. \mathbb{H}^n plays a role among pseudoconvex pseudohermitian manifolds analogous to the role of \mathbb{R}^n among Riemannian manifolds. In fact, every pseudoconvex pseudohermitian manifold can locally be appoximated with \mathbb{H}^n , through coordinates analogous to the normal coordinates of Riemannian geometry known as pseudohermitian normal coordinates.

Since the Heisenberg group has zero Webster curvature and the pseudohermitian sub-Laplacian coincides with the Heisenberg Laplacian defined formerly, the CR Nirenberg problem, up to an inessential constant, is equivalent to finding a positive solution of (1.7).

We finally introduce the inversion map $\iota : \mathbb{H}^n \to \mathbb{H}^n$ defined by

$$\iota(\xi) = \iota(x, y, t) := (x, -y, -t)$$

for every $\xi = (x, y, t) \in \mathbb{H}^n$, and the map $\varphi : \mathbb{H}^n \to \mathbb{H}^n$ defined by Jerison and Lee in [47] which we shall refer to as the *CR inversion* and which is defined by the following relations:

$$\varphi(\xi) := \tilde{\xi},$$

where $\tilde{\xi} = (\tilde{x}, \tilde{y}, \tilde{t})$ and

$$\tilde{x} := \frac{xt + y|z|^2}{|\xi|^4}, \quad \tilde{y} := \frac{yt - x|z|^2}{|\xi|^4}, \quad \tilde{t} := \frac{-t}{|\xi|^4}.$$
(2.2)

We explicitly remark that $|\varphi(\xi)| = \frac{1}{|\xi|}$. Instead of using the CR inversion φ defined in (2.2) as one of the generators of the group of CR maps on \mathbb{H}^n , we will use the map $\check{\varphi} := \varphi \circ \iota$ as in [56], i.e., $\check{\varphi}(\xi) = (\check{x}, \check{y}, \check{t})$ for every $\xi \in \mathbb{H}^n$ with $(\check{x}, \check{y}, \check{t})$ being in turn defined by

$$\check{x} = -\frac{xt+y|z|^2}{|\xi|^4}, \quad \check{y} = \frac{yt-x|z|^2}{|\xi|^4}, \quad \check{t} = \frac{t}{|\xi|^4}.$$
(2.3)

We make this choice because $\check{\varphi}(\check{\varphi}(\xi)) = \xi$, while $\varphi(\varphi(\xi)) = (-x, -y, t)$ for every $\xi = (x, y, t) \in \mathbb{H}^n \setminus \{0\}.$

2.2 Pohozaev identity

As in the Riemannian case, where the blow up analysis requires using the Pohozaev identity for \mathbb{R}^n , in the CR case we will need a Pohozaev formula for the Heisenberg group. Such roles of the Pohozaev type identity in analyzing the blow ups were first observed in Schoen [66–68]. Pohozaev-type formulas have already been studied on pseudohermitian manifold (see [1]), Heisenberg group (see [37,61]) and more general Carnot groups (see [35]).

We associate any point $(z,t) = (x,y,t) \in \mathbb{H}^n$ with a $(2n+1) \times (2n+1)$ symmetric matrix $A = (a_{ij})$ defined by

$$\begin{pmatrix} I_n & 0_n & 2y \\ 0_n & I_n & -2x \\ 2y & -2x & 4|z|^2 \end{pmatrix},$$

where I_n and 0_n denote respectively the identity matrix and the zero matrix in \mathbb{R}^n . The matrix A is related to $\Delta_{\mathbb{H}}$ by the formula $\Delta_{\mathbb{H}} = \operatorname{div}(A\nabla)$, where ∇ denotes the gradient in \mathbb{R}^{2n+1} .

Let $\Omega \subset \mathbb{H}^n$ be an open set and $\mathcal{S}^2(\overline{\Omega})$ denote the space of all continuous functions $u : \overline{\Omega} \to \mathbb{R}$ such that $X_j u, Y_j u, X_j^2 u, Y_j^2 u$ are continuous functions in Ω which can be extended to $\overline{\Omega}$. Furthermore, let

$$\mathcal{X} = \sum_{j=1}^{n} x_j \frac{\partial}{\partial x_j} + y_j \frac{\partial}{\partial y_j} + 2t \frac{\partial}{\partial t}$$
(2.4)

be the generator for the one parameter family of dilations in \mathbb{H}^n centered at the origin. Using this vector field, we can derive a Pohozaev type integral identity which is stated below.

Lemma 2.1. Let B_{σ} be a ball in \mathbb{H}^n centered at the origin with radius $\sigma > 0$, $p \ge 1$ and $R \in S^2(\overline{B}_{\sigma})$. Suppose that u is a C^2 solution of

$$-\Delta_{\mathbb{H}} u = R(\xi) |u|^{p-1} u \quad in \ B_{\sigma}$$

Then we have

$$\int_{\partial B_{\sigma}} B(\sigma,\xi,u,\nabla_{\mathbb{H}}u) = \left(\frac{Q}{p+1} - \frac{Q-2}{2}\right) \int_{B_{\sigma}} R|u|^{p+1} + \frac{1}{p+1} \int_{B_{\sigma}} \mathcal{X}(R)|u|^{p+1} - \frac{1}{p+1} \int_{\partial B_{\sigma}} R|u|^{p+1} \mathcal{X} \cdot \nu, \qquad (2.5)$$

where ν is the outward unit normal vector with respect to ∂B_{σ} , $\mathcal{X} \cdot \nu = \mathcal{X} \cdot \frac{\nabla d}{|\nabla d|} = \frac{\mathcal{X}d}{|\nabla d|} = \frac{d}{|\nabla d|}$ with d being the distance function, and

$$B(\sigma,\xi,u,\nabla_{\mathbb{H}}u) = \frac{Q-2}{2}(A\nabla u \cdot \nu)u - \frac{1}{2}|\nabla_{\mathbb{H}}u|^2\mathcal{X}\cdot\nu + (A\nabla u \cdot \nu)\mathcal{X}(u).$$

Proof. The proof can be found in [37, Theorem 2.1] (or [61, Theorem 4.1]), so we omit it.

The boundary term $B(\sigma, \xi, u, \nabla_{\mathbb{H}} u)$ has the following properties:

Lemma 2.2. (i) For $u(\xi) = |\xi|^{2-Q}$ and any $\sigma > 0$, it holds $B(\sigma, \xi, u, \nabla_{\mathbb{H}} u) = 0$ for all $\xi \in \partial B_{\sigma}$.

(ii) For $u(\xi) = |\xi|^{2-Q} + A + h(\xi)$, where A > 0 is a constant and $h(\xi)$ is differentiable near the origin with h(0) = 0. Then we have

$$\lim_{\sigma \to 0} \int_{\partial B_{\sigma}} B(\sigma, \xi, u, \nabla_{\mathbb{H}} u) = -\frac{\sqrt{\pi}\Gamma(\frac{n+1}{2})}{2\Gamma(\frac{n}{2}+1)} A(Q-2)^2 |\mathbb{S}^{2n-1}| < 0,$$

where Γ is the Gamma function and $|\mathbb{S}^{2n-1}|$ is the surface measure of the unit sphere in \mathbb{R}^{2n} . Proof. The proof can be found in [61, Proposition 4.3], we omit it here.

2.3 Some a Priori estimates

We intend to derive some a priori estimates for solutions to subcritical equations. Our proofs are in the spirit of those in [52, 53] with some standard rescaling arguments. We begin with introducing some notations.

Let $\Omega \subset \mathbb{H}^n$ be an open set. We define the nonisotropic Sobolev space $S_k^p(\Omega)$ (see [28]) as follows: For $1 \leq p \leq \infty$ and $k = 0, 1, 2, \ldots$, we denote

$$S_k^p(\Omega) = \{ f \in L^p(\Omega) : Df \in L^p(\Omega) \text{ for all } D \in \mathscr{A}_k \}.$$

Here Df is meant as a distribution derivative. $S_k^p(\Omega)$ is a Banach space under the norm

$$||f||_{S_k^p(\Omega)} = ||f||_{L^p(\Omega)} + \sum_{D \in \mathscr{B}_k} ||Df||_{L^p(\Omega)}.$$

We say $f \in S_k^p(loc)$ if $\phi f \in S_k^p(\mathbb{H}^n)$ for every $\phi \in C_c^\infty(\mathbb{H}^n)$.

Proposition 2.1. Suppose that $R \in L^{\infty}(\mathbb{H}^n \setminus B_1)$ and $||R||_{L^{\infty}(\mathbb{H}^n \setminus B_1)} \leq A_0$ for some constant $A_0 > 0$. Then there exist two positive constants $\mu_1 = \mu_1(n, A_0)$ and $C(n, A_0)$ such that for any weak solutions u of

$$-\Delta_{\mathbb{H}} u = R(\xi) |u|^{4/(Q-2)} u, \quad |\xi| \ge 1$$

satisfying $u \in L^{Q^*}(\mathbb{H}^n \backslash B_1)$ and

$$\int_{|\xi|\ge 1} |\nabla_{\mathbb{H}} u|^2 \le \mu_1,\tag{2.6}$$

we have

$$\sup_{|\xi| \ge 2} |\xi|^{Q-2} |u(\xi)| \le C(n, A_0).$$

Proof. We perform a CR inversion (2.3) on $u(\xi)$. Let

$$\hat{\xi} = \check{\varphi}(\xi) = (\check{x}, \check{y}, \check{t}), \quad |\xi| \ge 1, \text{ and } v(\hat{\xi}) = \frac{1}{|\hat{\xi}|^{Q-2}} u(\hat{\xi}).$$

Using [56, Corollary 2.8] we know that v satisfies

$$-\Delta_{\mathbb{H}} v(\hat{\xi}) = R(\hat{\xi}) |v(\hat{\xi})|^{4/(Q-2)} v(\hat{\xi}), \quad 0 < |\hat{\xi}| < 1.$$

Furthermore, it follows from (2.6) that

$$\int_{|\hat{\xi}| \le 1} |\nabla_{\mathbb{H}} v|^2 + \int_{|\hat{\xi}| \le 1} |v|^{Q^*} \le C_0(n)\mu_1.$$

Thus, we duduce from [13, Lemma 2.5] that $v \in L^q_{loc}(B_1)$ for any $q \ge n$, and then by the regularity results in [28, Theorem 10.13] we have $v \in C^{\alpha}_{loc}(B_1)$ for some $\alpha \in (0, 1)$. To complete the proof of Proposition 2.1, we need to give a priori bound of $||v||_{L^{\infty}(B_{0.5})}$. We claim that there exists a constant $C(n, A_0) > 0$ such that

$$\|v\|_{L^{\infty}(B_{0.5})} \le C(n, A_0).$$
(2.7)

This will be done by contradiction argument.

Suppose the contrary of (2.7), then there exist two sequences of $\{R_j(\xi)\}, \{u_j(\xi)\}\$ satisfying

$$||R_j||_{L^{\infty}(\mathbb{H}^n \setminus B_1)} \ge A_0,$$

$$-\Delta_{\mathbb{H}} u_j = R_j(\xi) |u_j|^{4/(Q-2)} u_j, \quad |\xi| \ge 1,$$
$$\int_{|\hat{\xi}| \le 1} |\nabla_{\mathbb{H}} v_j|^2 + \int_{|\hat{\xi}| \le 1} |v_j|^{Q^*} \ge C_0(n) \mu_1,$$

but

$$||v_j||_{L^{\infty}(B_{0.5})} \ge j,$$

where v_j is obtained by CR inversion on u_j as before.

Since $v_j \in C^{\alpha}_{loc}(B_1)$, thus we can choose $\hat{\xi}_j$ such that

$$(0.9 - |\hat{\xi}_j|)^{(Q-2)/2} |v_j(\hat{\xi}_j)| = \max_{|\hat{\xi}| \le 0.9} (0.9 - |\hat{\xi}|)^{(Q-2)/2} |v_j(\hat{\xi})|.$$

Let $\sigma_j = \frac{1}{2}(0.9 - |\hat{\xi}_j|) > 0$. Some standard calculations in [52, 53] show that

$$\begin{aligned} |\xi_j| &\le 0.9, \\ (\sigma_j)^{(Q-2)/2} \max_{d(\hat{\xi}, \hat{\xi}_j) \le \sigma_j} |v_j(\hat{\xi})| \to \infty \quad \text{as } j \to \infty, \\ |v_j(\hat{\xi}_j)| &\ge 2^{(2-Q)/2} \max_{d(\hat{\xi}, \hat{\xi}_j) \le \sigma_j} |v_j(\hat{\xi})|. \end{aligned}$$

Without loss of generality, we assume that $v_j(\hat{\xi}_j) > 0$. Let

$$w_j(\widetilde{\xi}) = \frac{1}{v_j(\widehat{\xi}_j)} v_j(\widehat{\xi}_j \circ \delta_{v_j(\widehat{\xi}_j)^{-2/(Q-2)}}(\widetilde{\xi})), \quad |\widetilde{\xi}| < v_j(\widehat{\xi}_j)^{2/(Q-2)} \sigma_j \to \infty.$$

Clearly, w_j satisfies

$$\begin{split} \int_{|\tilde{\xi}_{j}| \leq v_{j}(\hat{\xi}_{j})^{2/(Q-2)}\sigma_{j}} |\nabla_{\mathbb{H}}w_{j}|^{2} + |w_{j}|^{Q^{*}} \leq C_{0}(n)\mu_{1}, \\ -\Delta_{\mathbb{H}}w_{j}(\widetilde{\xi}) = R_{j}(\hat{\xi}_{j} \circ \delta_{v_{j}(\hat{\xi}_{j})^{-2/(Q-2)}}(\widetilde{\xi}))|w_{j}(\widetilde{\xi})|^{4/(Q-2)}w_{j}(\widetilde{\xi}), \quad \forall |\widetilde{\xi}| < v_{j}(\hat{\xi}_{j})^{2/(Q-2)}\sigma_{j}, \\ w_{j}(0) = 1, \\ w_{j}(\widetilde{\xi}) \leq 2^{(Q-2)/2}, \quad \forall |\widetilde{\xi}| < v_{j}(\hat{\xi}_{j})^{2/(Q-2)}\sigma_{j}. \end{split}$$

By [27, Theorem 6.1], w_j is bounded in $S_2^q(loc)$, q > 1. Thus, modulo a subsequence, we have $w_j \rightharpoonup w$ in $S_2^q(loc)$ for some function $w \in S_2^q(loc)$. Moreover, w satisfies

$$w(0) = 1,$$

$$-\Delta_{\mathbb{H}}w = \bar{R}|w|^{4/(Q-2)}w \quad \text{in } \mathbb{H}^{n},$$

$$\int |\nabla_{\mathbb{H}}w|^{2} + |w|^{Q^{*}} \leq C_{0}(n)\mu_{1},$$
(2.8)

where \bar{R} is the weak * limit of $\{R_j(\hat{\xi}_j \circ \delta_{v_j(\hat{\xi}_j)^{-2/(Q-2)}}(\tilde{\xi}))\}$ in $L^{\infty}_{loc}(\mathbb{H}^n)$ satisfying $\|\bar{R}\|_{L^{\infty}(\mathbb{H}^n)} \leq A_0$. Multiplying (2.8) with w and integrating by parts, we obtain

$$\int |\nabla_{\mathbb{H}} w|^2 = \int \bar{R} |w|^{Q^*} \le A_0 \Big(\int |\nabla_{\mathbb{H}} w|^2 \Big)^{Q/(Q-2)} (S_n)^{-Q^*},$$

where S_n is defined by (1.12). Therefore,

$$1 \le A_0 \left(\int |\nabla_{\mathbb{H}} w|^2 \right)^{2/(Q-2)} (S_n)^{-Q^*} \le A_0 (C_0(n)\mu_1)^{2/(n-2)} (S_n)^{-Q^*}$$

This is a contradiction if we choose $\mu_1 = \mu_1(n, A_0)$ small enough such that

$$A_0(C_0(n)\mu_1)^{2/(n-2)}(S_n)^{-Q^*} < 1$$

We have proved the validity of (2.7) and thus complete the proof.

We can deduce from Proposition 2.1 the following result.

Proposition 2.2. Let μ_1 and $C(n, A_0)$ be the constants in Proposition 2.1. Then for any $2 < l_1 < l_2 < \infty$, there exists a constant $S_1 = S_1(n, A_0, \mu_1, l_1, l_2) > l_2$ such that for any $R \in L^{\infty}(B_{S_1} \setminus B_1)$ with $\|R\|_{L^{\infty}(B_{S_1} \setminus B_1)} \leq A_0$ and any weak solutions u of

$$-\Delta_{\mathbb{H}} u = R(\xi) |u|^{4/(Q-2)} u, \quad 1 < |\xi| < S_1,$$

satisfying

$$\int_{1 < |\xi| < S_1} |\nabla_{\mathbb{H}} u|^2 + \int_{1 < |\xi| < S_1} |u|^{Q^*} \le \mu_1,$$

we have

$$\sup_{|t_1| \le |\xi| \le l_2} |\xi|^{Q-2} |u(\xi)| \le 2C(n, A_0).$$

Proof. Suppose the contrary, then for $S_j = l_2 + j$, j = 3, 4, 5..., there exist two sequences of $\{R_j\}$, $\{u_j\}$ satisfying

$$\begin{aligned} \|R_j\|_{L^{\infty}(B_{S_j}\setminus B_1)} &\leq A_0, \\ -\Delta_{\mathbb{H}} u_j &= R_j(\xi) |u_j|^{4/(Q-2)} u_j, \quad 1 < |\xi| < S_j, \\ \int_{1 < |\xi| < S_j} |\nabla_{\mathbb{H}} u_j|^2 + \int_{1 < |\xi| < S_j} |u_j|^{Q^*} \leq \mu_1, \end{aligned}$$

but

$$\sup_{l_1 \le |\xi| \le l_2} |\xi|^{Q-2} |u_j(\xi)| > 2C(n, A_0).$$

Arguing as in the proof of Proposition 2.1, we know that for any $\mu \in (0, 1)$, $\|u_j\|_{L^{\infty}(B_{S_j/2} \setminus B_{1+\mu})}$ is bounded by a constant independent of j. Let u be the $S_2^q(loc)$ weak limit of u_j and $\bar{R}(\xi)$ be the weak * limit of $R_j(\xi)$ in $L^{\infty}(\mathbb{H}^n \setminus B_1)$, it holds

$$||R||_{L^{\infty}(\mathbb{H}^{n}\setminus B_{1})} \leq A_{0},$$

$$-\Delta_{\mathbb{H}}u = \bar{R}(\xi)|u|^{4/Q-2}u, \quad |\xi| > 1,$$

$$\sup_{l_{1} \leq |\xi| \leq l_{2}} |\xi|^{Q-2}|u(\xi)| > 2C(n, A_{0}).$$
(2.9)

We immediately obtain a contradiction by (2.9) and Proposition 2.1.

Next we give a horizontal gradient estimate.

