# Haag-Kastler stacks

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#### Abstract

This paper provides an alternative implementation of the principle of general local covariance for algebraic quantum field theories (AQFTs) which is more flexible and powerful than the original one by Brunetti, Fredenhagen and Verch. This is realized by considering the 2functor  $\mathsf{HK} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  which assigns to each Lorentzian manifold M the category  $\mathsf{HK}(M)$  of Haag-Kastler-style AQFTs over M and to each embedding  $f: M \to N$  a pullback functor  $f^* = \mathsf{HK}(f): \mathsf{HK}(N) \to \mathsf{HK}(M)$  restricting theories from N to M. Locally covariant AQFTs are recovered as the points of the 2-functor  $\mathsf{HK}$ . The main advantages of this new perspective are: 1.) It leads to technical simplifications, in particular with regard to the time-slice axiom, since global problems on  $\mathbf{Loc}$  become families of simpler local problems on individual Lorentzian manifolds. 2.) Some aspects of the Haag-Kastler framework which previously got lost in locally covariant AQFT, such as a relative compactness condition on the open subsets in a Lorentzian manifold M, are reintroduced. 3.) It provides a successful and radically new perspective on descent conditions in AQFT, i.e. local-to-global conditions which allow one to recover a global AQFT on a Lorentzian manifold M from its local data in an open cover  $\{U_i \subseteq M\}$ .

**Keywords:** algebraic quantum field theory, locally covariant quantum field theory, Lorentzian geometry, stacks, descent conditions, locally presentable categories

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# 1 Introduction and summary

In its traditional form as proposed by Haag and Kastler [HK64], algebraic quantum field theory (AQFT) studies nets of operator algebras which are defined on suitable open subsets of the Minkowski spacetime and are endowed with an action of the Poincaré group, i.e. the group of automorphisms of the Minkowski spacetime. This axiomatic approach therefore combines the key principles of quantum theory and special relativity, leading to a powerful framework in which one can prove a variety of model-independent results about quantum field theories, such as the CPT and spin-statistics theorems, as well as general results about scattering theory, see e.g. Haag's monograph [Haa96]. Much of this power however gets lost when one replaces the Minkowski spacetime by an arbitrary (oriented, time-oriented and globally hyperbolic) Lorentzian manifold M, because the latter will in general have no non-trivial geometric automorphisms. This issue led Brunetti, Fredenhagen and Verch to propose their principle of general local covariance for AQFTs [BFV03]. This principle was implemented by replacing the Haag-Kastler point of view, i.e. that an AQFT is formulated with respect to open subsets in an individual Lorentzian manifold, with the proposal that a locally covariant AQFT is a functor  $\mathfrak{A}$ : Loc  $\rightarrow$  Alg out of the category of all (oriented, time-oriented and globally hyperbolic) Lorentzian manifolds Mwith morphisms given by (isometric, causal and open) embeddings  $f: M \to N$ , which is subject to some physically motivated conditions such as causality and the time-slice axiom. See also [FV15] for a more modern presentation of these ideas. Through this enhanced functoriality, locally covariant AQFT regains much of the power of Haag-Kastler AQFT on the Minkowski spacetime, leading to a variety of model-independent results for AQFTs on Lorentzian manifolds which extend the traditional ones on the Minkowski spacetime, see e.g. [FV12, Few17, Few18]. Furthermore, the principle of general local covariance is the key to developing renormalization

techniques for AQFTs on Lorentzian manifolds [HW01, HW02, Hol08, KM16], see also Rejzner's monograph [Rej16], and it plays a pivotal role in applications to physics, see e.g. [Hac16, FV20].

The aim of this paper is to provide an alternative, more flexible and powerful implementation of the principle of general local covariance for AQFTs which is compatible with, but considerably enhances, the original Haag-Kastler viewpoint that AQFTs are defined on suitable open subsets of a Lorentzian manifold  $M \in \mathbf{Loc}$ . Starting from the latter viewpoint, there is a category HK(M) whose objects are all Haag-Kastler-style AQFTs over M and whose morphisms are natural transformations. The key idea is to consider not only one of these categories, but rather the whole family of categories  $\{\mathsf{HK}(M) : M \in \mathbf{Loc}\}$ , for all Lorentzian manifolds  $M \in \mathbf{Loc}$ , and endow it with additional structure describing the behavior of Haag-Kastler-style AQFTs under **Loc**-morphisms  $f: M \to N$ . We assemble these structures into a (contravariant) 2-functor  $HK: Loc^{op} \rightarrow CAT$  from the category Loc of Lorentzian manifolds and their embeddings to the 2-category CAT of categories, functors and natural transformations. This 2-functor assigns to each Lorentzian manifold  $M \in \mathbf{Loc}$  the category  $\mathsf{HK}(M)$  of all Haag-Kastler-style AQFTs over M and to each embedding  $f: M \to N$  in **Loc** a pullback functor  $f^* = \mathsf{HK}(f) : \mathsf{HK}(N) \to \mathsf{HK}(M)$ which describes the restriction along f of AQFTs over N to AQFTs over M. See Definition 3.1 for the precise definition of this 2-functor. Our approach identifies the locally covariant AQFTs from [BFV03, FV15] with the points of the 2-functor HK, i.e. pseudo-natural transformations  $\mathfrak{A}: \Delta \mathbf{1} \Rightarrow \mathsf{HK}$  from the constant 2-functor  $\Delta \mathbf{1}: \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  assigning the one-object category  $1 \in CAT$ , see Theorem 3.8 and Corollary 3.12. This identification gives a precise meaning to the following intuitive slogan: "A locally covariant AQFT is the same datum as a natural family of Haag-Kastler-style AQFTs over all  $M \in Loc$ ." It also shows that our framework is richer than locally covariant AQFT, since the 2-functor HK contains more structure than its category of points  $\Delta \mathbf{1} \Rightarrow \mathsf{HK}$ .

Even though our approach might superficially look more complicated than locally covariant AQFT, owing to its use of some 2-categorical concepts and techniques, it actually leads to considerable technical simplifications. Loosely speaking, the origin of these simplifications lies in the fact that our approach turns complicated global problems for the category **Loc** into families of simpler local problems for the categories of causally convex open subsets **COpen**(M) in all individual Lorentzian manifolds  $M \in$ **Loc**. An example for this is given by the time-slice axiom, which from a structural point of view corresponds to a localization of the relevant spacetime category at all Cauchy morphisms, see e.g. [BSW21] and [BS19, BS23] for reviews. The localization of the categorie, with notable exceptions given by the very special cases of 1-dimensional Lorentzian manifolds [BCS23] and of 2-dimensional conformal Lorentzian manifolds [BGS22], while the localizations of the categories **COpen**(M) at all Cauchy morphisms admit very simple and intuitive models, see [BDS18] and also Example 2.10 and Appendix B. When combined with the identification from Corollary 3.12 between locally covariant AQFTs and points of the 2-functor HK, this leads to a technically useful perspective on the time-slice axiom in locally covariant AQFT.

A notable feature of our framework is that it allows us to reintroduce some aspects of Haag-Kastler-style AQFTs which previously got lost in the generalization of [BFV03] to locally covariant AQFT. For instance, in the original framework [HK64] one assigns algebras only to *relatively compact* open subsets of the Minkowski spacetime, but there is no remnant of this restriction in locally covariant AQFT [BFV03]. In our approach one can easily include such relative compactness conditions by considering the 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  that assigns to each Lorentzian manifold  $M \in \mathbf{Loc}$  the category  $\mathsf{HK}^{\mathrm{rc}}(M)$  of all Haag-Kastler-style AQFTs on Mwhich are modeled on the full subcategory  $\mathbf{RC}(M) \subseteq \mathbf{COpen}(M)$  of relatively compact causally convex opens in M. See Definition 3.13 for the precise definition of this 2-functor. One can then show that relative compactness does interplay well with the time-slice axiom, leading to very simple and intuitive models for the localizations of the categories  $\mathbf{RC}(M)$  at all Cauchy morphisms, see Example 2.10 and Appendix B. An interesting observation is that the points  $\Delta \mathbf{1} \Rightarrow \mathsf{HK}^{\rm rc}$  of the relatively compact 2-functor  $\mathsf{HK}^{\rm rc}$  are related to, but not exactly the same as additive locally covariant AQFTs, see Corollaries 3.20 and 3.25. This indicates that the relatively compact 2-functor  $\mathsf{HK}^{\rm rc}$  implements a weakened variant of the additivity property of locally covariant AQFTs.

Another significant novelty of our framework is that it provides a radically new approach to the issue of descent conditions in AQFT, i.e. local-to-global conditions which allow one to recover the global datum of an AQFT on a complicated Lorentzian manifold M from its local data in simpler regions. Descent conditions have been relatively little studied in the context of AQFT, even though they are technically powerful and also conceptually interesting as they provide mathematical realizations of the slogan that "quantum field theory should be local". One of the reasons why descent conditions did not receive much attention in AQFT could be that in their most basic form, which is given by a cosheaf condition with respect to causally convex open covers  $\{U_i \subseteq M\}$  for the underlying functors  $\mathfrak{A} : \mathbf{Loc} \to \mathbf{Alg}$ , they are *not* satisfied even by the simplest examples, see [BS19, Appendix A]. An alternative to such cover-type descent conditions is given by Fredenhagen's universal algebra [Fre90, FRS92, Fre93], which can be realized in terms of a left Kan extension of AQFTs along the functor  $Loc_{\diamond} \rightarrow Loc$  from contractible Lorentzian manifolds to all Lorentzian manifolds, see [Lan14] for the latter point of view and also [BSW21] for a refinement using operad theory. Descent conditions which are based on Fredenhagen's universal algebra are however conceptually very different to cover-type descent conditions: Instead of reconstructing the global datum of an AQFT on M from local data in any choice of cover  $\{U_i \subseteq M\}$ , one must exhaust M by all possible embeddings  $D \to M$  of contractibles and glue together the local data on all these subsets. This means that these descent conditions are less flexible and practical, and hence also less powerful, than cover-type descent conditions.

In our framework there are two different layers of cover-type descent conditions, which are related to each other, as we shall explain below. The more abstract top layer is to ask whether or not the 2-functor  $\mathsf{HK} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  satisfies the descent conditions of a *stack of categories*, which means whether or not the category HK(M) of global Haag-Kastler-style AQFTs on M can be recovered from the categories of local Haag-Kastler-style AQFTs on the regions of a causally convex open cover  $\{U_i \subseteq M\}$ . See Definition 2.14 for the precise definition of a stack. We will show in Propositions 3.3, 3.11, 3.14 and 3.23 that these descent conditions are *not* automatically satisfied by the Haag-Kastler 2-functor  $\mathsf{HK}: \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  and all of its variations arising from relative compactness and/or the time-slice axiom. Using more sophisticated technology from the theory of locally presentable categories, we are able to pin down the origin of this violation and provide an interpretation in terms of 'bad objects' in the categories  $\mathsf{HK}(M)$  which do not have appropriately local behavior, see Theorems 4.9 and 4.15. Discarding these 'bad objects', we introduce improvements of the Haag-Kastler 2-functors, see Definitions 4.22 and 4.30 for the details. The selection criterion (see Definitions 4.17 and 4.29) for the full subcategories  $\mathcal{HK}(M) \subseteq \mathsf{HK}(M)$  of 'good objects' which are assigned by the improved pseudo-functors can be understood as a second layer of descent conditions. The latter demand that the selected 'good' AQFTs over M satisfy suitable cover-type descent conditions, i.e. they are recovered by gluing their local data on any cover  $\{U_i \subseteq M\}$ . Such a gluing construction for AQFTs, which has been recently considered also in [AB24], can be exploited also more constructively to build new 'good' AQFTs on M by gluing simpler 'good' AQFTs on the regions of a causally convex open cover  $\{U_i \subseteq M\}$ , see Propositions 4.24 and 4.32. We will show in Theorems 4.27 and 4.35 that our improvement construction yields stacks  $\mathcal{HK}^{\mathrm{rc}}$  and  $\mathcal{HK}^{\mathrm{rc},W}$  of relatively compact Haag-Kastlerstyle AQFTs, with or without the time-slice axiom. We currently do not know if similar results hold true without relative compactness. In Subsection 4.4, we verify that the usual examples of free (i.e. non-interacting) AQFTs, such as the Klein-Gordon quantum field, satisfy our descent conditions, hence they define points of the stacks  $\mathcal{HK}^{\mathrm{rc}}$  and  $\mathcal{HK}^{\mathrm{rc},W}$ . This is in stark contrast to the more elementary cosheaf-type descent conditions discussed above, which are violated for

such examples, see [BS19, Appendix A].

The framework and results of this paper suggest various avenues for future research. On the one hand, the focus of our present paper is on the case where the collection of all AQFTs over M assembles into a 1-category  $\mathsf{HK}(M)$ , which is however inadequate for gauge theories as these are described by higher-categorical AQFTs which assemble into an  $\infty$ -category, see e.g. [BSW19, BPSW21, Yau20, Car23] and also the reviews [BS19, BS23]. It would be interesting to generalize our framework and results to this  $\infty$ -categorical context and explore if the resulting higher-categorical descent conditions are satisfied by examples of free quantum gauge theories as in [BBS19, BMS24]. On the other hand, the key idea to consider 2-functors  $HK : Loc^{op} \rightarrow$ **CAT** which assign to each Lorentzian manifold  $M \in \mathbf{Loc}$  the category of all AQFTs over M is transferable to other axiomatizations of quantum field theory, in particular to (pre)factorization algebras [CG17, CG21] which are usually described on the open subsets of a fixed manifold M, similar in perspective to Haag-Kastler-style AQFTs. It would be interesting to understand if the 2-functor which assigns to each manifold M (potentially endowed with geometry) its category of prefactorization algebras over M can be improved to a stack by adapting our constructions from Subsection 4.3. This would provide an alternative to the Weiss cover descent conditions from [CG17, CG21]. It is worthwhile to mention that locally constant prefactorization algebras, which describe topological quantum field theories, automatically assemble into an  $\infty$ -stack [Mat17, KSW24]. However, we expect this to be a special feature of the topological nature of such theories, and that prefactorization algebras over manifolds endowed with (Riemannian, complex or Lorentzian) geometry will require an improvement construction as in Subsection 4.3.

The outline of the remainder of this paper is as follows: In Section 2 we recall some preliminaries which are needed to state and prove the results of the present paper. Subsection 2.1 covers some basic aspects of the theory of orthogonal categories and AQFTs. More details can be found in [BSW21], see also the reviews [BS19] and [BS23]. Subsection 2.2 gives a brief introduction to pseudo-functors and stacks, focusing mainly on the classes of examples appearing in our work. Subsection 2.3 recalls some well-known aspects of the theory of locally presentable categories which are needed for developing our Haag-Kastler stacks in the last Section 4. Readers who are mostly interested in our more elementary Haag-Kastler 2-functors from Section 3 can skip this subsection. In Section 3 we develop the concept of Haag-Kastler 2-functors and prove the results announced in the paragraphs above, except the ones concerning descent which will be presented afterwards in Section 4. This section is split into Subsections 3.1 and 3.2 which cover separately the case of Haag-Kastler-style AQFTs modeled over all causally convex opens and the case modeled over all relatively compact causally convex opens. In Section 4 we study descent conditions of the Haag-Kastler 2-functors from Section 3. Subsection 4.1 starts with some general observations and constructions to streamline the presentation. Subsection 4.2 uses techniques from the theory of locally presentable categories to prove that suitable adjoints of our Haag-Kastler 2-functors automatically satisfy the weaker codescent conditions of a precostack, see Theorems 4.9 and 4.15 for the main results. Subsection 4.3 develops our improvement construction for the Haag-Kastler 2-functors which consists of selecting suitable full subcategories of AQFTs that satisfy cover-type descent conditions, see Definitions 4.17 and 4.29. We then prove that, under certain additional hypotheses, this construction yields stacks, see Theorems 4.25 and 4.33. It is shown in Theorems 4.27 and 4.35 that these additional hypotheses hold true for the case of the relatively compact Haag-Kastler 2-functor, with or without the time-slice axiom, which yields stacks  $\mathcal{H}\mathcal{K}^{\mathrm{rc}}$  and  $\mathcal{H}\mathcal{K}^{\mathrm{rc},W}$ . Subsection 4.4 verifies our descent conditions for the typical examples of free (i.e. non-interacting) AQFTs, leading to the main result (see Theorem 4.39) of this subsection that the Klein-Gordon quantum field defines a point in both stacks  $\mathcal{HK}^{rc}$  and  $\mathcal{HK}^{\mathrm{rc},W}$ . This paper includes four appendices. Appendix A recalls some aspects of operadic left Kan extensions which are needed for some of our proofs. Appendix B develops explicit models for the localizations at all Cauchy morphisms of the categories of causally convex opens  $\mathbf{COpen}(M)$ and of relatively compact causally convex opens  $\mathbf{RC}(M)$  in any Lorentzian manifold  $M \in \mathbf{Loc}$ .

Appendix C provides the details for the computation of a bicategorical limit which is needed in Subsection 4.3. Appendix D proves some results about Cauchy development stable covers which are needed for the proof of Theorem 4.35.

# 2 Preliminaries

In this section we recollect some background material which is needed to state and prove the results of this paper. We try to be as concise as possible and provide the reader with references in which additional information and more details can be found.

### 2.1 Orthogonal categories and AQFTs

Orthogonal categories are an abstraction of categories of spacetimes which are endowed with a notion of independent pairs of subspacetimes  $f_1: M_1 \to N \leftarrow M_2: f_2$ . The following definition originated in [BSW21], see also [G-S23] for subsequent developments.

- **Definition 2.1.** (a) An orthogonal category is a pair  $\overline{\mathbf{C}} = (\mathbf{C}, \perp_{\mathbf{C}})$  consisting of a small category  $\mathbf{C}$  and a subset  $\perp_{\mathbf{C}} \subseteq \operatorname{Mor} \mathbf{C}_t \times_t \operatorname{Mor} \mathbf{C}$  (called orthogonality relation) of the set of pairs of morphisms to a common target, which satisfies the following conditions:
  - (i) Symmetry:  $(f_2, f_1) \in \bot_{\mathbf{C}}$  for all  $(f_1, f_2) \in \bot_{\mathbf{C}}$ .
  - (ii) Composition stability:  $(g f_1 h_1, g f_2 h_2) \in \bot_{\mathbf{C}}$  for all  $(f_1, f_2) \in \bot_{\mathbf{C}}$  and all composable **C**-morphisms  $g, h_1, h_2$ .

