

ON PRO-ZERO HOMOMORPHISMS AND SEQUENCES IN LOCAL (CO-)HOMOLOGY

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ABSTRACT. Let $\underline{x}$ denote a system of elements of a commutative ring R . For an R -module M we investigate when $\underline{x}$ is M -pro-regular resp. M -weakly pro-regular as generalizations of M -regular sequences. This is done in terms of Čech co-homology resp. homology, defined by $H^i(\check{C}_{\underline{x}} \otimes_R \cdot)$ resp. by $H_i(\mathrm{RHom}_R(\check{C}_{\underline{x}}, \cdot)) \cong H_i(\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, \cdot))$, where $\check{C}_{\underline{x}}$ denotes the Čech complex and $\mathcal{L}_{\underline{x}}$ is a bounded free resolution of it as constructed in [17] resp. [16]. The property of $\underline{x}$ being M -pro-regular resp. M -weakly pro-regular follows by the vanishing of certain Čech co-homology resp. homology modules, which is related to completions. This extends previously work by Greenlees and May (see [5]) and Lipman et al. (see [1]). This contributes to a further understanding of Čech (co-)homology in the non-Noetherian case. As a technical tool we use one of Emmanouil's results (see [4]) about the inverse limits and its derived functor. As an application we prove a global variant of the results with an application to prisms in the sense of Bhatt and Scholze (see [3]).

1. INTRODUCTION

Let R denote a commutative ring with $\underline{x} = x_1, \dots, x_r$ a system of elements. For an R -module M we study generalizations of a M -regular sequence called M -pro-regular sequence and M -weakly pro-regular sequence. To this end we denote by $\check{C}_{\underline{x}}$ the Čech complex with respect to $\underline{x}$ (see e.g. [17, 6.1]). It is a bounded complex of flat R -modules. For an R -module M we write $\check{C}_{\underline{x}}(M) = \check{C}_{\underline{x}} \otimes_R M$. We call $\check{H}_{\underline{x}}^i(M) = H^i(\check{C}_{\underline{x}}(M))$, $i \in \mathbb{Z}$, the Čech cohomology of M . Dually we look at the complex $\mathrm{RHom}_R(\check{C}_{\underline{x}}, M)$ in the derived category. There is a free resolution of $\check{C}_{\underline{x}}$ by a bounded complex $\mathcal{L}_{\underline{x}}$ and $\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, M)$ is a representative of $\mathrm{RHom}_R(\check{C}_{\underline{x}}, M)$ (see [17] and [16]). We define $\check{H}_{\underline{x}}^i(M) = H_i(\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, M)) \cong H_i(\mathrm{RHom}_R(\check{C}_{\underline{x}}, M))$, $i \in \mathbb{Z}$, as the Čech homology of M . For the case of R a Noetherian ring let $\mathfrak{a} = \underline{x}R$ then it follows that $\check{H}_{\underline{x}}^i(M) \cong H_{\mathfrak{a}}^i(M)$, the i -th local cohomology of M with support in $\mathfrak{a}$. At first this was established by Grothendieck (see [6] and [7]). Dually, for Noetherian rings R we have $\check{H}_{\underline{x}}^i(M) \cong \Lambda_i^{\mathfrak{a}}(M)$, where $\Lambda_i^{\mathfrak{a}}(\cdot)$ denotes the left derived functors of the completion $\Lambda^{\mathfrak{a}}(\cdot)$. Contributions were done by Matlis (see [9]), Simon (see [18]), Greenlees and May (see [5]) and others.

Starting with Greenlees and May (see [5]) and Lipman et al. (see [1]) there were extensions to non-Noetherian rings with sequences $\underline{x}$ that are called pro-regular resp. weakly pro-regular (see below for the definitions). In particular, when $\underline{x}$ is weakly pro-regular the isomorphisms $\check{H}_{\underline{x}}^i(M) \cong H_{\mathfrak{a}}^i(M)$ and $\check{H}_{\underline{x}}^i(M) \cong \Lambda_i^{\mathfrak{a}}(M)$ hold for any $i \in \mathbb{Z}$ and any R -module M and more generally for any complex $X \in D(R)$ (see [11], [12], [14] and [17] for more details).

In the situation of $\underline{x}$ an R -regular sequence there is a corresponding property of $\underline{x}$ being an M -regular sequence (see e.g. [10]). This is a challenge for the study of the relative version that

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$\underline{x}$ is weakly M -regular for modules instead of $M = R$. Namely, $\underline{x}$ is called an M -weakly pro-regular sequence (see also [17, 7.3.1]) provided the inverse system $\{H_i(\underline{x}^{(n)}; M)\}_{n \geq 1}$ is pro-zero for $i = 1, \dots, r$, i.e. for each n there is an integer $m \geq n$ such that the natural map $H_i(\underline{x}^{(m)}; M) \rightarrow H_i(\underline{x}^{(n)}; M)$ is zero. Here $\underline{x}^{(n)} = x_1^n, \dots, x_r^n$ and $H_i(\underline{x}^{(n)}; M)$ denotes the Koszul homology. An R -weakly pro-regular sequence is called weakly pro-regular. For a first description of M -weakly pro-regular sequences see [15, Theorem 4.2]. Let $\widehat{M}^{\underline{x}} = \Lambda^{\underline{x}}(M)$ denote the $\underline{x}$ -adic completion of M .

Theorem 1.1. *For an R -module M and a sequence $\underline{x} = x_1, \dots, x_r$ the following is equivalent:*

- (i) $\underline{x}$ is M -weakly pro-regular.
- (ii) $\check{C}_{\underline{x}}(\text{Hom}_R(M, I))$ is a right resolution of $\text{Hom}_R(\Lambda^{\underline{x}}(M), I)$ for any injective R -module I .
- (iii) $\text{Hom}_R(\mathcal{L}_{\underline{x}}, M \otimes_R F)$ is a left resolution of $\Lambda^{\underline{x}}(M \otimes_R F)$ for any free R -module F .
- (iv) $\text{Hom}_R(\mathcal{L}_{\underline{x}}, X)$ is a left resolution of $\Lambda^{\underline{x}}(X)$ for $X = M, M[T]$.
- (v) $\text{Hom}_R(\mathcal{L}_{\underline{x}}, M[T])$ is a left resolution of $\Lambda^{\underline{x}}(M[T])$.

Note that the equivalence of (i), (iii) and (iv) in the particular case of $M = R$ was shown by Positselski (see [12, Theorem 3.6]), that is in the case when $\underline{x}$ is R -weakly pro-regular (or weakly pro-regular for short). Then the complexes $\text{Hom}_R(\mathcal{L}_{\underline{x}}, X)$ and $L\Lambda^{\underline{x}}(X)$ are isomorphic in the derived category for all $X \in D(R)$ (see [11] generalizing the case of bounded complexes shown in [16]). For the proof of 1.1 and the notion of left/right resolution see the comments after 3.6.

The notion of a weakly pro-regular sequence $\underline{x} = x_1, \dots, x_r$ is defined in terms of the Koszul homology of the whole sequence $\underline{x}$. An M -regular sequence is defined by the vanishing of $\underline{x}_{i-1}M :_M x_i / \underline{x}_{i-1}M$ for $i = 1, \dots, r$, where $\underline{x}_{i-1} = x_1, \dots, x_{i-1}$. As a generalization of that Greenlees and May (see [5]) resp. Lipman et al. (see [1]) invented the notion of an M -pro-regular sequence. Note that both of the definitions are equivalent (see [15, Proposition 2.2]). A sequence $\underline{x}$ is called M -pro-regular if the inverse system $\{\underline{x}_{i-1}^{(n)}M :_M x_i^n / \underline{x}_{i-1}^{(n)}M\}_{n \geq 1}$ with multiplication by x_i^n is pro-zero for $i = 1, \dots, r$. Note that if $\underline{x}$ is M -regular it is also M -weakly pro-regular since $\underline{x}_{i-1}^{(n)}M :_M x_i^n / \underline{x}_{i-1}^{(n)}M = 0$ (see [10, 16.1]). A characterization of pro-regular sequences in terms of Čech cohomology is known (see [15, Theorem 3.2] and 4.4). Here there is a description in the terms of Čech homology. See 4.5 for the following:

Theorem 1.2. *Let $\underline{x} = x_1, \dots, x_r$ denote a sequence of elements of R . For an R -module M the following conditions are equivalent:*

- (i) The sequence $\underline{x}$ is M -pro-regular.
- (ii) $\check{H}_0^{x_i}(\Lambda^{\underline{x}_{i-1}}(M \otimes_R F)) \cong \Lambda^{\underline{x}_i}(M \otimes_R F)$ and $\check{H}_1^{x_i}(\Lambda^{\underline{x}_{i-1}}(M \otimes_R F)) = 0$ for $i = 1, \dots, r$ and any free R -module F .
- (iii) $\check{H}_0^{x_i}(X) \cong \Lambda^{\underline{x}_i}(X)$ and $\check{H}_1^{x_i}(X) = 0$ for $i = 1, \dots, r$ and $X = M, M[T]$.
- (iv) $\Lambda^{\underline{x}_{i-1}}(M[T])$ is of bounded x_i -torsion for $i = 1, \dots, r$.