Proposition 2.3. Suppose that $R \in L^{\infty}(B_{l_2} \setminus B_{l_1})$, $l_2 > 100l_1 > 100$. Then for any weak solutions u of

$$-\Delta_{\mathbb{H}} u = R(\xi) |u|^{4/Q-2} u, \quad l_1 \le |\xi| \le l_2,$$

satisfying

$$\sup_{l_1 \le |\xi| \le l_2} |\xi|^{Q-2} |u(\xi)| \le A$$

for some constant A > 0, we have

$$|\nabla_{\mathbb{H}} u(\xi)| \le \frac{C(n, A, ||R||_{L^{\infty}(B_{l_2} \setminus B_{l_1})})}{|\xi|^{Q-1}}, \quad 4l_1 \le |\xi| \le l_2/4.$$

Proof. For any $r \in (4l_1, l_2/4)$, it holds

$$-\Delta_{\mathbb{H}} u = R(\xi)|u|^{4/(Q-2)}u, \quad r/2 \le |\xi| \le 2r,$$

and

$$\sup_{r/2 \le |\xi| \le 2r} |u(\xi)| \le \sup_{r/2 \le |\xi| \le 2r} \frac{A}{|\xi|^{Q-2}} \le \left(\frac{2}{r}\right)^{Q-2} A.$$

Let $v(\xi) = r^{Q-2}u(\delta_r(\xi))$, then v satisfies

$$\begin{split} -\Delta_{\mathbb{H}} v(\xi) &= \frac{1}{r^2} R(\delta_r(\xi)) |v(\xi)|^{4/(Q-2)} v, \quad 1/2 \le |\xi| \le 2, \\ \sup_{1/2 \le |\xi| \le 2} |v(\xi)| \le 2^{Q-2} A, \\ \sup_{1/2 \le |\xi| \le 2} |-\Delta_{\mathbb{H}} v(\xi)| \le \|R\|_{L^{\infty}(B_{l_2} \setminus B_{l_1})} 2^{Q+2} A^{(Q+2)/(Q-2)}. \end{split}$$

Now we deduce from the regularity theories in [28, Theorem 10.13] that

 $|\nabla_{\mathbb{H}} v(\xi)| \leq C(n,A,\|R\|_{L^\infty(B_{l_2} \backslash B_{l_1})}), \quad |\xi| = 1.$

As a consequence,

$$abla_{\mathbb{H}} u(\xi) | \le \frac{C(n, A, ||R||_{L^{\infty}(B_{l_2} \setminus B_{l_1})})}{|\xi|^{Q-1}}, \quad |\xi| = r$$

This completes the proof.

Proposition 2.4. Let μ_1 , S_1 and $C(n, A_0)$ be the constants in Proposition 2.2. Then for any $2 < l_1 < l_2 < \infty$, there exist two positive constants $\mu_2 = \mu_2(n, A_0) \leq \mu_1$ and $\overline{\tau} = \overline{\tau}(n, A_0, l_1, l_2)$, such that for any $0 \leq \tau \leq \overline{\tau}$, $R \in L^{\infty}(B_{S_1} \setminus B_1)$ with $\|R\|_{L^{\infty}(B_{S_1} \setminus B_1)} \leq A_0$, and any weak solutions u of

$$-\Delta_{\mathbb{H}} u = R(\xi)|u|^{4/(Q-2)-\tau}u, \quad 1 < |\xi| < 2S_1,$$

satisfying

$$\int_{1 < |\xi| < 2S_1} |\nabla_{\mathbb{H}} u|^2 + \int_{1 < |\xi| < 2S_1} |u|^{Q^*} \le \mu_2,$$

we have

$$\sup_{l_1 \le |\xi| \le l_2} |\xi|^{Q-2} |u(\xi)| \le 3C(n, A_0)$$

and

$$\sup_{l_1 \le |\xi| \le l_2} |\xi|^{Q-1} |\nabla_{\mathbb{H}} u(\xi)| \le 2C(n, A_0, A),$$

where $C(n, A_0, A)$ is the constant in Proposition 2.3 with A replaced by $3C(n, A_0)$.

Proof. The proof is similar to Proposition 2.2, we omit it here.

2.4 A minimization problem

For any $\xi_1, \xi_2 \in \mathbb{H}^n$ satisfy $d(\xi_1, \xi_2) \geq 10$, denote $\Omega := \mathbb{H}^n \setminus \{B_1(\xi_1) \cup B_1(\xi_2)\}$. We define E_Ω by taking the closure of $C_c^{\infty}(\overline{\Omega})$ under the norm

$$||u||_{E_{\Omega}} = \left(\int_{\Omega} |\nabla_{\mathbb{H}} u|^2\right)^{1/2} + \left(\int_{\Omega} |u|^{Q^*}\right)^{1/Q^*}.$$

Clearly, E_{Ω} is a Banach space. Using similar arguments in [52, Poposition 3.2], we know that $u \in E_{\Omega}$ if and only if there exists $\bar{u} \in E$ such that $u = \bar{u}|_{\Omega}$. Moreover, for any $u \in E_{\Omega}$, we have a Sobolev type inequality on E_{Ω} :

$$\left(\int_{\Omega} |u|^{Q^*}\right)^{1/Q^*} \le C(n) \left(\int_{\Omega} |\nabla_{\mathbb{H}} u|^2\right)^{1/2},\tag{2.10}$$

where the positive constant C(n) depends only on n. In particular, it does not depend on ξ_1, ξ_2 provided $d(\xi_1, \xi_2) \ge 10$.

Let $R \in L^{\infty}(\Omega)$ satisfy $||R||_{L^{\infty}(\Omega)} \leq A_0$ for some constant $A_0 > 0$. We define a functional on E_{Ω} by

$$I_{R,\Omega}(u) = \frac{1}{2} \int_{\Omega} |\nabla_{\mathbb{H}} u|^2 - \frac{1}{Q^* - \tau} \int_{\Omega} RH^{\tau} |u|^{Q^* - \tau},$$

where $\tau \in [0, 2/(Q-2)]$. For any $u \in E_{\Omega}$, using Hölder inequality and (2.10), we have

$$\left|I_{R,\Omega}(u) - \frac{1}{2}\int_{\Omega} |\nabla_{\mathbb{H}}u|^2\right| \le A_0 C_0(n) \left(\int_{\Omega} |\nabla_{\mathbb{H}}u|^2\right)^{(Q^* - \tau)/2} \tag{2.11}$$

with $C_0(n)$ being a positive constant depends only on n.

Proposition 2.5. Let E_{Ω} be defined as above. There exist two constants $r_0 = r_0(n, A_0) \in (0, 1)$ and $C_1 = C_1(n) > 1$ such that for any $\xi_1, \xi_2 \in \mathbb{H}^n$ with $d(\xi_1, \xi_2) \ge 10$, and $\varphi \in H^{1/2}(\partial\Omega)$ with $r = \|\varphi\|_{H^{1/2}(\partial\Omega)} \le r_0$, the following minimum problem is achieved:

$$\min_{u \in E_{\Omega}} \left\{ I_{R,\Omega}(u) : u|_{\partial\Omega} = \varphi, \int_{\Omega} |\nabla_{\mathbb{H}} u|^2 \le C_1 r_0^2 \right\}.$$
(2.12)

The minimizer is unique (denoted u_{φ}) and satisfies $\int_{\Omega} |\nabla_{\mathbb{H}} u_{\varphi}|^2 \leq C_1 r^2/2$. Furthermore, the map $\varphi \mapsto u_{\varphi}$ is continuous from $H^{1/2}(\partial \Omega)$ to E_{Ω} .

Remark 2.1. Note that $\partial\Omega$ is a smooth hypersurface of \mathbb{H}^n with a finite number of non-degenerate characteristic points, one can give a meaning to $u|_{\partial\Omega}$ and define the Sobolev space $H^{1/2}(\partial\Omega)$ by invoking the theory of traces, see [3] for more details.

Proof of Proposition 2.5. According to the theory of traces in Bahouri-Chemin-Xu [3, Theorem 1.8] that there exist a constant $C_1 = C_1(n) > 0$ and $\Phi \in E_{\Omega}$ such that

$$\int_{\Omega} |\nabla_{\mathbb{H}} \Phi|^2 \le \frac{C_1}{8} r^2 \quad \text{and} \quad \Phi|_{\partial \Omega} = \varphi.$$
(2.13)

We fix the value of C_1 from now on and the value of r_0 will be determined in the following.

First it follows from (2.11)-(2.13) that if $r_0(n, A_0) > 0$ is chosen small enough, then

$$I_{R,\Omega}(\Phi) \le \frac{1}{2} \int_{\Omega} |\nabla_{\mathbb{H}} \Phi|^2 + A_0 C_0(n) \Big(\int_{\Omega} |\nabla_{\mathbb{H}} \Phi|^2 \Big)^{(Q^* - \tau)/2} \le \frac{4}{5} \int_{\Omega} |\nabla_{\mathbb{H}} \Phi|^2 \le \frac{C_1}{10} r^2.$$
(2.14)

Since for any u in $\{u \in E_{\Omega} : C_1 r^2/2 \leq \int_{\Omega} |\nabla_{\mathbb{H}} u|^2 \leq 2C_1 r_0^2\}$, we derive from (2.11) that

$$I_{R,\Omega}(u) \ge \left(\frac{1}{2} - A_0 C_0(n) (2C_1 r_0^2)^{2/(Q-2)-\tau/2}\right) \int_{\Omega} |\nabla_{\mathbb{H}} u|^2.$$

Thus, if we choose $r_0 > 0$ to further satisfy $A_0 C_0(n) (2C_1 r_0^2)^{2/(Q-2)-\tau/2} \leq 1/4$, then using (2.13) and (2.14) we have

$$I_{R,\Omega}(u) \ge \frac{1}{4} \int_{\Omega} |\nabla_{\mathbb{H}} u|^2 \ge \frac{1}{4} \left(\frac{1}{2} C_1 r^2\right) > I_{R,\Omega}(\Phi)$$

Therefore, the minimizer is not achieved in the set $\{u \in E_{\Omega} : C_1 r^2/2 \leq \int_{\Omega} |\nabla_{\mathbb{H}} u|^2 \leq 2C_1 r_0^2\}$.

Next we prove the existence of the minimzer. Write $u = v + \Phi$, $v|_{\partial\Omega} = 0$, $J_{R,\Omega}(v) =: I_{R,\Omega}(u) = I_{R,\Omega}(v + \Phi)$. We only need to minimize $J_{R,\Omega}(v)$ for $\int_{\Omega} |\nabla_{\mathbb{H}} v|^2 \leq 2C_1 r_0^2$ due to the above argument. Obviously, $J_{R,\Omega}$ is strictly convex in the ball $\{v \in E_{\Omega} : v|_{\partial\Omega} = 0, \int_{\Omega} |\nabla_{\mathbb{H}} v|^2 \leq 2C_1 r_0^2\}$ if r_0 is small enough. Thus it is standard to conclude the existence of a unique local minimizer v_{φ} .

Finally, set $u = v_{\varphi} + \Phi$, then u is a local minimizer and u satisfies $\int_{\Omega} |\nabla_{\mathbb{H}} u_{\varphi}|^2 \leq C_1 r^2/2$. The uniqueness and continuity of the map $\varphi \mapsto u_{\varphi}$ follows from the strict local convexity of $J_{R,\Omega}$. \Box

3 Construction of a family of approximate solutions

Due to the presence of the Sobolev critical exponent, the Euler-Lagrange functional corresponding to (1.7) does not satisfy the Palais-Smale condition. As previously mentioned in the introduction, we turn our attention to the following equation:

$$-\Delta_{\mathbb{H}} u = R(\xi) H^{\tau} u^{(Q+2)/(Q-2)-\tau}, \quad u > 0 \quad \text{in } \mathbb{H}^n,$$
(3.1)

which is the subcritical version of (1.2) after using Green's representation (1.3) and the Cayley transform (1.6), where $\tau > 0$ is a small constant and $H(z,t) = (\frac{4}{t^2 + (1+|z|^2)^2})^{(Q-2)/4}$. In this section, we will construct multi-bump solutions to the above subcritical type equations.

We first introduce some notations which are used throughout the paper.

Let $\{R_l(\xi)\}$ be a sequence of functions satisfying the following conditions.

(i) There exists some constant $A_1 > 0$ such that for any l = 1, 2, 3, ...,

$$|R_l(\xi)| \le A_1, \quad \forall x \in \mathbb{H}^n.$$
(3.2)

(ii) For some integers $m \ge 2$, there exist $\xi_l^{(i)} \in \mathbb{H}^n$, $1 \le i \le m$, $S_l \le \frac{1}{2} \min_{i \ne j} d(\xi_l^{(i)}, \xi_l^{(j)})$, such that R_l is continuous near $\xi_l^{(i)}$ and

$$\lim_{l \to \infty} S_l = \infty, \tag{3.3}$$

$$R_l(\xi_l^{(i)}) = \max_{\xi \in B_{S_l}(\xi_l^{(i)})} R_l(\xi), \qquad 1 \le i \le m, \qquad (3.4)$$

$$\lim_{l \to \infty} R_l(\xi_l^{(i)}) = a^{(i)}, \qquad 1 \le i \le m, \qquad (3.5)$$

$$R_{\infty}^{(i)}(\xi) := (\text{weak } *) \lim_{l \to \infty} R_l(\xi_l^{(i)} \circ \xi), \qquad 1 \le i \le m.$$
(3.6)

(iii) There exist some constants $A_2, A_3 > 1, \delta_0, \delta_1 > 0$, and some bounded open sets $O_l^{(1)}, \ldots, O_l^{(m)} \subset \mathbb{H}^n$, such that, if we define for $1 \leq i \leq m$,

$$\widetilde{O}_l^{(i)} = \{ \xi \in \mathbb{H}^n : \operatorname{dist}\left(\xi, O_l^{(i)}\right) < \delta_0 \},\$$

$$O_l = \bigcup_{i=1}^m O_l^{(i)}, \quad \widetilde{O}_l = \bigcup_{i=1}^m \widetilde{O}_l^{(i)},$$

we have

$$\xi_l^{(i)} \in O_l^{(i)}, \quad \text{diam}(O_l^{(i)}) < S_l/10,$$
(3.7)

$$R_l \in C^1(O_l, [1/A_2, A_2]), \tag{3.8}$$

$$R_l(\xi_l^{(i)}) \ge \max_{\xi \in \partial O_l^{(i)}} R_l(\xi) + c\delta_1, \tag{3.9}$$

$$\max_{\xi \in \widetilde{O}_l} |\nabla_{\mathbb{H}} R_l(\xi)| \le A_3, \tag{3.10}$$

where $c = c(\delta_0) > 0$ is a constant such that $\operatorname{dist}(\xi_l^{(i)}, \partial O_l^{(i)}) \ge \delta_1/A_3$ for any $1 \le i \le m$ and $\operatorname{diam} O := \sup\{d(\xi, \zeta) : \xi, \zeta \in O\}$ for any set O in \mathbb{H}^n .

For $\varepsilon > 0$ small, we define $V_l(m, \varepsilon) = V(\varepsilon, O_l^{(1)}, \dots, O_l^{(m)}, R_l)$. In order to simplify our analysis, we only focus on the case m = 2, as the more general result is similar in nature.

If u is a function in $V_l(2,\varepsilon)$, one can find an optimal representation, following the ideas introduced in [5,6]. Namely, we have

Proposition 3.1. There exists $\varepsilon_0 \in (0,1)$ depending only on $A_1, A_2, A_3, n, \delta_0$, but independent of l, such that for any $\varepsilon \in (0, \varepsilon_0]$, $u \in V_l(2, \varepsilon)$, the following minimization problem

$$\min_{(\alpha,\xi,\lambda)\in D_{4\varepsilon}} \left\| u - \sum_{i=1}^{2} \alpha_i w_{\xi_i,\lambda_i} \right\|$$
(3.11)

has a unique solution (α, ξ, λ) up to a permutation. Moreover, the minimizer is achieved in $D_{2\varepsilon}$ for large l, where

$$D_{\varepsilon} = \{ (\alpha, \xi, \lambda) : 1/(2A_2^{(Q-2)/4}) \le \alpha_1, \alpha_2 \le 2A_2^{(Q-2)/4}, \\ \xi = (\xi_1, \xi_2) \in O_l^{(1)} \times O_l^{(2)}, \lambda = (\lambda_1, \lambda_2), \lambda_1, \lambda_2 \ge \varepsilon^{-1} \}.$$

In particular, we can write u as $u = \sum_{i=1}^{2} \alpha_i w_{\xi_i,\lambda_i} + v$, where $v \in E$ and for each i = 1, 2, it holds

$$\langle w_{\xi_i,\lambda_i}, v \rangle = \left\langle \frac{\partial w_{\xi_i,\lambda_i}}{\partial \lambda_i}, v \right\rangle = \langle X_j w_{\xi_i,\lambda_i}, v \rangle = \langle Y_j w_{\xi_i,\lambda_i}, v \rangle = \langle T w_{\xi_i,\lambda_i}, v \rangle = 0$$

for all j = 1, ..., n, where $\langle \cdot, \cdot \rangle$ denotes the inner product defined by (1.10) and X_j, Y_j, T are the left invariant vector fields in (1.5). In addition, the variables $\{\alpha_i\}$ satisfy

$$|\alpha_i - R_l(\xi_i)^{(2-Q)/4}| = o_{\varepsilon}(1) \quad for \ i = 1, 2,$$
(3.12)

where $o_{\varepsilon}(1) \to 0$ as $\varepsilon \to 0$.

Proof. The proof is similar to the corresponding statements in [5, 6], we omit it here.

Remark 3.1. A. Bahri introduced the theory of critical points at infinity which is a set of ideas and techniques to handle noncompactness issues in nonlinear partial differential equations, we refer to [4] for more explanations. This method is very powerful and has been applied to obtain so called Bahri–Coron-type existence criterium in various noncompactness problems, including the prescribed Webster Scalar Curvature problem on CR manifolds, see, e.g., [17, 18, 31–34, 62–64, 74, 75]. We will adopt these ideas in this section. In the sequel, we will often spilt u, a function in $V_l(2,\varepsilon)$, $\varepsilon \in (0,\varepsilon_0]$, under the form

$$u = \alpha_1^l w_{\xi_1^l, \lambda_1^l} + \alpha_2^l w_{\xi_2^l, \lambda_2^l} + v^l$$
(3.13)

after making the minimization (3.11). Proposition 3.1 guarantees the existence and uniqueness of $\alpha_i = \alpha_i(u) = \alpha_i^l$, $\xi_i = \xi_i(u) = \xi_i^l$ and $\lambda_i = \lambda_i(u) = \lambda_i^l$ for i = 1, 2 (we omit the index *l* for simplicity). For any $R \in L^{\infty}(\mathbb{H}^n)$ and $u \in E$, we define energy functional related to (3.1)

$$I_{R,\tau}(u) := \frac{1}{2} \int |\nabla_{\mathbb{H}} u|^2 - \frac{1}{Q^* - \tau} \int R H^\tau |u|^{Q^* - \tau}$$

with $\tau \geq 0$ small. Clearly, $I_R = I_{R,0}$.

Now we follow and refine the analysis of Bahri and Coron [5,6] to study the subcritical interaction of two well-spaced bubbles. To continue our proof, let $\overline{\tau}_l > 0$ be a sequence satisfying

$$\lim_{l \to \infty} \overline{\tau}_l = 0, \quad \lim_{l \to \infty} (|\xi_l^{(1)}| + |\xi_l^{(2)}|)^{\overline{\tau}_l} = 1.$$
(3.14)

We first give a lower bound energy estimate for some well-spaced bubbles.

Lemma 3.1. Let ε_0 be the constant in Proposition 3.1. Suppose that $\varepsilon_1 \in (0, \varepsilon_0)$ small enough and l large enough, $0 \leq \tau \leq \overline{\tau}_l$. Then there exists a constant $A_4 = A_4(n, \delta_1, A_2) > 1$ such that for any $u \in V_l(2, \varepsilon_1)$ with $\xi_1(u) \in \widetilde{O}_l^{(1)}$, $\xi_2(u) \in \widetilde{O}_l^{(2)}$, and $\operatorname{dist}(\xi_1(u), \partial \widetilde{O}_l^{(1)}) < \delta_1/(2A_3)$ or $\operatorname{dist}(\xi_2(u), \partial \widetilde{O}_l^{(2)}) < \delta_1/(2A_3)$, we have $I_{R_l,\tau}(u) \geq c^{(1)} + c^{(2)} + 1/A_4$, where $c^{(i)} = (a^{(i)})^{(2-Q)/2}(S_n)^Q/Q$ for i = 1, 2.