We often write  $f_1 \perp_{\mathbf{C}} f_2$  to denote orthogonal pairs  $(f_1, f_2) \in \perp_{\mathbf{C}}$ .

- (b) An orthogonal functor  $F : \overline{\mathbf{C}} \to \overline{\mathbf{D}}$  is a functor  $F : \mathbf{C} \to \mathbf{D}$  between the underlying categories which preserves orthogonal pairs, i.e.  $F(f_1) \perp_{\mathbf{D}} F(f_2)$  for all  $f_1 \perp_{\mathbf{C}} f_2$ .
- (c) We denote by Cat<sup>⊥</sup> the 2-category whose objects are all orthogonal categories, 1-morphisms are all orthogonal functors and 2-morphisms are all natural transformations between orthogonal functors.

Example 2.2. The following orthogonal categories and functors are pivotal for our work:

- (1) Denote by **Loc** the category whose objects are oriented, time-oriented and globally hyperbolic Lorentzian manifolds M of a fixed dimension<sup>1</sup>  $m \geq 1$  and whose morphisms  $f: M \to N$  are orientation and time-orientation preserving isometric embeddings with causally convex and open image  $f(M) \subseteq N$ . The orthogonal category **Loc** is defined by equipping **Loc** with the following orthogonality relation:  $(f_1: M_1 \to N) \perp (f_2: M_2 \to N)$  if and only if the images  $f_1(M_1) \subseteq N$  and  $f_2(M_2) \subseteq N$  are causally disjoint in N. This orthogonal category features in locally covariant AQFT [BFV03, FV15, BSW21].
- (2) Choose any oriented, time-oriented and globally hyperbolic Lorentzian manifold  $M \in \mathbf{Loc}$ . Denote by  $\mathbf{COpen}(M)$  the category whose objects are all non-empty causally convex open subsets  $U \subseteq M$  and whose morphisms  $U \to V$  are subset inclusions  $U \subseteq V$ . The orthogonal category  $\overline{\mathbf{COpen}(M)}$  is defined by equipping  $\mathbf{COpen}(M)$  with the following orthogonality relation:  $(U_1 \subseteq V) \perp (U_2 \subseteq V)$  if and only if  $U_1$  and  $U_2$  are causally disjoint in V, or equivalently in M. Restricting to causally convex opens  $U \subseteq M$  that are relatively compact, i.e. the closure  $cl(U) \subseteq M$  is a compact subset of M, defines a full orthogonal subcategory which we denote by  $\overline{\mathbf{RC}(M)} \subseteq \overline{\mathbf{COpen}(M)}$ . These orthogonal categories feature in Haag-Kastler-style AQFT [HK64] on a fixed  $M \in \mathbf{Loc}$ , where the relative compactness condition generalizes the concept of bounded regions in Minkowski spacetime.

<sup>&</sup>lt;sup>1</sup>More pedantically, one should write  $\mathbf{Loc}_m$  to make explicit the dimension m of the manifolds. Since m will be fixed but arbitrary throughout our whole paper, we ease notation by simply writing **Loc**.

(3) Consider the functor  $k_M : \mathbf{COpen}(M) \to \mathbf{Loc}$  which is given by assigning to an object  $U \subseteq M$  the object  $U \in \mathbf{Loc}$  (with orientation, time-orientation and metric induced by restricting those of  $M \in \mathbf{Loc}$ ) and to a morphism  $U \subseteq V$  the canonical inclusion morphism  $\iota_U^V : U \to V$  in **Loc**. This defines an orthogonal functor  $k_M : \mathbf{\overline{COpen}}(M) \to \mathbf{\overline{Loc}}$  with respect to the orthogonality relations defined in items (1) and (2) above. The restriction to relatively compact subsets defines an orthogonal functor which we denote with a slight abuse of notation by the same symbol  $k_M : \mathbf{\overline{RC}}(M) \to \mathbf{\overline{Loc}}$ .

Associated to every orthogonal category  $\overline{\mathbf{C}}$  is a concept of AQFTs over  $\overline{\mathbf{C}}$ . These admit a concise and powerful description in terms of algebras over the AQFT operads  $\mathcal{O}_{\overline{\mathbf{C}}}$  from [BSW21], see also Definition A.1 and [BS19, BS23] for reviews. In particular, this operadic perspective is crucial to prove the key results in Propositions 2.5 and 2.6 below. In order to simplify the presentation of our present paper, we will not recall this operadic approach to AQFT and we provide instead an equivalent, but more elementary definition. For this we fix any cocomplete closed symmetric monoidal category  $\mathbf{T}$  and denote by  $\mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  the category of unital associative algebras in  $\mathbf{T}^2$ .

**Definition 2.3.** Let  $\overline{\mathbf{C}}$  be an orthogonal category and  $\mathbf{T}$  a cocomplete closed symmetric monoidal category. The category of  $\mathbf{T}$ -valued AQFTs over  $\overline{\mathbf{C}}$  is defined as the full subcategory

$$\mathbf{AQFT}(\overline{\mathbf{C}}) \subseteq \mathbf{Fun}(\mathbf{C}, \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T}))$$
(2.1)

consisting of all functors  $\mathfrak{A} : \mathbf{C} \to \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  which satisfy the following  $\perp$ -commutativity axiom: For every orthogonal pair  $(f_1 : M_1 \to N) \perp_{\mathbf{C}} (f_2 : M_2 \to N)$ , the diagram

in **T** commutes, where  $\mu_N^{(\text{op})}$  denotes the (opposite) multiplication in the algebra  $\mathfrak{A}(N)$ .

**Remark 2.4.** For the orthogonal categories from Example 2.2, the  $\perp$ -commutativity axiom gives precisely the Einstein causality axiom of locally covariant [BFV03, FV15] or Haag-Kastler-style [HK64] AQFTs. The implementation of the time-slice axiom will be explained in Proposition 2.8 and Remark 2.9 below.

Given any orthogonal functor  $F: \overline{\mathbf{C}} \to \overline{\mathbf{D}}$ , there exists an associated pullback functor

$$F^* : \mathbf{AQFT}(\overline{\mathbf{D}}) \longrightarrow \mathbf{AQFT}(\overline{\mathbf{C}})$$
 (2.3)

between the corresponding AQFT categories. Explicitly, this pullback functor acts on objects  $\mathfrak{A} \in \mathbf{AQFT}(\overline{\mathbf{D}})$  by precomposition  $F^*(\mathfrak{A}) := \mathfrak{A} F$  of the underlying functor, which defines an object in  $\mathbf{AQFT}(\overline{\mathbf{C}})$  since orthogonal functors preserve orthogonal pairs and hence the  $\perp$ -commutativity axiom. On morphisms  $\zeta : \mathfrak{A} \Rightarrow \mathfrak{B}$  in  $\mathbf{AQFT}(\overline{\mathbf{D}})$ , which are natural transformations between the underlying functors, the pullback functor is given by whiskering  $F^*(\zeta) := \zeta F : \mathfrak{A} F \Rightarrow \mathfrak{B} F$ . The following result is a non-trivial consequence of the operadic description of AQFTs, see e.g. [BSW21, Theorem 2.11] for a proof.

**Proposition 2.5.** For every orthogonal functor  $F : \overline{\mathbb{C}} \to \overline{\mathbb{D}}$ , the pullback functor in (2.3) admits a left adjoint, i.e. one has an adjunction

$$F_{!} : \mathbf{AQFT}(\overline{\mathbf{C}}) \xrightarrow{} \mathbf{AQFT}(\overline{\mathbf{D}}) : F^{*}$$

$$(2.4)$$

between the corresponding AQFT categories. The left adjoint  $F_1$  is called operadic left Kan extension along F, see also Appendix A for an explicit model.

<sup>&</sup>lt;sup>2</sup>In applications, one often chooses  $\mathbf{T} = \mathbf{Vec}_{\mathbb{K}}$  to be the cocomplete closed symmetric monoidal category of vector spaces over a field K. In this case  $\mathbf{Alg}_{uAs}(\mathbf{T})$  is the usual category of unital associative K-algebras.

This result can be strengthened in specific cases where the orthogonal functor has additional properties, see [BSW21, Sections 4.2–4.4]. For our present work, the following strengthening will be crucial.

**Proposition 2.6.** Suppose that the orthogonal functor  $F : \overline{\mathbf{C}} \to \overline{\mathbf{D}}$  is fully faithful and reflects orthogonality, i.e.  $F(f_1) \perp_{\mathbf{D}} F(f_2)$  if and only if  $f_1 \perp_{\underline{\mathbf{C}}} f_2$ . Then the adjunction (2.4) exhibits  $\mathbf{AQFT}(\overline{\mathbf{C}})$  as a coreflective full subcategory of  $\mathbf{AQFT}(\overline{\mathbf{D}})$ , i.e. the left adjoint  $F_1$  is fully faithful or, equivalently, the adjunction unit  $\eta : \mathrm{id}_{\mathbf{AOFT}(\overline{\mathbf{C}})} \Rightarrow F^* F_1$  is a natural isomorphism.

**Example 2.7.** For every object  $M \in \text{Loc}$ , the full orthogonal subcategory inclusion  $i_M : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{COpen}(M)}$  from item (2) of Example 2.2 satisfies the hypotheses of Proposition 2.6. Hence, we obtain an adjunction

$$i_{M!} : \mathbf{AQFT}(\overline{\mathbf{RC}(M)}) \longrightarrow \mathbf{AQFT}(\overline{\mathbf{COpen}(M)}) : i_M^*$$
 (2.5)

which exhibits  $\mathbf{AQFT}(\mathbf{RC}(M))$  as a coreflective full subcategory of  $\mathbf{AQFT}(\mathbf{COpen}(M))$ . This adjunction restricts to an adjoint equivalence

$$i_{M!} : \mathbf{AQFT}(\overline{\mathbf{RC}(M)}) \xrightarrow{\sim} \mathbf{AQFT}(\overline{\mathbf{COpen}(M)})^{\epsilon-\mathrm{iso}} : i_M^*$$
 (2.6)

between the category  $\operatorname{AQFT}(\overline{\operatorname{RC}(M)})$  and the full subcategory  $\operatorname{AQFT}(\overline{\operatorname{COpen}(M)})^{\epsilon-\operatorname{iso}} \subseteq \operatorname{AQFT}(\overline{\operatorname{COpen}(M)})$  consisting of all objects  $\mathfrak{A} \in \operatorname{AQFT}(\overline{\operatorname{COpen}(M)})$  for which the counit  $\epsilon_{\mathfrak{A}} : i_M ! i_M^*(\mathfrak{A}) \stackrel{\cong}{\Longrightarrow} \mathfrak{A}$  is an isomorphism.

One can characterize the latter property very explicitly by observing that  $i_M$  satisfies the *j*closedness property from [BSW21, Definition 5.3], which by [BSW21, Corollary 5.5] implies that the left adjoint  $i_{M!}$  can be modeled by a categorical (in contrast to operadic) left Kan extension. Via the usual colimit formula for categorical left Kan extensions, see e.g. [Rie16, Chapter 6.2], we have explicitly that, for every  $\mathfrak{B} \in \mathbf{AQFT}(\mathbf{RC}(M))$ ,

$$i_{M!}(\mathfrak{B})(U) = \operatorname{colim}\left(\mathbf{RC}(M)/U \longrightarrow \mathbf{RC}(M) \xrightarrow{\mathfrak{B}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) , \qquad (2.7)$$

for all  $U \in \mathbf{COpen}(M)$ , where the comma category  $\mathbf{RC}(M)/U$  describes all relatively compact causally convex opens in M which are also contained in U. The component of the counit  $\epsilon_{\mathfrak{A}}$  at  $U \in \mathbf{COpen}(M)$  is then given by the canonical map

$$(\epsilon_{\mathfrak{A}})_U : \operatorname{colim}\left(\mathbf{RC}(M)/U \longrightarrow \mathbf{COpen}(M) \xrightarrow{\mathfrak{A}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) \longrightarrow \mathfrak{A}(U) \quad .$$
 (2.8)

This implies that  $\epsilon_{\mathfrak{A}}$  is an isomorphism if and only if the value of  $\mathfrak{A} \in \mathbf{AQFT}(\mathbf{COpen}(M))$ on any causally convex open  $U \in \mathbf{COpen}(M)$  can be recovered via the colimit (2.8) from the restriction of  $\mathfrak{A}$  to the comma category  $\mathbf{RC}(M)/U$ , i.e. to open subsets of U that are relatively compact and causally convex with respect to M.

The property that  $\epsilon_{\mathfrak{A}}$  is an isomorphism is therefore a particular kind of *additivity* property on  $\mathfrak{A} \in \mathbf{AQFT}(\mathbf{COpen}(M))$ . In Subsection 3.2.2, we will compare this concept to an alternative additivity property used in locally covariant settings [BPS19, Definition 2.16].  $\nabla$ 

To conclude this subsection, we shall briefly explain how the time-slice axiom of AQFT can be encoded in the framework presented above. The key tool is given by the concept of localizations of orthogonal categories, see [BCS23] for the technical details. This is similar to localizations of ordinary (non-orthogonal) categories, which allow one to universally "add inverses" to a chosen collection of morphisms in a category. **Proposition 2.8.** Let  $\overline{\mathbf{C}}$  be an orthogonal category and  $W \subseteq \text{Mor } \mathbf{C}$  a subset of the set of morphisms in  $\mathbf{C}$ . Then an orthogonal localization functor  $L : \overline{\mathbf{C}} \to \overline{\mathbf{C}}[W^{-1}]$  exists and it induces via pullback (2.3) an equivalence

$$L^* : \mathbf{AQFT}(\overline{\mathbf{C}}[W^{-1}]) \xrightarrow{\simeq} \mathbf{AQFT}(\overline{\mathbf{C}})^W$$
 (2.9)

between the full subcategory  $\mathbf{AQFT}(\overline{\mathbf{C}})^W \subseteq \mathbf{AQFT}(\overline{\mathbf{C}})$  consisting of all AQFTs over  $\overline{\mathbf{C}}$  which send all W-morphisms to isomorphisms and the category  $\mathbf{AQFT}(\overline{\mathbf{C}}[W^{-1}])$  of AQFTs over the localized orthogonal category  $\overline{\mathbf{C}}[W^{-1}]$ .

**Remark 2.9.** In the context of Example 2.2, one chooses W to be the set of all Cauchy morphisms in, respectively, **Loc**, **COpen**(M) or **RC**(M), i.e. morphisms with image containing a Cauchy surface of the codomain. The property of an AQFT sending all W-morphisms to isomorphisms is then precisely the time-slice axiom of locally covariant or, respectively, Haag-Kastler-style AQFTs. Proposition 2.8 implies that the time-slice axiom can be implemented either as an additional property or, equivalently, as a structure by replacing the orthogonal categories  $\overline{Loc}$ ,  $\overline{COpen}(M)$  and  $\overline{RC}(M)$  by their orthogonal localizations at all Cauchy morphisms.  $\triangle$ 

**Example 2.10.** We present explicit models for the orthogonal localizations  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  and  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  of the orthogonal categories  $\overline{\mathbf{COpen}(M)}$  and  $\overline{\mathbf{RC}(M)}$  at all Cauchy morphisms. These models are obtained from a calculus of fractions and details are explained in Appendix B.

- (1) The orthogonal category  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  has as objects all non-empty causally convex opens  $U \subseteq M$  and there exists at most one morphism  $U \to U'$  for any objects U, U'. The morphism  $U \to U'$  exists if and only if  $U \subseteq D_M(U')$  is contained in the Cauchy development of  $U' \subseteq M$  in M. Two morphisms are orthogonal  $(U_1 \to U') \perp (U_2 \to U')$  if and only if  $(U_1 \subseteq M) \perp (U_2 \subseteq M)$  are causally disjoint in M. The orthogonal localization functor  $L_M : \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(M)}[W_M^{-1}]$  acts as the identity on objects and it sends a subset inclusion  $U \subseteq U'$  to the unique morphism  $U \to U'$  in the localized orthogonal category.
- (2) The orthogonal category  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  has as objects all non-empty relatively compact causally convex opens  $U \subseteq M$  and there exists at most one morphism  $U \to U'$  for any objects U, U'. The morphism  $U \to U'$  exists if and only if  $U \subseteq D_M(U')$  is contained in the Cauchy development of  $U' \subseteq M$  in M. Two morphisms are orthogonal  $(U_1 \to U') \perp$  $(U_2 \to U')$  if and only if  $(U_1 \subseteq M) \perp (U_2 \subseteq M)$  are causally disjoint in M. The orthogonal localization functor  $L_{\mathrm{rc},M} : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  acts as the identity on objects and it sends a subset inclusion  $U \subseteq U'$  to the unique morphism  $U \to U'$  in the localized orthogonal category.

We would like to note that an alternative but equivalent model for  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  has been presented in [BDS18, Proposition 3.3] in terms of a reflective orthogonal localization, however this model does not generalize to the relatively compact case  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$ . In the present paper, we prefer to work with our two models from above because they treat uniformly the non-relatively compact and the relatively compact case.  $\nabla$ 

### 2.2 Pseudo-functors and stacks

We assume that the reader has some familiarity with elementary 2-categorical concepts, such as (strict) 2-categories, pseudo-functors, pseudo-natural transformations, modifications and bilimits. Complete definitions and explanations of these concepts can be found for instance in the book [JY21], see also [Lac10] for a concise introduction.

Since the particular case of pseudo-functors  $X : \mathbf{C}^{\mathrm{op}} \to \mathcal{K}$  from the opposite of a small 1-category  $\mathbf{C}$  to a 2-subcategory  $\mathcal{K} \subseteq \mathbf{CAT}$  of the 2-category  $\mathbf{CAT}$  of (not necessarily small) categories, functors and natural transformations will appear very frequently in our work, we spell this concept out in detail.