In the final section we apply the previous results to a global situation. To this end we consider a pair $(\mathcal{I}, x)$ consisting of an effective Cartier divisor $\mathcal{I} \subseteq R$ and an element $x \in R$ (see 5.1 for the definitions). We call it pro-regular whenever the inverse system $\{H_1(x^n; R/\mathcal{I}^n)\}_{n \geq 1}$ is pro-zero. Then our investigations (see 5.5) yield the following:

Corollary 1.3. *With the previous notation the following conditions are equivalent:*

- (i) $R/\mathcal{I}$ is of bounded x -torsion.
- (ii) $(\mathcal{I}, x)$ is pro-regular.
- (iii) $\check{H}_0^x(\Lambda^{\mathcal{I}}(F)) \cong \Lambda^{(x, \mathcal{I})}(F)$ and $\check{H}_1^x(\Lambda^{\mathcal{I}}(F)) = 0$ for any free R -module F .

(iv) $\Lambda^{\mathcal{I}}(R)$ and $\Lambda^{\mathcal{I}}(R[T])$ are of bounded x -torsion.

As shown in [15] this has applications to prisms in the sense of Bhatt and Scholze (see [3]). The equivalent conditions in 1.3 are improvements of the results shown in [15, Corollary 5.7].

In the paper we start with recollections about inverse limits. In particular we include a different proof of one of Emmanouil's results (see [4]) about inverse systems needed in the paper. In the third section we prove additional statements about weakly pro-regular sequences, extending those known before. In section 4 we study pro-regular sequences, continuing the results shown in [15]. Moreover, we prove a necessary and sufficient condition for the isomorphism $\Lambda^x(\Lambda^{\mathcal{I}}(M)) \cong \Lambda^{(x, \mathcal{I})}(M)$ for an ideal $\mathcal{I} \subset R$ and an element $x \in R$ generalizing a result by Greenlees and May (see [5, Lemma 1.6]). Finally in section 5 we study when a pair $(\mathcal{I}, x)$ consisting of an effective Cartier divisor $\mathcal{I}$ and an element $x \in R$ is pro-regular. Finally we apply these results to prisms in the sense of [3] generalizing partial results of [15].

In the terminology we follow that of [17]. In our approach we prefer to work in the category of modules instead of the derived category. For that reason we use a bounded free resolution of the Čech complex (see 3.1).

2. RECOLLECTIONS ABOUT INVERSE LIMITS

Notation 2.1. (A) Let R denote a commutative ring. Let $\{M_n\}_{n \geq 0}$ be an inverse system of R -modules with $\phi_{n,m} : M_m \rightarrow M_n$ for all $m \geq n$. Then there is an exact sequence

$$0 \rightarrow \varprojlim M_n \rightarrow \prod_{n \geq 0} M_n \xrightarrow{\Phi} \prod_{n \geq 0} M_n \rightarrow \varprojlim^1 M_n \rightarrow 0,$$

where Φ denotes the transition map and $\varprojlim^1 M_n$ is the first left derived functor of the inverse limit (see e.g. [20, 3.5] or [17, 1.2.2]).

(B) Let M denote an R -module. Let T be a variable over R . In the following we use $M[[T]]$, the formal power series R -module over M . That is, the R -module $M[[T]]$ consists of all formal series $\sum_{i \geq 0} x_i T^i$ with $x_i \in M$ for all $i \geq 0$. Correspondingly, the R -module $M[T]$ consists of all polynomials over M . Therefore, $\sum_{i \geq 0} x_i T^i \in M[T]$ if only finitely many x_i are non-zero. Whence there is an injection $0 \rightarrow M[T] \rightarrow M[[T]]$ of R -modules.

(C) The inverse system $\{M_n\}_{n \geq 0}$ is called pro-zero if for each n there is an integer $m \geq n$ such that the homomorphism $\phi_{n,m} : M_m \rightarrow M_n$ is zero. If $\{M_n\}_{n \geq 0}$ is pro-zero, then it is well known that $\varprojlim M_n = \varprojlim^1 M_n = 0$ since Φ is an isomorphism (see e.g. [17, 1.2.4]).

(D) Let $\{M_n\}_{n \geq 0}$ be an inverse system. Then clearly $\text{Im } \phi_{n,m'} \subseteq \text{Im } \phi_{n,m} \subseteq M_n$ for all $m' \geq m \geq n$. We say that $\{M_n\}_{n \geq 0}$ satisfies the *Mittag-Leffler condition* if for each n the sequence of submodules $\{\text{Im } \phi_{n,m} | m \geq n\}$ stabilizes. For instance, this holds if the maps $\phi_{n,m}$ are surjective or $\{M_n\}_{n \geq 0}$ is an inverse system of Artinian R -modules. It is well-known that $\varprojlim^1 M_n = 0$ if $\{M_n\}_{n \geq 0}$ satisfies the Mittag-Leffler condition (see e.g. [17, 1.2.3]).

For more details about inverse systems we refer to Jensen's exposition in [8] and to [4]. It is remarkable that the vanishing in 2.1 (C) does not imply that $\{M_n\}_{n \geq 0}$ is pro-zero. To this end see the example [17, 1.2.5] or the following generalization:

Example 2.2. Let $(R, \mathfrak{m})$ denote a complete local Noetherian ring with $x \in R$ a non-unit. We consider the direct system $\{R_n\}_{n \geq 0}$ with $R_n = R$ and $\psi_{n,n+1} : R_n \rightarrow R_{n+1}$ the multiplication by x . Then $\varinjlim R_n \cong R_x$ and there is a short exact sequence

$$0 \rightarrow \bigoplus_{n \geq 0} R_n \rightarrow \bigoplus_{n \geq 0} R_n \rightarrow R_x \rightarrow 0.$$

Now we apply $\text{Hom}_R(\cdot, R)$ and obtain the inverse system $\{M_n\}_{n \geq 0}$ with $M_n = \text{Hom}_R(R_n, R)$ and with the multiplication $M_{n+1} \xrightarrow{x} M_n$. By applying $\text{Hom}_R(\cdot, R)$ to the previous short exact sequence it yields the exact sequence

$$0 \rightarrow \text{Hom}_R(R_x, R) \rightarrow \prod_{n \geq 0} M_n \rightarrow \prod_{n \geq 0} M_n \rightarrow \text{Ext}_R^1(R_x, R) \rightarrow 0.$$

Since R is also xR -complete $\varprojlim M_n = \text{Hom}_R(R_x, R) = 0$ and $\varprojlim^1 M_n = \text{Ext}_R^1(R_x, R) = 0$ (see [17, 3.1.10]) while the inverse system $\{M_n\}_{n \geq 0}$ is neither pro-zero nor satisfies the Mittag-Leffler condition.

In the following we shall discuss necessary and sufficient conditions for an inverse system to be pro-zero. This extends known results. We need a technical construction.

Remark 2.3. An R -module M induces a short exact sequence

$$0 \rightarrow M[T] \xrightarrow{T} M[T] \rightarrow M \rightarrow 0,$$

where T denote the shift operator defined by $\sum_{n \geq 0}^k x_n T^n \mapsto \sum_{n \geq 0}^k x_n T^{n+1}$. The inverse system $\{M_n\}_{n \geq 0}$ induces a short exact sequence of inverse systems

$$0 \rightarrow \{M_n[T]\}_{n \geq 0} \xrightarrow{T} \{M_n[T]\}_{n \geq 0} \rightarrow \{M_n\}_{n \geq 0} \rightarrow 0,$$

induced by the shift operator. Then we have the six-term long exact sequence associated to the inverse limit

$$0 \rightarrow \varprojlim M_n[T] \rightarrow \varprojlim M_n[T] \rightarrow \varprojlim M_n \rightarrow \varprojlim^1 M_n[T] \rightarrow \varprojlim^1 M_n[T] \rightarrow \varprojlim^1 M_n \rightarrow 0$$

(see e.g. [17, 1.2.2]).