Proof. We assume that $dist(\xi_1(u), \partial O_l^{(1)}) < \delta_1/(2A_3)$. It follows from (1.12), (3.12), and some direct computations that, for ε_1 small and l large,

$$\begin{split} I_{R_{l,\tau}}(u) &= \sum_{i=1}^{2} I_{R_{l,\tau}}(\alpha_{i}w_{\xi_{i},\lambda_{i}}) + o_{\varepsilon_{1}}(1) \\ &= \sum_{i=1}^{2} I_{R_{l,\tau}}(R_{l}(\xi_{i})^{(2-Q)/4}w_{\xi_{i},\lambda_{i}}) + o_{\varepsilon_{1}}(1) \\ &= \sum_{i=1}^{2} \left\{ \frac{1}{2}R_{l}(\xi_{i})^{(2-Q)/2} \int |\nabla_{\mathbb{H}}w_{0,1}|^{2} \\ &- \frac{1}{Q^{*}}R_{l}(\xi_{i})^{-Q/2} \int R_{l}w_{\xi_{i},\lambda_{i}}^{Q^{*}-\tau} \right\} + o_{\varepsilon_{1}}(1) + o(1) \\ &\geq \sum_{i=1}^{2} \left\{ \frac{1}{2}R_{l}(\xi_{i})^{(2-Q)/2} \int |\nabla_{\mathbb{H}}w_{0,1}|^{2} \\ &- \frac{1}{Q^{*}}R_{l}(\xi_{i})^{(2-Q)/2} \int w_{0,1}^{Q^{*}} \right\} + o_{\varepsilon_{1}}(1) + o(1) \\ &= \sum_{i=1}^{2} \frac{1}{Q}R_{l}(\xi_{i})^{(2-Q)/2}(S_{n})^{Q} + o_{\varepsilon_{1}}(1) + o(1). \end{split}$$

Combining above estimate with the assumption $dist(\xi_1(u), \partial O_l^{(1)}) < \delta_1/(2A_3)$, we obtain

$$I_{R_{l,\tau}}(u) \ge \frac{1}{Q} (R_l(\xi_l^{(1)}) - \delta_1/2)^{(2-Q)/2} (S_n)^Q$$

$$+ \frac{1}{Q} R_l(\xi_l^{(2)})^{(2-Q)/2} (S_n)^Q + o_{\varepsilon_1}(1) + o(1)$$

$$\geq \sum_{i=1}^2 c^{(i)} + 1/A_4,$$

where the choice of A_4 is evident thanks to (3.5), (3.6), (3.9) and (3.10). The proof is now complete.

From now on, the values of A_4 and ε_1 are fixed. The main result in this section can be stated as follows:

Theorem 3.1. Suppose that $\{R_l\}$ is a sequence of functions satisfying (i)–(iii). If there exist some bounded open sets $O^{(1)}, \ldots, O^{(m)} \subset \mathbb{H}^n$ and some constants $\delta_2, \delta_3 > 0$, such that, for all $1 \leq i \leq m$,

$$(\xi_l^{(i)})^{-1} \circ \widetilde{O}_l^{(i)} \subset O^{(i)} \quad \text{for all } l,$$

$$\left\{ u : I'_{R_{\infty}^{(i)}}(u) = 0, u > 0, u \in E, c^{(i)} \leq I_{R_{\infty}^{(i)}}(u) \leq c^{(i)} + \delta_2 \right\} \cap V(1, \delta_3, O^{(i)}, R_{\infty}^{(i)}) = \emptyset.$$

Then for any $\varepsilon > 0$, there exists integer $\overline{l}_{\varepsilon,m} > 0$, such that for all $l \ge \overline{l}_{\varepsilon,m}$, $\tau \in (0, \overline{\tau}_l)$, there exists $u_l = u_{l,\tau} \in V_l(m, \varepsilon)$ which solves

$$-\Delta_{\mathbb{H}} u_l = R_l(\xi) H^{\tau} u_l^{(Q+2)/(Q-2)-\tau}, \quad u_l > 0 \quad in \ \mathbb{H}^n.$$
(3.15)

Furthermore, u_l satisfies

$$\sum_{i=1}^{m} c^{(i)} - \varepsilon \le I_{R_{l,\tau}}(u_l) \le \sum_{i=1}^{m} c^{(i)} + \varepsilon.$$

$$(3.16)$$

Remark 3.2. (3.16) follows from the definition of $V_l(k,\varepsilon)$ provided that u_l satisfies (3.15).

We prove Theorem 3.1 by contradiction argument. For simplicity, we only consider the case m = 2 since the changes for m > 2 are evident.

From now on, we suppose the contrary of Theorem 3.1, namely, for some $\varepsilon^* > 0$, there exist a sequence of $l \to \infty$ and $0 < \tau_l < \overline{\tau}_l$, such that equation (3.15) for $\tau = \tau_l$ has no solution in $V_l(2,\varepsilon^*)$ satisfying (3.16) with $\varepsilon = \varepsilon^*$. Some complicated procedure will be followed to derive a contradiction. It will be outlined now and the details will be given in the next two subsections. The proof consists of two parts:

- Part 1. Under the contrary of Theorem 3.1, we obtain a uniform lower bound of the gradient vectors in some annular regions. It is a standard consequence of the Palais-Smale condition in variational argument
- *Part 2.* Construct an approximating minimax curve via variational method. The result in Part 1 will be used to construct a deformation. In our setting, we will follow the nonnegative gradient flow to make a deformation, which is an important process to derive a contradiction.

Part 1 will be carried out in Subsection 3.1 and Part 2 in Subsection 3.2.

3.1 First part of the proof of Theorem 3.1

For $\varepsilon_2 > 0$, we denote $\widetilde{V}_l(2, \varepsilon_2)$ the set of functions u in E satisfies: there exist $\alpha = (\alpha_1, \alpha_2) \in \mathbb{R}^2$, $\xi = (\xi_1, \xi_2) \in O_l^{(1)} \times O_l^{(2)}$ and $\lambda = (\lambda_1, \lambda_2) \in \mathbb{R}^2$, such that

$$\lambda_1, \lambda_2 > \varepsilon_2^{-1},$$

$$|\lambda_i^{\tau_l} - 1| < \varepsilon_2, \quad i = 1, 2,$$

$$|\alpha_i - R_l(\xi_i)^{(2-Q)/4}| < \varepsilon_2, \quad i = 1, 2,$$

$$\left\| u - \sum_{i=1}^2 \alpha_i w_{\xi_i, \lambda_i}^{1+O(\tau_l)} \right\| < \varepsilon_2.$$

Throughout the paper, we denote $p_l = \frac{Q+2}{Q-2} - \tau_l$.

Lemma 3.2. For $\varepsilon_2 = \varepsilon_2(n, \varepsilon_1, \varepsilon^*) > 0$ small enough, we have, for large l,

$$\widetilde{V}_l(2,\varepsilon_2) \subset V_l(2,o_{\varepsilon_2}(1)) \subset V_l(2,\varepsilon_1) \cap V_l(2,\varepsilon^*),$$
(3.17)

where $o_{\varepsilon_2}(1)$ denotes some quantity which is independent of l and tends to zero as ε_2 tends to zero.

Proof. It is straightforward to verify (3.17) by using the definition of $\widetilde{V}_l(2, \varepsilon_2)$. Therefore, we we omit it here.

Now we state the main result in this section, which reveals the uniform lower bounds of the gradient vectors in certain regions of E.

Proposition 3.2. Under the hypotheses of Theorem 3.1 and the contrary of Theorem 3.1, there exist two constants $\varepsilon_2 \in (0, \min\{\varepsilon_0, \varepsilon_1, \varepsilon^*, \delta_3\})$ and $\varepsilon_3 \in (0, \min\{\varepsilon_0, \varepsilon_1, \varepsilon_2, \varepsilon^*, \delta_3\})$, which are independent of l, such that (3.17) holds for such ε_2 , and there exist $\delta_4 = \delta_4(\varepsilon_2, \varepsilon_3) > 0$ and $l'_{\varepsilon_2,\varepsilon_3} > 1$ such that for any $l \geq l'_{\varepsilon_2,\varepsilon_3}$, $u \in \widetilde{V}_l(2,\varepsilon_2) \setminus \widetilde{V}_l(2,\varepsilon_2/2)$ satisfying $|I_{R_l,\tau_l}(u) - (c^{(1)} + c^{(2)})| < \varepsilon_3$, we have $||I'_{R_l,\tau_l}(u)|| \geq \delta_4$, where I'_{R_l,τ_l} denotes Fréchet derivative.

Remark 3.3. Proposition 3.2 will be used to construct an approximating minimaxing curve in Part 2. Evidently we have, under the contrary of Theorem 3.1, that for each l,

$$\inf\{\|I'_{R_l,\tau_l}(u)\|: u \in \widetilde{V}_l(2,\varepsilon_2) \setminus \widetilde{V}_l(2,\varepsilon_2/2), I'_{R_l,\tau_l}(u) - (c^{(1)} + c^{(2)}) < \varepsilon_3\} > 0.$$

We prove Proposition 3.2 by contradiction argument. Suppose the statement in the Proposition 3.2 is not true, then no matter how small $\varepsilon_2, \varepsilon_3 > 0$ are, there exists a subsequence (still denoted as $\{u_l\}$) such that

$$\{u_l\} \in \widetilde{V}_l(2,\varepsilon_2) \setminus \widetilde{V}_l(2,\varepsilon_2/2), \tag{3.18}$$

$$|I'_{R_l,\tau_l}(u_l) - (c^{(1)} + c^{(2)})| < \varepsilon_3, \tag{3.19}$$

$$\lim_{l \to \infty} \|I'_{R_l,\tau_l}(u_l)\| = 0.$$
(3.20)

However, under the above assumptions, we can prove that there exists another subsequence, still denotes by $\{u_l\}$, such that $u_l \in \widetilde{V}_l(2, \varepsilon_2/2)$, which leads to a contradition. The existence of such sequence needs some lengthy indirect analysis to the interaction of two *bubbles*. We break the proof of Proposition 3.2 into several claims.

First we write

$$u_l = \alpha_1^l w_{\xi_1^l, \lambda_1^l} + \alpha_2^l w_{\xi_2^l, \lambda_2^l} + v_l \tag{3.21}$$

after making the minimization (3.11). By Proposition 3.1 and some standard arguments in [4–6], if $\varepsilon_2 > 0$ small enough, we have

$$(\lambda_1^l)^{-1}, (\lambda_2^l)^{-1} = o_{\varepsilon_2}(1), \tag{3.22}$$

$$|\alpha_i^l - R_l(\xi_i^l)^{(2-Q)/4}| = o_{\varepsilon_2}(1), \qquad (3.23)$$

$$|v_l|| = o_{\varepsilon_2}(1), \tag{3.24}$$

dist
$$(\xi_1^l, O_l^{(1)}), \text{ dist } (\xi_2^l, O_l^{(2)}) = o_{\varepsilon_2}(1).$$
 (3.25)

Next we will derive some elementary estimates of the interaction of the *bubbles* in (3.21) and find another representation of u_l in (3.21), from which we can deduce its location and concentrate rate. Let us introduce a linear isometry operator first.

For any $\xi \in \mathbb{H}^n$, we define a linear isometry $T_{\xi} : E \to E$ by

$$(T_{\xi}u)(\cdot) = u(\xi \circ \cdot).$$

It is easy to see $||T_{\xi}u|| = ||u||$.

Now we give some estimates concerning with *bubble*'s profile in (3.21).

Claim 1. For ε_2 small enough, we have $\lim_{l\to\infty} \lambda_1^l = \lim_{l\to\infty} \lambda_2^l = \infty$.

Proof. Assume to the contrary that $\lambda_1^l = \lambda_1 + o(1)$ up to a subsequence. Here and in the following, we use o(1) to denote any sequence tending to 0 as $l \to \infty$. Now the proof consists of three steps.

Step 1 (Construct a positive solution). First, one observes from (3.21) that

$$T_{\xi_1^l} u_l = \alpha_1^l w_{0,\lambda_1^l} + \alpha_2^l w_{(\xi_1^l)^{-1} \circ \xi_2^l,\lambda_2^l} + T_{\xi_1^l} v_l.$$

Then by Proposition 3.1, by passing to a subsequence, we have

$$\lim_{l \to \infty} \alpha_1^l = \alpha_1 \in \left[\frac{1}{2} (A_2)^{(2-Q)/4} - o_{\varepsilon_2}(1), 2(A_2)^{(2-Q)/4} + o_{\varepsilon_2}(1)\right],$$
(3.26)

and $T_{\xi_1^l} v_l \rightarrow w_0$ weakly in E for some $w_0 \in E$. It follows from standard functional analysis arguments and (3.24) that

$$||w_0|| \le \liminf_{l \to \infty} ||T_{\xi_1^l} v_l|| = o_{\varepsilon_2}(1).$$
(3.27)

Using the assumption (ii) (stated in the beginning of Section 3), we get

$$\lim_{l \to \infty} d(\xi_2^l, \xi_1^l) \ge \lim_{l \to \infty} S_l = \infty.$$
(3.28)

Therefore,

$$T_{\xi_1^l} u_l \rightharpoonup w := \alpha_1 w_{0,\lambda_1} + w_0 \quad \text{weakly in } E.$$
(3.29)

Obviously, $w \neq 0$ if ε_2 is small enough.

Next we prove that w is a weak solution of the following equation

$$-\Delta_{\mathbb{H}}w = T_{\zeta}R_{\infty}^{(1)}(\xi)|w|^{(Q+2)/(Q-2)}w \quad \text{in } \mathbb{H}^{n},$$
(3.30)

where $\zeta \in O^{(1)}$ with dist $(\zeta, \partial O^{(1)}) > \delta_0/2$.

For any $\phi \in C_c^{\infty}(\mathbb{H}^n)$, it follows from (3.20) that

$$I'_{R_l,\tau_l}(u_l)(T_{(\xi_1^l)^{-1}}\phi) = o(1) \|T_{(\xi_1^l)^{-1}}\phi\| = o(1) \|\phi\| = o(1).$$

Summing up (3.14), (3.29), (3.6) and (3.10), we find that

$$\begin{split} o(1) &= \int \nabla_{\mathbb{H}} u_l \nabla_{\mathbb{H}} T_{(\xi_1^l)^{-1}} \phi - \int R_l H^{\tau_l} |u_l|^{p_l - 1} u_l T_{(\xi_1^l)^{-1}} \phi \\ &= \int \nabla_{\mathbb{H}} T_{\xi_1^l} u_l \nabla_{\mathbb{H}} \phi - \int T_{\xi_1^l} R_l (T_{\xi_1^l} H)^{\tau_l} |T_{\xi_1^l} u_l|^{p_l - 1} T_{\xi_1^l} u_l \phi \\ &= \int \nabla_{\mathbb{H}} w \nabla_{\mathbb{H}} \phi - \int T_{\zeta} R_{\infty}^{(1)}(\xi) |w|^{4/(Q-2)} w \phi + o(1), \end{split}$$

where $\zeta = \lim_{l \to \infty} (\xi_l^{(1)})^{-1} \circ \xi_1^l$ along a subsequence. This means w is a weak solution of (3.30). The positivity of w can be verified from the following argument.

Let $w = w^+ - w^-$, where $w^+ = \max(w, 0)$, $w^- = \max(-w, 0)$. It follows from (3.29) and (3.27) that

$$\int (w^{-})^{Q^{*}} = o_{\varepsilon_{2}}(1). \tag{3.31}$$

Multiplying (3.30) with w^- and integrating by part, we have

$$\int |\nabla_{\mathbb{H}} w^{-}|^{2} \leq \int T_{\zeta} R_{\infty}^{(1)}(w^{-})^{Q^{*}} \leq o_{\varepsilon_{2}}(1) \Big(\int (w^{-})^{Q^{*}}\Big)^{2/Q^{*}} \leq o_{\varepsilon_{2}}(1) \int |\nabla_{\mathbb{H}} w^{-}|^{2},$$

where we used (3.31) in the second inequality and (1.12) in the last step. If ε_2 small enough, we immediately obtain $w^- \equiv 0$, namely, $w \geq 0$. It follows from (3.30) and strong maximum principle (see [8]) that w > 0.

Step 2 (Energy bound estimates). Now we begin to estimate the value of $I_{T_{\zeta}R_{\infty}^{(1)}}(w)$ in order to produce a contradiction. The estimate we are going to establish is

$$c^{(1)} \le I_{T_{\zeta}R_{\infty}^{(1)}}(w) \le c^{(1)} + o_{\varepsilon_2}(1),$$
(3.32)

where $o_{\varepsilon_2}(1) \to 0$ as $\varepsilon_2 \to 0$.

Firstly, we know from (3.30) that $\int |\nabla_{\mathbb{H}} w|^2 = \int T_{\zeta} R_{\infty}^{(1)} w^{Q^*}$. Thus,

$$I_{T_{\zeta}R_{\infty}^{(1)}}(w) = \frac{1}{2} \int |\nabla_{\mathbb{H}}w|^2 - \frac{1}{Q^*} \int T_{\zeta}R_{\infty}^{(1)}w^{Q^*} = \frac{1}{Q} \int |\nabla_{\mathbb{H}}w|^2.$$

Then we conclude from (1.12), (3.30), and the fact $T_{\zeta} R_{\infty}^{(1)} \leq a^{(1)}$ that

$$S_n \leq \frac{(\int |\nabla_{\mathbb{H}} w|^2)^{1/2}}{(\int w^{Q^*})^{1/Q^*}} \leq \frac{(\int |\nabla_{\mathbb{H}} w|^2)^{1/2}}{(\int T_{\zeta} R_{\infty}^{(1)} w^{Q^*})^{1/Q^*}} (a^{(1)})^{1/Q^*} = \left(\int |\nabla_{\mathbb{H}} w|^2\right)^{1/Q} (a^{(1)})^{1/Q^*}.$$

Therefore, we complete the proof of the first inequality in (3.32).