**Definition 2.11.** Let **C** be a small 1-category and  $\mathcal{K} \subseteq \mathbf{CAT}$  a 2-subcategory. A *pseudo-functor*  $X : \mathbf{C}^{\mathrm{op}} \to \mathcal{K}$  is given by the following data:

- (1) For each object  $M \in \mathbf{C}$ , a category X(M) in  $\mathcal{K}$ .
- (2) For each morphism  $f: M \to N$  in **C**, a functor  $X(f): X(N) \to X(M)$  in  $\mathcal{K}$ .
- (3) For each pair of composable morphisms  $f : M \to N$  and  $g : N \to O$  in **C**, a natural isomorphism  $X_{g,f} : X(f) X(g) \Rightarrow X(g f)$  in  $\mathcal{K}$ .
- (4) For each object  $M \in \mathbf{C}$ , a natural isomorphism  $X_M : \mathrm{id}_{X(M)} \Rightarrow X(\mathrm{id}_M)$  in  $\mathcal{K}$ .

These data have to satisfy the following axioms:

(i) For all triples of composable morphisms  $f: M \to N, g: N \to O$  and  $h: O \to P$  in **C**, the diagram of natural transformations

$$\begin{array}{ccc} X(f) X(g) X(h) & \xrightarrow{X_{g,f} * \mathrm{Id}} & X(g f) X(h) \\ & & & & \\ \mathrm{Id} * X_{h,g} \\ & & & & \\ X(f) X(h g) & \xrightarrow{X_{hg,f}} & X(h g f) \end{array}$$

$$(2.10)$$

commutes, where Id denotes the identity natural transformations and \* denotes horizontal composition of natural transformations.

(ii) For all morphisms  $f: M \to N$  in C, the two diagrams of natural transformations

$$\begin{array}{ccc} \operatorname{id}_{X(M)} X(f) & X(f) \operatorname{id}_{X(N)} \\ X_M * \operatorname{Id} & & \operatorname{Id} * X_N \\ X(\operatorname{id}_M) X(f) \xrightarrow{X_{f,\operatorname{id}_M}} X(f \operatorname{id}_M) & X(f) X(\operatorname{id}_N) \xrightarrow{X_{\operatorname{id}_N,f}} X(\operatorname{id}_N f) \end{array}$$

$$(2.11)$$

commute.

To ease our notations, we will often suppress the coherence natural isomorphisms  $X_{g,f}$  and  $X_M$  by simply writing  $\cong$ .

In the case where  $\mathbf{C}$  comes endowed with a Grothendieck topology, i.e. there exists a concept of coverings for objects  $M \in \mathbf{C}$ , one can demand that the pseudo-functor  $X : \mathbf{C}^{\mathrm{op}} \to \mathcal{K}$  satisfies a suitable descent condition with respect to these coverings. This leads to the notion of a *stack*, see e.g. [Vis05] for the details. For the purpose of our work, we do not have to introduce the concepts of Grothendieck topologies and stacks in full generality. It suffices instead to discuss the examples which will be relevant later. Let us recall from Example 2.2 the category **Loc** of oriented, time-oriented and globally hyperbolic Lorentzian manifolds of a fixed dimension  $m \geq 1$ .

**Definition 2.12.** Given any object  $M \in \text{Loc}$ , we say that a family of subsets  $\mathcal{U} := \{U_i \subseteq M\}$  is a *causally convex open cover* of M if each  $U_i \subseteq M$  is a non-empty causally convex open subset and  $\bigcup_i U_i = M$ . A causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  is called *D*-stable if each  $U_i$ coincides with its Cauchy development in M, i.e.  $D_M(U_i) = U_i$  for all i.

**Remark 2.13.** Note that each causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  defines, through the canonical inclusion morphisms, a family of **Loc**-morphisms  $\{\iota_{U_i}^M : U_i \to M\}$ . Since intersections  $U_{ij} := U_i \cap U_j \subseteq M$  of causally convex open subsets are either causally convex open or empty, we further obtain canonical inclusion **Loc**-morphisms  $\iota_{U_{ij}}^{U_i} : U_{ij} \to U_i$  and  $\iota_{U_{ij}}^{U_j} : U_{ij} \to U_j$ , for all i, j with  $U_{ij} \neq \emptyset$ . A similar statement holds true for triple intersections  $U_{ijk} := U_i \cap U_j \cap U_k \subseteq M$ ,

which come with canonical inclusion **Loc**-morphisms  $\iota_{U_{ijk}}^{U_{ij}} : U_{ijk} \to U_{ij}, \iota_{U_{ijk}}^{U_{ik}} : U_{ijk} \to U_{ik}$ and  $\iota_{U_{ijk}}^{U_{jk}} : U_{ijk} \to U_{jk}$ , for all i, j, k with  $U_{ijk} \neq \emptyset$ . Since  $U_{ii} = U_i$ , there are also canonical **Loc**-morphisms  $\mathrm{id}_{U_i} : U_i \to U_{ii}$ .

Note that in the case where  $\mathcal{U} = \{U_i \subseteq M\}$  is *D*-stable, all intersections and triple intersections inherit *D*-stability. Indeed, one observes that  $U_{ij} \subseteq D_M(U_{ij}) \subseteq D_M(U_i) \cap D_M(U_j) = U_{ij}$  and similar for triple intersections.  $\triangle$ 

Let us now suppose that the 2-subcategory  $\mathcal{K} \subseteq \mathbf{CAT}$  admits all small bilimits.<sup>3</sup> Given any pseudo-functor  $X : \mathbf{Loc}^{\mathrm{op}} \to \mathcal{K}$ , we can then define for each causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of an object  $M \in \mathbf{Loc}$  the *descent category* 

$$X(\mathcal{U}) := \operatorname{bilim}\left(\prod_{i} X(U_{i}) \iff \prod_{ij} X(U_{ij}) \iff \prod_{ijk} X(U_{ijk})\right) \in \mathcal{K} \quad , \tag{2.12}$$

where the second product runs over all non-empty intersections  $U_{ij} \neq \emptyset$  and the third product runs over all non-empty triple intersections  $U_{ijk} \neq \emptyset$ . The arrows in this diagram are given by applying the pseudo-functor X to the canonical **Loc**-morphisms from Remark 2.13. Due to the universal property of bilimits, there exists a canonical functor

$$X(M) \longrightarrow X(\mathcal{U})$$
 (2.13)

in  $\mathcal{K}$  from X(M) to the descent category, which is defined by applying X to the canonical inclusion morphisms  $\iota_{U_i}^M : U_i \to M$ .

**Definition 2.14.** Suppose that the 2-subcategory  $\mathcal{K} \subseteq \mathbf{CAT}$  admits all small bilimits. A pseudofunctor  $X : \mathbf{Loc}^{\mathrm{op}} \to \mathcal{K}$  is called a  $\mathcal{K}$ -valued stack with respect to the (*D*-stable) causally convex open Grothendieck topology on **Loc** if it satisfies the following descent conditions: For every object  $M \in \mathbf{Loc}$  and every (*D*-stable) causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the canonical functor  $X(M) \to X(\mathcal{U})$  of (2.13) is an equivalence in  $\mathcal{K}$ .

**Remark 2.15.** Note that a stack X formalizes the idea of a local-to-global property since its 'global' value X(M) on an object  $M \in \mathbf{Loc}$  is determined (up to equivalence) via (2.13) from its 'local' values (2.12) on a cover  $\mathcal{U} = \{U_i \subseteq M\}$ .

**Remark 2.16.** In the case where  $\mathcal{K} = \mathbf{CAT}$  is the 2-category of all categories, functors and natural transformations, the bilimit (2.12) which defines the descent category  $X(\mathcal{U})$  for a cover  $\mathcal{U} = \{U_i \subseteq M\}$  can be computed explicitly. This yields the following concrete description:

• An object in  $X(\mathcal{U})$  is a tuple  $(\{x_i\}, \{\varphi_{ij}\})$  consisting of a family of objects  $x_i \in X(U_i)$ , for all *i*, and a family of isomorphisms  $\varphi_{ij} : x_j|_{U_{ij}} \to x_i|_{U_{ij}}$  in  $X(U_{ij})$ , for all *i*, *j* with  $U_{ij} \neq \emptyset$ , where  $x_j|_{U_{ij}} := X(\iota_{U_{ij}}^U)(x_j) \in X(U_{ij})$  and  $x_i|_{U_{ij}} := X(\iota_{U_{ij}}^U)(x_i) \in X(U_{ij})$  are convenient short-hand notations. These data have to satisfy the cocycle conditions



for all i, j, k with  $U_{ijk} \neq \emptyset$ . The arrows labeled by  $\cong$  are given by the coherence isomorphisms of the pseudo-functor.

<sup>&</sup>lt;sup>3</sup>In the terminology of [JY21], our bilimits are pseudo bilimits.

• A morphism  $\{\psi_i\} : (\{x_i\}, \{\varphi_{ij}\}) \to (\{x'_i\}, \{\varphi'_{ij}\})$  in  $X(\mathcal{U})$  is a family of morphisms  $\psi_i : x_i \to x'_i$  in  $X(U_i)$ , for all *i*, which is compatible with the cocyles according to

for all i, j with  $U_{ij} \neq \emptyset$ .

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### 2.3 Locally presentable categories

We will briefly recall some well-known aspects of the theory of locally presentable categories which will become essential when we construct the Haag-Kastler stacks in Section 4. Readers who are mainly interested in our discussion of the more elementary Haag-Kastler 2-functors in Section 3 can skip this technical subsection.

A locally presentable category  $\mathbf{E}$  is a special kind of category which satisfies the following technical conditions: 1.) It is cocomplete, i.e. all small colimits exist in  $\mathbf{E}$ , and 2.) it is generated under  $\lambda$ -directed colimits from a subset  $\Gamma \subseteq \text{Obj}(\mathbf{E})$  of  $\lambda$ -presentable objects, for  $\lambda$  some regular cardinal. We refer the reader to [AR94] for an extensive description of the rich theory of locally presentable categories and also to [Bor94, Chapter 5] for a more concise introduction.

**Example 2.17.** The following examples of locally presentable categories are relevant in the context of AQFT.

- (1) The category  $\operatorname{Vec}_{\mathbb{K}}$  of vector spaces over a field  $\mathbb{K}$  is locally presentable for  $\lambda = \aleph_0$ . Indeed,  $\operatorname{Vec}_{\mathbb{K}}$  is clearly cocomplete and the  $\aleph_0$ -presentable objects are the finite-dimensional vector spaces. Each vector space  $V \in \operatorname{Vec}_{\mathbb{K}}$  is an  $\aleph_0$ -directed colimit over its finite-dimensional subspaces.
- (2) For every small category **C** and every locally presentable category **E**, the category **Fun**(**C**, **E**) of functors and natural transformations is locally presentable, see [AR94, Corollary 1.54]. As a special case, the product category  $\mathbf{E}^S := \prod_{s \in S} \mathbf{E} \cong \mathbf{Fun}(S, \mathbf{E})$  corresponding to a set S, which we also regard as a category with only identity morphisms, is locally presentable whenever **E** is.
- (3) Let **E** be a locally presentable category which is endowed with a closed symmetric monoidal structure. Given any small colored operad  $\mathcal{O}$ , i.e. its class of objects  $\mathcal{O}_0$  is a set, the category  $\mathbf{Alg}_{\mathcal{O}}(\mathbf{E})$  of  $\mathcal{O}$ -algebras in **E** is locally presentable. Indeed, the category of  $\mathcal{O}$ -algebras is equivalent to the category of algebras over the monad  $\mathcal{O} \circ (-) : \mathbf{E}^{\mathcal{O}_0} \to \mathbf{E}^{\mathcal{O}_0}$ , see e.g. [Yau20, Chapter 4.5] for details, which is locally presentable as a consequence of item (2) and [Bor94, Theorem 5.5.9].
- (4) As a special case of item (3), we observe that, for each orthogonal category  $\overline{\mathbf{C}}$ , the AQFT category  $\mathbf{AQFT}(\overline{\mathbf{C}}) \cong \mathbf{Alg}_{\mathcal{O}_{\overline{\mathbf{C}}}}(\mathbf{T})$  from Definition 2.3 is locally presentable whenever the target category  $\mathbf{T}$  is. By item (1), this is in particular the case for the standard choice  $\mathbf{T} = \mathbf{Vec}_{\mathbb{K}}$ .

Locally presentable categories assemble into the following two interesting 2-subcategories of **CAT**, both of which will be important for our work.

**Definition 2.18.** (a) We denote by  $\mathbf{Pr}^L \subseteq \mathbf{CAT}$  the 2-subcategory whose objects are all locally presentable categories, 1-morphisms are all *left* adjoint functors between locally presentable categories and 2-morphisms are all natural transformations between left adjoint functors.

(b) We denote by  $\mathbf{Pr}^R \subseteq \mathbf{CAT}$  the 2-subcategory whose objects are all locally presentable categories, 1-morphisms are all *right* adjoint functors between locally presentable categories and 2-morphisms are all natural transformations between right adjoint functors.

The two 2-categories  $\mathbf{Pr}^{L}$  and  $\mathbf{Pr}^{R}$  from Definition 2.18 can be related by the following construction. Let us denote by  $(\mathbf{Pr}^{R})^{coop}$  the 2-category which is obtained by reversing the direction of all 1-morphisms and of all 2-morphisms in  $\mathbf{Pr}^{R}$ . Consider the pseudo-functor

$$(-)^{\dagger} : \mathbf{Pr}^{L} \longrightarrow (\mathbf{Pr}^{R})^{\mathrm{coop}}$$
 (2.16a)

which acts on objects as the identity, on 1-morphisms  $F : \mathbf{E} \to \mathbf{F}$  by taking right adjoints  $F^{\dagger} : \mathbf{F} \to \mathbf{E}$ , and on 2-morphisms  $\zeta : F \Rightarrow G$  via

$$\zeta^{\dagger} : G^{\dagger} \xrightarrow{\eta_F G^{\dagger}} F^{\dagger} F G^{\dagger} \xrightarrow{F^{\dagger} \zeta G^{\dagger}} F^{\dagger} G G^{\dagger} \xrightarrow{F^{\dagger} \epsilon_G} F^{\dagger} \quad , \qquad (2.16b)$$

where  $\eta_F$  denotes the unit of the adjunction  $F \dashv F^{\dagger}$  and  $\epsilon_G$  the counit of the adjunction  $G \dashv G^{\dagger}$ .

**Lemma 2.19.** The pseudo-functor (2.16) exhibits a biequivalence

$$\mathbf{Pr}^L \simeq (\mathbf{Pr}^R)^{\mathrm{coop}} \quad . \tag{2.17}$$

**Remark 2.20.** An explicit quasi-inverse for the pseudo-functor (2.16) is given by applying <sup>coop</sup> to the pseudo-functor (denoted with abuse of notation by the same symbol as (2.16))

$$(-)^{\dagger} : \mathbf{Pr}^{R} \longrightarrow (\mathbf{Pr}^{L})^{\mathrm{coop}}$$
 (2.18a)

which acts on objects as the identity, on 1-morphisms  $F : \mathbf{E} \to \mathbf{F}$  by taking left adjoints  $F^{\dagger} : \mathbf{F} \to \mathbf{E}$ , and on 2-morphisms  $\zeta : F \Rightarrow G$  via

$$\zeta^{\dagger} : G^{\dagger} \xrightarrow{G^{\dagger} \eta_{F}} G^{\dagger} F F^{\dagger} \xrightarrow{G^{\dagger} \zeta F^{\dagger}} G^{\dagger} G F^{\dagger} \xrightarrow{\epsilon_{G} F^{\dagger}} F^{\dagger} \quad , \qquad (2.18b)$$

where  $\eta_F$  is the unit of the adjunction  $F^{\dagger} \dashv F$  and  $\epsilon_G$  the counit of the adjunction  $G^{\dagger} \dashv G$ .  $\triangle$ 

The following key result about bilimits and bicolimits in  $\mathbf{Pr}^{L}$  and  $\mathbf{Pr}^{R}$  is proven in [Bir84], see also [BCJF15] for a sketch.

**Theorem 2.21.** The 2-categories  $\mathbf{Pr}^L$  and  $\mathbf{Pr}^R$  from Definition 2.18 admit all small bilimits and, as a consequence of Lemma 2.19, they also admit all small bicolimits. The forgetful 2-functors  $\mathbf{Pr}^L \to \mathbf{CAT}$  and  $\mathbf{Pr}^R \to \mathbf{CAT}$  preserve and reflect all bilimits.

**Remark 2.22.** The statement that the forgetful 2-functors  $\mathbf{Pr}^{L/R} \to \mathbf{CAT}$  reflect all bilimits does not appear explicitly in [Bir84], but it is a simple consequence of the following argument. Let us observe that the 2-subcategories  $\mathbf{Pr}^{L/R} \subseteq \mathbf{CAT}$  are closed under equivalences, and that the forgetful 2-functors  $\mathbf{Pr}^{L/R} \to \mathbf{CAT}$  reflect equivalences since any fully faithful and essentially surjective functor is both a left and right adjoint. Since the forgetful 2-functors preserve all bilimits by [Bir84], it then follows that they also reflect all bilimits.  $\triangle$ 

**Construction 2.23** (Computing bilimits in  $\mathbf{Pr}^{L/R}$ ). We would like to emphasize that Theorem 2.21 is very useful to compute bilimits. Given any diagram (i.e. pseudo-functor)  $X : \mathbf{D} \to \mathbf{Pr}^{L/R}$  from a small category  $\mathbf{D}$ , we can compute its bilimit in  $\mathbf{Pr}^{L/R}$  by the following construction:

1. Postcompose X with the forgetful 2-functor, which yields a pseudo-functor  $X : \mathbf{D} \to \mathbf{CAT}$  to the 2-category  $\mathbf{CAT}$ .

2. Compute the bilimit of  $X : \mathbf{D} \to \mathbf{CAT}$  in **CAT**. For this one can use, for example, the explicit model

$$\operatorname{bilim}(X) = \operatorname{Hom}(\Delta \mathbf{1}, X) \in \mathbf{CAT}$$
(2.19)

given by the category of pseudo-natural transformations from the constant diagram  $\Delta \mathbf{1}$ :  $\mathbf{D} \rightarrow \mathbf{CAT}$  to  $X : \mathbf{D} \rightarrow \mathbf{CAT}$  and their modifications, where  $\mathbf{1} \in \mathbf{CAT}$  denotes the category consisting of a single object and its identity morphism.