By the Example 2.2 it follows that the vanishing of $\varprojlim^1 M_n$ is necessary but not sufficient for the Mittag-Leffler condition of the inverse system $\{M_n\}_{n \geq 0}$. A characterization of the Mittag-Leffler condition was shown by Emmanouil (see [4]). For our purposes we recall part of Emmanouil's result (see [4, Corollary 6]). In our argument we use a certain exact sequence (see the proof of 2.4) and modify an idea of [19, tag 0CQA] as new ingredients.

Lemma 2.4. Let $\{M_n\}_{n \geq 0}$ denote an inverse system of R -modules. Then the following conditions are equivalent:

- (i) $\{M_n\}_{n \geq 0}$ satisfies the Mittag-Leffler condition.
- (ii) $\{M_n[T]\}_{n \geq 0}$ satisfies the Mittag-Leffler condition.
- (iii) $\varprojlim^1 M_n = 0$ and $\varprojlim^1 M_n[T] = 0$.
- (iv) $\varprojlim^1 M_n[T] = 0$.

Proof. (i) $\implies$ (ii): This follows since the inverse system $\{M_n[T]\}_{n \geq 0}$ satisfies the Mittag-Leffler condition too.

(ii) $\implies$ (iv): This holds trivially.

(iii) $\iff$ (iv): This is a consequence of the six-term exact sequence in 2.3.

(iii) $\implies$ (i): The injections $0 \rightarrow M_n[T] \rightarrow M_n[[T]]$ induce a short exact sequence of inverse systems

$$0 \rightarrow \{M_n[T]\}_{n \geq 0} \rightarrow \{M_n[[T]]\}_{n \geq 0} \rightarrow \{M_n[[T]]/M_n[T]\}_{n \geq 0} \rightarrow 0.$$

By passing to the inverse limit it provides an exact sequence

$$0 \rightarrow \varprojlim M_n[T] \rightarrow \varprojlim M_n[[T]] \rightarrow \varprojlim M_n[[T]]/M_n[T] \rightarrow \varprojlim^1 M_n[T].$$

Now suppose that $\{M_n\}_{n \geq 0}$ does not satisfy the Mittag-Leffler condition. Then there is an integer m such that the sequence of submodules $\{\text{Im } \phi_{m,k} | k \geq m\}$ of M_m does not stabilize. Whence there is an infinite sequence $m = m_0 < m_1 < \dots < m_i < \dots$ and elements $x_i \in M_{m_i}$ such that $\phi_{m,m_i}(x_i) \in M_m \setminus \phi_{m,m_{i+1}}(M_{m_{i+1}})$. Now we define $F = (f_n)_{n \geq 0} \in \prod_{n \geq 0} M_n[[T]]$ with $f_n = \sum_{i \geq n} z_{n,i} T^i$ where we put

$$z_{n,i} = \begin{cases} \phi_{n,m_i}(x_i) & \text{if } m_i \geq n \\ 0 & \text{else.} \end{cases}$$

As easily seen $f_n - \phi_{n,n+1}(f_{n+1}) \in M_n[T]$ and F defines an element $F' \in \varprojlim M_n[[T]]/M_n[T]$. Suppose F' has a preimage $G = (g_n)_{n \geq 0} \in \varprojlim M_n[[T]]$ with $g_n = \sum_{i \geq 0} y_{n,i} T^i$ and $y_{n,i} \in M_n$ for all $i \geq 0$. We have that $y_{n,i} = \phi_{n,n+k}(y_{n+k,i})$ for all $k, i \geq 0$ and therefore $y_{n,i} \in \phi_{n,n+k}(M_{n+k})$. That is, $y_{m,i} \in \phi_{m,m_{i+1}}(M_{m_{i+1}})$ and $y_{m,i} \neq \phi_{m,m_i}(x_i)$ since $\phi_{m,m_i}(x_i) \in M_m \setminus \phi_{m,m_{i+1}}(M_{m_{i+1}})$. Therefore

$$f_m - g_m = \sum_{i \geq 0} (\phi_{m,m_i}(x_i) - y_{m,i}) T^i \notin M_m[T]$$

and G can not be a preimage of F' , a contradiction to the vanishing of $\varprojlim^1 M_n[T]$. $\square$

As a consequence of 2.4 a characterization of pro-zero inverse systems follows. The vanishing $\varprojlim M_n = \varprojlim^1 M_n = 0$ is not sufficient for $\{M_n\}_{n \geq 1}$ being pro-zero (see 2.2). As shown next it follows by the vanishing $\varprojlim M_n[T] = \varprojlim^1 M_n[T] = 0$ (see 2.5). For the proof we modify Weibel's argument (see the proof [20, 3.5.7]). For an R -module M and a set S we define $M^{(S)} = \bigoplus_{s \in S} M_s$ with $M_s = M$. Then it is clear that conditions (iii) and (iv) hold also for the inverse system $\{(M_n)^{(S)}\}_{n \geq 0}$ when they hold for $\{M_n\}_{n \geq 0}$.

Corollary 2.5. *Let $\{M_n\}_{n \geq 0}$ denote an inverse system of R -modules. Then the following conditions are equivalent:*

- (i) $\{M_n\}_{n \geq 0}$ is pro-zero.
- (ii) $\{M_n[T]\}_{n \geq 0}$ is pro-zero.
- (iii) $\varprojlim M_n = \varprojlim^1 M_n = 0$ and $\varprojlim M_n[T] = \varprojlim^1 M_n[T] = 0$.
- (iv) $\varprojlim M_n[T] = \varprojlim^1 M_n[T] = 0$.

Proof. (i) $\implies$ (ii): Because $\{M_n\}_{n \geq 0}$ is pro-zero this holds also for the induced inverse system $\{M_n[T]\}_{n \geq 0}$ as easily seen.

(ii) $\implies$ (iv): This is obviously true because $\{M_n[T]\}_{n \geq 0}$ is pro-zero.

(iii) $\iff$ (iv): This is a consequence of the six-term exact sequence in 2.3.

(iii) $\implies$ (i): By view of 2.4 the inverse system $\{M_n\}_{n \geq 1}$ satisfies the Mittag-Leffler condition. We define $N_n = \text{Im } \phi_{n,m}$ where $m = m(n)$ is choosen such that $\{\text{Im } \phi_{n,k}\}_{k \geq n}$ becomes stable. Then $\{N_n\}_{n \geq 1}$ becomes an inverse system with surjective maps. Because the inverse system $\{M_n/N_n\}_{n \geq 1}$ is pro-zero the exact sequence $0 \rightarrow N_n \rightarrow M_n \rightarrow M_n/N_n \rightarrow 0$ implies $\varprojlim N_n = \varprojlim M_n = 0$ and therefore $N_n = 0$. $\square$

3. WEAKLY PRO-REGULAR SEQUENCES

We start with a few recalls of results and definitions of [17] and [16]. As above R denotes a commutative ring.

Notation 3.1. (A) For a system of elements $\underline{x} = x_1, \dots, x_r$ of R let $\check{C}_{\underline{x}}$ denote the Čech complex

$$\check{C}_{\underline{x}} := \check{C}_{x_1} \otimes_R \cdots \otimes_R \check{C}_{x_r},$$

where $\check{C}_{x_i} : 0 \rightarrow R \rightarrow R_{x_i} \rightarrow 0$ (see e.g. [7] or [17, 6.1]). In the following we look at the complex $\mathrm{RHom}_R(\check{C}_{\underline{x}}, M)$ for an R -module M in the derived category. By virtue of [5] there is a finite free resolution of $\check{C}_{\underline{x}}$. We follow here the one $\mathcal{L}_{\underline{x}}$ as given in [16]. Whence $\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, M)$ is a representative of $\mathrm{RHom}_R(\check{C}_{\underline{x}}, M)$. Define the Čech homology $\check{H}_i^{\underline{x}}(M) = H^{-i}(\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, M))$ and the Čech cohomology $\check{H}_i^{\underline{x}}(M) = H^i(\mathcal{L}_{\underline{x}} \otimes_R M)$ for all $i \in \mathbb{Z}$ (see [17] and [16] for more details).