On the other hand, we deduce from (3.2) that $|R_{\infty}^{(1)}(\xi)| \leq A_1, \forall \xi \in \mathbb{H}^n$. Owing to (1.12), (3.21), (3.22), (3.24) and (3.28), we have

$$\begin{split} I_{R_{l},\tau_{l}}(u_{l}) &= I_{R_{l},\tau_{l}}(\alpha_{1}^{l}w_{\xi_{1}^{l},\lambda_{1}^{l}}) + I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) \\ &= I_{T_{\xi_{1}^{l}}R_{l},\tau_{l}}(\alpha_{1}^{l}w_{0,\lambda_{1}^{l}}) + I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) + o(1) \\ &= I_{T_{\xi_{1}^{l}}R_{l},\tau_{l}}(\alpha_{1}w_{0,\lambda_{1}}) + I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) + o(1) \\ &= I_{T_{\zeta}R_{\infty}^{(1)}}(\alpha_{1}w_{0,\lambda_{1}}) + I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) + o(1) \end{split}$$

$$= I_{T_{\zeta}R_{\infty}^{(1)}}(w) + I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) + o(1),$$

where o(1) denotes some quantity which, for fixed $\varepsilon_2, \varepsilon_3$ goes to zero as $l \to \infty$. Consequently,

$$I_{T_{\zeta}R_{\infty}^{(1)}}(w) = I_{R_{l},\tau_{l}}(u_{l}) - I_{R_{l},\tau_{l}}(\alpha_{2}^{l}w_{\xi_{2}^{l},\lambda_{2}^{l}}) + o_{\varepsilon_{2}}(1) + o(1).$$
(3.33)

Combining (3.20) and (3.21), we find

$$o(1) = I'_{R_l,\tau_l}(u_l)(\alpha_2^l w_{\xi_2^l,\lambda_2^l}) = I'_{R_l,\tau_l}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})(\alpha_2^l w_{\xi_2^l,\lambda_2^l}) + o_{\varepsilon_2}(1) + o(1)$$

Namely,

$$\int |\nabla_{\mathbb{H}}(\alpha_{2}^{l} w_{\xi_{2}^{l}, \lambda_{2}^{l}})|^{2} = \int R_{l} H^{\tau_{l}}(\alpha_{2}^{l} w_{\xi_{2}^{l}, \lambda_{2}^{l}})^{Q^{*} - \tau_{l}} + o_{\varepsilon_{2}}(1) + o(1), \qquad (3.34)$$

$$I_{R_l,\tau_l}(\alpha_2^l w_{\xi_2^l,\lambda_2^l}) = \frac{1}{Q} \int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2 + o_{\varepsilon_2}(1) + o(1).$$
(3.35)

From (1.12) and (3.26), we obtain

$$\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l, \lambda_2^l})|^2 \ge \frac{1}{2} \Big(A_2^{(2-Q)/4} - o_{\varepsilon_2}(1) \Big) (S_n)^Q > \frac{1}{4} (A_2)^{(2-Q)/4} (S_n)^Q > 0.$$
(3.36)

Then, by (1.12), (3.3)-(3.5), (3.24), (3.28), and Hölder inequality, we have

$$\begin{split} S_n &\leq \frac{(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2)^{1/2}}{(\int (\alpha_2^l w_{\xi_2^l,\lambda_2^l})Q^*)^{1/Q^*}} \\ &= \frac{(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2)^{1/2}}{(\int_{B_{S_l}(\xi_l^{(2)})} (\alpha_2^l w_{\xi_2^l,\lambda_2^l})Q^*)^{1/Q^*} + o(1)} \\ &\leq \frac{(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2)^{1/2}}{(\int_{B_{S_l}(\xi_l^{(2)})} (\alpha_2^l w_{\xi_2^l,\lambda_2^l})Q^{*-\tau_l})^{1/Q^*} + o(1)} \\ &\leq \frac{(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2)^{1/2} R_l(\xi_l^{(2)})^{1/Q^*}}{(\int_{B_{S_l}(\xi_l^{(2)})} R_l H^{\tau_l}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})Q^{*-\tau_l})^{1/Q^*} + o(1)} \\ &= \frac{(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})|^2)^{1/2} (a^{(2)})^{1/Q^*} + o(1)}{(\int R_l H^{\tau_l}(\alpha_2^l w_{\xi_2^l,\lambda_2^l})Q^{*-\tau_l})^{1/Q^*} + o(1)}. \end{split}$$

Thus, using (3.34), we establish that

$$S_n \le \left(\int |\nabla_{\mathbb{H}}(\alpha_2^l w_{\xi_2^l, \lambda_2^l})|^2\right)^{1/Q} (a^{(2)})^{1/Q^*} + o(1).$$

This together with (3.35) gives

$$I_{R_l,\tau_l}(\alpha_2^l w_{\xi_2^l,\lambda_2^l}) \ge \frac{1}{Q} (a^{(2)})^{(2-Q)/2} (S_n)^Q + o_{\varepsilon_2}(1) + o(1) = c^{(2)} + o_{\varepsilon_2}(1) + o(1).$$
(3.37)

Putting (3.33), (3.19) and above estimate together, we obtain the right hand side of (3.23).

Step 3 (Completion of the proof). Finally, for ε_2 small enough, a contradiction arises from (3.29), (3.30), (3.32), (3.1) and the positivity of w. This proves that $\lim_{l\to\infty} \lambda_1^l = \infty$. Similarly $\lim_{l\to\infty} \lambda_2^l = \infty$. Claim 1 has been established.

For any $\lambda > 0$ any $\xi \in \mathbb{H}^n$, we define $\mathscr{T}_{l,\lambda,\xi} : E \to E$ by

$$\mathscr{T}_{l,\lambda,\xi}u(\cdot) = \lambda^{2/(1-p_l)}u(\xi \circ \delta_{\lambda^{-1}}(\cdot)).$$

It is clear that

$$\mathscr{T}_{l,\lambda,\xi}^{-1}u(\cdot) = \lambda^{2/(p_l-1)}u(\delta_{\lambda}(\xi^{-1} \circ \cdot))$$

and

$$\int \nabla_{\mathbb{H}} u \nabla_{\mathbb{H}} \mathscr{T}_{l,\lambda,\xi}^{-1} \phi = \lambda^{2(p_l+1)/(p_l-1)-Q} \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\lambda,\xi} u \nabla_{\mathbb{H}} \phi \quad \text{for any } \phi \in C_c^{\infty}(\mathbb{H}^n).$$

Lemma 3.3. There exists some constant $C = C(n, A_2)$, such that, for ε_2 small enough and l large enough, we have $(\lambda'_1)^{\tau_l}, (\lambda'_2)^{\tau_l} \leq C$.

Proof. Applying (3.20), we deduce that $I'_{R_l,\tau_l}(u_l)(w_{\xi_1^l,\lambda_1^l}) = o(1)$. Now an explicit calculation from (3.24), (3.28), Claim 1, *bubbles*' interaction estimates in [4, Part 1], and Proposition 3.1 yields that

$$(\alpha_1^l)^{p_l} \int R_l H^{\tau_l} w_{\xi_1^l, \lambda_1^l}^{p_l+1} = \alpha_1^l \int |\nabla_{\mathbb{H}} w_{\xi_1^l, \lambda_1^l}|^2 + o(1) + o_{\varepsilon_2}(1).$$
(3.38)

Then the proof of the first term completed from (3.38), (3.8), (3.23), (3.14), and Claim 1. Similarly, we have $(\lambda_2^l)^{\tau_l} \leq C$.

Without loss of generality, we assume that

$$\lambda_1^l \le \lambda_2^l. \tag{3.39}$$

A direct computation using (3.21) shows that

$$\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}u_{l} = \widetilde{\alpha}_{1}^{l}w_{0,1} + \widetilde{\alpha}_{2}^{l}w_{\delta_{\lambda_{1}^{l}}((\xi_{1}^{l})^{-1}\circ\xi_{2}^{l}),\lambda_{2}^{l}/\lambda_{1}^{l}} + \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}v_{l}, \qquad (3.40)$$

where

$$\widetilde{\alpha}_1^l = \alpha_1^l (\lambda_1^l)^{(Q-2)/2 - 2/(p_l - 1)}, \quad \widetilde{\alpha}_2^l = \alpha_2^l (\lambda_2^l)^{(Q-2)/2 - 2/(p_l - 1)}$$

Then we can verify the existence of $u_1 \in E$ and $\zeta_1 \in O^{(1)}$ such that

$$\mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l \rightharpoonup u_1 \quad \text{weakly in } E, \tag{3.41}$$

$$\lim_{l \to \infty} (\xi_l^{(1)})^{-1} \circ \xi_1^l = \zeta_1, \tag{3.42}$$

up to a subsequence.

Accordingly, by making use of (3.6), (3.10), (3.25) and (3.42), we have

$$\lim_{l \to \infty} R_l(\xi_1^l)^{(2-Q)/4} = R_{\infty}^{(1)}(\zeta_1)^{(2-Q)/4}.$$
(3.43)

For any $\phi \in C_c^{\infty}(\mathbb{H}^n)$, it follows from (3.20) that

$$\begin{split} o(1) &= I'_{R_l,\tau_l}(u_l)(\mathscr{T}_{l,\xi_1^l,\lambda_1^l}^{-1}\phi) \\ &= (\lambda_1^l)^{2(p_l+1)/(p_l-1)-Q} \Big\{ \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l \nabla_{\mathbb{H}} \phi - \int T_{\xi_l^{(1)}} R_l((\xi_l^{(1)})^{-1} \circ \xi_1^l \circ \delta_{1/\lambda_1^l}(\cdot)) \\ &\times H^{\tau_l}(\xi_1^l \circ \delta_{1/\lambda_1^l}(\xi_1^l)) |\mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l|^{p_l-1} (\mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l) \phi \Big\}. \end{split}$$

Taking the limit $l \to \infty$, and then using (3.41), (3.14), (3.42), (3.6), (3.25), and Lemma 3.3 we obtain

$$\int \nabla_{\mathbb{H}} u_1 \nabla_{\mathbb{H}} \phi - \int R_{\infty}^{(1)}(\zeta_1) |u_1|^{4/(Q-2)} u_1 \phi = 0.$$

Namely, u_1 satisfies

$$-\Delta_{\mathbb{H}} u_1 = R_{\infty}^{(1)}(\zeta_1) |u_1|^{4/(Q-2)} u_1.$$
(3.44)

Moreover, we see from (3.40) that $u_1 \neq 0$ if ε_2 is small enough. We then argue as before to obtain $u_1 > 0$.

By the classification theorem of positive solutions of (3.44) in E (see [48]), there exist $\xi^* \in \mathbb{H}^n$ and $\lambda^* > 0$ such that

$$u_1 = R_{\infty}^{(1)}(\zeta_1)^{4/(Q-2)} w_{\xi^*,\lambda^*}.$$
(3.45)

Claim 2. For l large enough, we have $|\xi^*| = o_{\varepsilon_2}(1)$, $|\lambda^* - 1| = o_{\varepsilon_2}(1)$, $(\lambda_1^l)^{\tau_l} = 1 + o_{\varepsilon_2}(1)$.

Proof. First of all, using Lemma 3.3, we find $(\lambda_1^l)^{\tau_l} = A_{\varepsilon_2,\varepsilon_3} + o(1)$ along a subsequence, where $A_{\varepsilon_2,\varepsilon_3} > 0$ is a constant independent of l for fixed ε_2 and ε_3 . Thanks to (3.23) and (3.43), we have

$$\alpha_1^l = R_\infty^{(1)}(\zeta_1)^{(2-Q)/4} + o_{\varepsilon_2}(1) + o(1).$$
(3.46)

Note that

$$\widetilde{\alpha}_1^l = \alpha_1^l (\lambda_1^l)^{(Q-2)/2 - 2/(p_l - 1)} = \alpha_1^l (\lambda_1^l)^{-(Q-2)^2 \tau_l/8 + O(\tau_l^2)}.$$

Therefore,

$$\widetilde{\alpha}_{1}^{l} = R_{\infty}^{(1)}(\zeta_{1})^{(2-Q)/4} (A_{\varepsilon_{2},\varepsilon_{3}})^{-(Q-2)^{2}/8} + o_{\varepsilon_{2}}(1) + o(1).$$
(3.47)

From (3.22), (3.28) and (3.39)-(3.41), we see that

$$\widetilde{\alpha}_1^l w_{0,1} + \mathscr{T}_{l,\xi_1^l,\lambda_1^l} v_l \rightharpoonup u_1 \quad \text{weakly in } E.$$
(3.48)

It follows from (3.24), (3.47), (3.48), and Lemma 3.3 that

$$\|R_{\infty}^{(1)}(\zeta_1)^{(2-Q)/4}(A_{\varepsilon_2,\varepsilon_3})^{-(Q-2)^2/8}w_{0,1} - R_{\infty}^{(1)}(\zeta_1)^{(2-Q)/4}w_{\xi^*,\lambda^*}\| = o_{\varepsilon_2}(1) + o(1).$$

Finally, taking the limit $l \to \infty$, we get $|\xi^*| = o_{\varepsilon_2}(1)$, $\lambda^* = 1 + o_{\varepsilon_2}(1)$, $A_{\varepsilon_2,\varepsilon_3} = 1 + o_{\varepsilon_2}(1)$. Claim 2 has been established.

We define $\phi_l \in E$ by

$$\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}u_{l} = u_{1} + \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}\phi_{l}.$$
(3.49)

It follows from (3.41) that

$$\mathscr{T}_{l,\xi_1^l,\lambda_1^l}\phi_l \rightharpoonup 0 \quad \text{weakly in } E.$$
 (3.50)

Claim 3. For ε_2 small enough, we have $\|I'_{R_l,\tau_l}(\phi_l)\| = o(1)$.

Proof. For any $\phi \in C_c^{\infty}(\mathbb{H}^n)$, it follows from (3.20), (3.44), (3.49) and Lemma 3.3 that

$$\begin{split} o(1) \|\phi\| &= I'_{R_l,\tau_l}(u_l) (\mathscr{T}_{l,\xi_1^l,\lambda_1^l}^{-1}\phi) \\ &= (\lambda_1^l)^{2(p_l+1)/(p_l-1)-Q} \bigg\{ \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l \nabla_{\mathbb{H}} \phi - \int R_l(\xi_1^l \circ \delta_{1/\lambda_1^l}(\cdot)) \\ &\times H^{\tau_l}(\xi_1^l \circ \delta_{1/\lambda_1^l}(\xi_1^l)) |\mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l|^{p_l-1} (\mathscr{T}_{l,\xi_1^l,\lambda_1^l} u_l) \phi \bigg\} \end{split}$$

$$= (\lambda_{1}^{l})^{2(p_{l}+1)/(p_{l}-1)-Q} \left\{ \int \nabla_{\mathbb{H}} u_{1} \nabla_{\mathbb{H}} \phi + \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} \phi_{l} \nabla_{\mathbb{H}} \phi \right. \\ \left. - \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}) \phi \right\} \\ = (\lambda_{1}^{l})^{2(p_{l}+1)/(p_{l}-1)-Q} \left\{ \int R_{\infty}^{(1)}(\zeta_{1}) u_{1}^{(Q+2)/(Q-2)} \phi + \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} \phi_{l} \nabla_{\mathbb{H}} \phi \right. \\ \left. - \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} \phi_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} \phi_{l}) \phi \right. \\ \left. + \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}) \phi \right. \\ \left. - \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}) \phi \right. \\ \left. + \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}) \phi \right. \\ \left. + \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi_{1}^{l})) |\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}|^{p_{l}-1}(\mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}} u_{l}) \phi \right.$$
 (3.51)

Then a direct calculation exploiting (3.14), (3.43), (3.45), Claim 2, Hölder inequalities and Sobolev embedding theorems that

$$\left|\int R_l(\xi_1^l \circ \delta_{1/\lambda_1^l}(\cdot)) H^{\tau_l}(\xi_1^l \circ \delta_{1/\lambda_1^l}(\xi_1^l))(u_1)^{p_l}\phi - \int R_{\infty}^{(1)}(\zeta_1) u_1^{(Q+2)/(Q-2)}\phi\right| = o(1) \|\phi\|.$$
(3.52)

Finally, by (3.51), (3.52), Lemma 3.3 and some elementary inequalities, we deduce that

$$|I_{R_l,\tau_l}'(\phi_l)(\mathscr{T}_{l,\xi_1^l,\lambda_1^l}^{-1}\phi)| = o(1)\|\phi\| + O(1)\int (|\mathscr{T}_{l,\xi_1^l,\lambda_1^l}\phi_l|^{p_l-1}u_1 + \mathscr{T}_{l,\xi_1^l,\lambda_1^l}\phi_l|u_1|^{p_l-1})|\phi| = o(1)\|\phi\|,$$

where the last inequality follows from (3.49), (3.45), Claim 2, Hölder inequalities and the Sobolev embedding theorems. Claim 3 has been established now.

Claim 4. $I_{R_l,\tau_l}(\phi_l) \le c^{(2)} + \varepsilon_3 + o(1).$

Proof. By a change of variable and using Claim 2, (3.49), (3.50) and (3.45), some calculations lead to

$$I_{R_{l},\tau_{l}}(u_{l}) = I_{R_{l},\tau_{l}}(\phi_{l}) + (\lambda_{1}^{l})^{2(p_{l}+1)/(p_{l}-1)-Q} \left\{ \frac{1}{2} \int |\nabla_{\mathbb{H}} u_{1}|^{2} - \frac{1}{Q^{*}} \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot))H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot))|u_{1}|^{p_{l}+1} \right\} + o(1).$$
(3.53)

We derive from (3.42), (3.6) and (1.12) that

$$\frac{1}{2} \int |\nabla_{\mathbb{H}} u_{1}|^{2} - \frac{1}{Q^{*}} \int R_{l}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) H^{\tau_{l}}(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\cdot)) |u_{1}|^{p_{l}+1} \\
= I_{R_{\infty}^{(1)}(\zeta_{1})}(u_{1}) + o(1) \\
\geq \frac{1}{Q} R_{\infty}^{(1)}(\zeta_{1})^{(2-Q)/2}(S_{n})^{Q} + o(1) \\
\geq c^{(1)} + o(1).$$
(3.54)

Claim 4 follows from (3.53), (3.54), (3.19), and the fact $(\lambda_1^l)^{2(p_l+1)/(p_l-1)-Q} \ge 1$.

From (3.49), (3.21) and (3.45) we have

$$\phi_l = u_l - \mathscr{T}_{l,\xi_1^l,\lambda_1^l}^{-1} u_1 = \alpha_2^l w_{\xi_2^l,\lambda_2^l} + w_l, \qquad (3.55)$$

where

$$w_{l} = \alpha_{1}^{l} w_{\xi_{1}^{l}, \lambda_{1}^{l}} - (\lambda_{1}^{l})^{2/(p_{l}-1) - (Q-2)/2} w_{\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*}), \lambda^{*} \lambda_{1}^{l}, + v_{l}.$$

Using Claim 2 and (3.46), we have, for large l, that

$$\|w_l\| = o_{\varepsilon_2}(1). \tag{3.56}$$

Now we repeat the previous arguments on ϕ_l instead of u_l . For simplicity, we only carry out some crucial steps and omit similar proofs.

Using (3.55) we have

$$\mathscr{T}_{l,\xi_2^l,\lambda_2^l}\phi_l = \overline{\alpha}_2^l w_{0,1} + \mathscr{T}_{l,\xi_2^l,\lambda_2^l} w_l, \qquad (3.57)$$

where

$$\overline{\alpha}_{2}^{l} = \alpha_{2}^{l} (\lambda_{2}^{l})^{(Q-2)/2 - 2/(p_{l}-1)}.$$
(3.58)

Then we can verify the existence of $u_2 \in E$ and $\zeta_2 \in O^{(2)}$ such that

$$\mathscr{T}_{l,\xi_2^l,\lambda_2^l}\phi_l \rightharpoonup u_2 \quad \text{weakly in } E,$$
(3.59)

$$\lim_{l \to \infty} (\xi_l^{(2)})^{-1} \circ \xi_2^l = \zeta_2, \tag{3.60}$$

up to a subsequence.

Accordingly, by making use of (3.6), (3.10) and (3.60), we have

$$\lim_{l \to \infty} R_l(\xi_2^l)^{(2-Q)/4} = R_l(\zeta_2)^{(2-Q)/4}.$$
(3.61)

For any $\phi \in C_c^{\infty}(\mathbb{H}^n)$, it follows from Claim 3 and Lemma 3.3 that

$$\begin{split} o(1) = & I'_{R_l,\tau_l}(\phi_l)(\mathscr{T}_{l,\xi_2^l,\lambda_2^l}^{-1}\phi) \\ = & (\lambda_1^l)^{2(p_l+1)/(p_l-1)-Q} \Big\{ \int \nabla_{\mathbb{H}} \mathscr{T}_{l,\xi_2^l,\lambda_2^l} u_l \nabla_{\mathbb{H}} \phi - \int T_{\xi_l^{(2)}} R_l((\xi_l^{(2)})^{-1} \circ \xi_2^l \circ \delta_{1/\lambda_2^l}(\cdot)) \\ & \times H^{\tau_l}(\xi_2^l \circ \delta_{1/\lambda_2^l}(\xi_2^l)) |\mathscr{T}_{l,\xi_2^l,\lambda_2^l} u_l|^{p_l-1} (\mathscr{T}_{l,\xi_2^l,\lambda_2^l} u_l) \phi \Big\}. \end{split}$$

Taking the limit $l \to \infty$ and arguing as before, we have

$$\int \nabla_{\mathbb{H}} u_2 \nabla_{\mathbb{H}} \phi - \int R_{\infty}^{(1)}(\zeta_2) |u_2|^{4/(Q-2)} u_2 \phi = 0.$$

Namely, u_2 satisfies

$$-\Delta_{\mathbb{H}} u_2 = R_{\infty}^{(2)}(\zeta_2) |u_2|^{4/(Q-2)} u_2.$$
(3.62)

Arguing as before, for ε_2 small enough we can prove that $u_2 > 0$ and for some $\xi^{**} \in \mathbb{H}^n$ and $\lambda^{**} > 0$,

$$u_2 = R_{\infty}^{(2)}(\zeta_2)^{(2-Q)/4} w_{\xi^{**},\lambda^{**}}.$$
(3.63)

Claim 5. For *l* large enough, we have $|\xi^{**}| = o_{\varepsilon_2}(1)$, $|\lambda^{**} - 1| = o_{\varepsilon_2}(1)$, $(\lambda_2^l)^{\tau_l} = 1 + o_{\varepsilon_2}(1)$.