3. Theorem 2.21 implies that (2.19) is a locally presentable category, i.e.  $\operatorname{bilim}(X) \in \mathbf{Pr}^{L/R}$ , and that the universal pseudo-cone  $\Delta \operatorname{bilim}(X) \Rightarrow X$ , i.e. the projection maps from the bilimit to the diagram, consists of left/right adjoint functors. This provides an explicit model for the bilimit of our original diagram  $X : \mathbf{D} \to \mathbf{Pr}^{L/R}$  in  $\mathbf{Pr}^{L/R}$ .

**Construction 2.24** (Computing bicolimits in  $\mathbf{Pr}^{L/R}$ ). Combining Theorem 2.21 and Lemma 2.19, one also obtains an explicit approach to compute bicolimits. To avoid notational clutter, we will spell out this construction only for the case of the bicolimit of a diagram (i.e. pseudo-functor)  $X : \mathbf{D} \to \mathbf{Pr}^L$  from a small category  $\mathbf{D}$  to  $\mathbf{Pr}^L$ . The case of diagrams in  $\mathbf{Pr}^R$  works analogously.

- 1. Postcompose X with the pseudo-functor  $(-)^{\dagger}$  from (2.16), which yields a pseudo-functor  $X^{\dagger} : \mathbf{D} \to (\mathbf{Pr}^R)^{\text{coop}}$ . This is the same datum as a pseudo-functor  $X^{\dagger} : \mathbf{D}^{\text{op}} \to \mathbf{Pr}^R$  from the opposite category  $\mathbf{D}^{\text{op}}$  to  $\mathbf{Pr}^R$ .
- 2. Compute the *bilimit* of  $X^{\dagger} : \mathbf{D}^{\mathrm{op}} \to \mathbf{Pr}^{R}$  using, for example, Construction 2.23. This defines an object  $\operatorname{bilim}(X^{\dagger}) \in \mathbf{Pr}^{R}$  with a universal pseudo-cone  $\Delta \operatorname{bilim}(X^{\dagger}) \Rightarrow X^{\dagger}$ .
- 3. Apply the quasi-inverse pseudo-functor  $(-)^{\dagger}$  from (2.18) to the universal pseudo-cone, which defines a universal pseudo-cocone  $X \simeq X^{\dagger\dagger} \Rightarrow \Delta \operatorname{bilim}(X^{\dagger})^{\dagger} = \Delta \operatorname{bilim}(X^{\dagger})$  for the original diagram  $X : \mathbf{D} \to \mathbf{Pr}^L$ , where in the last step we used that  $(-)^{\dagger}$  acts as the identity on objects, i.e.  $\operatorname{bilim}(X^{\dagger})^{\dagger} = \operatorname{bilim}(X^{\dagger})$ . A model for the bicolimit of X is then given by

$$\operatorname{bicolim}(X: \mathbf{D} \to \mathbf{Pr}^{L}) = \operatorname{bilim}(X^{\dagger}: \mathbf{D}^{\operatorname{op}} \to \mathbf{Pr}^{R})$$
 (2.20)

together with the given universal pseudo-cocone.

In simpler words, this construction can be described as follows: If one would like to compute the bicolimit of a diagram in  $\mathbf{Pr}^{L/R}$ , one can equivalently compute the bilimit of the adjoint diagram in  $\mathbf{Pr}^{R/L}$ . The latter is relatively easy to do, e.g. by using the explicit Construction 2.23.

The above results imply that the concept of a  $\mathbf{Pr}^{L/R}$ -valued stack on some site is equivalent to that of a  $\mathbf{Pr}^{R/L}$ -valued costack on the same site. Let us state this observation explicitly for the case of  $\mathbf{Pr}^{R}$ -valued stacks on **Loc** and  $\mathbf{Pr}^{L}$ -valued costacks on **Loc**, which will be important for proving our main results in Section 4.

**Corollary 2.25.** Let  $X : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^R$  be a pseudo-functor taking values in the 2-category  $\mathbf{Pr}^R$ . Then X is a stack in the sense of Definition 2.14 if and only if the adjoint pseudo-functor  $X^{\dagger} : \mathbf{Loc} \to \mathbf{Pr}^L$  is a costack in the following sense: For every object  $M \in \mathbf{Loc}$  and every (D-stable) causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the canonical functor

$$X^{\dagger}(\mathcal{U}) \longrightarrow X^{\dagger}(M)$$
 (2.21a)

in  $\mathbf{Pr}^L$  from the codescent category

$$X^{\dagger}(\mathcal{U}) := \operatorname{bicolim}\left( \coprod_{i} X^{\dagger}(U_{i}) \iff \coprod_{ij} X^{\dagger}(U_{ij}) \iff \coprod_{ijk} X^{\dagger}(U_{ijk}) \right)$$
(2.21b)

is an equivalence in  $\mathbf{Pr}^{L}$ .

*Proof.* This follows directly from the bilimit/bicolimit rewriting in Construction 2.24. Indeed, the codescent category

$$X^{\dagger}(\mathcal{U}) = X(\mathcal{U}) \tag{2.22}$$

of  $X^{\dagger}$  coincides with the descent category of X, and the canonical functor (2.21a) is the left adjoint of the canonical functor

$$X(M) \longrightarrow X(\mathcal{U})$$
 (2.23)

to the descent category. (Recall that  $X^{\dagger}(M) = X(M)$  because  $(-)^{\dagger}$  acts as the identity on objects.)

### **3** Haag-Kastler 2-functors

Haag-Kastler-style AQFTs [HK64] are AQFTs which are defined on suitable causally convex opens in a fixed oriented, time-oriented and globally hyperbolic Lorentzian manifold  $M \in \mathbf{Loc}$ . Depending on whether or not one wishes to demand a boundedness condition for these opens, one can formalize such AQFTs by using either the orthogonal category  $\overline{\mathbf{RC}(M)}$  of relatively compact causally convex opens in M or the orthogonal category  $\overline{\mathbf{COpen}(M)}$  of all causally convex opens in M, see Example 2.2. Furthermore, if desired, the time-slice axiom can be implemented as in Proposition 2.8 either as a property or, equivalently, through an orthogonal localization. The Haag-Kastler 2-functors we define and study in this section describe the behavior of Haag-Kastler-style AQFTs under **Loc**-morphisms  $f: M \to N$ . We consider all of the above mentioned variations of Haag-Kastler-style AQFTs and establish relationships between different variations and also a comparison to locally covariant AQFT.

### 3.1 The case of causally convex opens

In this subsection we describe the variants of the Haag-Kastler 2-functor which are associated with Haag-Kastler-style AQFTs that are modeled on the orthogonal categories  $\overline{\mathbf{COpen}(M)}$  of all causally convex opens in  $M \in \mathbf{Loc}$  from Example 2.2.

### 3.1.1 Definition and properties

Let us start by observing that the assignment  $M \mapsto \overline{\mathbf{COpen}(M)}$  can be upgraded to a 2-functor

$$\overline{\mathbf{COpen}(-)} : \mathbf{Loc} \longrightarrow \mathbf{Cat}^{\perp}$$

$$(3.1)$$

from **Loc** to the 2-category  $\mathbf{Cat}^{\perp}$  of orthogonal categories, orthogonal functors and natural transformations. Indeed, given any **Loc**-morphism  $f: M \to N$ , we can define an orthogonal functor (denoted with abuse of notation by the same symbol f)

$$f := \overline{\mathbf{COpen}(f)} : \overline{\mathbf{COpen}(M)} \longrightarrow \overline{\mathbf{COpen}(N)} , \quad U \subseteq M \longmapsto f(U) \subseteq N$$
(3.2)

which sends causally convex opens in M to their images under f in N. Note that this assignment is strictly 2-functorial.

Definition 3.1. The Haag-Kastler 2-functor

$$\mathsf{HK} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{CAT}$$
(3.3a)

is defined by assigning to each object  $M \in \mathbf{Loc}$  the category

$$\mathsf{HK}(M) := \mathbf{AQFT}(\overline{\mathbf{COpen}(M)}) \in \mathbf{CAT}$$
(3.3b)

of Haag-Kastler-style AQFTs on M and to each **Loc**-morphism  $f: M \to N$  the pullback functor

$$\begin{array}{ccc} \mathsf{HK}(N) & \xrightarrow{\mathsf{HK}(f) := f^{*}} & \mathsf{HK}(M) \\ & & \parallel & \\ \mathbf{AQFT}(\mathbf{\overrightarrow{\mathbf{COpen}}(N)}) & \xrightarrow{f^{*}} & \mathbf{AQFT}(\mathbf{\overrightarrow{\mathbf{COpen}}(M)}) \end{array} \tag{3.3c}$$

from (2.3) which is associated to the orthogonal functor  $f: \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(N)}$  in (3.2).

**Remark 3.2.** We would like to emphasize that the pullback functor  $f^*$  describes the obvious and expected concept of pulling back Haag-Kastler-style AQFTs along **Loc**-morphisms  $f: M \to N$ . Indeed, given any  $\mathfrak{A} \in \mathsf{HK}(N)$  on N, the pullback  $f^*(\mathfrak{A}) \in \mathsf{HK}(M)$  on M assigns to a causally convex open subset  $U \subseteq M$  the same algebra  $f^*(\mathfrak{A})(U) = \mathfrak{A}(f(U))$  as the original theory assigns to the image  $f(U) \subseteq N$ .

It is natural to ask whether or not the Haag-Kastler 2-functor is a stack on **Loc** in the sense of Definition 2.14, i.e. if Haag-Kastler-style AQFTs satisfy a local-to-global property with respect to either causally convex open covers, or their Cauchy development stable counterparts. This is in general not the case.

**Proposition 3.3.** Suppose that the category of algebras  $Alg_{uAs}(\mathbf{T})$  has two objects  $A, B \in Alg_{uAs}(\mathbf{T})$  for which the Hom-set Hom(A, B) is not a singleton.<sup>4</sup> Then the Haag-Kastler 2-functor HK from Definition 3.1 is not a stack with respect to either Grothendieck topology from Definition 2.14 on Loc. It is not even a prestack.

*Proof.* Let us choose any object  $M \in \mathbf{Loc}$  with a (*D*-stable) causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  such that every  $U_i \subset M$  is a proper subset of M. (The existence of such a cover is guaranteed because M is globally hyperbolic, so also strongly causal. For the *D*-stable case, see also Proposition D.1 and Remark D.3.) We will now show that the functor

$$\mathsf{HK}(M) \longrightarrow \mathsf{HK}(\mathcal{U}) \tag{3.4}$$

to the descent category is not fully faithful, which in particular implies that it can not be an equivalence. For this we consider two specific objects  $\mathfrak{A}, \mathfrak{B} \in \mathsf{HK}(M)$  which are defined by

$$\mathfrak{A}(U) := \begin{cases} A & , \text{ if } U = M \\ I & , \text{ if } U \subset M \end{cases}, \qquad \mathfrak{B}(U) := \begin{cases} B & , \text{ if } U = M \\ I & , \text{ if } U \subset M \end{cases}, \tag{3.5}$$

for all causally convex opens  $U \subseteq M$ , where  $I \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  denotes the initial algebra and  $A, B \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  are the algebras from our hypotheses. We endow  $\mathfrak{A}$  and  $\mathfrak{B}$  with the AQFT structures which are uniquely determined by the universal property of the initial algebra.

Since every  $U_i \subset M$  is a proper subset, the two AQFTs  $\mathfrak{A}, \mathfrak{B} \in \mathsf{HK}(M)$  defined above are mapped via the functor (3.4) to the same object in the descent category. In the model for the descent category from Remark 2.16, this object reads as  $\mathfrak{I} := ({\mathfrak{I}_{U_i}}, {\operatorname{id}_{\mathfrak{I}_{U_{ij}}}}) \in \mathsf{HK}(\mathcal{U})$ , where  $\mathfrak{I}_{U_i} \in \mathsf{HK}(U_i)$  denotes the initial object, i.e. the constant AQFT sending all causally convex opens  $U \subseteq U_i$  to the initial algebra. Acting with the functor (3.4) on Hom-sets, we obtain

$$\operatorname{Hom}_{\mathsf{HK}(M)}(\mathfrak{A},\mathfrak{B}) \cong \operatorname{Hom}_{\mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})}(A,B) \longrightarrow \operatorname{Hom}_{\mathsf{HK}(\mathcal{U})}(\mathfrak{I},\mathfrak{I}) \quad . \tag{3.6}$$

Since  $\operatorname{Hom}_{\mathsf{HK}(\mathcal{U})}(\mathfrak{I},\mathfrak{I})$  is a singleton and  $\operatorname{Hom}_{\operatorname{Alg}_{\mathsf{uAs}}(\mathbf{T})}(A, B)$  is by our hypotheses not a singleton, this map can not be a bijection. In particular, the functor (3.4) fails to be full when  $\operatorname{Hom}_{\mathsf{HK}(M)}(\mathfrak{A},\mathfrak{B}) = \emptyset$  is empty and it fails to be faithful when  $\operatorname{Hom}_{\mathsf{HK}(M)}(\mathfrak{A},\mathfrak{B})$  contains more than one element.  $\Box$ 

<sup>&</sup>lt;sup>4</sup>This technical condition is very mild and it is only used to rule out pathological examples of **T**, such as the one-object category **1**. In the standard case where  $\mathbf{T} = \mathbf{Vec}_{\mathbb{K}}$ , one has that  $\operatorname{Hom}(A, \mathbb{K}) = \emptyset$  is empty for every simple noncommutative  $\mathbb{K}$ -algebra A and that  $\operatorname{Hom}(A, A)$  has more than 1 element for every  $\mathbb{K}$ -algebra A with non-trivial automorphisms.

### 3.1.2 Comparison to locally covariant AQFT

Our next aim is to explain how the Haag-Kastler 2-functor from Definition 3.1 is related to locally covariant AQFT [BFV03, FV15]. For this we recall the following standard concept.

**Definition 3.4.** The *category of points* of the Haag-Kastler 2-functor is defined as the category

$$\mathsf{HK}(\mathrm{pt}) := \mathrm{Hom}(\Delta \mathbf{1}, \mathsf{HK}) \in \mathbf{CAT}$$
(3.7)

of pseudo-natural transformations from the constant 2-functor  $\Delta 1 : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  to HK :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$  and their modifications, where we recall that  $\mathbf{1} \in \mathbf{CAT}$  denotes the category consisting of a single object and its identity morphism.

**Remark 3.5.** Spelling out the definitions of pseudo-natural transformations and modifications (see e.g. [JY21]) and using that a functor  $\mathbf{1} \to \mathbf{D}$  from the one-object category to any category  $\mathbf{D}$  is the same datum as an object in  $\mathbf{D}$ , one obtains the following explicit description of the category of points  $\mathsf{HK}(\mathsf{pt})$ :

- An object in  $\mathsf{HK}(\mathsf{pt})$  is a tuple  $(\{\mathfrak{A}_M\}, \{\alpha_f\})$  consisting of a family of objects  $\mathfrak{A}_M \in \mathsf{HK}(M)$ , for all  $M \in \mathbf{Loc}$ , and a family of isomorphisms  $\alpha_f : \mathfrak{A}_M \Rightarrow f^*(\mathfrak{A}_N)$  in  $\mathsf{HK}(M)$ , for all  $\mathbf{Loc}$ morphisms  $f : M \to N$ , which satisfies the following conditions:
  - (i) For all composable **Loc**-morphisms  $f: M \to N$  and  $g: N \to O$ , the diagram

(

$$\begin{array}{ccc} \mathfrak{A}_{M} & \xrightarrow{\alpha_{f}} & f^{*}(\mathfrak{A}_{N}) \\ & & & & & \\ \alpha_{gf} \\ & & & & & \\ gf)^{*}(\mathfrak{A}_{O}) & = & f^{*}g^{*}(\mathfrak{A}_{O}) \end{array}$$
(3.8a)

in HK(M) commutes.

(ii) For all objects  $M \in \mathbf{Loc}$ , the diagram

in HK(M) commutes.

• A morphism  $\{\zeta_M\} : (\{\mathfrak{A}_M\}, \{\alpha_f\}) \Rightarrow (\{\mathfrak{B}_M\}, \{\beta_f\})$  in HK(pt) is a family of morphisms  $\zeta_M : \mathfrak{A}_M \Rightarrow \mathfrak{B}_M$  in HK(M), for all  $M \in \mathbf{Loc}$ , which satisfies the following condition: For all **Loc**-morphisms  $f : M \to N$ , the diagram

in HK(M) commutes.

In simple words, this description shows that points of the Haag-Kastler 2-functor are natural families of Haag-Kastler-style AQFTs over all  $M \in \mathbf{Loc}$ .

In order to set up a comparison between the category of points HK(pt) and the category of locally covariant AQFTs  $AQFT(\overline{Loc})$ , we recall from Example 2.2 the orthogonal functors

$$k_M : \overline{\mathbf{COpen}(M)} \longrightarrow \overline{\mathbf{Loc}} , \quad U \subseteq M \longmapsto U ,$$
 (3.10)

for all  $M \in \mathbf{Loc}$ . Given any  $\mathbf{Loc}$ -morphism  $f : M \to N$ , we can compose the orthogonal functor  $f : \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(N)}$  from (3.2) with the orthogonal functor  $k_N : \overline{\mathbf{COpen}(N)} \to \overline{\mathbf{Loc}}$  and define a natural isomorphism

$$k_f: k_M \implies k_N f$$
 (3.11a)

of orthogonal functors from  $\overline{\mathbf{COpen}(M)}$  to  $\overline{\mathbf{Loc}}$  in terms of the component Loc-isomorphisms

$$(k_f)_U := f|_U : U \xrightarrow{\cong} f(U)$$
 (3.11b)

which are obtained by restricting and corestricting the given Loc-morphism  $f: M \to N$ , for all causally convex opens  $U \subseteq M$ .