(B) Let $\underline{U} = U_1, \dots, U_r$ denote a sequence of r variables over R . For an R -module M we denote, as above, by $M[[\underline{U}]]$ the module of formal power series in the variables $\underline{U}$. Clearly $M[[\underline{U}]] = \varprojlim M[\underline{U}]/\underline{U}^{(n)}M[\underline{U}]$, where $\underline{U}^{(n)} = U_1^n, \dots, U_r^n$ and $M[\underline{U}]$ is the polynomial module over M . For the sequence $\underline{x} = x_1, \dots, x_r$ we define the sequence $\underline{x} - \underline{U} = x_1 - U_1, \dots, x_r - U_r$. As one of the main results of the paper [17, Section 8] the following isomorphisms are shown

$$\mathrm{Hom}_R(\mathcal{L}_{\underline{x}}, M) \cong K_{\bullet}(\underline{x} - \underline{U}; M[[\underline{U}]]) \cong \varprojlim K_{\bullet}(\underline{x} - \underline{U}; M[\underline{U}]/\underline{U}^{(n)}M[\underline{U}]),$$

where $K_{\bullet}(\underline{x} - \underline{U}; \cdot)$ denotes the Koszul complex with respect to the sequence $\underline{x} - \underline{U}$. Moreover there are isomorphisms

$$\mathcal{L}_{\underline{x}} \otimes_R M \cong K^{\bullet}(\underline{x} - \underline{U}; M[\underline{U}^{-1}]) \cong \varprojlim K^{\bullet}(\underline{x} - \underline{U}; M[\underline{U}]/\underline{U}^{(n)}M[\underline{U}]),$$

where $M[\underline{U}^{-1}]$ denotes the module of inverse polynomials and $K^{\bullet}(\underline{x} - \underline{U}; \cdot)$ is the Koszul co-complex (see [16, 4.1] for all of the details).

In the following there is technical result for the computation of $\check{H}_i^{\underline{x}}(M)$ and $\check{H}_i^{\underline{x}}(M)$ resp.

Lemma 3.2. *We fix the notation of 3.1. Furthermore let $\underline{x}^{(n)} = x_1^n, \dots, x_r^n$ and let $H_i(\underline{x}^{(n)}; M)$ denote the Koszul homology and $H^i(\underline{x}^{(n)}; M)$ the Koszul cohomology.*

(a) *There are isomorphisms $\check{H}_i^{\underline{x}}(M) \cong \varprojlim H^i(\underline{x}^{(n)}; M)$ and short exact sequences*

$$0 \rightarrow \varprojlim^1 H_{i+1}(\underline{x}^{(n)}; M) \rightarrow \check{H}_i^{\underline{x}}(M) \rightarrow \varprojlim H_i(\underline{x}^{(n)}; M) \rightarrow 0,$$

for all $i \in \mathbb{Z}$.

(b) *For $i > 0$ we have $\check{H}_i^{\underline{x}}(M) = 0$ if and only if $\varprojlim^1 H_{i+1}(\underline{x}^{(n)}; M) = \varprojlim H_i(\underline{x}^{(n)}; M) = 0$ and $\check{H}_0^{\underline{x}}(M) \cong \Lambda^{\underline{x}}(M)$ if and only if $\varprojlim^1 H_1(\underline{x}^{(n)}; M) = 0$.*

Proof. For the proof of (a) we refer to [17, 6.1.4, 8.1.7] or [16, 5.6]. Then (b) is a consequence of the exact sequences in (a). $\square$

Next we shall give a further characterization for an element $x \in R$ such that an R -module M is of bounded x -torsion.

Definition 3.3. (A) Let M denote an R -module and $x \in R$ an element. Then M is called of bounded x -torsion if the family of increasing submodules $\{0 :_M x^n\}_{n \geq 0}$ stabilizes, that is

$$0 :_M x^n = 0 :_M x^{n+1} \text{ for all } n \gg 0.$$

Note that this is equivalent to the fact that the inverse system $\{0 :_M x^n\}_{n \geq 0}$ with the multiplication map $0 :_M x^m \xrightarrow{x^{m-n}} 0 :_M x^n, m \geq n$, being pro-zero.

(B) It is obvious that M is of bounded x -torsion if and only if the inverse system of Koszul homology modules $\{H_1(x^n; M)\}_{n \geq 0}$ with the multiplication map $H_1(x^m; M) \xrightarrow{x^{m-n}} H_1(x^n; M)$ is pro-zero. With this in mind Lipman (see [2]) introduced the generalization of a weakly pro-regular sequence for a ring R . For a generalization to an R -module M see [17, 7.3.1]. That is, a sequence $\underline{x} = x_1, \dots, x_r$ is called M -weakly pro-regular, if for $i > 0$ the inverse system

$\{H_i(\underline{x}^{(n)}; M)\}_{n \geq 0}$ is pro-zero, where $H_i(\underline{x}^m; M) \rightarrow H_i(\underline{x}^n; M)$, $m \geq n$, denotes the natural map induced by the Koszul complexes. A first systematic study of R -weakly pro-regular sequences has been done in [14].

For a characterization of M -weakly pro-regular sequences see [16]. In fact, this is an extension of R -weakly pro-regular sequences shown in [11] which extended the results of [14] to unbounded complexes. Here we shall prove another characterization of M -weakly pro-regular sequences. It is a slight extension of Potsitselski's result see [12, Section 3]) to the case of an R -module M . As above, for an R -module M and a set S we define $M^{(S)} = \bigoplus_{s \in S} M_s$ with $M_s = M$. Note that $M[T] \cong M^{(\mathbb{N})}$. Moreover, $\Lambda^{\underline{x}}(M) = \hat{M}^{\underline{x}} = \varprojlim M/\underline{x}^{(n)}M$ denotes the $\underline{x}R$ -adic completion of an R -module M .

Theorem 3.4. *Let $\underline{x} = x_1, \dots, x_r$ denote a sequence of elements of R . For an R -module M the following conditions are equivalent:*

- (i) $\underline{x}$ is M -weakly pro-regular.
- (ii) For any set S it holds $\check{H}_i^{\underline{x}}(M^{(S)}) = 0$ for all $i > 0$ and $\check{H}_0^{\underline{x}}(M^{(S)}) = \Lambda^{\underline{x}}(M^{(S)})$.
- (iii) $\check{H}_i^{\underline{x}}(M[T]) = \check{H}_i^{\underline{x}}(M) = 0$ for all $i > 0$ and $\check{H}_0^{\underline{x}}(M[T]) = \Lambda^{\underline{x}}(M[T])$ and $\check{H}_0^{\underline{x}}(M) = \Lambda^{\underline{x}}(M)$.
- (iv) $\check{H}_i^{\underline{x}}(M[T]) = 0$ for all $i > 0$ and $\check{H}_0^{\underline{x}}(M[T]) = \Lambda^{\underline{x}}(M[T])$.

Proof. (i) $\implies$ (ii): It is clear that for $i > 0$ the inverse system $\{H_i(\underline{x}^{(n)}; M^{(S)})\}_{n \geq 0}$ is pro-zero too. Then $\varprojlim H_i(\underline{x}^{(n)}; M^{(S)}) = \varprojlim {}^1H_i(\underline{x}^{(n)}; M^{(S)}) = 0$ for $i > 0$ and (ii) is a consequence of 3.2.

(ii) $\implies$ (iii) $\implies$ (iv): These hold obviously.

(iv) $\implies$ (i): By view of 3.2 the assumptions imply that

$$\varprojlim H_i(\underline{x}^{(n)}; M[T]) = \varprojlim {}^1H_i(\underline{x}^{(n)}; M[T]) = 0 \text{ for } i > 0.$$

By 2.5 this completes the proof because of $H_i(\underline{x}^{(n)}; M[T]) \cong H_i(\underline{x}^{(n)}; M)[T]$. $\square$

In the following example we show that it is not sufficient to assume S to be finite in 3.4 for the characterization of weakly pro-regular sequences (see also [15, Example 3.3]).

Example 3.5. Let $R = \mathbb{k}[[x]]$ denote the formal power series ring in the variable x over the field $\mathbb{k}$. Then define $A = \prod_{n \geq 1} R/x^n R$. By the component wise operations A becomes a commutative ring. The natural map $R \rightarrow A, r \rightarrow (r + x^n R)_{n \geq 1}$, is a ring homomorphism with $x \mapsto \mathbf{x} := (x + x^n R)_{n \geq 1}$. As a direct product of xR -complete modules A is an xR -complete R -module (see [17, 2.2.7]). Since R is a Noetherian ring x is R -weakly pro-regular and $\check{H}_i^{\mathbf{x}}(A) \cong H_i(\text{Hom}_R(\mathcal{L}_{\mathbf{x}}, A)) = 0$ for $i > 0$ and $\check{H}_0^{\mathbf{x}}(A) \cong H_0(\text{Hom}_R(\mathcal{L}_{\mathbf{x}}, A)) \cong A$. Moreover, by the change of rings there is an isomorphism $\text{Hom}_R(\mathcal{L}_{\mathbf{x}}, A) \cong \text{Hom}_A(\mathcal{L}_{\mathbf{x}}, A)$. That is, $\check{H}_i^{\mathbf{x}}(A) = 0$ for $i > 0$ and $\check{H}_0^{\mathbf{x}}(A) \cong A$. Now note that A is not of bounded $\mathbf{x}$ -torsion as easily seen. It follows that the equivalent conditions in 3.4 do not hold for A and $A[T]$. To be more precise, recall $H_1(x^n; A) = \prod_{i \geq 1} (x^{i-n} R / x^i R)$ with $x^{i-n} R = R$ for $i \leq n$, that is

$$H_1(x^n; A) = \underbrace{(R/xR, \dots, R/x^n R)}_{i \leq n}, \underbrace{xR/x^{n+1}, \dots, x^{i-n} R / x^i R, \dots)}_{i > n}.$$