Proof. The proof is similar to the proof of Claim 2, we omit it here.

We define $\eta_l \in E$ by

$$\mathscr{T}_{l,\xi_2^l,\lambda_2^l}\phi_l = u_2 + \mathscr{T}_{l,\xi_2^l,\lambda_2^l}\eta_l.$$
(3.64)

Clearly,

$$\mathscr{T}_{l,\xi_{j}^{l},\lambda_{2}^{l}}\eta_{l} \rightharpoonup 0 \quad \text{weakly in } E.$$
 (3.65)

Claim 6. For ε_2 small enough, we have $\|I'_{R_l,\tau_l}(\eta_l)\| = o(1)$.

Proof. The proof is similar to the proof of Claim 3, we omit it here. \Box

Claim 7.
$$I_{R_l,\tau_l}(\eta_l) \leq \varepsilon_3 + o(1).$$

Proof. The proof is similar to the proof of Claim 4, we omit it here.

Claim 8. For ε_2 small enough, we have $\eta_l \to 0$ strongly in E.

Proof. The proof makes use of contradiction argument and Claims 6 and 7, we omit the details here. \Box

Rewriting (3.49) and (3.64), we have

$$u_{l} = \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}^{-1}u_{1} + \mathscr{T}_{l,\xi_{1}^{l},\lambda_{1}^{l}}^{-1}u_{2} + \eta_{l}.$$
(3.66)

Claim 9. For ε_2 small enough, we have $(\lambda_i^l)^{\tau_l} = 1 + o_{\varepsilon_3}(1) + o(1)$ for i = 1, 2.

Proof. We first deduce from (3.53), (3.54), and Lemma 3.3 that

$$I_{R_l,\tau_l}(u_l) \ge I_{R_l,\tau_l}(\phi_l) + (\lambda_1^l)^{2(p_l+1)/(p_l-1)-Q} c^{(1)} + o(1).$$
(3.67)

In view of Claim 5, (3.63)-(3.65), some calculations similar to the proof of Claim 4 lead to

$$\begin{split} I_{K_l,\tau_l}(\phi_l) = &I_{K_l,\tau_l}(\eta_l) + (\lambda_2^l)^{2(p_l+1)/(p_l-1)-Q} \Big\{ \frac{1}{2} \int |\nabla_{\mathbb{H}} u_2|^2 - \frac{1}{Q^*} \int R_l(\xi_2^l \circ \delta_{1/\lambda_2^l}(\cdot)) \\ & H^{\tau_l}(\xi_2^l \circ \delta_{1/\lambda_2^l}(\cdot)) |u_2|^{p_l+1} \Big\} + o(1). \end{split}$$

Similar to the calculation in (3.54), we derive from (3.6), (3.60) and (1.12) that

$$\frac{1}{2} \int |\nabla_{\mathbb{H}} u_2|^2 - \frac{1}{Q^*} \int R_l(\xi_2^l \circ \delta_{1/\lambda_2^l}(\cdot)) H^{\tau_l}(\xi_2^l \circ \delta_{1/\lambda_2^l}(\cdot)) |u_2|^{p_l+1} \ge c^{(2)} + o(1).$$

Combining the above estimates with Lemma 3.3 we have

$$I_{R_l,\tau_l}(\phi_l) \ge I_{R_l,\tau_l}(\eta_l) + (\lambda_2^l)^{2(p_l+1)/(p_l-1)-Q} c^{(2)} + o(1).$$
(3.68)

Then we use Claim 8 to deduce that

$$I_{R_l,\tau_l}(\eta_l) = o(1).$$
(3.69)

Finally, we put together (3.19), (3.67)-(3.69) to obtain

$$\sum_{i=1}^{2} \{ (\lambda_i^l)^{2(p_l+1)/(p_l-1)-Q} - 1 \} c^{(i)} \le \varepsilon_3 + o(1).$$

This completes the proof of Claim 9.

Claim 10. Let $\delta_5 = \delta_1/(2A_3) > 0$. Then if ε_2 is chosen to be small enough, we have, for large l, that dist $(\xi_i^l, \partial O_l^{(i)}) \ge \delta_5$ for i = 1, 2.

Proof. The proof is similar to the proof of Lemma 3.1, we omit it here.

Now we are in the position to prove Proposition 3.2.

Proof of Proposition 3.2. Applying (3.6), (3.10), (3.42), (3.45), and Claim 9, we deduce that

$$\begin{aligned} \mathscr{T}_{l,\xi_{2}^{l},\lambda_{2}^{l}}^{-1} u_{1} &= (\lambda_{1}^{l})^{2/(p_{l}-1)} u_{1}(\delta_{\lambda_{1}^{l}}((\xi_{1}^{l})^{-1} \circ \cdot)) \\ &= (\lambda_{1}^{l})^{2/(p_{l}-1)-(Q-2)/2} R_{\infty}^{(1)}(\zeta_{1})^{(2-Q)/4} w_{\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*}),\lambda^{*}\lambda_{1}^{l}} \\ &= R_{\infty}^{(1)}(\zeta_{1})^{(2-Q)/4} w_{\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*}),\lambda^{*}\lambda_{1}^{l}, + o_{\varepsilon_{3}}(1) \\ &= R_{l} \left(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*})\right)^{(2-Q)/4} w_{\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*}),\lambda^{*}\lambda_{1}^{l} + o_{\varepsilon_{3}}(1) + o(1). \end{aligned}$$

Similarly, we have

$$\mathscr{T}_{l,\xi_{2}^{l},\lambda_{2}^{l}}^{-1}u_{2} = R_{l} \big(\xi_{2}^{l} \circ \delta_{1/\lambda_{2}^{l}}(\xi^{**})\big)^{(2-Q)/4} w_{\xi_{2}^{l} \circ \delta_{1/\lambda_{2}^{l}}(\xi^{**}),\lambda^{**}\lambda_{2}^{l}, + o_{\varepsilon_{3}}(1) + o(1).$$

Therefore, we can rewrite (3.66) as (see Claim 8 and the above)

$$u_{l} = R_{l} \left(\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*})\right)^{(2-Q)/4} w_{\xi_{1}^{l} \circ \delta_{1/\lambda_{1}^{l}}(\xi^{*}),\lambda^{*}\lambda_{1}^{l}, + R_{l} \left(\xi_{2}^{l} \circ \delta_{1/\lambda_{2}^{l}}(\xi^{**})\right)^{(2-Q)/4} w_{\xi_{2}^{l} \circ \delta_{1/\lambda_{2}^{l}}(\xi^{**}),\lambda^{**}\lambda_{2}^{l}} + o_{\varepsilon_{3}}(1) + o(1).$$
(3.70)

We now fix the value of ε_2 small enough to make all the previous arguments hold and then make ε_3 small (depending on ε_2) such that (using Claim 9):

$$|(\lambda^* \lambda_i^l)^{\tau_l} - 1| = o_{\varepsilon_3}(1) + o(1) < \varepsilon_2/2 \quad \text{for } i = 1, 2.$$
(3.71)

From (3.70), (3.71), Claims 1 and 9, we see that for ε_3 small, we have, for large $l, u_l \in \widetilde{V}_l(2, \varepsilon_2/2)$. This contradicts to (3.18). We conclude the proof of Proposition 3.2.

3.2 Complete the proof of Theorem 3.1

In this subsection we will complete the proof of Theorem 3.1. Precisely, under the contrary of Theorem 3.1 and combining with the Proposition 3.2 established in the previous subsection, a contradiction will be produced by adopting and modifying the minimax procedure as in [19–21,52, 65]. To reduce overlaps, we will omit the proofs of several intermediate results which closely follow standard arguments, giving appropriate references. We start the proof by defining a certain family of sets and minimax values and giving some notations.

Let Ω be a smooth bounded domain in \mathbb{H}^n . Define the space $S_0^1(\Omega)$ by taking the closure of $C_c^{\infty}(\Omega)$ under the norm

$$||u||_{S_0^1(\Omega)} = \left(\int_{\Omega} |\nabla_{\mathbb{H}} u|^2\right)^{1/2} + \left(\int_{\Omega} |u|^2\right)^{1/2}.$$

By means of (1.12), this norm is equivalent to the norm generated by the inner product $\langle u, v \rangle_{S_0^1(\Omega)} = \int_{\Omega} \nabla_{\mathbb{H}} u \nabla_{\mathbb{H}} v$. Using the invariance under translations and dilations, it is easy to see that S_n is also the best Sobolev constant for the embedding $S_0^1(\Omega) \hookrightarrow L^{Q^*}(\Omega)$ and is not achieved, see [48].

In the following part of this section, we write $\tau_l = \tau$, $p_l = p$. Now, for each i = 1, 2, we define

$$\begin{split} \gamma_{l,\tau}^{(i)} = &\{g^{(i)} \in C([0,1], S_0^1(B_{S_l}(\xi_l^{(i)}))) : g^{(i)}(0) = 0, I_{R_l,\tau}(g^{(i)}(1)) < 0\}, \\ c_{l,\tau}^{(i)} = &\inf_{g^{(i)} \in \gamma_{l,\tau}^{(i)}} \max_{0 \le \theta_i \le 1} I_{R_l,\tau}(g^{(i)}(\theta_i)). \end{split}$$

We have abused the notation a little by writing $I_{R_l,\tau}: S_0^1(B_{S_l}(\xi_l^{(i)})) \to \mathbb{R}$ for i = 1, 2.

Proposition 3.3. Let $\{R_l\}$ be a sequence of functions satisfying (3.2), (3.4) and (3.5). Then it holds $c_{l,\tau}^{(1)} = c^{(1)} + o(1)$ for i = 1, 2, where $o(1) \to 0$ as $l \to \infty$.

Proof. The proof can be completed by using the definition of $c_{l,\tau}^{(i)}$ with some standard functional analysis arguments, we omit the details here.

We define

$$\Gamma_{l} = \{ G = g^{(1)} + g^{(2)} : g^{(1)}, g^{(2)} \text{ satisfy } (3.72) - (3.75) \},\$$

$$g^{(1)}, g^{(2)} \in C([0, 1]^{2}, E),$$
(3.72)

$$g^{(1)}(0,\theta_2) = g^{(2)}(\theta_1,0) = 0, \quad 0 \le \theta_1, \theta_2 \le 1,$$
(3.73)

$$I_{R_{l},\tau}(g^{(1)}(1,\theta_{2})) < 0, \ I_{R_{l},\tau}(g^{(2)}(\theta_{1},1)) < 0, \quad 0 \le \theta_{1}, \theta_{2} \le 1,$$
(3.74)

$$\sup p g^{(i)}(\theta) \subset B_{S_l}(\xi_l^{(i)}), \quad \theta = (\theta_1, \theta_2) \in [0, 1]^2, \ i = 1, 2,$$

$$b_{l,\tau} = \inf_{G \in \Gamma_l} \max_{\theta \in [0, 1]^2} I_{R_l, \tau}(G(\theta)).$$

$$(3.75)$$

Remark 3.4. Observe that if $G = g^{(1)} + g^{(2)}$ with $g^{(1)} \in \gamma_{l,\tau}^{(1)}$, $g^{(2)} \in \gamma_{l,\tau}^{(2)}$, $\operatorname{supp} g^{(1)} \cap \operatorname{supp} g^{(2)} = \emptyset$, then $I_{R_l,\tau}(G) = I_{R_l,\tau}(g^{(1)}) + I_{R_l,\tau}(g^{(2)})$.

Proposition 3.4. Let $\{R_l\}$ be a sequence of functions satisfying (3.2), (3.4) and (3.5), then it holds $b_{l,\tau} = c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} + o(1).$

Proof. We first prove that $b_{l,\tau} \ge c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)}$. Indeed it can be achieved from the definition of $c_{l,\tau}^{(i)}$ with additional compactness argument on $[0,1]^2$, we omit it here and refer to [20, Proposition 3.4] for details.

On the other hand, for $0 \leq \theta_1, \theta_2 \leq 1$, let $g_l^{(i)}(\theta_i) = \theta_i C_1 R_l(\xi_l^{(i)})^{(2-Q)/4} \eta(\xi_l^{(i)} \circ \cdot) w_{\xi_l^{(i)},\lambda_l}$ for i = 1, 2, where $\lambda_l \to \infty$ is a sequence satisfying $(\lambda_l)^{\tau} = 1 + o(1)$, and $C_1 = C_1(n, A_1, A_2) > 1$ is a constant, such that for l large, $I_{R_l,\tau} g_l^{(i)}(1) < 0$ for each i = 1, 2. We fix the value of C_1 from now on.

For $\theta = (\theta_1, \theta_2) \in [0, 1]^2$, let $G_l(\theta) = g_l^{(1)}(\theta_1) + g_l^{(2)}(\theta_2)$. Clearly, $G_l \in \Gamma_l$ and

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(G_l(\theta)) = \max_{\theta \in [0,1]^2} I_{R_l,\tau}(g_l^{(1)}(\theta_1)) + \max_{\theta \in [0,1]^2} I_{R_l,\tau}(g_l^{(1)}(\theta_1))$$
$$\leq \sum_{i=1}^2 \max_{0 \le s < \infty} I_{R_l,\tau}(s\eta(\xi_l^{(i)} \circ \cdot)w_{\xi_l^{(i)},\lambda_l}) + o(1)$$
$$= \sum_{i=1}^2 \frac{1}{Q} (a^{(i)})^{(2-Q)/2} (S_n)^Q + o(1)$$

$$=c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} + o(1),$$

where the last equality is due to Proposition 3.3. Therefore, $b_{l,\tau} \leq c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} + o(1)$.

In the subsequent analysis, we show that under the contrary of Theorem 3.1, it is possible to construct $H_l \in \Gamma_l$ for large l, such that

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(H_l(\theta)) < b_{l,\tau},$$

which contradicts to the definition of $b_{l,\tau}$. A lengthy construction is required to establish this fact and a brief sketch of it will be given now.

Step 1: Choose some suitably small number $\varepsilon_4 > 0$, we can construct $G_l \in \Gamma_l$ such that

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(G_l(\theta)) \le b_{l,\tau} + \varepsilon_4.$$

Furthermore, G_l satisfies some further properties.

Step 2: We follow the negative gradient flow of $I_{R_l,\tau}$ to deform G_l to U_l with

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(U_l(\theta)) \le b_{l,\tau} - \varepsilon_4.$$

However, U_l is not necessarily in Γ_l any more since the deformation may not preserve properties (3.75).

Step 3: Applying Propositions 3.2, 2.4 and 2.5, we modify U_l to obtain $H_l \in \Gamma_l$ with

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(H_l(\theta)) \le b_{l,\tau} - \varepsilon_4/2.$$

Step 4: Complete the proof by using the minimax structure of H_l .

All four steps are completed for large l. Now we start to establish these steps.

Step 1: Construction of G_l .

Let G_l be the one we have just defined. We establish some properties of G_l which are needed.

Lemma 3.4. For any $\varepsilon \in (0,1)$, if $I_{R_l,\tau}(g_l^{(i)}(\theta_i)) \ge c_{l,\tau}^{(i)} - \varepsilon$ for i = 1, 2, then there exist two constants $\Lambda_1 = \Lambda_1(\varepsilon, A_1, A_3) > 1$ and $C_0 = C_0(n) > 0$, such that for any $l \ge \Lambda_1$, $0 \le \theta_1, \theta_2 \le 1$, we have $|C_1\theta_i - 1| \le C_0\sqrt{\varepsilon}$ for i = 1, 2, where C_1 is the constant in the proof of Proposition 3.4.

Proof. We only take into account the case i = 1 since the other case can be covered in the same way. Let $s = C_1 \theta_1$, a direct calculation shows that

$$\begin{split} I_{R_{l},\tau}(g_{l}^{(1)}(\theta_{1})) &= \frac{1}{2}s^{2}R_{l}(\xi_{l}^{(1)})^{(2-Q)/2} \int |\nabla_{\mathbb{H}}(\eta(\xi_{l}^{(1)} \circ \cdot)w_{\xi_{l}^{(1)},\lambda_{l}})|^{2} \\ &\quad - \frac{1}{p+1}s^{p+1}R_{l}(\xi_{l}^{(1)})^{-(Q-2)(p+1)/4} \int R_{l}H^{\tau}|\eta(\xi_{l}^{(1)} \circ \cdot)w_{\xi_{l}^{(1)},\lambda_{l}}|^{p+1} \\ &= \left(\frac{1}{2} + o(1)\right)s^{2}R_{l}(\xi_{l}^{(1)})^{(2-Q)/2} \int |\nabla_{\mathbb{H}}w_{0,1}|^{2} \\ &\quad - \left(\frac{1}{Q^{*}} + o(1)\right)s^{p+1}R_{l}(\xi_{l}^{(1)})^{(2-Q)/2} \int w_{0,1}^{Q^{*}} \\ &= \left[\left(\frac{Q}{2} + o(1)\right)s^{2} - \left(\frac{Q-2}{2} + o(1)\right)s^{p+1}\right]c_{l,\tau}^{(1)}, \end{split}$$

where Proposition 3.4 is used in the last step. Hence, using above identity and the hypothesis $I_{R_l,\tau}(g_l^{(1)}(\theta_1)) \ge c_{l,\tau}^{(1)} - \varepsilon$, we complete the proof.

Lemma 3.5. For any $\varepsilon \in (0,1)$, there exists $\Lambda_2 = \Lambda_2(\varepsilon, A_1, A_3) > \Lambda_1$ such that for $l \geq \Lambda_2$, $0 \leq \theta_1, \theta_2 \leq 1$, we have $I_{R_l,\tau}(g_l^{(i)}(\theta_i)) \leq c_{l,\tau}^{(i)} + \varepsilon/10$ for i = 1, 2.

Proof. The proof is similar to that of Proposition 3.4, we omit the details here.

Lemma 3.6. For any $\varepsilon \in (0,1)$, there exists $\Lambda_3 = \Lambda_3(n,\varepsilon,A_1,A_3) > \Lambda_2$ such that for $l \ge \Lambda_3$, we have $I_{R_l,\tau}(G_l(\theta))|_{\theta \in \partial[0,1]^2} \le \max\{c^{(1)} + \varepsilon, c^{(2)} + \varepsilon\}.$

Proof. Lemma 3.6 follows immediately from Lemma 3.5.

Lemma 3.7. There exists some universal constant $C_0 = C_0(n) > 1$ such that for any $\varepsilon \in (0, 1/2)$, $l \ge \Lambda_3(\varepsilon, A_1, A_3)$ and $\theta \in [0, 1]^2$, $I_{R_l, \tau}(G_l(\theta)) \ge c_{l, \tau}^{(1)} + c_{l, \tau}^{(2)} - \varepsilon$ implies that $|C_1\theta_i - 1| \le C_0\sqrt{\varepsilon}$ for i = 1, 2.

Proof. Lemma 3.7 follows from Lemmas 3.4 and 3.5, we omit the details here.

Step 2: The deformation of G_l . Let

$$M_l = \sup\{\|I'_{R_l,\tau}(u)\| : u \in V_l(2,\varepsilon_1)\}, \quad \beta_l = \operatorname{dist}(\partial V_l(2,\varepsilon_2), \partial V_l(2,\varepsilon_2/2))$$

One can see from the definition of M_l that there exists a constant $C_2 = C_2(n, A_1, \varepsilon_2) > 1$ such that $M_l \leq C_2$. It is also clear from the definition of $\widetilde{V}_l(2, \varepsilon_2)$ that $\beta_l \geq \varepsilon_2/4$.