Construction 3.6. We define a functor

$$AQFT(\overline{Loc}) \longrightarrow HK(pt)$$
 (3.12)

from the category of locally covariant AQFTs to the category of points of the Haag-Kastler 2-functor. To an object  $\mathfrak{A} \in \mathbf{AQFT}(\mathbf{\overline{Loc}})$ , this functor assigns the tuple

$$\left(\left\{k_M^*(\mathfrak{A}) := \mathfrak{A}\,k_M\right\}, \left\{\mathfrak{A}\,k_f : k_M^*(\mathfrak{A}) = \mathfrak{A}\,k_M \Rightarrow \mathfrak{A}\,k_N\,f = f^*k_N^*(\mathfrak{A})\right\}\right) \in \mathsf{HK}(\mathsf{pt})$$
(3.13)

which is obtained by applying the pullback construction (2.3) to (3.10) and whiskering with (3.11). One directly checks that this tuple satisfies the compatibility conditions (3.8) from Remark 3.5. To a morphism  $\zeta : \mathfrak{A} \Rightarrow \mathfrak{B}$  in **AQFT**(**Loc**), this functor assigns the tuple

$$\left\{k_M^*(\zeta) : k_M^*(\mathfrak{A}) \Rightarrow k_M^*(\mathfrak{B})\right\} : \left(\left\{k_M^*(\mathfrak{A})\right\}, \left\{\mathfrak{A}\,k_f\right\}\right) \implies \left(\left\{k_M^*(\mathfrak{B})\right\}, \left\{\mathfrak{B}\,k_f\right\}\right)$$
(3.14)

which is obtained by applying the pullback construction (2.3) to (3.10). One directly checks that this tuple satisfies the compatibility conditions (3.9) from Remark 3.5.

Construction 3.7. We now define a functor

$$\mathsf{HK}(\mathrm{pt}) \longrightarrow \mathbf{AQFT}(\overline{\mathbf{Loc}}) \tag{3.15}$$

which goes in the reverse direction of Construction 3.6. To an object  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}(\mathsf{pt})$ , this functor assigns the locally covariant AQFT  $\mathfrak{A} \in \mathbf{AQFT}(\mathbf{\overline{Loc}})$  which is defined by the following functor  $\mathfrak{A} : \mathbf{Loc} \to \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$ : To an object  $M \in \mathbf{Loc}$ , we assign the evaluation

$$\mathfrak{A}(M) := \mathfrak{A}_M(M) \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T}) \tag{3.16a}$$

of the corresponding Haag-Kastler-style AQFT  $\mathfrak{A}_M \in \mathsf{HK}(M)$  on the terminal object  $M \in \mathbf{COpen}(M)$ . To a **Loc**-morphism  $f : M \to N$ , we assign the  $\mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$ -morphism defined by

$$\mathfrak{A}(f):\mathfrak{A}(M) = \mathfrak{A}_M(M) \xrightarrow{(\alpha_f)_M} \mathfrak{A}_N(f(M)) \longrightarrow \mathfrak{A}_N(N) = \mathfrak{A}(N) \quad , \tag{3.16b}$$

where the second arrow uses the functorial structure of  $\mathfrak{A}_N \in \mathsf{HK}(N)$  for the inclusion  $f(M) \subseteq N$ . One can immediately verify that the functor  $\mathfrak{A} : \mathbf{Loc} \to \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  satisfies the  $\perp$ -commutativity axiom from Definition 2.3 by observing that the diagram



in **T** commutes, for all orthogonal pairs  $(f_1 : M_1 \to N) \perp (f_2 : M_2 \to N)$  in **Loc**. This follows from the  $\perp$ -commutativity axiom of  $\mathfrak{A}_N \in \mathsf{HK}(N)$  and the orthogonal pair  $(f_1(M_1) \subseteq N) \perp (f_2(M_2) \subseteq N)$  in **COpen**(N).

To a morphism  $\{\zeta_M\} : (\{\mathfrak{A}_M\}, \{\alpha_f\}) \Rightarrow (\{\mathfrak{B}_M\}, \{\beta_f\})$  in HK(pt), the functor (3.15) assigns the morphism  $\zeta : \mathfrak{A} \Rightarrow \mathfrak{B}$  of locally covariant AQFTs which is defined by the following components

$$\mathfrak{A}(M) = \mathfrak{A}_M(M) \xrightarrow{(\zeta_M)_M} \mathfrak{B}_M(M) = \mathfrak{B}(M) \quad , \tag{3.18}$$

for all  $M \in Loc$ . Recalling (3.9) and (3.16), one obtains the commutative diagrams

in  $\operatorname{Alg}_{uAs}(\mathbf{T})$ , for all Loc-morphisms  $f: M \to N$ , which confirm that  $\zeta$  as defined above is a natural transformation.

**Theorem 3.8.** The two functors defined in Constructions 3.6 and 3.7 are quasi-inverse to each other. Hence, they exhibit an equivalence

$$\mathsf{HK}(\mathrm{pt}) \simeq \mathbf{AQFT}(\mathbf{Loc})$$
 (3.20)

between the category of points HK(pt) of the Haag-Kastler 2-functor and the category  $AQFT(\overline{Loc})$  of locally covariant AQFTs.

*Proof.* One immediately checks that the composition  $\mathbf{AQFT}(\mathbf{\overline{Loc}}) \to \mathsf{HK}(\mathrm{pt}) \to \mathbf{AQFT}(\mathbf{\overline{Loc}})$  of (3.12) followed by (3.15) is the identity functor on  $\mathbf{AQFT}(\mathbf{\overline{Loc}})$ .

Concerning the composition  $\mathsf{HK}(\mathrm{pt}) \to \mathbf{AQFT}(\overline{\mathbf{Loc}}) \to \mathsf{HK}(\mathrm{pt})$ , we apply first (3.15) and then (3.12) to any object  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}(\mathrm{pt})$ , which results in the object

$$\left(\{k_M^*(\mathfrak{A})\}, \{k_M^*(\mathfrak{A}) \Rightarrow f^*k_N^*(\mathfrak{A})\}\right) \in \mathsf{HK}(\mathsf{pt}) \quad . \tag{3.21a}$$

More explicitly, the family of objects is specified by

$$k_M^*(\mathfrak{A})(U) = \mathfrak{A}_U(U) \quad , \tag{3.21b}$$

for all  $M \in \mathbf{Loc}$  and all causally convex opens  $U \subseteq M$ , and the family of isomorphisms by

$$\begin{array}{cccc} k_{M}^{*}(\mathfrak{A})(U) & \longrightarrow & f^{*}k_{N}^{*}(\mathfrak{A})(U) \\ & & & \\ & & \\ \mathfrak{A}_{U}(U) & & \\ &$$

for all **Loc**-morphisms  $f: M \to N$  and all causally convex opens  $U \subseteq M$ , where  $f|_U: U \to f(U)$  denotes the **Loc**-isomorphism obtained by restricting and corestricting f. There exists an isomorphism in HK(pt) from this object to the original object, which is given by the components

$$\mathfrak{A}_U(U) \xrightarrow{(\alpha_{\iota_U^M})_U} \mathfrak{A}_M(U) , \qquad (3.22)$$

for all  $M \in \mathbf{Loc}$  and all causally convex opens  $U \subseteq M$ , where  $\iota_U^M : U \to M$  denotes the canonical inclusion **Loc**-morphism. From this one shows that the composition of functors  $\mathsf{HK}(\mathsf{pt}) \to \mathbf{AQFT}(\mathbf{\overline{Loc}}) \to \mathsf{HK}(\mathsf{pt})$  is naturally isomorphic to the identity functor on  $\mathsf{HK}(\mathsf{pt})$ .  $\Box$ 

#### 3.1.3 Time-slice axiom

We conclude this subsection with a brief study of the time-slice axiom, which by Proposition 2.8 can be implemented either as an additional property or, equivalently, as a structure through an orthogonal localization. In the present context, it will be more convenient to regard the time-slice axiom as an additional property.

**Definition 3.9.** The *time-sliced Haag-Kastler 2-functor* is defined as the 2-subfunctor  $\mathsf{HK}^W \subseteq \mathsf{HK}$  of the Haag-Kastler 2-functor from Definition 3.1 which assigns to every  $M \in \mathbf{Loc}$  the full subcategory  $\mathsf{HK}^W(M) \subseteq \mathsf{HK}(M)$  consisting of all Haag-Kastler-style AQFTs on M which satisfy the time-slice axiom.

**Remark 3.10.** We note that Proposition 2.8 and Example 2.10 provide us with the following equivalent model for the time-sliced Haag-Kastler 2-functor. The assignment of the localized orthogonal categories  $M \mapsto \overline{\mathbf{COpen}(M)}[W_M^{-1}]$  from Example 2.10 is 2-functorial

$$\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}] : \mathbf{Loc} \longrightarrow \mathbf{Cat}^{\perp}$$
(3.23a)

with action on **Loc**-morphisms  $f: M \to N$  given by

$$f_W := \overline{\mathbf{COpen}(f)}[W_f^{-1}] : \overline{\mathbf{COpen}(M)}[W_M^{-1}] \longrightarrow \overline{\mathbf{COpen}(N)}[W_N^{-1}] , \qquad (3.23b)$$
$$U \subseteq M \longmapsto f(U) \subseteq N ,$$
$$(U \to V) \longmapsto (f(U) \to f(V)) ,$$

see also Appendix B. Replacing in Definition 3.1 the 2-functor  $\overline{\mathbf{COpen}(-)}$  by  $\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}]$ , one obtains an equivalent model for the time-sliced Haag-Kastler 2-functor which, with abuse of notation, we denote by the same symbol  $\mathsf{HK}^W : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$ . Explicitly, this 2-functor assigns to an object  $M \in \mathbf{Loc}$  the category

$$\mathsf{H}\mathsf{K}^{W}(M) = \mathbf{AQFT}(\overline{\mathbf{COpen}(M)}[W_{M}^{-1}]) \in \mathbf{CAT}$$
(3.24a)

of AQFTs on the orthogonal localization  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  and to a Loc-morphism  $f: M \to N$  the pullback functor

$$\begin{array}{ccc} \mathsf{H}\mathsf{K}^{W}(N) & \xrightarrow{\mathsf{H}\mathsf{K}^{W}(f) := f_{W}^{*}} & \mathsf{H}\mathsf{K}^{W}(M) \\ & \parallel & & \parallel \\ \mathbf{A}\mathbf{QFT}\big(\overline{\mathbf{COpen}(N)}[W_{N}^{-1}]\big) & \xrightarrow{f_{W}^{*}} & \mathbf{A}\mathbf{QFT}\big(\overline{\mathbf{COpen}(M)}[W_{M}^{-1}]\big) \end{array}$$
(3.24b)

associated to the orthogonal functor (3.23b). The equivalence between this model and the one in Definition 3.9 is implemented as in Proposition 2.8 by pullbacks along the orthogonal localization functors  $L_M : \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(M)}[W_M^{-1}]$ , for all  $M \in \mathbf{Loc}$ .

The result of Proposition 3.3 remains valid in the present case.

**Proposition 3.11.** Suppose that the category of algebras  $Alg_{uAs}(\mathbf{T})$  has two objects  $A, B \in Alg_{uAs}(\mathbf{T})$  for which the Hom-set Hom(A, B) is not a singleton. Then the time-sliced Haag-Kastler 2-functor  $HK^W$  from Definition 3.9 is not a stack with respect to either Grothendieck topology from Definition 2.14 on Loc. It is not even a prestack.

*Proof.* The proof is very similar to one of Proposition 3.3. The only differences are: 1.) We take a (*D*-stable) causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  such that every  $U_i \subseteq M$  does not contain a Cauchy surface of M. 2.) Instead of the objects  $\mathfrak{A}$  and  $\mathfrak{B}$  from (3.5), we consider the objects  $\mathfrak{A}, \mathfrak{B} \in \mathsf{HK}^W(M)$  which are defined by

$$\mathfrak{A}(U) := \begin{cases} A & , \text{ if } U \text{ contains a Cauchy surface of } M \\ I & , \text{ otherwise} \end{cases} , \qquad (3.25a)$$

$$\mathfrak{B}(U) := \begin{cases} B & , \text{ if } U \text{ contains a Cauchy surface of } M \\ I & , \text{ otherwise} \end{cases} , \qquad (3.25b)$$

for all causally convex opens  $U \subseteq M$ . We endow  $\mathfrak{A}$  and  $\mathfrak{B}$  with the AQFT structures which are defined by the universal property of the initial algebra and the identity morphisms  $\mathrm{id}_A : A \to A$ and  $\mathrm{id}_B : B \to B$ . As in the proof of Proposition 3.3, one then shows that the canonical functor  $\mathsf{HK}^W(M) \to \mathsf{HK}^W(\mathcal{U})$  to the descent category is not fully faithful by using these  $\mathfrak{A}$  and  $\mathfrak{B}$ .  $\Box$ 

Our Comparison Theorem 3.8 between locally covariant AQFTs and points of the Haag-Kastler 2-functor adapts to the case where all AQFTs satisfy their relevant time-slice axiom. Indeed, by direct inspection, one verifies that the functors from Constructions 3.6 and 3.7 preserve the time-slice axioms, hence they induce functors

$$\mathbf{AQFT}(\overline{\mathbf{Loc}})^W \longrightarrow \mathsf{HK}^W(\mathrm{pt}) \quad , \qquad \mathsf{HK}^W(\mathrm{pt}) \longrightarrow \mathbf{AQFT}(\overline{\mathbf{Loc}})^W \tag{3.26}$$

between the category  $\mathbf{AQFT}(\overline{\mathbf{Loc}})^W$  of locally covariant AQFTs satisfying the time-slice axiom and the category of points  $\mathsf{HK}^W(\mathrm{pt})$  of the time-sliced Haag-Kastler 2-functor. As a consequence of Theorem 3.8, we then obtain the following result.

**Corollary 3.12.** The two functors in (3.26) exhibit an equivalence

$$\mathsf{H}\mathsf{K}^W(\mathrm{pt}) \simeq \mathbf{A}\mathbf{Q}\mathbf{F}\mathbf{T}(\overline{\mathbf{Loc}})^W \tag{3.27}$$

between the category of points  $\mathsf{HK}^W(\mathsf{pt})$  of the time-sliced Haag-Kastler 2-functor and the category  $\mathbf{AQFT}(\overline{\mathbf{Loc}})^W$  of locally covariant AQFTs satisfying the time-slice axiom.

### 3.2 The case of relatively compact causally convex opens

In this subsection we describe the variants of the Haag-Kastler 2-functor which are associated with Haag-Kastler-style AQFTs that are modeled on the orthogonal categories  $\overline{\mathbf{RC}(M)}$  of relatively compact causally convex opens in  $M \in \mathbf{Loc}$  from Example 2.2.

### **3.2.1** Definition and properties

Analogously to the 2-functor  $\overline{\mathbf{COpen}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  from (3.1), there exists a 2-functor

$$\overline{\mathbf{RC}(-)} : \mathbf{Loc} \longrightarrow \mathbf{Cat}^{\perp}$$
 . (3.28)

This 2-functor assigns to each object  $M \in \mathbf{Loc}$  the orthogonal category  $\overline{\mathbf{RC}(M)}$  of relatively compact causally convex opens in M and to each  $\mathbf{Loc}$ -morphism  $f : M \to N$  the orthogonal functor (denoted with abuse of notation by the same symbol f)

$$f := \overline{\mathbf{RC}(f)} : \overline{\mathbf{RC}(M)} \longrightarrow \overline{\mathbf{RC}(N)} , \quad U \subseteq M \longmapsto f(U) \subseteq N$$
(3.29)

which sends relatively compact causally convex opens in M to their images under f in N.

Definition 3.13. The relatively compact Haag-Kastler 2-functor

$$\mathsf{H}\mathsf{K}^{\mathrm{rc}} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{CAT}$$
(3.30a)

is defined by assigning to each object  $M \in \mathbf{Loc}$  the category

$$\mathsf{HK}^{\mathrm{rc}}(M) := \mathbf{AQFT}(\overline{\mathbf{RC}(M)}) \in \mathbf{CAT}$$
(3.30b)

of relatively compact Haag-Kastler-style AQFTs on M and to each **Loc**-morphism  $f: M \to N$  the pullback functor

$$\begin{array}{ccc} \mathsf{H}\mathsf{K}^{\mathrm{rc}}(N) & \xrightarrow{\mathsf{H}\mathsf{K}^{\mathrm{rc}}(f) := f^{*}} & \mathsf{H}\mathsf{K}^{\mathrm{rc}}(M) \\ & & & \\ & & & \\ \mathbf{A}\mathbf{QFT}(\overline{\mathbf{RC}(N)}) & \xrightarrow{f^{*}} & \mathbf{A}\mathbf{QFT}(\overline{\mathbf{RC}(M)}) \end{array}$$
(3.30c)

from (2.3) which is associated to the orthogonal functor  $f: \overline{\mathbf{RC}(M)} \to \overline{\mathbf{RC}(N)}$  in (3.29).

The result of Proposition 3.3 remains valid in the present case.

**Proposition 3.14.** Suppose that the category of algebras  $\operatorname{Alg}_{uAs}(\mathbf{T})$  has two objects  $A, B \in \operatorname{Alg}_{uAs}(\mathbf{T})$  for which the Hom-set  $\operatorname{Hom}(A, B)$  is not a singleton. Then the relatively compact Haag-Kastler 2-functor  $\operatorname{HK}^{\operatorname{rc}}$  from Definition 3.13 is not a stack with respect to either Grothendieck topology from Definition 2.14 on Loc. It is not even a prestack.

*Proof.* The proof is very similar to the one of Proposition 3.11. The only difference is that we assume that  $M \in \mathbf{Loc}$  admits compact Cauchy surfaces. Under this hypothesis, the two objects  $\mathfrak{A}, \mathfrak{B} \in \mathsf{HK}^{\mathrm{rc}}(M)$  defined by

$$\mathfrak{A}(U) := \begin{cases} A &, \text{ if } U \text{ contains a Cauchy surface of } M \\ I &, \text{ else} \end{cases}$$
(3.31a)

$$\mathfrak{B}(U) := \begin{cases} B & , \text{ if } U \text{ contains a Cauchy surface of } M \\ I & , \text{ else} \end{cases}$$
(3.31b)

for all relatively compact causally convex opens  $U \subseteq M$ , differ from the initial object in  $\mathsf{HK}^{\mathrm{rc}}(M)$ since there exist relatively compact causally convex opens  $U \subseteq M$  which contain a Cauchy surface of M. (Consider for example time-slabs with a bounded time interval.) As in the proof of Proposition 3.3, one then shows that the canonical functor  $\mathsf{HK}^{\mathrm{rc}}(M) \to \mathsf{HK}^{\mathrm{rc}}(\mathcal{U})$  to the descent category is not fully faithful by using these  $\mathfrak{A}$  and  $\mathfrak{B}$ .