Therefore $H_1(x^m; A)$ does not stabilize under the multiplication by x^{m-n} in $H_1(x^n; A)$. Note that the i -component of the image of $H_1(x^m; A)$ under the multiplication by x^{m-n} in $H_1(x^n; A)$ is zero for $i \leq m - n < m$ and non-zero for $i = m - n + 1$. Whence $\{H_1(x^n; A)\}_{n \geq 1}$ does not satisfy the Mittag-Leffler condition. By view of 2.4 we have $\varprojlim {}^1H_1(x^n; A[T]) \neq 0$ and $\Lambda_0^{\mathbf{x}}(A[T]) \cong \check{H}_0^{\mathbf{x}}(A[T]) \not\rightarrow \Lambda^{\mathbf{x}}(A[T])$ is not an isomorphism (see 3.2 (a)).

As an application we have another characterization that an R -module M is of bounded x -torsion for an element $x \in R$. Note that (iii) in 3.6 is the analogue to 3.4 (iv).

Corollary 3.6. *For an element $x \in R$ and an R -module M the following conditions are equivalent:*

- (i) M is of bounded x -torsion.
- (ii) $\check{H}_1^x(M[T]) = \check{H}_1^x(M) = 0$ and $\check{H}_0^x(M[T]) \cong \Lambda^x(M[T])$ and $\check{H}_0^x(M) \cong \Lambda^x(M)$.
- (iii) $\varprojlim 0 :_{M[T]} x^n = \varprojlim^1 0 :_{M[T]} x^n = 0$.

Proof. The equivalence of the first two conditions is a particular case of 3.4. The equivalence of the first and third condition is a particular case of 2.5. $\square$

Moreover, the proof of Theorem 1.1 follows by 3.4 and [16, Proposition 5.3]. To this end note that $\check{H}_i^x(M) = H_i(\text{Hom}_R(\mathcal{L}_{\underline{x}}, M))$. For an R -module X we call a complex $X_\bullet : \dots \rightarrow X_1 \rightarrow X_0 \rightarrow 0$ a left resolution whenever $X_\bullet \xrightarrow{\sim} M$. A co-complex $Y^\bullet : 0 \rightarrow Y^0 \rightarrow Y^1 \rightarrow \dots$ is called a right resolution of X provided $X \xrightarrow{\sim} Y^\bullet$.

With the previous results we have the following slight generalization of Potsitselski's result (see [12, Theorem 3.6]). Note that $\underline{x}$ is R -weakly pro-regular if it is $R[T]$ -weakly pro-regular as easily seen.

Corollary 3.7. *For a sequence $\underline{x} = x_1, \dots, x_r$ of a ring R the following conditions are equivalent:*

- (i) $\underline{x}$ is R -weakly pro-regular.
- (ii) $\text{Hom}_R(\mathcal{L}_{\underline{x}}, M)$ is a left resolution of $\Lambda^{\underline{x}}(M)$ for any free R -module M .
- (iii) $\text{Hom}_R(\mathcal{L}_{\underline{x}}, R[T])$ is a left resolution of $\Lambda^{\underline{x}}(R[T])$.

Remark 3.8. While the property of R -regular and M -regular sequences are quite "symmetric" this is not the case for the notion of weakly pro-regularity. Let $\underline{x}$ denote a sequence of elements of R . If it is R -weakly pro-regular it follows that $\check{H}_0^{\underline{x}}(M) \cong \Lambda_0^{\underline{x}}(M)$ for any R -module M (see e.g. [17, Chapter 7]). Let $\underline{x}$ be M -weakly pro-regular, then $\check{H}_0^{\underline{x}}(M) \cong \Lambda^{\underline{x}}(M)$ as shown in 3.4. Note that the homomorphism $\Lambda_0^{\underline{x}}(M) \rightarrow \Lambda^{\underline{x}}(M)$ is onto (see [17, 2.5.1]) but in general not an isomorphism (see e.g. Example 3.5).

4. PRO-REGULAR SEQUENCES

Before we shall investigate pro-regular sequences we need technical results about pro-zero inverse systems. To this end let M denote an R -module with $\{M_n\}_{n \geq 1}$ a decreasing sequence of submodules of M , i.e. $M_{n+1} \subseteq M_n$ for $n \geq 1$. Then $\mathcal{M} = \{M/M_n\}_{n \geq 1}$ forms an inverse system with surjective maps $M/M_{n+1} \rightarrow M/M_n$. Moreover, let $\Lambda(\mathcal{M}) = \varprojlim M/M_n$. For a sequence of elements $\underline{x} = x_1, \dots, x_r \in R$ we consider the induced filtration $\{(\underline{x}^{(n)}M, M_n)\}_{n \geq 1}$, where $\underline{x}^{(n)} = x_1^n, \dots, x_r^n$. We write $\Lambda(\mathcal{M}/\underline{x}\mathcal{M}) := \varprojlim M/(\underline{x}^{(n)}M, M_n)$ for the inverse limit of the induced filtration. Then there is a natural homomorphism $\Lambda^{\underline{x}}(\Lambda(\mathcal{M})) \rightarrow \Lambda(\mathcal{M}/\underline{x}\mathcal{M})$. In the following we will discuss when it is an isomorphism.

Lemma 4.1. *With the previous notation there is a short exact sequence*

$$0 \rightarrow \varprojlim_n \varprojlim_m^1 H_1(\underline{x}^{(n)}; M/M_m) \rightarrow \Lambda^{\underline{x}}(\Lambda(\mathcal{M})) \rightarrow \Lambda(\mathcal{M}/\underline{x}\mathcal{M}) \rightarrow 0.$$

Therefore $\Lambda^{\underline{x}}(\Lambda(\mathcal{M})) \cong \Lambda(\mathcal{M}/\underline{x}\mathcal{M})$ if and only if $\varprojlim_n \varprojlim_m^1 H_1(\underline{x}^{(n)}; M/M_m) = 0$.

Proof. Let m, n denote positive integers. We investigate the inverse system of Koszul complexes $\{K_\bullet(\underline{x}^{(n)}; M/M_m)\}_{m \geq 1}$. For its inverse limit there are isomorphisms

$$\varprojlim_m K_\bullet(\underline{x}^{(n)}, M/M_m) \cong \text{Hom}_R(K^\bullet(\underline{x}^{(n)}), \Lambda(\mathcal{M})) \cong K_\bullet(\underline{x}^{(n)}; \Lambda(\mathcal{M})).$$

The inverse system $\{K_\bullet(\underline{x}^{(n)}; M/M_m)\}_{m \geq 1}$ is degree-wise surjective. Whence for its 0-th homology there is a short exact sequence

$$0 \rightarrow \varprojlim_m^1 H_1(\underline{x}^{(n)}; M/M_m) \rightarrow H_0(\underline{x}^{(n)}; \Lambda(\mathcal{M})) \rightarrow \varprojlim_m H_0(\underline{x}^{(n)}; M/M_m) \rightarrow 0$$

(see [17, 1.2.8]). It forms an exact sequence of inverse systems on n . By passing to the inverse limit it provides the short exact sequence of the statement since $\varprojlim_n \varprojlim_m^1 H_1(\underline{x}^{(n)}; M/M_m) = 0$ because of the underlying bi-countable indexed system (see the spectral sequence in [13]). Whence the statement follows. $\square$

The previous result is an extension of [5, Lemma 1.6] to the case of a sequence of elements and a more general filtration. Namely, it was shown by Greenlees and May that the vanishing of $\varprojlim_n \varprojlim_m^1 H_1(x^n; M/\mathcal{I}^m M)$ implies the isomorphism $\Lambda^x(\Lambda^{\mathcal{I}}(M)) \cong \Lambda^{(x, \mathcal{I})}(M)$. By 4.1 the vanishing is also necessary for the isomorphism.