By Lemma 3.7, we choose ε_4 to satisfy, for l large, that

$$\varepsilon_4 < \min\left\{\varepsilon_3, \frac{1}{2A_4}, \frac{\varepsilon_2 \delta_4^2}{8C_2}\right\},\tag{3.76}$$

$$I_{R_{l},\tau}(G_{l}(\theta)) \geq c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_{4} \text{ implies that}$$

$$G_{l}(\theta) \in \widetilde{V}_{l}(2, \varepsilon_{2}/2), \xi_{1}(G_{l}(\theta)) \in O_{l}^{(1)}, \xi_{2}(G_{l}(\theta)) \in O_{l}^{(2)},$$
(3.77)

where $\delta_4 = \delta_4(\varepsilon_2, \varepsilon_3)$ is the constant in Proposition 3.2. $G_l(\theta)$ has been defined by now.

We know from Lemma 3.5 that for l large enough, $\max_{\theta \in [0,1]^2} I_{R_l,\tau}(G_l(\theta)) \leq c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} + \varepsilon_4$. For any $u_0 \in \widetilde{V}_l(2, \varepsilon_2/2)$, we consider the negative gradient of $I_{R_l,\tau}$:

$$\frac{\mathrm{d}}{\mathrm{d}s}\phi(s, u_0) = -I'_{R_l,\tau}(\phi(s, u_0)), \quad s \ge 0,$$

$$\phi(0, u_0) = u_0.$$
(3.78)

Under the contrary of Theorem 3.1, we know that $I_{R_l,\tau}$ satisfies the Palais-Smale condition. Furthermore, the flow defined above never stops before exiting $V_l(2, \varepsilon^*)$.

Now we define $U_l \in C([0, 1]^2, E)$ by the following.

- If $I_{R_l,\tau}(G_l(\theta)) \le c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} \varepsilon_4$, we define $s_l^*(\theta) = 0$.
- If $I_{R_l,\tau}(G_l(\theta)) > c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} \varepsilon_4$, then, according to (3.77), $G_l(\theta) \in \widetilde{V}_l(2,\varepsilon_2/2), \xi_1(G_l(\theta)) \in O_l^{(1)}, \xi_2(G_l(\theta)) \in O_l^{(2)}$. We define $s_l^*(\theta) = \min\{s > 0 : I_{R_l,\tau}(\phi(s, G_l(\theta))) = c_{l,\tau}^{(2)} \varepsilon_4\}.$

We set

$$U_l(\theta) = \phi(s_l^*(\theta), G_l(\theta)).$$

The above definition is justified in the following.

Lemma 3.8. For any $u_0 \in \widetilde{V}_l(2, \varepsilon_2/2)$, with $\xi_1(u_0) \in O_l^{(1)}$, $\xi_2(u_0) \in O_l^{(2)}$, and $c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4 < I_{R_l,\tau}(u_0) < c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} + \varepsilon_4$, the flow line $\phi(s, u_0)$ ($s \ge 0$) cannot leave $\widetilde{V}_l(2, \varepsilon_2)$ before reaching $I_{R_l,\tau}^{-1}(c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4)$.

Proof. The proof can be done exactly in the same way as in [6, Lemma 5], so we omit it.

Remark 3.5. We see from Lemma 3.8 that $s_l^*(\theta)$ is well defined. Since $I_{R_l,\tau}$ has no critical point in $\widetilde{V}_l(2,\varepsilon_2) \cap \{u \in E : |I_{R_l,\tau}(u) - c^{(1)} - c^{(2)}| \le \varepsilon_4\} \subset V_l(2,\varepsilon^*) \cap \{u \in E : |I_{R_l,\tau}(u) - c^{(1)} - c^{(2)}| \le \varepsilon^*\}$ under the contradiction hypothesis, $s_l^*(\theta)$ is continuous in θ (see also [52, Proposition 5.11] and [6, Lemma 5]), hence $U_l \in C([0,1]^2, E)$.

Step 3: The construction of H_l .

It follows from the construction of U_l that $\max_{\theta \in [0,1]^2} I_{R_l,\tau}(U_l(\theta)) \leq c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4$. Since the gradient flow does not keep property (3.75), $U_l(\theta)$ is not necessarily in Γ_l any more. It follows from Lemma 3.8 that if $I_{R_l,\tau}(G_l(\theta)) > c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4$, then the gradient flow $\phi(s, G_l(\theta))$ ($s \geq 0$) cannot leave $\widetilde{V}_l(2, \varepsilon_2)$ before reaching $I_{R_l,\tau}^{-1}(c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4)$. It follows that if $I_{R_l,\tau}(G_l(\theta)) > c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4$, then $U_l(\theta) \in \widetilde{V}_l(2, \varepsilon_2) \subset V_l(2, o_{\varepsilon}(1))$ with $\xi_1(U_l(\theta)) \in O_l^{(1)}, \, \xi_2(U_l(\theta)) \in O_l^{(2)}$, which implies that

$$\int_{\Omega_l} |\nabla_{\mathbb{H}} U_l(\theta)|^2 + |U_l(\theta)|^{Q^*} = o_{\varepsilon_2}(1), \qquad (3.79)$$

$$||U_l(\theta)||_{H^{1/2}(\partial\Omega_l)} = o_{\varepsilon_2}(1),$$
 (3.80)

where

$$\Omega_{l} = \mathbb{H}^{n} \setminus \{B_{S}(\xi_{l}^{(1)}) \cup B_{S}(\xi_{l}^{(2)})\},\$$

$$S = 4(\operatorname{diam} O^{(1)} + \operatorname{diam} O^{(2)}),\$$

$$\operatorname{diam} O^{(i)} = \sup\{d(\xi, \xi_{0}) : \xi, \xi_{0} \in \mathbb{H}^{n}\} \quad \text{for } i = 1, 2,\$$

and $O^{(1)}$, $O^{(2)}$ are defined by (3.1).

Without loss of generality, we can assume that $\varepsilon_2 > 0$ has been so small that we can apply Proposition 2.5. We modify $U_l(\theta)$ in Ω_l after making the following minimization.

Let

 $\varphi_l(\theta) = U_l(\theta)|_{\partial \Omega_l}.$

Because of (3.79) and (3.80), we can apply Proposition 2.5 to obtain the minimizer $u_{\varphi_l}(\theta)$ to the problem (3.11) with $\varphi = \varphi_l(\theta)$, $\Omega = \Omega_l$. We define for $\theta \in [0, 1]^2$ that

$$W_{l}(\theta)(\xi) = \begin{cases} U_{l}(\theta)(\xi), & \xi \in \mathbb{H}^{n} \backslash \Omega_{l}, \\ u_{\varphi_{l}}(\theta)(\xi), & \xi \in \Omega_{l}. \end{cases}$$

It follows from Proposition 2.5 that $W_l \in C([0,1]^2, E)$ and satisfies

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(W_l(\theta)) \le \max_{\theta \in [0,1]^2} I_{R_l,\tau}(U_l(\theta)) \le c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4,$$
(3.81)

$$\int_{\Omega_l} |\nabla_{\mathbb{H}} W_l(\theta)|^2 + |W_l(\theta)|^{Q^*} = o_{\varepsilon_2}(1), \qquad (3.82)$$

$$-\Delta_{\mathbb{H}} W_l(\theta) = R_l(\xi) H^{\tau} |W_l(\theta)|^{p-1} W_l(\theta) \quad \text{in } \Omega_l.$$
(3.83)

Moreover, $W_l(\theta) \ge 0$ in Ω_l can be proved by using (3.82) and (2.10), see also the proof in the Claim 1. $W_l(\theta) > 0$ in Ω_l^c can be seen from the definition of $V_l(2, o_{\varepsilon_2}(1))$ and Proposition 3.1.

Write

$$\Omega_{l}^{1} := (B_{l_{1}}(\xi_{l}^{(1)}) \setminus B_{S}(\xi_{l}^{(1)})) \cup (B_{l_{1}}(\xi_{l}^{(2)}) \setminus B_{r}(z_{l}^{(2)})),
\Omega_{l}^{2} := (B_{l_{2}}(\xi_{l}^{(1)}) \setminus B_{l_{1}}(\xi_{l}^{(1)})) \cup (B_{l_{2}}(\xi_{l}^{(2)}) \setminus B_{l_{1}}(\xi_{l}^{(2)})),
\Omega_{l}^{3} := (\mathbb{H}^{n} \setminus B_{l_{2}}(\xi_{l}^{(1)})) \cap (\mathbb{H}^{n} \setminus B_{l_{2}}(\xi_{l}^{(2)})).$$

Obviously, $\Omega_l = \Omega_l^1 \cup \Omega_l^2 \cup \Omega_l^3$ for large l. For $l_2 > 100l_1 > 1000S$ (the values of l_1 , l_2 will be determined in the end), we introduce the cut-off functions $\eta_l \in C_c^{\infty}(\mathbb{H}^n)$ satisfying

$$\eta_{l}(\xi) = \begin{cases} 1, & \xi \in B_{l_{1}}(\xi_{l}^{(1)}) \cap B_{l_{1}}(\xi_{l}^{(2)}), \\ 0, & \xi \in (\mathbb{H}^{n} \setminus B_{l_{2}}(\xi_{l}^{(1)})) \cup (\mathbb{H}^{n} \setminus B_{l_{2}}(\xi_{l}^{(2)})), \\ \geq 0, & \text{otherwise,} \\ |\nabla_{\mathbb{H}} \eta_{l}(\xi)| \leq \frac{10}{l_{2} - l_{1}}, \quad \xi \in \mathbb{H}^{n}, \end{cases}$$

and set $H_l(\theta) = \eta_l W_l(\theta)$.

Step 4: Now we complete the proof by using the minimax structure of H_l . Roughly speaking, we will prove that $H_l(\theta) \in \Gamma_l$ but its energy bound contradicts to $b_{l,\tau}$.

Multiplying $(1 - \eta_l)W_l(\theta)$ on both sides of (3.83) and integrating by parts, we have

$$\int_{\Omega_l} \nabla_{\mathbb{H}} W_l(\theta) \nabla_{\mathbb{H}} ((1-\eta_l) W_l(\theta)) = \int_{\Omega_l} R_l H^{\tau} (1-\eta_l) |W_l(\theta)|^{p+1}$$

A direct computation shows that

$$\begin{split} &\int_{\Omega_{l}^{3}} |\nabla_{\mathbb{H}} W_{l}(\theta)|^{2} - \int_{\Omega_{l}^{3}} R_{l} H^{\tau} |W_{l}(\theta)|^{p+1} \\ &= -\int_{\Omega_{2}^{l}} \nabla_{\mathbb{H}} W_{l}(\theta) \nabla_{\mathbb{H}} ((1-\eta_{l}) W_{l}(\theta)) + \int_{\Omega_{2}^{l}} R_{l} H^{\tau} (1-\eta_{l}) |W_{l}(\theta)|^{p+1} \\ &\geq -\int_{\Omega_{2}^{l}} |\nabla_{\mathbb{H}} W_{l}(\theta)|^{2} - \frac{10}{l_{2}-l_{1}} \int_{\Omega_{2}^{l}} |\nabla_{\mathbb{H}} W_{l}(\theta)| |W_{l}(\theta)| - 2A_{1} \int_{\Omega_{2}^{l}} |W_{l}(\theta)|^{p+1}. \end{split}$$

By Proposition 2.4 we know that

$$|W_l(\theta)(\xi)| \le \frac{C_3(n, A_1)}{d(\xi, \xi_l^{(i)})^{Q-2}}, \quad l_1 \le d(\xi, \xi_l^{(i)}) \le l_2,$$
(3.84)

$$|\nabla_{\mathbb{H}} W_l(\theta)(\xi)| \le \frac{C_3(n, A_1)}{d(\xi, \xi_l^{(i)})^{Q-1}}, \quad l_1 \le d(\xi, \xi_l^{(i)}) \le l_2,$$
(3.85)

when l is chosen large enough. By combining (3.84) and (3.85), we have

$$\int_{\Omega_l^3} |\nabla_{\mathbb{H}} W_l(\theta)|^2 - \int_{\Omega_l^3} R_l H^\tau |W_l(\theta)|^{p+1} \ge -C_0(n)C_3(n, A_1) \Big(\frac{1}{l_1^2} - \frac{1}{l_2^2}\Big).$$
(3.86)

Using the above estimates (3.84)–(3.86), we obtain

$$I_{R_l,\tau}(H_l(\theta)) = \frac{1}{2} \int |\nabla_{\mathbb{H}} \eta_l|^2 |W_l(\theta))|^2 + \int \eta_l W_l(\theta) \nabla_{\mathbb{H}} \eta_l \nabla_{\mathbb{H}} W_l(\theta)$$

$$\begin{split} &+ \frac{1}{2} \int \eta_{l}^{2} |\nabla_{\mathbb{H}} W_{l}(\theta)|^{2} - \frac{1}{p+1} \int R_{l} H^{\tau} |\eta_{l} W_{l}(\theta)|^{p+1} \\ = &I_{R_{l},\tau}(W_{l}(\theta)) + \frac{1}{2} \int_{\Omega_{l}^{2}} |\nabla_{\mathbb{H}} \eta_{l}|^{2} |W_{l}(\theta))|^{2} + \int_{\Omega_{l}^{2}} \eta_{l} W_{l}(\theta) \nabla_{\mathbb{H}} \eta_{l} \nabla_{\mathbb{H}} W_{l}(\theta) \\ &+ \frac{1}{2} \int_{\Omega_{l}^{2} \cup \Omega_{l}^{3}} (\eta_{l}^{2} - 1) |\nabla_{\mathbb{H}} W_{l}(\theta)|^{2} + \frac{1}{p+1} \int_{\Omega_{l}^{2} \cup \Omega_{l}^{3}} R_{l} H^{\tau} (1 - \eta_{l}^{p+1}) |W_{l}(\theta)|^{p+1} \\ \leq &I_{R_{l},\tau}(W_{l}(\theta)) + C_{0}(n) C_{3}(n, A_{1}) \frac{\ln l_{2} - \ln l_{1}}{(l_{2} - l_{1})^{2}} + C_{0}(n) C_{3}(n, A_{1}) \frac{1}{l_{1}l_{2}} \\ &+ C_{0}(n) C_{3}(n, A_{1}) \left(\frac{1}{l_{1}^{2}} - \frac{1}{l_{2}^{2}}\right) \\ &+ \frac{1}{2} \int_{\Omega_{l}^{2}} (|\eta_{l}|^{2} - 1) |\nabla_{\mathbb{H}} W_{l}(\theta)|^{2} + \frac{1}{p+1} \int_{\Omega_{l}^{2}} R_{l} H^{\tau} (1 - |\eta_{l}|^{p+1}) |W_{l}(\theta)|^{p+1} \\ \leq &I_{R_{l},\tau}(W_{l}(\theta)) + C_{0}(n) C_{3}(n, A_{1}) \frac{\ln l_{2} - \ln l_{1}}{(l_{2} - l_{1})^{2}} + C_{0}(n) C_{3}(n, A_{1}) \frac{1}{l_{1}l_{2}} \\ &+ C_{0}(n) C_{3}(n, A_{1}) \left(\frac{1}{l_{1}^{2}} - \frac{1}{l_{2}^{2}}\right) + C_{0}(n) C_{3}(n, A_{1}) \left(\frac{1}{l_{1}^{4}} - \frac{1}{l_{2}^{4}}\right). \end{split}$$

Now using (3.81) and choosing $l_2 > 200l_1$, $l_1 > 10S$ to be large enough, we have

$$I_{R_l,\tau}(H_l(\theta)) \le c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4/2.$$

Then for l large enough (depending on $l_1, l_2, \varepsilon' s, C' s$), it holds $H_l \in \Gamma_l$. Therefore, for l large enough, we obtain

$$\max_{\theta \in [0,1]^2} I_{R_l,\tau}(H_l(\theta)) \le c_{l,\tau}^{(1)} + c_{l,\tau}^{(2)} - \varepsilon_4/2 < b_{l,\tau},$$

which contradicts to the definition of $b_{l,\tau}$. We now complete the proof of Theorem 3.1.

4 BLOW UP ANALYSIS AND PROOF OF MAIN THEOREMS

In this section we present the main result Proposition 4.1, from which we deduce Theorems 1.1–1.3 and Corollary 1.1. The crucial ingredients of our proofs are the understanding of the blow up profiles, see the work in Prajapat-Ramaswamy [61].

4.1 Subcritical approximation

We state the main result as following:

Proposition 4.1. Assume that $\{R_l\}$ is a sequence of functions satisfying conditions (i)-(iii) and (R_2) . Assume also that there exist some bounded open sets $O^{(1)}, \ldots, O^m \subset \mathbb{H}^n$ and some constants $\delta_2, \delta_3 > 0$, such that for all $1 \leq i \leq m$,

$$(\xi_l^{(i)})^{-1} \circ \widetilde{O}_l^{(i)} \subset O^{(i)} \quad \text{for all } l, \\ \{u: I'_{R_{\infty}^{(i)}}(u) = 0, u > 0, u \in E, c^{(i)} \leq I_{R_{\infty}^{(i)}}(u) \leq c^{(i)} + \delta_2\} \cap V(1, \delta_3, O^{(i)}, R_{\infty}^{(i)}) = \emptyset.$$

Then for any $\varepsilon > 0$, there exists integer $\overline{l}_{\varepsilon,m} > 0$, such that, for all $l \ge \overline{l}_{\varepsilon,m}$, there exists $u_l \in V_l(m, \varepsilon)$ which solves

$$-\Delta_{\mathbb{H}} u_l = R_l(\xi) u_l^{(Q+2)/(Q-2)}, \quad u_l > 0 \quad in \ \mathbb{H}^n.$$
(4.1)

Furthermore, u_l satisfies

$$\sum_{i=1}^{m} c^{(i)} - \varepsilon \le I_{R_l}(u_l) \le \sum_{i=1}^{m} c^{(i)} + \varepsilon.$$

$$(4.2)$$

The proof of Proposition 4.1 is by contradiction argument, depending on blow up analysis for a family of subcritical equations (3.15) approximating (4.1). More precisely, if the sequence of subcritical solutions $u_{l,\tau}$ ($0 < \tau < \overline{\tau}_l$) obtained in Theorem 3.1 is uniformly bounded as $\tau \to 0$, some subelliptic estimates in [61, Claim 5.3] imply that there exists a subsequence converging to a positive solution u_l of (4.1) satisfying (4.2). However, a prior $\{u_{l,\tau}\}$ might blow up, we have to rule out this possibility.

Note that $u_{l,\tau} \in V_l(m, o_{\varepsilon_2}(1))$, which consists of functions with $m \ (m \ge 2)$ bumps, some blow up analysis results in [61, Sections 6-7] imply that, as $\tau \to 0$, there is no blow up occurring under the hypotheses of Proposition 4.1. Thus we only need to show the boundedness of $\{u_{l,\tau}\}$ as $\tau \to 0$, this will be done by contradiction argument with the aid of blow up analysis established in Prajapat-Ramaswamy [61]. We give a brief introduction for readers' convenience.

Let $\{\tau_i\}_{i=1}^{\infty}$ be a sequence of nonnegative constants satisfying $\lim_{i\to\infty} \tau_i = 0$, and set $p_i = \frac{Q+2}{Q-2} - \tau_i$. Suppose that $0 \le u_i \in \Gamma_2(\Omega)$ satisfies

$$-\Delta_{\mathbb{H}} u_i = R_i(\xi) u_i^{p_i} \quad \text{in } \Omega, \tag{4.3}$$

where Ω is a domain in \mathbb{H}^n and $R_i \in \Gamma_{2+\alpha}(\Omega)$, $0 < \alpha < 1$ satisfy, for some positive constants A_1 and A_2 ,

$$1/A_1 \le R_i \quad \text{and} \quad \|R_i\|_{C^1(\Omega)} \le A_2.$$
 (4.4)

We recall the notion of various types of blow up points, which were introduced by Schoen [66–68]. This incisive concept helps to regain compactness, and forms a natural demarcation to more complicated types of blow up phenomenon.