#### **3.2.2** Comparison to additivity properties

The canonical full orthogonal subcategory inclusions  $i_M : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{COpen}(M)}$  from Example 2.7, for all  $M \in \mathbf{Loc}$ , assemble into a (strict) 2-natural transformation

$$i: \overline{\mathbf{RC}(-)} \implies \overline{\mathbf{COpen}(-)}$$
 (3.32)

between the 2-functors defined in (3.28) and (3.1). This induces via object-wise pullback a 2-natural transformation

$$i^* : \mathsf{HK} \implies \mathsf{HK}^{\mathrm{rc}}$$
 (3.33)

which allows us to compare the Haag-Kastler 2-functor from Definition 3.1 with the relatively compact Haag-Kastler 2-functor from Definition 3.13.

Additivity in Haag-Kastler-style AQFTs: It was shown in Example 2.7 that, restricting to any fixed object  $M \in Loc$ , the component

$$i_M^* : \mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \xrightarrow{\simeq} \mathsf{HK}^{\mathrm{rc}}(M)$$
 (3.34)

of the 2-natural transformation (3.33) defines an equivalence between the category of relatively compact Haag-Kastler-style AQFTs on M and the full subcategory  $\mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \subseteq \mathsf{HK}(M)$  consisting of all Haag-Kastler-style AQFTs  $\mathfrak{A} \in \mathsf{HK}(M)$  which satisfy the property that the counit  $\epsilon_{\mathfrak{A}}$  of the adjunction  $i_{M!} \dashv i_{M}^{*}$  in (2.5) is an isomorphism. Moreover, (2.8) identifies this property as an additivity property of the Haag-Kastler-style AQFT  $\mathfrak{A} \in \mathsf{HK}(M)$  on M.

This object-wise identification between  $\mathsf{HK}^{\mathrm{rc}}(M)$  and the full subcategory  $\mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \subseteq \mathsf{HK}(M)$  however fails to extend to the level of 2-functors because the family of full subcategories  $\mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \subseteq \mathsf{HK}(M)$ , for all  $M \in \mathbf{Loc}$ , does *not* form a 2-subfunctor of the Haag-Kastler 2-functor  $\mathsf{HK}$ .

**Proposition 3.15.** Suppose that there exists a commutative algebra  $A \in \operatorname{Alg}_{\mathsf{uAs}}(\mathbf{T})$  which is not isomorphic to the initial algebra.<sup>5</sup> Then, for every Loc-morphism  $f: M \to N$  whose image  $f(M) \subseteq N$  is relatively compact, the pullback functor  $f^*: \operatorname{HK}(N) \to \operatorname{HK}(M)$  of the Haag-Kastler 2-functor does not restrict to a functor between  $\operatorname{HK}^{\epsilon-\operatorname{iso}}(N)$  and  $\operatorname{HK}^{\epsilon-\operatorname{iso}}(M)$ .

*Proof.* Our proof strategy is to present an explicit example for an object  $\mathfrak{A} \in \mathsf{HK}^{\epsilon-\mathrm{iso}}(N)$  whose pullback  $f^*(\mathfrak{A}) \in \mathsf{HK}(M)$  does not lie in the full subcategory  $\mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \subseteq \mathsf{HK}(M)$ . Let us define

$$\mathfrak{A}(V) = \begin{cases} A & , \text{ if } f(M) \subseteq V & , \\ I & , \text{ if } f(M) \not\subseteq V & , \end{cases}$$
(3.35)

for all  $V \in \mathbf{COpen}(N)$ , where  $A \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  denotes the commutative algebra from our hypotheses and  $I \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  denotes the initial algebra. We endow  $\mathfrak{A} \in \mathsf{HK}(N)$  with the AQFT structure which is defined by the universal property of the initial algebra and the identity morphism  $\mathrm{id}_A : A \to A$ . (Note that the  $\perp$ -commutativity axiom follows from the commutativity of the algebras A and I.) To show that  $\mathfrak{A} \in \mathsf{HK}^{\epsilon-\mathrm{iso}}(N) \subseteq \mathsf{HK}(N)$ , recall (2.8) and consider the components of the counit

$$(\epsilon_{\mathfrak{A}})_V : \operatorname{colim}\left(\mathbf{RC}(N)/V \longrightarrow \mathbf{COpen}(N) \xrightarrow{\mathfrak{A}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) \longrightarrow \mathfrak{A}(V) \quad ,$$
 (3.36)

for all  $V \in \mathbf{COpen}(N)$ . In the case where  $f(M) \not\subseteq V$ , the restriction of  $\mathfrak{A}$  to the comma category yields the constant functor assigning I, hence we obtain an isomorphism. In the case where  $f(M) \subseteq V$ , we use that  $f(M) \subseteq V$  defines an object in the comma category  $\mathbf{RC}(N)/V$  since the image of f is by our hypotheses relatively compact in N. Using further that  $\mathfrak{A}$  is constantly assigning A to all  $\tilde{V} \supseteq f(M)$ , we obtain again an isomorphism.

It remains to show that  $f^*(\mathfrak{A}) \in \mathsf{HK}(M)$  does not define an object in  $\mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \subseteq \mathsf{HK}(M)$ . For this we consider the component of the counit

$$(\epsilon_{f^*(\mathfrak{A})})_M : \operatorname{colim}\left(\mathbf{RC}(M)/M \longrightarrow \mathbf{COpen}(M) \xrightarrow{f^*(\mathfrak{A})} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) \longrightarrow \mathfrak{A}(f(M)) = A \quad (3.37)$$

on the terminal object  $M \in \mathbf{COpen}(M)$ . Note that the restriction of the functor  $f^*(\mathfrak{A})$  to the comma category  $\mathbf{RC}(M)/M$  yields the constant functor assigning I because  $M \subseteq M$  is not relatively compact for a (necessarily non-compact) globally hyperbolic Lorentzian manifold. Hence,  $\epsilon_{f^*(\mathfrak{A})}$  is not an isomorphism.  $\Box$ 

<sup>&</sup>lt;sup>5</sup>This technical condition is very mild and it is only used to rule out pathological examples of **T**. In particular, it holds true for  $\mathbf{T} = \mathbf{Vec}_{\mathbb{K}}$ .

The implication of this result is that the *structure* of the relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  can not be encoded in terms of a *property* of the Haag-Kastler 2-functor  $\mathsf{HK}$ , despite the object-wise equivalence  $\mathsf{HK}^{\mathrm{rc}}(M) \simeq \mathsf{HK}^{\epsilon-\mathrm{iso}}(M)$  of (3.34) with Haag-Kastler-style AQFTs satisfying a particular additivity property. This makes the relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  a genuinely new concept.

Additivity in locally covariant AQFTs: We next compare the relatively compact Haag-Kastler 2-functor HK<sup>rc</sup> with an additivity property used in the context of locally covariant AQFTs, see e.g. [BPS19, Definition 2.16].

**Definition 3.16.** For every object  $M \in \mathbf{Loc}$ , we denote by  $\mathsf{HK}^{\mathrm{add}}(M) \subseteq \mathsf{HK}(M)$  the full subcategory of the category of Haag-Kastler-style AQFTs on M consisting of all objects  $\mathfrak{A} \in \mathsf{HK}(M)$  which satisfy the following *locally covariant additivity property*: For every  $U \in \mathbf{COpen}(M)$ , the canonical map

$$\operatorname{colim}\left(\mathbf{RC}(U) \xrightarrow{\subseteq} \mathbf{COpen}(M) \xrightarrow{\mathfrak{A}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) \xrightarrow{\cong} \mathfrak{A}(U)$$
(3.38)

is an isomorphism in  $Alg_{uAs}(T)$ .

This will be related to an additivity property on AQFT(Loc) below, justifying the name.

**Remark 3.17.** We would like to highlight a subtle but important difference between the locally covariant additivity property (3.38) and the  $\epsilon$ -iso property (2.8) from Example 2.7, which we rewrite here for comparison

$$(\epsilon_{\mathfrak{A}})_U : \operatorname{colim}\left(\mathbf{RC}(M)/U \longrightarrow \mathbf{COpen}(M) \xrightarrow{\mathfrak{A}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right) \xrightarrow{\cong} \mathfrak{A}(U) \quad .$$
 (3.39)

The colimit in the locally covariant additivity property is indexed over the category  $\mathbf{RC}(U)$  of all relatively compact causally convex opens in U, while the colimit in the  $\epsilon$ -iso property is indexed over the comma category  $\mathbf{RC}(M)/U$  of all relatively compact causally convex opens in M which are also contained in  $U \subseteq M$ . Note that these two categories are in general very different. For example, if  $U \subseteq M$  is a relatively compact causally convex open subset, then the comma category  $\mathbf{RC}(M)/U$  has a terminal object  $U \subseteq U$ , while the category  $\mathbf{RC}(U)$  never has a terminal object because U is a (necessarily non-compact) globally hyperbolic Lorentzian manifold. In simpler words, this means that relative compactness is a relative condition which is sensitive to the ambient manifold in which one considers open subsets. For the  $\epsilon$ -iso property (3.39), the relevant relative compactness condition  $\mathbf{RC}(M)/U$  is formulated relative to the ambient manifold M itself, while for the locally covariant additivity property (3.38) the relative compactness condition  $\mathbf{RC}(U)$  is formulated intrinsically relative to the submanifold  $U \subseteq M$ .

In stark contrast to Proposition 3.15, the family of full subcategories  $\mathsf{HK}^{\mathrm{add}}(M) \subseteq \mathsf{HK}(M)$ , for all  $M \in \mathbf{Loc}$ , forms a 2-subfunctor of the Haag-Kastler 2-functor  $\mathsf{HK}$ .

**Proposition 3.18.** For every Loc-morphism  $f: M \to N$ , the pullback functor  $f^*: HK(N) \to HK(M)$  of the Haag-Kastler 2-functor restricts to a functor  $f^*: HK^{add}(N) \to HK^{add}(M)$  between the full subcategories of locally covariantly additive objects. This defines a 2-subfunctor  $HK^{add} \subseteq HK$ .

*Proof.* We have to show that, given any locally covariantly additive object  $\mathfrak{A} \in \mathsf{HK}^{\mathrm{add}}(N) \subseteq \mathsf{HK}(N)$  on N, the pullback  $f^*(\mathfrak{A}) \in \mathsf{HK}(M)$  satisfies the locally covariant additivity property from Definition 3.16. This follows from the direct calculation

$$\operatorname{colim}\left(\operatorname{\mathbf{RC}}(U) \xrightarrow{\subseteq} \operatorname{\mathbf{COpen}}(M) \xrightarrow{f^*(\mathfrak{A})} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right)$$
$$= \operatorname{colim}\left(\operatorname{\mathbf{RC}}(U) \xrightarrow{\subseteq} \operatorname{\mathbf{COpen}}(M) \xrightarrow{f} \operatorname{\mathbf{COpen}}(N) \xrightarrow{\mathfrak{A}} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right)$$
$$\cong \operatorname{colim}\left(\operatorname{\mathbf{RC}}(f(U)) \xrightarrow{\subseteq} \operatorname{\mathbf{COpen}}(N) \xrightarrow{\mathfrak{A}} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right) \cong \mathfrak{A}(f(U)) = f^*(\mathfrak{A})(U) \quad , \quad (3.40)$$

for all  $U \in \mathbf{COpen}(M)$ . In the first step we used the definition of the pullback functor  $f^*(\mathfrak{A}) = \mathfrak{A} f$ . In the second step we used the commutative diagram

where  $f|_U : U \to f(U)$  denotes the **Loc**-isomorphism obtained by restricting and corestricting  $f : M \to N$ . The last two steps follow from the locally covariant additivity property of  $\mathfrak{A} \in \mathsf{HK}^{\mathrm{add}}(N) \subseteq \mathsf{HK}(N)$  and using the definition of the pullback functor  $f^*(\mathfrak{A}) = \mathfrak{A} f$  once more.  $\Box$ 

We can now compare the relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  with the locally covariantly additive 2-subfunctor  $\mathsf{HK}^{\mathrm{add}} \subseteq \mathsf{HK}$  by restricting the 2-natural transformation (3.33) to

$$i^* : \mathsf{HK}^{\mathrm{add}} \Longrightarrow \mathsf{HK}^{\mathrm{rc}}$$
 . (3.42)

As a consequence of Proposition 3.15, we already know that this 2-natural transformation can *not* be an equivalence of 2-functors, so the relative compactness structure of  $\mathsf{HK}^{\mathrm{rc}}$  and the locally covariant additivity property of  $\mathsf{HK}^{\mathrm{add}} \subseteq \mathsf{HK}$  are *not* equivalent. However, we have the following result which implies that the locally covariant additivity property is stronger than the relative compactness structure.

**Theorem 3.19.** For every object  $M \in \mathbf{Loc}$ , the component  $i_M^* : \mathsf{HK}^{\mathrm{add}}(M) \to \mathsf{HK}^{\mathrm{rc}}(M)$  of the 2-natural transformation (3.42) is a fully faithful functor. Hence, by taking essential images, one can present the locally covariantly additive Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{add}} \subseteq \mathsf{HK}$  as a 2-subfunctor of the relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$ .

*Proof.* Using the equivalence  $i_M^* : \mathsf{HK}^{\epsilon-\mathrm{iso}}(M) \xrightarrow{\simeq} \mathsf{HK}^{\mathrm{rc}}(M)$  from (3.34), see also Example 2.7, it suffices to show that  $\mathsf{HK}^{\mathrm{add}}(M) \subseteq \mathsf{HK}^{\epsilon-\mathrm{iso}}(M)$  is a full subcategory. In other words, we have to show that every locally covariantly additive object  $\mathfrak{A} \in \mathsf{HK}^{\mathrm{add}}(M) \subseteq \mathsf{HK}(M)$  satisfies the  $\epsilon$ -iso property (2.8) from Example 2.7, i.e. the canonical map

$$\operatorname{colim}\left(\operatorname{\mathbf{RC}}(M)/U \longrightarrow \operatorname{\mathbf{COpen}}(M) \xrightarrow{\mathfrak{A}} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right) \longrightarrow \mathfrak{A}(U)$$
 (3.43)

is an isomorphism in  $\operatorname{Alg}_{\mathsf{uAs}}(\mathbf{T})$ , for all  $U \in \operatorname{\mathbf{COpen}}(M)$ . Using that  $\mathfrak{A}$  is additive in the sense of Definition 3.16, we can rewrite the source of the canonical map as an iterated colimit

$$\operatorname{colim}\left(\operatorname{\mathbf{RC}}(M)/U \longrightarrow \operatorname{\mathbf{COpen}}(M) \xrightarrow{\mathfrak{A}} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right) = \operatorname{colim}_{(U' \subseteq U) \in \operatorname{\mathbf{RC}}(M)/U} \left(\mathfrak{A}(U')\right)$$
$$\cong \operatorname{colim}_{(U' \subseteq U) \in \operatorname{\mathbf{RC}}(M)/U} \operatorname{colim}_{U'' \in \operatorname{\mathbf{RC}}(U')} \left(\mathfrak{A}(U'')\right)$$
$$\cong \operatorname{colim}\left(\int_{U} \operatorname{\mathbf{RC}} \xrightarrow{\pi} \operatorname{\mathbf{RC}}(U) \xrightarrow{\subseteq} \operatorname{\mathbf{COpen}}(M) \xrightarrow{\mathfrak{A}} \operatorname{\mathbf{Alg}}_{\mathsf{uAs}}(\mathbf{T})\right) , \qquad (3.44)$$

hence as a colimit over the Grothendieck construction  $\int_U \mathbf{RC}$  of the 2-functor  $\mathbf{RC} : \mathbf{RC}(M)/U \to \mathbf{Cat}$ ,  $(U' \subseteq U) \mapsto \mathbf{RC}(U')$ . Similarly, the target of the canonical map can be rewritten by using locally covariant additivity as a colimit

$$\mathfrak{A}(U) \cong \operatorname{colim}\left(\mathbf{RC}(U) \xrightarrow{\subseteq} \mathbf{COpen}(M) \xrightarrow{\mathfrak{A}} \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})\right)$$
 (3.45)

The problem then reduces to proving that the functor  $\pi : \int_U \mathbf{RC} \to \mathbf{RC}(U)$  in (3.44) is final.

An explicit model for the relevant Grothendieck construction  $\int_U \mathbf{RC}$  is given by the category whose objects are pairs (V, W) with  $V \in \mathbf{RC}(M)/U$  a relatively compact causally convex open in M such that  $V \subseteq U$  and  $W \in \mathbf{RC}(V)$  a relatively compact causally convex open in V. There exists a unique morphism  $(V, W) \to (\widetilde{V}, \widetilde{W})$  if and only if  $V \subseteq \widetilde{V}$  and  $W \subseteq \widetilde{W}$ . The functor  $\pi : \int_U \mathbf{RC} \to \mathbf{RC}(U)$  then sends an object (V, W) to W and the unique morphism  $(V, W) \to (\widetilde{V}, \widetilde{W})$  in  $\int_U \mathbf{RC}$  to the subset inclusion  $W \subseteq \widetilde{W}$  in  $\mathbf{RC}(U)$ .

Recall that the functor  $\pi : \int_U \mathbf{RC} \to \mathbf{RC}(U)$  is final if, for each  $U' \in \mathbf{RC}(U)$ , the comma category  $U'/\pi$  is non-empty and connected. An object of  $U'/\pi$  is an object  $(V, W) \in \int_U \mathbf{RC}$  such that  $U' \subseteq W$  in  $\mathbf{RC}(U)$ , while a morphism  $(V, W) \to (\widetilde{V}, \widetilde{W})$  in  $U'/\pi$  exists if and only if the underlying  $\int_U \mathbf{RC}$ -morphism exists, i.e. if and only if  $V \subseteq \widetilde{V}$  and  $W \subseteq \widetilde{W}$ .