For any set S we define also $\Lambda(\mathcal{M}^{(S)}) = \varprojlim M^{(S)}/M_n^{(S)} \cong \varprojlim ((M/M_n)^{(S)})$. For an element $x \in R$ we put - as before -

$$\Lambda((\mathcal{M}/x\mathcal{M})^{(S)}) = \varprojlim M^{(S)}/(xM, M_n)^{(S)} \cong \varprojlim ((M/(xM, M_n)^{(S)})).$$

Moreover, we study when the inverse system $\{M_n :_M x^n / M_n\}_{n \geq 1}$ with the multiplication by x is pro-zero. That is, when for each $n \geq 1$ there is an $m \geq n$ such that the multiplication map

$$M_m :_M x^m / M_m \xrightarrow{x^{m-n}} M_n :_M x^n / M_n$$

is zero. This is equivalent to the inverse system $\{H_1(x^n; M/M_n)\}_{n \geq 1}$ being pro-zero, where $H_1(x^n; M/M_n)$ denotes the Koszul homology of M/M_n with respect to the element x^n . In other words, for each integer $n \geq 1$ there is an $m \geq n$ such that $M_m :_M x^m \subseteq M_n :_M x^{m-n}$. Note that, if $M_n =: N$ for all $n \geq 1$, then $\{H_1(x^n; M/N)\}_{n \geq 1}$ is pro-zero if and only if M/N is of bounded x -torsion. With this in mind we shall continue with an extension of 3.6.

Theorem 4.2. *With the previous notation the following conditions are equivalent:*

- (i) *The inverse system $\{H_1(x^n; M/M_n)\}_{n \geq 1}$ is pro-zero.*
- (ii) *$\check{H}_1^x(\Lambda(\mathcal{M}^{(S)})) = 0$ and $\check{H}_0^x(\Lambda(\mathcal{M}^{(S)})) \cong \Lambda((\mathcal{M}/x\mathcal{M})^{(S)})$ for any set S .*
- (iii) *Condition (ii) holds for S a set of a single element and $S = \mathbb{N}$.*
- (iv) *$\varprojlim H_1(x^n; Y_n) = \varprojlim^1 H_1(x^n; Y_n) = 0$ for both $Y_n = M/M_n$ and $Y_n = M/M_n[T]$.*
- (v) *$\varprojlim H_1(x^n; M/M_n[T]) = \varprojlim^1 H_1(x^n; M/M_n[T]) = 0$.*

Proof. (i) $\implies$ (ii): We put $X = M^{(S)}$ and $X_n = (M_n)^{(S)}$. Then it follows that $\{H_1(x^n; X/X_n)\}_{n \geq 1}$ is pro-zero too since the Koszul homology commutes with direct sums, therefore

$$\varprojlim H_1(x^n; X/X_n) = \varprojlim^1 H_1(x^n; X/X_n) = 0.$$

Furthermore there are isomorphisms

$$\varprojlim_m H_1(x^n; X/X_m) \cong \varprojlim_m \operatorname{Hom}_R(R/x^n R, X/X_m) \cong H_1(x^n; \Lambda(X))$$

for all $n \geq 1$. We have the bi-indexed system $\{H_1(x^n; X/X_m)\}_{n \geq 1, m \geq 1}$ and the diagonal system $\{H_1(x^n; X/X_n)\}_{n \geq 1}$ cofinal in it. There are the isomorphisms and the vanishing

$$\varprojlim_n H_1(x^n; \Lambda(X)) \cong \varprojlim_n \varprojlim_m H_1(x^n; X/X_m) \cong \varprojlim_{n,m} H_1(x^n; X/X_m) = 0.$$

By virtue of Roos' spectral sequence (see [13] or [20, 5.8.7]) there is a short exact sequence

$$(\#) \quad 0 \rightarrow \varprojlim_n \varprojlim_m H_1(x^n; X/X_m) \rightarrow \varprojlim_{n,m} H_1(x^n; X/X_m) \rightarrow \varprojlim_n \varprojlim_m^1 H_1(x^n; X/X_m) \rightarrow 0$$

and a similar one with m, n reversed. This implies the vanishing $\varprojlim^1 H_1(x^n; \Lambda(X)) = 0$ and also $\varprojlim_n \varprojlim_m^1 H_1(x^n; X/X_m) = 0$. By view of 3.2 and 4.1 this proves the claim.

(ii) $\implies$ (iii): This holds trivially.

(iii) $\implies$ (iv): By 3.2 the assumption implies that $\varprojlim H_1(x^n; \Lambda(\mathcal{X})) = \varprojlim^1 H_1(x^n; \Lambda(\mathcal{X})) = 0$ for $\mathcal{X} = \mathcal{M}$ and $\mathcal{M}[T]$. Put $X/X_m = \mathcal{M}_m$. Because $\Lambda(\mathcal{X}) \cong \varprojlim_m X/X_m$ and since the inverse limit commutes (as above) with the first Koszul homology it follows that

$$(\star) \quad \varprojlim_n \varprojlim_m H_1(x^n; X/X_m) = \varprojlim_n^1 \varprojlim_m H_1(x^n; X/X_m) = 0.$$

The first vanishing implies that $\varprojlim H_1(x^n; M/M_n) = 0$. In order to continue note that the isomorphism of the assumption $\check{H}_0^x(\Lambda(\mathcal{X})) \cong \Lambda(\mathcal{X}/x\mathcal{X})$ factors through

$$\check{H}_0^x(\Lambda(\mathcal{X})) \xrightarrow{\beta} \Lambda^x(\Lambda(\mathcal{X})) \xrightarrow{\gamma} \Lambda(\mathcal{X}/x\mathcal{X})$$

surjections β (see 3.2) and γ (see 4.1). Whence $\Lambda^x(\Lambda(\mathcal{X})) \rightarrow \Lambda(\mathcal{X}/x\mathcal{X})$ is an isomorphism and $\varprojlim_n \varprojlim_m^1 H_1(\underline{x}^{(n)}; M/M_m) = 0$ (see 4.1). Therefore

$$\varprojlim_n^1 \varprojlim_m H_1(x^n; X/X_m) = \varprojlim_n \varprojlim_m^1 H_1(x^n; X/X_m) = 0.$$

By Roos' exact sequence above (see (#)) $\varprojlim^1 H_1(x^n; M/M_n) = 0$, as required.

(iv) $\implies$ (v): This is obvious.

(v) $\implies$ (i): The Koszul homology commutes with direct sums. Therefore the implication follows by virtue of 2.5. $\square$

The implication (i) $\implies$ (ii) in 4.2 is a generalization of [5, Proposition 1.7]. Furthermore, a certain generalization of bounded torsion to the study of sequences was invented by Greenlees and May (see [5]) and Lipman et al. (see [1]), namely:

Definition 4.3. (A) Let $\underline{x} = x_1, \dots, x_r$ denote a sequence of elements of R . For an R -module M it is called M -pro-regular if the inverse systems with the multiplication map by x_i^n

$$\{(x_1^n, \dots, x_{i-1}^n)M :_M x_i^n / (x_1^n, \dots, x_{i-1}^n)M\}_{n \geq 1}, \quad i = 1, \dots, r,$$

are pro-zero. This is equivalent to saying that the inverse systems $\{H_1(x_i^{(n)}; M/\underline{x}_{i-1}^{(n)}M)\}_{n \geq 1}$ are pro-zero for $i = 1, \dots, r$. For a sequence of elements $\underline{x} = x_1, \dots, x_r$ we specify the subsystems $\underline{x}_i = x_1, \dots, x_i$ for $i = 0, \dots, r-1$.

(B) The notion of pro-zero is equivalent to say that for $i = 1, \dots, r$ and any positive integer n there is an integer $m \geq n$ such that

$$(x_1^m, \dots, x_{i-1}^m)M :_M x_i^m \subseteq (x_1^n, \dots, x_{i-1}^n)M :_M x_i^{m-n}.$$

Note that an element $x \in R$ is M -pro-regular if and only if M is of bounded x -torsion.

For a discussion of the notions of pro-regularity of Greenlees and May (see [5]) resp. Lipman (see [1]) we refer to [15]. Moreover, it follows that an M -pro-regular sequence is also M -weakly pro-regular (see e.g. [15, Theorem 2.4]), while the converse does not hold (see [2]). For a homological characterization of M -pro-regular sequences in terms of injective modules we refer to [15, Theorem 2.1]. Here we add a slight extension of [15, Theorem 2.1].

Theorem 4.4. Let $\underline{x} = x_1, \dots, x_r$ denote an ordered sequence of elements of R . Let M denote an R -module. Then the following conditions are equivalent.

- (i) The sequence $\underline{x}$ is M -pro-regular.
- (ii) The sequence $\underline{x}$ is $(M \otimes_R F)$ -pro-regular for any flat R -module F .