Definition 4.1. Suppose that $\{u_i\}$ satisfies (4.3) and $\{R_i\}$ satisfies (4.4).

- (1) $\overline{\xi} \in \Omega$ is called a blow up point of $\{u_i\}$ if there exists a sequence $\xi_i \in \Omega$ such that ξ_i is a local maximum point of u_i satisfying $u_i(\xi_i) \to \infty$ and $\xi_i \to \overline{\xi}$ as $i \to \infty$. For simplicity, we will often say that $\xi_i \to \overline{\xi}$ is a blow up point of $\{u_i\}$.
- (2) $\overline{\xi} \in \Omega$ is an isolated blow up point of $\{u_i\}$ if $\xi_i \to \overline{\xi}$ is a blow up point such that

$$u_i(\xi) \le \overline{C}d(\xi,\xi_i)^{-2/(p_i-1)} \quad \text{for any } \xi \in B_{\overline{r}}(\xi_i) \setminus \{\xi_i\},$$

where $0 < \overline{r} < \operatorname{dist}(\overline{\xi}, \Omega)$ and $\overline{C} > 0$ are some constants.

(3) For any $\theta \in \partial B_1$, we define the function $f_{u_i,\theta}(s) : [0,R] \to \mathbb{R}$ (for a fixed R > 0) as

$$f_{u_i,\theta}(s) = s^{2/(p_i-1)} u_i(\xi_i \circ \delta_s \theta)$$

where $\delta_s \theta$ is the dilation in \mathbb{H}^n . We say that an isolated blow up point $\overline{\xi} \in \Omega$ of $\{u_i\}$ is simple if there exists $\rho > 0$ (independent of i and $\theta \in \partial B_1$) such that $f_{u_i,\theta}$ has precisely one critical point in $(0, \rho)$ for every $\theta \in \partial B_1$ for large i.

Roughly speaking, item (2) (or (3), respectively) in the above definition describes the situation when clustering of bubbles (or bubble towers, respectively) is excluded among various blow up scenarios. We also remark that item (3) is a modified definition of isolated simple blow up point when comparing with Riemannian manifold. Indeed, according to Schoen [66–68], a simple blow up point on a sphere \mathbb{S}^n $(n \geq 3)$ is a point where the solution of (1.1), with the exponent $\frac{n+2}{n-2}$ substituted by $p \in (1, \frac{n+2}{n-2}]$, approximates the *standard solution* up to a conformal transformation, in a neighborhood. This definition was further reformulated by Li in [55] using spherical averages. However, this definition does not seem to work for the Heisenberg group since the *standard solution* in the case of CR sphere \mathbb{S}^{2n+1} is not radial.

Before starting the proof of Proposition 4.1, we have additional remarks on the solutions $\{u_{l,\tau}\}$. The following statements can be found in [61]:

- By some standard blow up arguments, the blow up points cannot occur in $\mathbb{R}^n \setminus (\bigcup_{j=1}^m \widetilde{O}_l^{(j)})$ since the energy of $\{u_{l,\tau}\}$ in that region is small using the fact $u_{l,\tau} \in V_l(m, o_{\varepsilon_2}(1))$. Hence the blow up points can occur only in $\bigcup_{i=1}^m \widetilde{O}_l^{(j)}$.
- Using Proposition 7.1 in [61] and the definition of $V_l(m, o_{\varepsilon_2}(1))$, there are at most m isolated blow up points, namely, the blow up occurs in $\{\overline{\xi}_1, \ldots, \overline{\xi}_m\}$ for some $\overline{\xi}_j \in \widetilde{O}_l^{(j)}$ $(1 \le j \le m)$. Here we used the finite energy condition (3.16).
- Under the flatness condition (R_2) , we conclude from [61, Proposition 6.2] that an isolated blow up point has to be an isolated simple blow up point. From the structure of functions in $V_l(m, o_{\varepsilon_2}(1))$ we know that if the blow up does occur, there have to be exactly *m* isolated simple blow up points.

Let us consider this situation only, namely, $\{\overline{\xi}_1, \ldots, \overline{\xi}_m\}$ is the blow up set and they are all *isolated simple* blow up points. Moreover, in our situation, $R_i = RH^{\tau_i}$ is the sequence of functions in (4.3) with $\Omega = \mathbb{H}^n$. We assume that the blow up occurs at $u_i = u_{l,\tau_i}$ and we suppress the dependence of l in the notation since l is fixed in the blow up analysis. Now we complete the proof of Proposition 4.1 by checking balance via the Pohozeav identity (2.5).

Proof of Proposition 4.1. Let u_i be the solution of (4.3) with $R_i = RH^{\tau_i}$ and $\Omega = \mathbb{H}^n$. Without loss of generality, we may assume that $\overline{\xi}_1 = 0$ and $\xi_i = (x^{(i)}, y^{(i)}, t^{(i)}) \to 0$ be the sequence as in Definition 4.1. By (3.4) and (3.5), we also assume that $R_i > 0$ in B_1 . Applying the Pohozaev identity (2.5) to u_i , we obtain

$$\int_{\partial B_{\sigma}(\xi_i)} B(\sigma,\xi_i,u_i,\nabla_{\mathbb{H}}u_i) = \left(\frac{Q}{p_i+1} - \frac{Q-2}{2}\right) \int_{B_{\sigma}(\xi_i)} R_i u_i^{p_i+1} + \frac{1}{p_i+1} \int_{B_{\sigma}(\xi_i)} \mathcal{X}_i(R_i) u_i^{p_i+1} - \frac{1}{p_i+1} \int_{\partial B_{\sigma}(\xi_i)} R_i u_i^{p_i+1} \mathcal{X}_i \cdot \nu, \quad (4.5)$$

where ν is the outward unit normal vector with respect to $\partial B_{\sigma}(\xi_i)$ and

$$B(\sigma,\xi_i,u_i,\nabla_{\mathbb{H}}u_i) = \frac{Q-2}{2}(A\nabla u_i\cdot\nu)u_i - \frac{1}{2}|\nabla_{\mathbb{H}}u_i|^2\mathcal{X}_i\cdot\nu + (A\nabla u_i\cdot\nu)\mathcal{X}_i(u_i)$$

with

$$\mathcal{X}_i = \sum_{j=1}^n \left((x - x^{(i)})_j \frac{\partial}{\partial x_j} + (y - y^{(i)})_j \frac{\partial}{\partial y_j} \right) + 2(t - t^{(i)} + 2(x^{(i)} \cdot y - y^{(i)} \cdot x)) \frac{\partial}{\partial t}.$$

We are going to derive a contradiction to (4.5), by showing that for small $\sigma > 0$,

$$\liminf_{i \to \infty} u_i(\xi_i)^2 \times \text{RHS of } (4.5) \ge 0$$
(4.6)

and

$$\liminf_{i \to \infty} u_i(\xi_i)^2 \int_{\partial B_{\sigma}(\xi_i)} B(\sigma, \xi_i, u_i, \nabla_{\mathbb{H}} u_i) < 0.$$
(4.7)

Hence Proposition 4.1 will be established. Note that $\frac{Q}{p_i+1} - \frac{Q-2}{2} \ge 0$, we have

$$\liminf_{i \to \infty} u_i(\xi_i)^2 \left(\frac{Q}{p_i + 1} - \frac{Q - 2}{2}\right) \int_{B_\sigma(\xi_i)} R_i u_i^{p_i + 1} \ge 0.$$

Using Corollary 5.15 in [61] we know

$$\liminf_{i \to \infty} \frac{u_i(\xi_i)^2}{p_i + 1} \int_{B_\sigma(\xi_i)} \mathcal{X}_i(R_i) u_i^{p_i + 1} = 0$$

It follows from [61, Proposition 4.3] that

$$0 \leq \int_{\partial B_{\sigma}(\xi_i)} R_i u_i^{p_i+1} \mathcal{X}_i \cdot \nu = O(u_i(\xi_i)^{-p_i-1}),$$

which leads to

$$\lim_{i \to \infty} -\frac{u_i(\xi_i)^2}{p_i + 1} \int_{\partial B_\sigma(\xi_i)} R_i u_i^{p_i + 1} \mathcal{X}_i \cdot \nu = 0.$$

Thus, we complete the proof of (4.6). It remains to prove (4.7).

In a small punctured disc centered at 0, we derive from the Bôcher type Lemma in [61, Proposition 5.7] that

$$\lim_{i \to \infty} u_i(\xi_i) u_i(\xi) = a|\xi|^{2-Q} + b + \alpha(\xi),$$

where a, b > 0 are two constants and $\alpha(\xi)$ is a smooth function near 0 with $\alpha(0) = 0$. It follows from Lemma 2.2 that, when $\sigma > 0$ is small,

$$\liminf_{i \to \infty} u_i(\xi_i)^2 \int_{\partial B_{\sigma}(\xi_i)} B(\sigma, \xi_i, u_i, \nabla_{\mathbb{H}} u_i) = \liminf_{i \to \infty} \int_{\partial B_{\sigma}(\xi_i)} B(\sigma, \xi_i, h_i, \nabla_{\mathbb{H}} h_i) < 0,$$

where $h_i(\xi) := u_i(\xi_i)u_i(\xi)$. This gives the proof of (4.7).

In conclusion, from the above arguments we know that there will be no blow up occur under the hypotheses of Proposition 4.1. We complete the proof.

4.2**Final arguments**

We are ready to complete the proofs of the main results in this paper.

Proof of Theorem 1.1. Let $q_0 \in \mathbb{S}^{2n+1}$ be the south pole, we write (1.9) as the form (1.7) by using the CR equivalence. Under the hypotheses of Theorem 1.1 we know that $R(\xi)$ satisfies

$$||R||_{L^{\infty}(\mathbb{H}^n)} \le A_1, \quad R \in C^0(\mathbb{H}^n \setminus B_S), \quad \lim_{|\xi| \to \infty} R(\xi) = R_{\infty},$$

where $A_1 > 0, S > 1$ and $R_{\infty} > 0$ are some constants. Let $\psi(\xi) \in C^{\infty}(\mathbb{H}^n)$ satisfy (R_2) and

$$\|\psi\|_{C^2(\mathbb{H}^n)} < \infty, \quad \lim_{|\xi| \to \infty} \psi(\xi) =: \psi_{\infty} > 0, \quad \mathcal{X}(\psi) < 0, \quad \forall \xi \neq 0,$$

where \mathcal{X} is the vector field defined by (2.4). It follows from the Kazdan-Warner type condition (1.8) that

$$-\Delta_{\mathbb{H}} u = \psi |u|^{4/(Q-2)} u \quad \text{in } \mathbb{H}^n$$

has no nontrivial solution in E.

For any $\varepsilon \in (0,1)$, $k \ge 1$ and $m \ge 2$, let \overline{k} be an integer such that for any $2 \le s \le m$ it holds $C^s_{\overline{k}} \geq k$, where $C^s_{\overline{k}}$ is a combination number. Then we choose $e_1, \ldots, e_{\overline{k}} \in \partial B_1$ to be \overline{k} distinct points. Let

$$A_{S} = \max_{|\xi| \ge S} |R(\xi) - R_{\infty}| + \max_{|\xi| \ge S} |\psi(\xi) - \psi_{\infty}|, \quad S > 1,$$

and $\widetilde{\Omega}_{l}^{(i)}$ be the connected component of

$$\{\xi: \varepsilon(\psi((e_i)^{-l}\circ\xi) - \psi_\infty) + R_\infty - A_{\sqrt{l}} > R(\xi)\}\$$

which contains $(e_i)^l$. Define

$$S_l^{(i)} = \min_{1 \le i \le m} \sup\{|(e_i)^{-l} \circ \xi| : \xi \in \widetilde{\Omega}_l^{(i)}\}$$

and

$$R_{\varepsilon,k,m,l}(\xi) = \begin{cases} \varepsilon(\psi((e_i)^{-l} \circ \xi) - \psi_{\infty}) + R_{\infty} - A_{\sqrt{l}} & \text{if } x \in \widetilde{\Omega}_l^{(i)}, \\ R(\xi) & \text{otherwise.} \end{cases}$$

It is easy to prove that $\operatorname{diam}(\widetilde{\Omega}_l^{(i)}) \leq \sqrt{l}$ for large l and $\lim_{l\to\infty} S_l^{(i)} = \infty$. With the function $R_{\varepsilon,k,m,l}$ defined above, we claim that for large l, the equation

$$-\Delta_{\mathbb{H}} u = R_{\varepsilon,k,m,l}(\xi) u^{(Q+2)/(Q-2)}, \quad u > 0 \quad \text{in } \mathbb{H}^n$$

$$\tag{4.8}$$

has at least k solutions with s bumps in E. To verify it, let $\{e_{j_1}, \ldots, e_{j_s}\}$ be any distinct s points among $\{e_1, \ldots, e_{\overline{k}}\}$. For $1 \leq i \leq s$, we define

$$\xi_{l}^{(i)} = (e_{j_{i}})^{l},$$

$$O_{l}^{(i)} = B_{1}(\xi_{l}^{(i)}), \quad \widetilde{O}_{l}^{(i)} = B_{2}(\xi_{l}^{(i)}),$$

$$R_{\infty}^{(i)} = \varepsilon(\psi - \psi_{\infty}) + R_{\infty},$$

$$a^{(i)} = \varepsilon(\psi(0) - \psi_{\infty}) + R_{\infty}.$$

By using Proposition 4.1, we conclude that there exists at least one positive solution in $V_l(s,\varepsilon)$ for large l. Obviously, if we choose a different set of s points among $\{e_1, \ldots, e_{\overline{k}}\}$, we get different solutions since their mass are distributed in different regions by the definition of $V_l(s,\varepsilon)$. Due to the choice of \overline{k} , (4.8) has at least k positive solutions for large l.

Finally, we fix l large enough to make the above arguments work for all $2 \leq s \leq m$, and set $R_{\varepsilon,k,m} = R_{\varepsilon,k,m,l}$. Evidently, there exist at least k positive solutions with $s \ (2 \le s \le m)$ bumps to the equation (1.7) with $R = R_{\varepsilon,k,m}$. Theorem 1.1 is proved by using the inverse of Calay transform (1.6).

Proof of Corollary 1.1. One can see from the proof in Theorem 1.1 that if $\overline{R} \in C^{\infty}(\mathbb{H}^n)$, then $\bar{R}_{\varepsilon,k,m} - \bar{R}$ can also be achieved. Proof of Theorem 1.2. We prove it by contradiction argument. Suppose not, then for some $\overline{\varepsilon} > 0$ and $k \geq 2$, there exists a sequence of integers $I_l^{(1)}, \ldots, I_l^{(k)}$ such that

$$\lim_{l \to \infty} |I_l^{(i)}| = \infty, \quad \lim_{l \to \infty} |I_l^{(i)} - I_l^{(j)}| = \infty, \quad i \neq j,$$

but (1.7) has no solution in $V(k,\overline{\varepsilon}, B_{\overline{\varepsilon}}(\xi_l^{(1)}), \dots, B_{\overline{\varepsilon}}(\xi_l^{(k)}))$ satisfying $kc - \overline{\varepsilon} \leq I_R \leq kc + \overline{\varepsilon}$, where $c = (R(\xi^*))^{(2-Q)/2} (S_n)^Q / Q$ and $\xi_l^{(i)} = (\hat{\xi})^{I_l^{(i)}} \circ \xi^*$.

For $\varepsilon > 0$ small, define

$$\begin{split} R_{l}(\xi) &= R(\xi),\\ O_{l}^{(i)} &= B_{\varepsilon}(\xi_{l}^{(i)}), \quad \widetilde{O}_{l}^{(i)} = B_{2\varepsilon}(\xi_{l}^{(i)}),\\ S_{l} &= \min_{i \neq j} \big\{ \sqrt{|I_{l}^{(i)}|}, \sqrt{|I_{l}^{(i)} - I_{l}^{(j)}|} \big\},\\ R_{\infty}^{(i)}(\xi) &= R_{\infty}(\xi) = \lim_{l \to \infty} R((\hat{\xi})^{l} \circ \xi),\\ a^{(i)} &= R(\xi^{*}). \end{split}$$

Obviously, R_{∞} is periodic in ξ with respect to left translation and satisfies (R_2) and $R_{\infty}(\xi^*) = \sup_{\xi \in \mathbb{H}^n} R_{\infty}(\xi) > 0$. Let u be the positive solution of (1.7) with $R(\xi) = R_{\infty}(\xi)$. It follows from [61, Theorem 2.1] that u has no more than one blow up point. Furthermore, Corollary A.2 tells us one point blow up may not occur either. Nevertheless, by Proposition 4.1, we immediately derive a contradiction.

Proof of Theorem 1.3. The proof is similar to the proof of Theorem 1.2, we omit it here.

A Refined analysis of blow up profile

This appendix is a continuation of the blow up analysis studied in [61]. Herein, we present a more detailed characterization of the blow up phenomenon. We keep using the notation $(z,t) \in \mathbb{C}^n \times \mathbb{R}$ or $(x, y, t) \in \mathbb{R}^n \times \mathbb{R}^n \times \mathbb{R}$ to denote some element ξ of \mathbb{H}^n .

Proposition A.1. Suppose that $\{\bar{R}_i\} \subset \Gamma_{2+\alpha}(\mathbb{S}^{2n+1})$ with uniform C^1 modulo of continuity and satisfies for some point $q_0 \in \mathbb{S}^{2n+1}$, $\varepsilon_0 > 0$, $A_1 > 0$ independent of i and $2 \leq \beta < n$,

$$\{\bar{R}_i\}$$
 is bounded in $C^{[\beta],\beta-[\beta]}(B(q_0,\varepsilon_0)), \quad \bar{R}_i(q_0) \ge A_1$

and

$$\bar{R}_i(\xi) = \bar{R}_i(0) + Q_i^{(\beta)}(\xi) + \bar{R}_i(\xi), \quad |\xi| \le \varepsilon_0,$$

where ξ is some pseudo-Hermitian normal coordinates system centered at q_0 , $Q_i^{(\beta)}(\xi)$ satisfies $Q_i^{(\beta)}(\delta_\lambda(\xi)) = \lambda^\beta Q_i^{(\beta)}(\xi), \ \forall \lambda > 0, \ \xi \in \mathbb{H}^n$, and $R_i(\xi)$ satisfies

$$\sum_{s=0}^{[\beta]} |\nabla^s R_i(\xi)| |\xi|^{-\beta+s} \to 0$$

uniformly in i as $\xi \to 0$.

Suppose also that $Q_i^{(\beta)} \to Q^{(\beta)}$ in $C^1(\mathbb{S}^{2n+1})$ and for some constant $A_2 > 0$ that

$$A_2|\xi|^{\beta-1} \le |\nabla Q^{(\beta)}(\xi)|, \quad |\xi| \le \varepsilon_0, \tag{A.1}$$

and

$$\begin{pmatrix} \int \widetilde{X}Q^{(\beta)}(\hat{\xi}\circ\xi)w_{0,1}^{2Q/(Q-2)} \\ \int Q^{(\beta)}(\hat{\xi}\circ\xi)w_{0,1}^{2Q/(Q-2)} \end{pmatrix} \neq 0, \quad \forall \hat{\xi} \in \mathbb{H}^n,$$
(A.2)

where $\widetilde{X} := (X_1, \ldots, X_n, Y_1, \ldots, Y_n, T)$. Let v_i be positive solutions of (1.2) with $\overline{R} = \overline{R}_i$. If q_0 is an isolated simple blow up point of v_i , then v_i has to have at least another blow up point.