Let us show that  $U'/\pi$  is non-empty. Since  $U' \in \mathbf{RC}(U)$ , its closure  $cl(U') \subseteq U$  with respect to U is compact. For each point  $p \in cl(U')$ , global hyperbolicity and hence strong causality of M entails the existence of a relatively compact causally convex open neighborhood  $V_p \subseteq U$ of p. Since  $\{V_p\}_{p \in cl(U')}$  is an open cover of the compact subset  $cl(U') \subseteq U$ , it admits a finite subcover  $\{V_{p_1}, \ldots, V_{p_n}\}$ . Define  $V := J_U^+ (\bigcup_{i=1}^n V_{p_i}) \cap J_U^- (\bigcup_{i=1}^n V_{p_i}) \subseteq U$  as the causally convex hull of the finite subcover. Using Lemma B.4, we obtain that  $V \subseteq U \subseteq M$  is a relatively compact causally convex open subset, hence V is an object of  $\mathbf{RC}(M)/U$ . Furthermore,  $cl(U') \subseteq V$  by construction, hence  $(V, U') \in U'/\pi$ .

Let us now show that  $U'/\pi$  is connected. Take any two objects  $(V_1, W_1)$  and  $(V_2, W_2)$  of  $U'/\pi$ . We exhibit an object (V, W) and morphisms  $(V_1, W_1) \leftarrow (V, W) \rightarrow (V_2, W_2)$  in  $U'/\pi$ . Define the relatively compact causally convex opens  $V := V_1 \cap V_2 \subseteq M$  and  $W := W_1 \cap W_2 \subseteq V$ . (To confirm that W is relatively compact in V, recall that the closures of  $W_i$  with respect to  $V_i$  are compact, hence the closure of W with respect to V is compact too.) Then  $U' \subseteq W_i$  entails that  $U' \subseteq W$ , hence  $(V, W) \in U'/\pi$ . The morphisms  $(V, W) \rightarrow (V_i, W_i)$  in  $U'/\pi$  exist because  $V \subseteq V_i$  and  $W \subseteq W_i$ .

We conclude this subsection with a comment on the relationship between additive locally covariant AQFTs and the relatively compact Haag-Kastler 2-functor. The usual definition of the full subcategory  $\mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add}} \subseteq \mathbf{AQFT}(\overline{\mathbf{Loc}})$  of additive locally covariant AQFTs, see e.g. [BPS19, Definition 2.16], can be equivalently rephrased through the equivalence from Theorem 3.8 as follows: An object  $\mathfrak{A} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})$  is additive if and only if its underlying Haag-Kastlerstyle AQFTs  $k_M^*(\mathfrak{A}) \in \mathsf{HK}(M)$  are locally covariantly additive in the sense of Definition 3.16, for all  $M \in \mathbf{Loc}$ . This implies that Theorem 3.8 restricts to an equivalence

$$\mathsf{H}\mathsf{K}^{\mathrm{add}}(\mathrm{pt}) \simeq \mathbf{A}\mathbf{Q}\mathbf{F}\mathbf{T}(\overline{\mathbf{Loc}})^{\mathrm{add}}$$
(3.46)

between the category of points of the locally covariantly additive Haag-Kastler 2-subfunctor  $\mathsf{HK}^{\mathrm{add}} \subseteq \mathsf{HK}$  and the category  $\mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add}}$  of additive locally covariant AQFTs. Together with Theorem 3.19, this yields the following result.

**Corollary 3.20.** The category  $\mathbf{AQFT}(\mathbf{Loc})^{\mathrm{add}}$  of additive locally covariant AQFTs is presented via the fully faithful functor

$$\mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add}} \simeq \mathsf{HK}^{\mathrm{add}}(\mathrm{pt}) \longrightarrow \mathsf{HK}^{\mathrm{rc}}(\mathrm{pt})$$
(3.47)

as a full subcategory of the category of points  $HK^{rc}(pt)$  of the relatively compact Haag-Kastler 2-functor, where the last functor is obtained by inducing (3.42) to the categories of points.

#### 3.2.3 Time-slice axiom

This subsection contains a brief study of the time-slice axiom in the context of the relatively compact Haag-Kastler 2-functor. The following definition is analogous to Definition 3.9.

**Definition 3.21.** The *time-sliced relatively compact Haag-Kastler 2-functor* is defined as the 2-subfunctor  $\mathsf{HK}^{\mathrm{rc},W} \subseteq \mathsf{HK}^{\mathrm{rc}}$  of the relatively compact Haag-Kastler 2-functor from Definition

**3.13** which assigns to every  $M \in \mathbf{Loc}$  the full subcategory  $\mathsf{HK}^{\mathrm{rc},W}(M) \subseteq \mathsf{HK}^{\mathrm{rc}}(M)$  consisting of all relatively compact Haag-Kastler-style AQFTs on M which satisfy the time-slice axiom.

**Remark 3.22.** Similarly to Remark 3.10, we have the following equivalent model for the timesliced relatively compact Haag-Kastler 2-functor. The assignment of the localized orthogonal categories  $M \mapsto \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  from Example 2.10 is 2-functorial

$$\overline{\mathbf{RC}(-)}[W_{\mathrm{rc},(-)}^{-1}]: \mathbf{Loc} \longrightarrow \mathbf{Cat}^{\perp}$$
(3.48a)

with action on **Loc**-morphisms  $f: M \to N$  given by

$$f_W := \overline{\mathbf{RC}(f)}[W_{\mathrm{rc},f}^{-1}] : \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}] \longrightarrow \overline{\mathbf{RC}(N)}[W_{\mathrm{rc},N}^{-1}] , \qquad (3.48b)$$
$$U \subseteq M \longmapsto f(U) \subseteq N ,$$
$$(U \to V) \longmapsto (f(U) \to f(V)) ,$$

see also Appendix B. Replacing in Definition 3.13 the 2-functor  $\overline{\mathbf{RC}(-)}$  by  $\overline{\mathbf{RC}(-)}[W_{\mathrm{rc},(-)}^{-1}]$ , one obtains an equivalent model for the time-sliced relatively compact Haag-Kastler 2-functor which, with abuse of notation, we denote by the same symbol  $\mathsf{HK}^{\mathrm{rc},W}$ :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{CAT}$ . Explicitly, this 2-functor assigns to an object  $M \in \mathbf{Loc}$  the category

$$\mathsf{H}\mathsf{K}^{\mathrm{rc},W}(M) = \mathbf{AQFT}(\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]) \in \mathbf{CAT}$$
(3.49a)

of AQFTs on the orthogonal localization  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  and to a Loc-morphism  $f: M \to N$  the pullback functor

$$\begin{aligned} \mathsf{H}\mathsf{K}^{\mathrm{rc},W}(N) & \xrightarrow{\mathsf{H}\mathsf{K}^{\mathrm{rc},W}(f) := f_W^*} & \mathsf{H}\mathsf{K}^{\mathrm{rc},W}(M) \\ & \parallel & \parallel \\ \mathbf{AQFT}\big(\overline{\mathbf{RC}(N)}[W_{\mathrm{rc},N}^{-1}]\big) & \xrightarrow{f_W^*} & \mathbf{AQFT}\big(\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]\big) \end{aligned}$$
(3.49b)

associated to the orthogonal functor (3.48b). The equivalence between this model and the one in Definition 3.21 is implemented as in Proposition 2.8 by pullbacks along the orthogonal localization functors  $L_{\mathrm{rc},M}: \overline{\mathbf{RC}(M)} \to \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$ , for all  $M \in \mathbf{Loc}$ .

The result of Proposition 3.14 remains valid in the present case.

**Proposition 3.23.** Suppose that the category of algebras  $\operatorname{Alg}_{uAs}(\mathbf{T})$  has two objects  $A, B \in \operatorname{Alg}_{uAs}(\mathbf{T})$  for which the Hom-set  $\operatorname{Hom}(A, B)$  is not a singleton. Then the time-sliced relatively compact Haag-Kastler 2-functor  $\operatorname{HK}^{\operatorname{rc},W}$  from Definition 3.21 is not a stack with respect to either Grothendieck topology from Definition 2.14 on Loc. It is not even a prestack.

*Proof.* Note that the two objects  $\mathfrak{A}$  and  $\mathfrak{B}$  constructed in the proof of Proposition 3.14 satisfy the time-slice axiom, hence that proof applies to the present case without any alterations.  $\Box$ 

We conclude by adapting the results of Theorem 3.19 and Corollary 3.20 to the case where the time-slice axiom is implemented. For this we observe that the 2-natural transformation (3.42) restricts to a 2-natural transformation

$$i^* : \mathsf{HK}^{\mathrm{add},W} \Longrightarrow \mathsf{HK}^{\mathrm{rc},W}$$
 (3.50)

between the 2-subfunctor  $\mathsf{HK}^{\mathrm{add},W} \subseteq \mathsf{HK}^{\mathrm{add}} \subseteq \mathsf{HK}$ , which implements both the locally covariant additivity property and the time-slice axiom, and the time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  from Definition 3.21. This is a consequence of the fact that the orthogonal functor  $i_M : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{COpen}(M)}$  sends Cauchy morphisms in  $\overline{\mathbf{RC}(M)}$  to Cauchy morphisms in  $\overline{\mathbf{COpen}(M)}$ , for all  $M \in \mathbf{Loc}$ . **Theorem 3.24.** For every object  $M \in \mathbf{Loc}$ , the component  $i_M^* : \mathsf{HK}^{\mathrm{add},W}(M) \to \mathsf{HK}^{\mathrm{rc},W}(M)$ of the 2-natural transformation (3.50) is a fully faithful functor. Hence, by taking essential images, one can present the time-sliced and locally covariantly additive Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{add},W} \subseteq \mathsf{HK}$  as a 2-subfunctor of the time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$ .

*Proof.* Recall from Theorem 3.19 that  $i_M^* : \mathsf{HK}^{\mathrm{add}}(M) \to \mathsf{HK}^{\mathrm{rc}}(M)$  is a fully faithful functor, hence its restriction to the full subcategories  $\mathsf{HK}^{\mathrm{add},W}(M) \subseteq \mathsf{HK}^{\mathrm{add}}(M)$  and  $\mathsf{HK}^{\mathrm{rc},W}(M) \subseteq \mathsf{HK}^{\mathrm{rc}}(M)$  is fully faithful too.

Recalling also Corollary 3.12, we then obtain the following result.

**Corollary 3.25.** The category  $\mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add},W}$  of additive locally covariant AQFTs satisfying the time-slice axiom is presented via the fully faithful functor

$$\mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add},W} \simeq \mathsf{HK}^{\mathrm{add},W}(\mathrm{pt}) \longrightarrow \mathsf{HK}^{\mathrm{rc},W}(\mathrm{pt})$$
(3.51)

as a full subcategory of the category of points  $\mathsf{HK}^{\mathrm{rc},W}(\mathrm{pt})$  of the time-sliced relatively compact Haag-Kastler 2-functor, where the last functor is obtained by inducing (3.50) to the categories of points.

### 4 Haag-Kastler stacks

All variants  $\mathsf{HK}$ ,  $\mathsf{HK}^W$ ,  $\mathsf{HK}^{\mathrm{rc}}$  and  $\mathsf{HK}^{\mathrm{rc},W}$  of the Haag-Kastler 2-functor we have encountered in the previous section (see Definitions 3.1, 3.9, 3.13 and 3.21) have failed to satisfy the local-to-global (descent) properties which are described by the concept of a stack, see Definition 2.14. Even worse, we have shown in Propositions 3.3, 3.11, 3.14 and 3.23 that each of these 2-functors is not even a prestack. In this section we shall address and partially solve this issue by constructing from our original 2-functors new ones which, under certain hypotheses that hold true for the relatively compact examples  $\mathsf{HK}^{\mathrm{rc}}$  and  $\mathsf{HK}^{\mathrm{rc},W}$ , enjoy better descent properties. Our construction is guided by leveraging very specific properties of AQFTs and the Haag-Kastler 2-functors which arise by combining the techniques from Subsection 2.1 with the theory of locally presentable categories from Subsection 2.3. It is unclear to us whether our AQFT-inspired construction differs from the general stackification construction, which as far as we currently understand does not admit a quantum field theoretic interpretation.

### 4.1 Preparations

In order to streamline our arguments and to avoid unnecessary repetitions, let us introduce the following abstract notion of a Haag-Kastler 2-functor.

**Definition 4.1.** Let  $\overline{\mathbf{C}(-)}$ : Loc  $\rightarrow$  Cat<sup> $\perp$ </sup> be a strict 2-functor to the 2-category of orthogonal categories. The associated *Haag-Kastler-style 2-functor* 

$$\mathsf{HK}_{\overline{\mathbf{C}}} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{CAT}$$
 (4.1a)

is defined by assigning to an object  $M \in \mathbf{Loc}$  the category

$$\mathsf{HK}_{\overline{\mathbf{C}}}(M) := \mathbf{AQFT}(\overline{\mathbf{C}(M)}) \in \mathbf{CAT}$$
(4.1b)

of AQFTs over  $\overline{\mathbf{C}(M)}$ , and to a **Loc**-morphism  $f: M \to N$  the pullback functor

$$\begin{array}{ccc} \mathsf{HK}_{\overline{\mathbf{C}}}(N) & \xrightarrow{\mathsf{HK}_{\overline{\mathbf{C}}}(f) := f^{*}} & \mathsf{HK}_{\overline{\mathbf{C}}}(M) \\ & \parallel & & \parallel \\ \mathbf{AQFT}(\overline{\mathbf{C}(N)}) & \xrightarrow{f^{*}} & \mathbf{AQFT}(\overline{\mathbf{C}(M)}) \end{array} \tag{4.1c}$$

from (2.3) along the orthogonal functor  $f := \overline{\mathbf{C}(f)} : \overline{\mathbf{C}(M)} \to \overline{\mathbf{C}(N)}$ .

**Example 4.2.** We observe that Definition 4.1 covers all the different variants of the Haag-Kastler 2-functor from Section 3.

- (1) The Haag-Kastler 2-functor  $\mathsf{HK}$  from Definition 3.1 is associated to the 2-functor  $\mathbf{COpen}(-)$  which assigns the orthogonal categories of causally convex opens.
- (2) The time-sliced Haag-Kastler 2-functor  $\mathsf{HK}^W$  from Definition 3.9 (see also Remark 3.10) is associated to the 2-functor  $\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}]$  which assigns the orthogonal categories of causally convex opens localized at all Cauchy morphisms.
- (3) The relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  from Definition 3.13 is associated to the 2-functor  $\overline{\mathbf{RC}(-)}$  which assigns the orthogonal categories of relatively compact causally convex opens.
- (4) The time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  from Definition 3.21 (see also Remark 3.22) is associated to the 2-functor  $\overline{\mathbf{RC}(-)}[W^{-1}_{\mathrm{rc},(-)}]$  which assigns the orthogonal categories of relatively compact causally convex opens localized at all Cauchy morphisms.  $\nabla$

We will now provide a useful description of the descent category  $\mathsf{HK}_{\overline{\mathbb{C}}}(\mathcal{U})$  of the general Haag-Kastler-style 2-functor from Definition 4.1 for a causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of an object  $M \in \mathbf{Loc}$ . The key idea is to present this descent category in terms of an AQFT category over the following orthogonal category associated with the cover.

**Definition 4.3.** Let  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  be a strict 2-functor. For each causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of an object  $M \in \mathbf{Loc}$ , we define the category  $\mathbf{C}(\mathcal{U})$  by the following generators and relations description:

- An object in  $\mathbf{C}(\mathcal{U})$  is a pair (i, U) consisting of an index *i* of the cover and an object  $U \in \mathbf{C}(U_i)$ .
- The morphisms in  $\mathbf{C}(\mathcal{U})$  are generated by the following two types of generators:
  - (i) For every i and every morphism  $g: U \to U'$  in  $\mathbf{C}(U_i)$ , there exists a morphism

$$(i,g): (i,U) \longrightarrow (i,U')$$
 . (4.2a)

(ii) For every i, j such that  $U_{ij} \neq \emptyset$  and every  $V \in \mathbf{C}(U_{ij})$ , there exists a morphism

$$\varphi_{ij,V} : \left(j, \iota_{U_{ij}}^{U_j}(V)\right) \longrightarrow \left(i, \iota_{U_{ij}}^{U_i}(V)\right) , \qquad (4.2b)$$

where here and below we use the short-hand notations  $\iota_{U_{ij}}^{U_j}(V) = \overline{\mathbf{C}(\iota_{U_{ij}}^{U_j})}(V)$  and  $\iota_{U_{ij}}^{U_i}(V) = \overline{\mathbf{C}(\iota_{U_{ij}}^{U_i})}(V)$ .

These generators are required to satisfy the following relations:

(r1) For all i and all composable morphisms  $g: U \to U'$  and  $g': U' \to U''$  in  $\mathbf{C}(U_i)$ ,

$$(i,g') \circ (i,g) = (i,g'g)$$
 . (4.3a)

Furthermore, for all i and all  $U \in \mathbf{C}(U_i)$ ,

$$(i, \mathrm{id}_U) = \mathrm{id}_{(i,U)} \quad . \tag{4.3b}$$

(r2) For all i, j with  $U_{ij} \neq \emptyset$  and all morphisms  $h: V \to V'$  in  $\mathbf{C}(U_{ij})$ , the diagram

commutes.

(r3) For all i and all  $U \in \mathbf{C}(U_{ii}) = \mathbf{C}(U_i)$ ,

$$\varphi_{ii,U} = \mathrm{id}_{(i,U)} \quad . \tag{4.5a}$$

Furthermore, for all i, j, k with  $U_{ijk} \neq \emptyset$  and all  $W \in \mathbf{C}(U_{ijk})$ , the diagram

$$\begin{pmatrix} k, \iota_{U_{ijk}}^{U_k}(W) \end{pmatrix} \xrightarrow{\varphi_{jk, \iota_{U_{ijk}}^{U_{jk}}(W)}} \begin{pmatrix} j, \iota_{U_{ijk}}^{U_j}(W) \end{pmatrix} \\ & \downarrow^{\varphi_{il, \iota_{U_{ijk}}^{U_{ik}}(W)}} & \downarrow^{\varphi_{ij, \iota_{U_{ijk}}^{U_{ij}}(W)}} \\ & \begin{pmatrix} i, \iota_{U_{ijk}}^{U_i}(W) \end{pmatrix} \end{pmatrix}$$
(4.5b)

commutes.