- (iii) $\check{H}_{x_i}^1(\Gamma_{\underline{x}_{i-1}}(\text{Hom}_R(M, I))) = 0$ for $i = 1, \dots, k$ and any injective R -module I .
- (iv) $\check{H}_{\underline{x}}^1(\text{Hom}_R(M, I)) = 0$ for $i = 1, \dots, k$ and any injective R -module I .

Proof. For the equivalence of the first three conditions we refer to [15, Theorem 2.1]. For the proof of (iii) $\iff$ (iv) we put $X = \text{Hom}_R(M, I)$ and recall the following short exact sequence

$$0 \rightarrow \check{H}_{x_i}^1(\check{H}_{\underline{x}_{i-1}}^0(X)) \rightarrow \check{H}_{\underline{x}}^1(X) \rightarrow \check{H}_{x_i}^0(\check{H}_{\underline{x}_{i-1}}^1(X)) \rightarrow 0$$

for $i = 1, \dots, r$, (see [17, 6.1.11] or [16, 8.1 (b)]). Then note that $\Gamma_{\underline{x}_{i-1}}(X) \cong \check{H}_{\underline{x}_{i-1}}^0(X)$. If (iv) holds the claim in (iii) follows easily. For the converse we have $\check{H}_{\underline{x}}^1(X) \cong \check{H}_{x_i}^1(\check{H}_{\underline{x}_{i-1}}^0(X)) = 0$ for $i = 1, \dots, r$ and inductively the vanishing of $\check{H}_{\underline{x}}^1(X)$ for $i = 1, \dots, r$, recall that $\check{H}_{\underline{x}_{i-1}}^1(X) = 0$ by the inductive step. This proves (iii). $\square$

Recall that 4.4 provides a characterization of M -pro-regular sequences in terms of Čech cohomology. In the following we shall prove a characterization in terms of Čech homology. This depends upon the results of pro-zero inverse systems as shown above.

Theorem 4.5. *Let $\underline{x} = x_1, \dots, x_r$ denote a sequence of elements of R . For an R -module M the following conditions are equivalent:*

- (i) *The sequence $\underline{x}$ is M -pro-regular.*
- (ii) *$\check{H}_0^{x_i}(\Lambda^{\underline{x}_{i-1}}(M^{(S)})) \cong \Lambda^{\underline{x}_i}(M^{(S)})$ and $\check{H}_1^{x_i}(\Lambda^{\underline{x}_{i-1}}(M^{(S)})) = 0$ for $i = 1, \dots, r$ and any set S .*
- (iii) *$\check{H}_0^{x_i}(\Lambda^{\underline{x}_{i-1}}(X)) \cong \Lambda^{\underline{x}_i}(X)$ and $\check{H}_1^{x_i}(\Lambda^{\underline{x}_{i-1}}(X)) = 0$ for $i = 1, \dots, r$ and $X = M, M[T]$.*
- (iv) *$\check{H}_0^{\underline{x}_i}(X) \cong \Lambda^{\underline{x}_i}(X)$ and $\check{H}_1^{\underline{x}_i}(X) = 0$ for $i = 1, \dots, r$ and $X = M, M[T]$.*
- (v) *$\Lambda^{\underline{x}_{i-1}}(X)$ is of bounded x_i -torsion for $i = 1, \dots, r$ and $X = M, M[T]$.*

Proof. First note that $\underline{x}$ is $M^{(S)}$ -pro-regular for any set S . It turns out since $R/\underline{x}_i^{(n)}R$ is finitely generated and $\text{Hom}_R(R/\underline{x}_i^{(n)}R, \cdot)$ commutes with direct sums. Because of

$$\underline{x}_{i-1}^{(n)}M^{(S)} :_{M^{(S)}} \underline{x}_i^n / \underline{x}_{i-1}^{(n)}M^{(S)} \cong H_1(x_i^n; H_0(\underline{x}_{i-1}^{(n)}; M^{(S)}))$$

for all $n \geq 0$ and $i = 1, \dots, r$, it follows that the corresponding inverse systems are isomorphic and pro-zero. Note that $H_0(\underline{x}_{i-1}^{(n)}; M^{(S)}) \cong M^{(S)} / \underline{x}_{i-1}^{(n)}M^{(S)}$. Moreover the condition and Theorem 4.2 proves the equivalence of the first three statements.

(iii) $\iff$ (iv) : By view of [16, 8.1] there are short exact sequences

$$(\dagger) \quad 0 \rightarrow \check{H}_0^{x_i}(\check{H}_j^{\underline{x}_{i-1}}(X)) \rightarrow \check{H}_j^{\underline{x}_i}(X) \rightarrow \check{H}_1^{\underline{x}_i}(\check{H}_{j-1}^{\underline{x}_{i-1}}(X)) \rightarrow 0$$

for $i = 1, \dots, r$ and $j = 0, 1$. Then the equivalence is easily seen by the exact sequences. More precisely, (iii) $\implies$ (iv) follows by increasing induction on i starting at $i = 1$. The converse follows similarly.

(v) $\implies$ (iii): The assumption in (v) implies the vanishing

$$\varprojlim H_1(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) = \varprojlim^1 H_1(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) = 0.$$

By virtue of 3.2 it follows that $\check{H}_1^{\underline{x}_i}(\Lambda^{\underline{x}_{i-1}}(X)) = 0$ and $\check{H}_0^{\underline{x}_i}(\Lambda^{\underline{x}_{i-1}}(X)) \cong \varprojlim H_0(x_i^n; \Lambda^{\underline{x}_{i-1}}(X))$. Now we have $\varprojlim H_0(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) \cong \varprojlim_n \varprojlim_m X / (x_i^n, \underline{x}_{i-1}^{(m)})X \cong \Lambda^{\underline{x}_i}(X)$, which proves the claim in (iii).

(iii) $\implies$ (v): The statement yields $\varprojlim H_1(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) = \varprojlim^1 H_1(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) = 0$. For a fixed n and $j = 0, 1$ we have the short exact sequences

$$0 \rightarrow \varprojlim_m H_{j+1}(x_i^n; X / \underline{x}_{i-1}^{(m)}X) \rightarrow H_j(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) \rightarrow \varprojlim_m H_j(x_i^n; X / \underline{x}_{i-1}^{(m)}X) \rightarrow 0.$$

This follows since the inverse system for $\varprojlim_m K_\bullet(x_i^n; X/\underline{x}_{i-1}^{(m)}X) \cong K_\bullet(x_i^n; \Lambda^{\underline{x}_{i-1}}(X))$ has degree wise surjective maps. For $j = 1$ it yields that

$$0 = \varprojlim_n H_1(x_i^n; \Lambda^{\underline{x}_{i-1}}(X)) \cong \varprojlim_n \varprojlim_m H_1(x_i^n; X/\underline{x}_{i-1}^{(m)}X) \cong \varprojlim_n H_1(x_i^n; X/\underline{x}_{i-1}^{(n)}X).$$

It remains to show the vanishing of $\varprojlim_n H_1(x_i^n; X/\underline{x}_{i-1}^{(n)}X)$. First note that the above short exact sequence for $j = 1$ provides that $\varprojlim_n \varprojlim_m H_1(x_i^n; X/\underline{x}_{i-1}^{(m)}X) = 0$. The same sequence for $j = 0$ yields that $\varprojlim_n \varprojlim_m H_1(x_i^n; X/\underline{x}_{i-1}^{(m)}X) = 0$. Then the above sequence (#) (see proof of 4.2) with m, n reversed proves the vanishing $\varprojlim_n H_1(x_i^n; X/\underline{x}_{i-1}^{(n)}X) = 0$. $\square$

5. A GLOBAL VARIATION

As before, let R denote a commutative ring. For an element $f \in R$ we write $D(f) = \text{Spec } R \setminus V(f)$. Note that $D(f)$ is an open set in the Zariski topology of $\text{Spec } R$. For $f \in R$ there is a natural map $\text{Spec } R_f \rightarrow \text{Spec } R$ that induces a homeomorphism between $\text{Spec } R_f$ and $D(f)$. Since $\text{Spec } R = \cup_{f \in R} D(f)$ and since $\text{Spec } R$ is quasi-compact there are finitely many $f_1, \dots, f_r \in R$ such that $\text{Spec } R = \cup_{i=1}^r D(f_i)$. Then we recall the following definitions (see [15]).