Proof. Suppose the contrary: q_0 is the only blow up point of v_i . We first make a Cayley transform with q_0 being the north pole with inverse C, then equation (1.2) with $\bar{R} = \bar{R}_i$ is equivalent to

$$-\Delta_{\mathbb{H}} u_i = R_i(\xi) u_i^{(Q+2)/(Q-2)}, \quad u_i > 0 \quad \text{in } \mathbb{H}^n,$$
(A.3)

where

$$u_i(\xi) = \left(\frac{2^{2n+2}}{((1+|z|^2)^2 + t^2)^{n+1}}\right)^{\frac{Q-2}{2Q}} v_i(\mathcal{C}(\xi)) \quad \text{and} \quad R_i(\xi) = \bar{R}_i(\mathcal{C}(\xi)).$$

It is easy to see that our hypotheses hold in the Heisenberg coordinates.

Let $\xi_i \to 0$ be the local maximum of u_i . It follows from [61, Lemma 5.12] that

$$|\nabla R_i(\xi_i)| = O(u_i(\xi_i)^{-2} + u_i(\xi_i)^{-(2/(Q-2))([\beta] - 1 + \beta - [\beta])/(\beta - 1)}).$$

First we establish that

$$|\xi_i| = O(u_i(\xi_i)^{-2/(Q-2)}).$$
(A.4)

Since we have assumed that v_i has no other blow up point other than q_0 , it follows from [61, Proposition 5.7] and the Harnack inequality that $u_i(\xi) \leq C(\varepsilon)u_i(\xi_i)^{-1}|\xi|^{2-Q}$ for $|\xi| \geq \varepsilon > 0$.

Let X be any left invariant vector field in (1.5). It follows from the Kazdan-Warner type condition (1.4) that

$$\int X R_i u_i^{2Q/(Q-2)} = 0.$$
 (A.5)

Then for $\varepsilon > 0$ small we have

$$\left|\int_{B_{\varepsilon}} \nabla_{\mathbb{H}} R_i(\xi_i \circ \xi) u_i(\xi_i \circ \xi)^{2Q/(Q-2)}\right| \le C(\varepsilon) u_i(\xi_i)^{-2Q/(Q-2)}$$

Using our hypotheses $\nabla Q^{(\beta)}$ and R_i , we have

$$\left|\int_{B_{\varepsilon}} (1+o_{\varepsilon}(1))\nabla_{\mathbb{H}}Q_{i}^{(\beta)}(\xi_{i}\circ\xi)u_{i}(\xi_{i}\circ\xi)^{2Q/(Q-2)}\right| \leq C(\varepsilon)u_{i}(\xi_{i})^{-2Q/(Q-2)}.$$

Multiplying the above by $m_i^{(2/(Q-2))(\beta-1)}$ with $m_i = u_i(\xi_i)$ we have

$$\left| \int_{B_{\varepsilon}} (1 + o_{\varepsilon}(1)) \nabla_{\mathbb{H}} Q_{i}^{(\beta)}(\tilde{\xi}_{i} \circ \delta_{m_{i}^{2Q/(Q-2)}}(\xi)) u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \right| \leq C(\varepsilon) u_{i}(\xi_{i})^{(2/(Q-2))(\beta-1-n)},$$

where $\tilde{\xi}_i = u_i(\xi_i)^{2/(Q-2)}\xi_i$. Suppose that (A.4) is false, namely, $|\tilde{\xi}_i| \to \infty$ along a subsequence. Then it follows from [61, Proposition 5.2] (we may choose $S_i \leq |\tilde{\xi}_i|/4$) that

$$\Big| \int_{|\xi| \le S_i m_i^{-2/(Q-2)}} (1 + o_{\varepsilon}(1)) \nabla_{\mathbb{H}} Q_i^{(\beta)}(\tilde{\xi}_i \circ \delta_{m_i^{2Q/(Q-2)}}(\xi)) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \Big|_{\mathcal{H}} d\xi_i \otimes \delta_{m_i^{2Q/(Q-2)}}(\xi) \Big|_{\mathcal{H}} d$$

$$= \left| \int_{|\hat{\xi}| \le R_i} (1 + o_{\varepsilon}(1)) \nabla_{\mathbb{H}} Q_i^{(\beta)}(\tilde{\xi}_i \circ \hat{\xi}) (m_i^{-1} u_i(\xi_i \circ \delta_{m_i^{2/(Q-2)}}(\hat{\xi})))^{2Q/(Q-2)} \right| \sim |\tilde{\xi}_i|^{\beta - 1}.$$

On the other hand, it follows from [61, Lemma 5.10] that

$$\begin{split} & \left| \int_{S_i m_i^{-2/(Q-2)} \le |\xi| \le \varepsilon} (1 + o_{\varepsilon}(1)) \nabla_{\mathbb{H}} Q_i^{(\beta)}(\tilde{\xi}_i \circ \delta_{m_i^{2/(Q-2)}}(\xi)) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \right| \\ & \le C \Big| \int_{S_i m_i^{-2/(Q-2)} \le |\xi| \le \varepsilon} (|\delta_{m_i^{2/(Q-2)}}(\xi)|^{\beta-1} + |\tilde{\xi}_i|^{\beta-1}) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \Big| \\ & \le o(1) |\tilde{\xi}_i|^{\beta-1}. \end{split}$$

It follows that

$$|\tilde{\xi}_i|^{\beta-1} \le C(\varepsilon)u_i(\xi_i)^{(2/(Q-2))(\beta-1-Q)}$$

which implies that

$$|\xi_i| \le C(\varepsilon) m_i^{-(2Q/(Q-2))(Q/(\beta-1))} = o(m_i^{-2/(Q-2)}).$$

This contradicts to $|\tilde{\xi}| \to \infty$. Thus (A.4) holds.

We are going to find some ξ_0 such that (A.2) fails.

For $1 \leq j \leq n$, define the vector fields

$$\overline{X}_j = \frac{\partial}{\partial x_j} - 2y_j \frac{\partial}{\partial t}$$
 and $\overline{Y}_j = \frac{\partial}{\partial y_j} - 2x_j \frac{\partial}{\partial t}$

Multiplying (A.3) by \overline{X}_j , \overline{Y}_j , T and integrate by parts, together with the Kazdan-Warner condition (1.8) we have

$$\int \Big(\sum_{j=1}^{n} (x_j X_j + y_j Y_j) + 2tT \Big) R_i(\xi_i \circ \xi) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} = 0.$$

Since q_0 is an isolated simple blow up point and the only blow up point of v_i , we have for any $\varepsilon > 0$,

$$\Big| \int_{B_{\varepsilon}} \Big(\sum_{j=1}^{n} (x_j X_j + y_j Y_j) + 2tT \Big) R_i(\xi_i \circ \xi) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \Big| \le C(\varepsilon) u_i(\xi_i)^{2Q/(Q-2)}.$$

It follows from [61, Lemma 5.10] and our hypotheses on R_i that

$$\begin{split} & \left| \int_{B_{\varepsilon}} \left(\sum_{j=1}^{n} (x_{j}X_{j} + y_{j}Y_{j}) + 2tT \right) Q_{i}^{(\beta)}(\xi_{i} \circ \xi) u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \right| \\ \leq & C(\varepsilon) u_{i}(\xi_{i})^{-2Q/(Q-2)} + o_{\varepsilon}(1) \int_{B_{\varepsilon}} |\xi||\xi_{i} \circ \xi|^{\beta-1} u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \\ & + o_{\varepsilon}(1) \int_{B_{\varepsilon}} |\xi|^{2} |\xi_{i} \circ \xi|^{\beta-2} u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \\ \leq & C(\varepsilon) u_{i}(\xi_{i})^{-2Q/(Q-2)} + o_{\varepsilon}(1) \int_{B_{\varepsilon}} (|\xi|^{\beta} + |\xi||\xi_{i}|^{\beta-1}) u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \\ \leq & C(\varepsilon) u_{i}(\xi_{i})^{-2Q/(Q-2)} + o_{\varepsilon}(1) u_{i}(\xi_{i})^{-2\beta/(Q-2)}, \end{split}$$

where we used (A.4) in the last inequality. Multiplying the above by $u_i(\xi_i)^{2\beta/(Q-2)}$, due to $\beta < n$ we obtain

$$\lim_{i \to \infty} u_i(\xi_i)^{2\beta/(Q-2)} \Big| \int_{B_{\varepsilon}} \Big(\sum_{j=1}^n (x_j X_j + y_j Y_j) + 2tT \Big) Q_i^{(\beta)}(\xi_i \circ \xi) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \Big| = o_{\varepsilon}(1).$$
(A.6)

Let $S_i \to \infty$ as $i \to \infty$. We assume that $r_i := R_i u_i(\xi_i)^{-2/(Q-2)} \to 0$. By [61, Lemma 5.10], we have

$$u_{i}(\xi_{i})^{2\beta/(Q-2)} \left| \int_{r_{i} \leq |\xi| \leq \varepsilon} \left(\sum_{j=1}^{n} (x_{j}X_{j} + y_{j}Y_{j}) + 2tT \right) Q_{i}^{(\beta)}(\xi_{i} \circ \xi) u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \right|$$

$$\leq \lim_{i \to \infty} u_{i}(\xi_{i})^{2\beta/(Q-2)} \left| \int_{r_{i} \leq |\xi| \leq \varepsilon} (|\xi|^{\beta} + |\xi||\xi_{i}|^{\beta-1}) u_{i}(\xi_{i} \circ \xi)^{2Q/(Q-2)} \right| \to 0$$
(A.7)

as $i \to \infty$. Combining (A.6) and (A.7), we conclude that

$$\lim_{i \to \infty} u_i(\xi_i)^{2\beta/(Q-2)} \Big| \int_{B_{r_i}} \Big(\sum_{j=1}^n (x_j X_j + y_j Y_j) + 2tT \Big) Q_i^{(\beta)}(\xi_i \circ \xi) u_i(\xi_i \circ \xi)^{2Q/(Q-2)} \Big| = o_{\varepsilon}(1).$$

It follows from the change of variable $\bar{\xi} = (\bar{z}, \bar{t}) = u_i(\xi_i)^{2/Q-2}\xi$, applying [61, Proposition 5.2] and then letting $\varepsilon \to 0$ that

$$\left| \int \left(\sum_{j=1}^{n} (\bar{x}_j X_j + \bar{y}_j Y_j) + 2\bar{t}T \right) Q^{(\beta)}(\xi_0 \circ \bar{\xi}) \Lambda^Q w_{0,\Lambda}^{2Q/(Q-2)} \,\mathrm{d}\bar{z} \,\mathrm{d}\bar{t} \right| = o_{\varepsilon}(1), \tag{A.8}$$

where $\xi_0 = \lim_{i \to \infty} u_i(\xi_i)^{2/(Q-2)} \xi_i$ and $\Lambda = \lim_{i \to \infty} \sqrt{\frac{R_i(\xi_i)}{2Q(Q-2)}}$.

On the other hand, from (A.5),

$$\int XR_i(\xi_i \circ \xi)u_i(\xi_i \circ \xi)^{2Q/(Q-2)} = 0$$

Arguing as above, we will have

$$\int XQ^{(\beta)}(\xi_0 \circ \xi))\Lambda^Q w_{0,\Lambda}^{2Q/(Q-2)} = 0.$$
(A.9)

It follows from (A.8) and (A.9) that

$$\int Q^{(\beta)}(\xi_0 \circ \xi) w_{0,\Lambda}^{2Q/(Q-2)} = \beta^{-1} \int (\xi_0 \circ \xi) \cdot \widehat{X} Q^{(\beta)}(\xi_0 \circ \xi) w_{0,\Lambda}^{2Q/(Q-2)} = 0,$$

where $\widehat{X} = (X_1, \ldots, X_n, Y_1, \ldots, Y_n, 2T)$. Therefore, (A.2) does not hold for $\widehat{\xi} = \delta_{\Lambda}(\xi_0)$. Proposition A.1 is established.

Corollary A.1. Suppose that $\{\bar{R}_i\} \in C^1(\mathbb{S}^{2n+1})$ with uniform C^1 modulo of continuity and satisfies for some $q_0 \in \mathbb{S}^{n+1}$, $\varepsilon_0 > 0$, $A_1 > 0$ independent of i and $2 \leq \beta < Q$,

$$\bar{R}_i \in C^{[\beta]-1,1}(B(q_0,\varepsilon_0)), \quad \bar{R}_i(q_0) \ge 1/A_1,$$

and

$$\bar{R}_i(\xi) = \bar{R}_i(0) + Q_i^{(\beta)}(\xi) + P_i(\xi), \quad |\xi| \le \varepsilon_0,$$

where ξ is some pseudo-Hermitian normal coordinates system centered at q_0 , $R_i(\xi)$ satisfies

$$\sum_{s=0}^{\lfloor\beta\rfloor} |\nabla^s R_i(\xi)| |\xi|^{-\beta+s} \to 0$$

uniformly for i as $\xi \to 0$, $Q_i^{(\beta)}(\xi) = \sum_{j=1}^n (a_j(i)|x_j|^{\beta-1}x_j + b_j(i)|y_j|^{\beta-1}y_j) + c_i|t|^{\frac{\beta}{2}-1}t$, $a_j(i) \to a_j$, $b_j(i) \to b_j$, $c_i \to c$ as $i \to \infty$, $a_j, b_j, c \neq 0$, $\forall 1 \leq j \leq n$. Let v_i be positive solutions of (1.2) with $\overline{R} = \overline{R}_i$. Then if q_0 is an isolated simple blow up point of v_i , v_i has to have at least another blow up point.

Proof. Let $Q^{(\beta)}(\xi) = \sum_{j=1}^{n} a_j |x_j|^{\beta-1} x_j + \sum_{j=1}^{n} b_j |y_j|^{\beta-1} y_j + c|t|^{\frac{\beta}{2}-1} t$. We only have to check that (A.1) and (A.2) hold under our hypotheses. The first one (A.1) is obvious. It remains to prove (A.2).

For any $\xi_0 = (x_0^{(1)}, \dots, x_0^{(n)}, y_0^{(1)}, \dots, y_0^{(n)}, t_0) \in \mathbb{H}^n$, we have

$$\int TQ^{(\beta)}(\xi_0 \circ \xi) w_{0,1}^{2Q/(Q-2)} = \frac{\beta c}{2} \int \left| t + t_0 + 2\sum_{i=1}^n (x_i y_0^{(i)} - y_i x_0^{(i)}) \right|^{\frac{\beta}{2} - 1} w_{0,1}^{2Q/(Q-2)} \neq 0.$$

Thus (A.2) is established under our hypotheses. Corollary A.1 follows immediately.

Corollary A.2. Suppose that $\{\bar{R}_i\} \in C^1(\mathbb{S}^{n+1})$ with uniform C^1 modulo of continuity and satisfies for some $q_0 \in \mathbb{S}^{n+1}$, $\varepsilon_0 > 0$, $A_1 > 0$ independent of i and $2 \leq \beta < Q$, that

$$\bar{R}_i \in C^{[\beta]-1,1}(B(q_0,\varepsilon_0)), \quad \bar{R}_i(q_0) \ge 1/A_1,$$

and

$$\bar{R}_i(\xi) = \bar{R}_i(0) + Q_i^{(\beta)}(\xi) + P_i(\xi), \quad |\xi| \le \varepsilon_0$$

where ξ is some pseudo-Hermitian normal coordinates system centered at q_0 , $R_i(y)$ satisfies

$$\sum_{s=0}^{[\beta]} |\nabla^s R_i(\xi)| |\xi|^{-\beta+s} \to 0$$

 $\begin{array}{l} \textit{uniformly for } i \ as \ \xi \to 0, \ Q_i^{(\beta)}(\xi) = \sum_{j=1}^n (a_j(i)|x_j|^\beta + b_j(i)|y_j|^\beta) + c(i)|t|^{\frac{\beta}{2}}, \ a_j(i) \to a_j, \ b_j(i) \to b_j, \\ c(i) \to c \ as \ i \to \infty, \ a_j, \ b_j, \ c \neq 0, \ \forall \ 1 \le j \le n, \ and \ \sum_{j=1}^n (a_j + b_j) + \kappa c \neq 0 \ with \end{array}$

$$\kappa = \frac{\int |x_1|^\beta w_{0,1}^{2Q/(Q-2)}}{\int |t|^{\frac{\beta}{2}} w_{0,1}^{2Q/(Q-2)}}.$$

Let v_i be positive solutions of (1.2) with $\overline{R} = \overline{R}_i$. Then if q_0 is an isolated simple blow up point of v_i , v_i has to have at least another blow up point.

Proof. Let $Q^{(\beta)}(\xi) = \sum_{j=1}^{n} (a_j |x_j|^{\beta} + b_j |y_j|^{\beta}) + c|t|^{\frac{\beta}{2}}$. We only have to check that (A.1) and (A.2) hold under our hypotheses. The first one (A.1) is obvious. It remains to prove (A.2).

For any $\xi_0 = (x_0^{(1)}, \dots, x_0^{(n)}, y_0^{(1)}, \dots, y_0^{(n)}, t_0) \in \mathbb{H}^n$, we have

$$\begin{split} X_{j}Q^{(\beta)}(\xi_{0}\circ\xi) &= \beta a_{j}|x_{j} + x_{0}^{(j)}|^{\beta-2}(x_{j} + x_{0}^{(j)}) \\ &+ \beta c \Big| t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big|^{\frac{\beta}{2}-2} \Big(t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big) y_{0}^{(j)} \\ &+ \beta c y_{j} \Big| t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big|^{\frac{\beta}{2}-2} \Big(t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big) , \\ Y_{j}Q^{(\beta)}(\xi_{0}\circ\xi) &= \beta b_{j}|y_{j} + y_{0}^{(j)}|^{\beta-2}(y_{j} + y_{0}^{(j)}) \\ &- \beta c \Big| t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big|^{\frac{\beta}{2}-2} \Big(t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big) x_{0}^{(j)} \\ &- \beta c x_{j} \Big| t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big|^{\frac{\beta}{2}-2} \Big(t + t_{0} + 2\sum_{i=1}^{n} (x_{i}y_{0}^{(i)} - y_{i}x_{0}^{(i)}) \Big) x_{0}^{(j)} \end{split}$$

and

$$TQ^{(\beta)}(\xi_0 \circ \xi) = \frac{\beta c}{2} \Big| t + t_0 + 2\sum_{i=1}^n (x_i y_0^{(i)} - y_i x_0^{(i)}) \Big|^{\frac{\beta}{2} - 2} \Big(t + t_0 + 2\sum_{i=1}^n (x_i y_0^{(i)} - y_i x_0^{(i)}) \Big).$$

It is straightforward to verify that

$$\int (1+|\xi|^2)^{-n} X Q^{(\beta)}(\xi_0 \circ \xi) = 0 \quad \text{for each } X \in \{X_1 \dots, X_n, Y_1, \dots, Y_n, T\} \quad \text{iff } \xi_0 = 0.$$

Next we have

$$\int Q^{(\beta)}(\xi) w_{0,1}^{2Q/(Q-2)}$$

$$= \int \Big(\sum_{j=1}^{n} (a_j |x_j|^{\beta} + b_j |y_j|^{\beta} + c|t|^{\frac{\beta}{2}} \Big) w_{0,1}^{2Q/(Q-2)}$$

$$= \Big(\sum_{j=1}^{n} (a_j + b_j) \Big) \int |x_1|^{\beta} w_{0,1}^{2Q/(Q-2)} + c \int |t|^{\frac{\beta}{2}} w_{0,1}^{2Q/(Q-2)} \neq 0$$

Thus (A.2) is established under our hypotheses. Corollary A.2 follows immediately.

Conflict of interest statement

On behalf of all authors, the corresponding author states that there is no conflict of interest.

Data availability statement

Data sharing not applicable to this article as no datasets were generated or analysed during the current study.

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