Definition 5.1. (A) A sequence $\underline{f} = f_1, \dots, f_r$ of elements of R is called a covering sequence if $\text{Spec } R = \cup_{i=1}^r D(f_i)$. This is equivalent to saying that $R = \underline{f}R$. Moreover, if $\underline{f}$ is a covering sequence then the natural map $M \rightarrow \bigoplus_{i=1}^r M_{f_i}$ is injective for any R -module M as easily seen. (B) An ideal $\mathcal{I} \subset R$ is called an effective Cartier divisor if there is a covering sequence $\underline{f} = f_1, \dots, f_r$ such that $\mathcal{I}R_{f_i} = x_i R_{f_i}$, $i = 1, \dots, r$, for non-zerodivisors $x_i/1$ of R_{f_i} with $x_i \in R$. It follows that $\mathcal{I} \subseteq (x_1, \dots, x_r)R$. (C) Let $\mathcal{I}$ denote an effective Cartier divisor and $x \in R$. The pair $(\mathcal{I}, x)$ is called pro-regular if for any integer n there is an integer $m \geq n$ such that $\mathcal{I}^m : x^m \subseteq \mathcal{I}^n : x^{m-n}$. This is consistent with the definition in [5] (see 4.3) and is equivalent to the fact that for each n there is an integer $m \geq n$ such that the multiplication map $\mathcal{I}^m :_R x^m/\mathcal{I}^m \xrightarrow{x^{m-n}} \mathcal{I}^n :_R x^n/\mathcal{I}^n$ is the zero map. Moreover, the pair $(\mathcal{I}, x)$ is pro-regular if and only if the inverse system $\{H_1(x^n; R/\mathcal{I}^n)\}_{n \geq 1}$ is pro-zero.

For the following we need a technical result about Cartier divisors and their relation to pro-regularity.

Lemma 5.2. Let $\mathcal{I} \subseteq R$ be an effective Cartier divisor with the covering sequence $\underline{f} = f_1, \dots, f_r$ such that $\mathcal{I}R_{f_i} = x_i R_{f_i}$, $i = 1, \dots, r$, for non-zerodivisors $x_i/1$ of R_{f_i} . For an element $x \in R$ the following conditions are equivalent:

- (i) $R/\mathcal{I}$ is of bounded x -torsion.
- (ii) $R_{f_i}/x_i R_{f_i}$ is of bounded $x/1$ -torsion for $i = 1, \dots, r$.
- (iii) $x_i/1, x/1$ is pro-regular in R_{f_i} for $i = 1, \dots, r$ in the sense of 4.3.
- (iv) $(\mathcal{I}, x)$ is pro-regular in the sense of 5.1.

Proof. (i) $\iff$ (ii): For each pair of integers $m \geq n \geq 1$ we have the following commutative diagram where the horizontal maps are injections

$$\begin{array}{ccc} \mathcal{I} :_R x^m/\mathcal{I} & \rightarrow & \bigoplus_{j=1}^r (x_i R_{f_i} :_{R_{f_i}} x^m/1)/x_i R_{f_i} \\ \downarrow x^{m-n} & & \downarrow \bigoplus (x^{m-n}/1) \\ \mathcal{I} :_R x^n/\mathcal{I} & \rightarrow & \bigoplus_{j=1}^r (x_i R_{f_i} :_{R_{f_i}} x^n/1)/x_i R_{f_i} \end{array}$$

which proves the equivalence.

(ii) $\iff$ (iii): Note that $x_i/1, x/1$ is pro-regular if and only if $R_{f_i}/x_i^k R_{f_i}$ is of bounded $x/1$ -torsion for all $k \geq 1$. The equivalence follows easily: First note that $x_i/1$ is R_{f_i} -regular. Then use induction on the short exact sequence

$$0 \rightarrow x_i^k R_{f_i} / x_i^{k+1} R_{f_i} \rightarrow R_{f_i} / x_i^{k+1} R_{f_i} \rightarrow R_{f_i} / x_i^k R_{f_i} \rightarrow 0$$

and recall that $x_i^k R_{f_i} / x_i^{k+1} R_{f_i} \cong R_{f_i} / x_i R_{f_i}$.

(iii) $\iff$ (iv): The equivalence comes out by the following modification of the above commutative diagram

$$\begin{array}{ccc} \mathcal{I}^m :_R x^m / \mathcal{I}^m & \rightarrow & \bigoplus_{j=1}^r (x_i^m R_{f_i} :_{R_{f_i}} x^m / 1) / x_i^m R_{f_i} \\ \downarrow x^{m-n} & & \downarrow \bigoplus (x^{m-n} / 1) \\ \mathcal{I}^n :_R x^n / \mathcal{I}^n & \rightarrow & \bigoplus_{j=1}^r (x_i^n R_{f_i} :_{R_{f_i}} x^n / 1) / x_i^n R_{f_i}. \end{array}$$

Recall that the horizontal maps are injective (see also [15]). $\square$

Next we apply the previous investigations to the case when the pair $(\mathcal{I}, x)$ is pro-regular in the sense of 5.1.

Lemma 5.3. *Let $\mathcal{I} \subseteq R$ be an effective Cartier divisor with the covering sequence $\underline{f} = f_1, \dots, f_r$ such that $\mathcal{I}R_{f_i} = x_i R_{f_i}, i = 1, \dots, r$, for non-zerodivisors $x_i/1$ of R_{f_i} . For an element $x \in R$ the following conditions are equivalent:*

- (i) $R/\mathcal{I}$ is of bounded x -torsion.
- (ii) $\check{H}_1^x((R/\mathcal{I})[T]) = 0$ and $\check{H}_0^x((R/\mathcal{I})[T]) \cong \Lambda^x((R/\mathcal{I})[T])$.
- (iii) $\check{H}_1^x(\Lambda^{\mathcal{I}}(X)) = 0$ and $\check{H}_0^x(\Lambda^{\mathcal{I}}(X)) \cong \Lambda^{(x, \mathcal{I})}(X)$ for $X = R, R[T]$.
- (iv) $\Lambda^{\mathcal{I}}(R)$ and $\Lambda^{\mathcal{I}}(R[T])$ are of bounded x -torsion.

Proof. First note that by 5.2 $\{H_1(x^n; R/\mathcal{I})\}_{n \geq 1}$ is pro-zero if and only if $\{H_1(x^k; R/\mathcal{I}^k)\}_{k \geq 1}$ is pro-zero. Then the equivalence of (i) and (ii) follows by 3.4. Moreover, by 4.2 the pro-zero property of the second inverse system above implies the equivalence to (iii). Finally the equivalence of (iii) and (iv) is a consequence of 4.5 and 4.1 since $\varprojlim_n \varprojlim_m^1 H_1(x^n; R/\mathcal{I}^m) = 0$. $\square$

In the following we shall give a comment of the previous investigations to the recent work of Bhatt and Scholze (see [3]) completing the results of [15]. To this end let $p \in \mathbb{N}$ denote a prime number and let $\mathbb{Z}_p := \mathbb{Z}_{\mathfrak{p}}$ the localization at the prime ideal $(p) = \mathfrak{p} \in \text{Spec } \mathbb{Z}$. In the following let R be a $\mathbb{Z}_p$ -algebra.

Definition 5.4. (see [3, Definition 1.1]) A prism is a pair $(R, \mathcal{I})$ consisting of a δ -ring R (see [3, Remark 1.2]) and a Cartier divisor $\mathcal{I}$ on R satisfying the following two conditions.

- (a) The ring R is $(p, \mathcal{I})$ -adic complete.
- (b) $p \in \mathcal{I} + \phi_R(\mathcal{I})R$, where ϕ_R is the lift of the Frobenius on R induced by its δ -structure (see [3, Remark 1.2]).

With the previous definition there is the following application of our results.

Corollary 5.5. *Let $(R, \mathcal{I})$ denote a prism. Then the following conditions are equivalent:*

- (i) $\mathcal{I}$ is of bounded p -torsion.
- (ii) The pair $(\mathcal{I}, p)$ is pro-regular in the sense of 5.1.
- (iii) $\check{H}_x^1(\text{Hom}_R(R/\mathcal{I}, I)) = 0$ for any injective R -module I .
- (iv) $\check{H}_0^{pR}(\Lambda^{\mathcal{I}}(R^{(S)})) \cong \Lambda^{(pR, \mathcal{I})}(R^{(S)})$ and $\check{H}_1^{pR}(\Lambda^{\mathcal{I}}(R^{(S)})) = 0$ for any set S .
- (v) $\Lambda^{\mathcal{I}}(R^{(S)})$ and $\Lambda^{\mathcal{I}}(R^{(S)})$ are of bounded p -torsion for any set S .

(vi) $\Lambda^{\mathcal{I}}(R)$ and $\Lambda^{\mathcal{I}}(R[T])$ are of bounded p -torsion.

Proof. This is a consequence of 5.2, 5.3 and 4.4. $\square$

Note that 5.5 is an essential improvement of [15, Corollary 4.5], where it was shown that (i) implies the equivalent conditions (ii) and (iii).

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