We endow this category with the structure of an orthogonal category  $\overline{\mathbf{C}(\mathcal{U})}$  by taking the smallest orthogonality relation such that

$$((i,g_1):(i,U_1)\to(i,U)) \perp ((i,g_2):(i,U_2)\to(i,U))$$
(4.6)

is orthogonal, for all i and all orthogonal pairs  $(g_1: U_1 \to U) \perp (g_2: U_2 \to U)$  in  $\overline{\mathbf{C}(U_i)}$ .

For every  $M \in \mathbf{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , we define an orthogonal functor

$$j_{\mathcal{U}} : \overline{\mathbf{C}(\mathcal{U})} \longrightarrow \overline{\mathbf{C}(M)}$$
 (4.7a)

from the orthogonal category in Definition 4.3 to the orthogonal category  $\overline{\mathbf{C}(M)}$ . This orthogonal functor maps an object (i, U) to

$$j_{\mathcal{U}}(i,U) := \iota_{U_i}^M(U) := \overline{\mathbf{C}(\iota_{U_i}^M)}(U) \quad , \tag{4.7b}$$

a type (i) generating morphism  $(i,g):(i,U)\to (i,U')$  to

$$j_{\mathcal{U}}(i,g) := \iota_{U_i}^M(g) := \overline{\mathbf{C}(\iota_{U_i}^M)}(g) : \iota_{U_i}^M(U) \longrightarrow \iota_{U_i}^M(U') \quad , \tag{4.7c}$$

and a type (ii) generating morphism  $\varphi_{ij,V}: (j, \iota_{U_{ij}}^{U_j}(V)) \to (i, \iota_{U_{ij}}^{U_i}(V))$  to the identity morphism

$$j_{\mathcal{U}}(\varphi_{ij,V}) := \operatorname{id}_{\iota^{M}_{U_{ij}}(V)} : \iota^{M}_{U_{ij}}(V) \longrightarrow \iota^{M}_{U_{ij}}(V) \quad .$$

$$(4.7d)$$

One directly checks that this assignment is compatible with the relations from Definition 4.3 and also that it preserves the orthogonality relations.

**Proposition 4.4.** For every  $M \in \text{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , there exists a canonical identification

$$\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \cong \mathbf{AQFT}(\overline{\mathbf{C}(\mathcal{U})}) \tag{4.8}$$

between the descent category of the Haag-Kastler-style 2-functor  $HK_{\overline{C}}$  and the category of AQFTs over the orthogonal category from Definition 4.3. Upon this identification, the canonical functor to the descent category coincides with the pullback functor

$$\begin{array}{cccc} \mathsf{HK}_{\overline{\mathbf{C}}}(M) & \longrightarrow & \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \\ & & & & \downarrow^{\cong} \\ \mathbf{AQFT}(\overline{\mathbf{C}(M)}) & \xrightarrow{j_{\mathcal{U}}^{*}} & \mathbf{AQFT}(\overline{\mathbf{C}(\mathcal{U})}) \end{array} \tag{4.9}$$

along the orthogonal functor (4.7). Operadic left Kan extension as in Proposition 2.5 then defines an adjunction

$$j_{\mathcal{U}!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \xrightarrow{} \mathsf{HK}_{\overline{\mathbf{C}}}(M) : j_{\mathcal{U}}^*$$

$$(4.10)$$

between the descent category  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  and  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ .

Proof. The first statement follows directly by spelling out and comparing the data and properties of a  $\perp$ -commutative functor  $\mathfrak{A} : \mathbf{C}(\mathcal{U}) \to \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{T})$  from the orthogonal category in Definition 4.3 with the model for the descent category in Remark 2.16. The canonical identification is then given by  $\mathfrak{A}(i, U) = \mathfrak{A}_i(U)$ , for all *i* and all objects  $U \in \mathbf{C}(U_i)$ . The type (i) morphisms (i, g)describe the structure of the local AQFTs  $\mathfrak{A}_i$  on  $U_i$  in the descent category, and the type (ii) morphisms  $\varphi_{ij,V}$  describe the cocycle data in the descent category. Note that, choosing triple overlaps of the form  $U_{iji}$  and  $U_{jij}$ , the relations (r3) in Definition 4.3 imply that every type (ii) morphism is invertible, as required for the cocyles. Through this identification, one directly verifies that the canonical functor to the descent category coincides with  $j_{\mathcal{U}}^*$ .

Assumption 4.5. Throughout the remainder of this section, we shall assume that the target symmetric monoidal category T in which the AQFTs take values is *locally presentable*, see also Section 2.3. Let us recall from Example 2.17 that the standard choice, given by the category of vector spaces  $\mathbf{Vec}_{\mathbb{K}}$  over a field  $\mathbb{K}$ , is of this kind.

As a consequence of Example 2.17 and Proposition 2.5, it follows from Assumption 4.5 that the general Haag-Kastler-style 2-functor from Definition 4.1 takes values in the 2-subcategory  $\mathbf{Pr}^R \subseteq \mathbf{CAT}$  from Definition 2.18, i.e.

$$\mathsf{HK}_{\overline{\mathbf{C}}} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{Pr}^{R}$$
 . (4.11)

This provides by Corollary 2.25 a new angle through which one can study the descent properties of  $HK_{\overline{C}}$ , which is given by studying the codescent properties of the adjoint pseudo-functor

$$\mathsf{HK}^{\dagger}_{\overline{\mathbf{C}}} : \mathbf{Loc} \longrightarrow \mathbf{Pr}^{L}$$
 . (4.12)

Let us recall that the adjoint pseudo-functor assigns to each object  $M \in \mathbf{Loc}$  the same category  $\mathsf{HK}^{\dagger}_{\overline{\mathbf{C}}}(M) := \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  as assigned by  $\mathsf{HK}_{\overline{\mathbf{C}}}$ , and to each  $\mathbf{Loc}$ -morphism f the left adjoint  $\mathsf{HK}^{\dagger}_{\overline{\mathbf{C}}}(f) := f_! \dashv f^* = \mathsf{HK}_{\overline{\mathbf{C}}}(f)$  of the pullback functor assigned by  $\mathsf{HK}_{\overline{\mathbf{C}}}$ . The adjunction (4.10) established in Proposition 4.4 gives an explicit and powerful model for both the canonical functor  $j_{\mathcal{U}}^* : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  to the descent category of  $\mathsf{HK}_{\overline{\mathbf{C}}}$  and the canonical functor  $j_{\mathcal{U}} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  from the codescent category of  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}$  in terms of pullback and operadic left Kan extension along the orthogonal functor  $j_{\mathcal{U}}$  from (4.7). This explicit description will be a key ingredient for proving our results in the subsections below.

### 4.2 Adjoint precostacks

In this subsection we will prove that, for all our variants of the Haag-Kastler 2-functor from Example 4.2, the adjoint pseudo-functor (4.12) is a precostack with respect to a suitable Grothendieck topology on **Loc**. In the case where no time-slice axiom is implemented, the topology is given by all causally convex open covers  $\mathcal{U} = \{U_i \subseteq M\}$ . In the case where a time-slice axiom is implemented, the topology must be chosen coarser and it is given by all *D*-stable causally convex open covers  $\mathcal{U} = \{U_i \subseteq M\}$ . See also Definition 2.12.

#### 4.2.1 The case of no time-slice

Of the Haag-Kastler 2-functors in Example 4.2, the non-time-sliced examples (1) and (3) are associated with 2-functors  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  that have additional properties which considerably simplify the study of codescent of the adjoint pseudo-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}$ . The following definition captures these properties abstractly.

**Definition 4.6.** A 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is called a *net domain* if it satisfies the following properties:

- (1) For all  $M \in \text{Loc}$ , the underlying category of  $\overline{\mathbf{C}(M)}$  is thin, i.e. there exists at most one morphism between every two objects.
- (2) For all  $M \in \mathbf{Loc}$  and all causally convex open subsets  $V \subseteq M$ , the orthogonal functor  $\iota_V^M = \overline{\mathbf{C}(\iota_V^M)} : \overline{\mathbf{C}(V)} \to \overline{\mathbf{C}(M)}$  is a full orthogonal subcategory inclusion  $\overline{\mathbf{C}(V)} \subseteq \overline{\mathbf{C}(M)}$ .
- (3) For all  $M \in \mathbf{Loc}$  and all causally convex open subsets  $V_1, V_2 \subseteq M$ , if there exists a morphism  $U_1 \to U_2$  in  $\overline{\mathbf{C}(M)}$  from  $U_1 \in \overline{\mathbf{C}(V_1)} \subseteq \overline{\mathbf{C}(M)}$  to  $U_2 \in \overline{\mathbf{C}(V_2)} \subseteq \overline{\mathbf{C}(M)}$ , then  $U_1 \in \overline{\mathbf{C}(V_1 \cap V_2)} \subseteq \overline{\mathbf{C}(M)}$ .

**Example 4.7.** The 2-functors  $\overline{\mathbf{COpen}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  from (3.1) and  $\overline{\mathbf{RC}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  from (3.28) clearly satisfy the properties of Definition 4.6. Hence, the Haag-Kastler 2-functor HK and the relatively compact Haag-Kastler 2-functor HK<sup>rc</sup> are defined over net domains. The orthogonal localizations  $\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}]$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  from (3.23) and  $\overline{\mathbf{RC}(-)}[W_{\mathrm{rc},(-)}^{-1}]$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  from (3.248) satisfy only a weaker variant of these properties, see Definition 4.12 and Example 4.13 below for more details.

In the case of net domains, one can drastically simplify the description of the orthogonal category  $\overline{\mathbf{C}(\mathcal{U})}$  from Definition 4.3.

**Lemma 4.8.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a net domain. Let  $\mathcal{U} = \{U_i \subseteq M\}$  be any causally convex open cover of any object  $M \in \mathbf{Loc}$ . Then the orthogonal category  $\overline{\mathbf{C}(\mathcal{U})}$  from Definition 4.3 admits the following explicit description:

- There exists a unique morphism  $(i, U) \to (j, V)$  if and only if there exists a morphism  $U \to V$  in  $\overline{\mathbf{C}(M)}$  from  $U \in \overline{\mathbf{C}(U_i)} \subseteq \overline{\mathbf{C}(M)}$  to  $V \in \overline{\mathbf{C}(U_j)} \subseteq \overline{\mathbf{C}(M)}$ .
- A pair of morphisms  $(i_1, U_1) \to (j, V) \leftarrow (i_2, U_2)$  in  $\overline{\mathbf{C}(\mathcal{U})}$  is orthogonal if and only if the pair of morphisms  $U_1 \to V \leftarrow U_2$  in  $\overline{\mathbf{C}(\mathcal{M})}$  is orthogonal.

*Proof.* We will use the properties from Definition 4.6 in order to simplify the generators and relations presentation of the category  $\overline{\mathbf{C}(\mathcal{U})}$  from Definition 4.3. As a consequence of thinness (item (1)), we can drop the labels for the type (i) generators because there exists at most one such generator for fixed source and target. Using also the full subcategory assumption (item (2)), we can regard all objects and morphisms associated with the cover as living in  $\overline{\mathbf{C}(M)}$ . Given any type (ii) generator  $\psi_{ji,V}: (i, V) \to (j, V)$  with  $V \in \overline{\mathbf{C}(U_{ij})} \subseteq \overline{\mathbf{C}(M)}$  and any type (i) generator

 $(i, U) \to (i, V)$  with  $U \in \overline{\mathbf{C}(U_i)} \subseteq \overline{\mathbf{C}(M)}$ , then item (3) implies that  $U \in \overline{\mathbf{C}(U_{ij})} \subseteq \overline{\mathbf{C}(M)}$ . Hence, we get from the relations (r2) a commutative diagram

$$\begin{array}{cccc} (i,U) & \xrightarrow{\psi_{ji,U}} & (j,U) \\ \downarrow & & \downarrow \\ (i,V) & \xrightarrow{\psi_{ji,V}} & (j,V) \end{array}$$

$$(4.13)$$

exchanging the order of composition of type (i) and type (ii) generators. This implies that any morphism in  $\overline{\mathbf{C}(\mathcal{U})}$  can be written in the form (type (i))  $\circ$  (type (ii)) where all type (ii) generators are to the right of the type (i) generators. Using thinness (item (1)) and the relations (r1) and (r3), it then follows that there exists at most one morphism  $(i, U) \to (j, V)$  in  $\overline{\mathbf{C}(\mathcal{U})}$ , with  $U \in \overline{\mathbf{C}(U_i)} \subseteq \overline{\mathbf{C}(M)}$  and  $V \in \overline{\mathbf{C}(U_j)} \subseteq \overline{\mathbf{C}(M)}$ , which must be of the form

$$(i,U) \xrightarrow{\psi_{ji,U}} (j,U) \longrightarrow (j,V)$$
 (4.14)

given by a type (ii) generator composed with a type (i) generator. The type (i) morphism in this composition exists if and only if there exists a morphism  $U \to V$  in  $\overline{\mathbf{C}(M)}$ , which implies using item (3) that  $U \in \overline{\mathbf{C}(U_{ij})} \subseteq \overline{\mathbf{C}(M)}$  and hence also the type (ii) morphism exists.

Let us now focus on the characterization of the orthogonality relation. Given two morphisms  $(i_1, U_1) \rightarrow (j, V)$  and  $(i_2, U_2) \rightarrow (j, V)$  in  $\overline{\mathbf{C}(\mathcal{U})}$ , the above description of morphisms yields factorizations  $(i_1, U_1) \cong (j, U_1) \rightarrow (j, V)$  and  $(i_2, U_2) \cong (j, U_2) \rightarrow (j, V)$  in  $\overline{\mathbf{C}(\mathcal{U})}$ . (The first steps are isomorphisms by the relations (r3) from Definition 4.3.) Therefore, by composition stability  $(i_1, U_1) \rightarrow (j, V) \leftarrow (i_2, U_2)$  in  $\overline{\mathbf{C}(\mathcal{U})}$  is orthogonal if and only if  $(j, U_1) \rightarrow (j, V) \leftarrow (j, U_2)$  in  $\overline{\mathbf{C}(\mathcal{U})}$  is orthogonal. According to Definition 4.3 and item (2) from Definition 4.6, the latter is orthogonal if and only if  $U_1 \rightarrow V \leftarrow U_2$  in  $\overline{\mathbf{C}(\mathcal{M})}$  is orthogonal.  $\Box$ 

**Theorem 4.9.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a net domain in the sense of Definition 4.6. Let  $\mathcal{U} = \{U_i \subseteq M\}$  be any causally convex open cover of any object  $M \in \mathbf{Loc}$ . Then the canonical functor

$$j_{\mathcal{U}!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \longrightarrow \mathsf{HK}_{\overline{\mathbf{C}}}(M)$$
 (4.15)

from the codescent category of  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}$  in this cover to its value on M is fully faithful. Hence, the adjoint pseudo-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger} : \mathbf{Loc} \to \mathbf{Pr}^{L}$  is a precostack with respect to the Grothendieck topology given by all causally convex open covers.

*Proof.* Using Lemma 4.8, one verifies that the orthogonal functor  $j_{\mathcal{U}} : \overline{\mathbf{C}(\mathcal{U})} \to \overline{\mathbf{C}(M)}$  from (4.7) is fully faithful and reflects orthogonality. Proposition 2.6 then implies that the associated operadic left Kan extension  $j_{\mathcal{U}!}$  is a fully faithful functor.

**Remark 4.10.** The result of Theorem 4.9 implies that, in the case where  $\overline{\mathbf{C}(-)}$  is a net domain, the canonical functor

$$j_{\mathcal{U}}^* : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \longrightarrow \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$$
 (4.16)

to the descent category of the original 2-functor  $\mathsf{HK}_{\overline{\mathbf{C}}} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^R$  is essentially surjective, for every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ . Indeed, since  $j_{\mathcal{U}_1}$  is fully faithful, the unit of the adjunction  $j_{\mathcal{U}_1} \dashv j_{\mathcal{U}}^*$  is a natural isomorphism, so we obtain an isomorphism

$$(\eta_{\mathcal{U}})_{\mathfrak{B}}: \mathfrak{B} \stackrel{\cong}{\Longrightarrow} j_{\mathcal{U}}^* j_{\mathcal{U}!}(\mathfrak{B}) \quad ,$$
 (4.17)

for every object  $\mathfrak{B} \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$ . Hence, every object of  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  lies in the essential image of the functor (4.16).  $\triangle$ 

As a direct consequence of Examples 4.2 and 4.7, we obtain the following result.

**Corollary 4.11.** The adjoint pseudo-functors  $\mathsf{HK}^{\dagger}$  and  $\mathsf{HK}^{\mathrm{rc}\dagger}$  of the Haag-Kastler 2-functor and the relatively compact Haag-Kastler 2-functor are both precostacks with respect to the Grothendieck topology given by all causally convex open covers.

#### 4.2.2 The case of time-slice

For our examples (2) and (4) of time-sliced Haag-Kastler 2-functors from Example 4.2, the 2-functor  $\overline{\mathbf{C}(-)}$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  satisfies only a weaker variant of the properties in Definition 4.6.

**Definition 4.12.** A 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is called a *localized net domain* if it satisfies the following properties:

- (1) For all  $M \in \mathbf{Loc}$ , the underlying category of  $\overline{\mathbf{C}(M)}$  is thin, i.e. there exists at most one morphism between every two objects.
- (2) For all  $M \in \mathbf{Loc}$  and all *D*-stable causally convex open subsets  $V \subseteq M$ , i.e.  $D_M(V) = V$ is stable under Cauchy development in M, the orthogonal functor  $\iota_V^M = \overline{\mathbf{C}(\iota_V^M)} : \overline{\mathbf{C}(V)} \to \overline{\mathbf{C}(M)}$  is a full orthogonal subcategory inclusion  $\overline{\mathbf{C}(V)} \subseteq \overline{\mathbf{C}(M)}$ .
- (3) For all  $M \in \mathbf{Loc}$  and all <u>D</u>-stable causally convex open subsets  $V_1, V_2 \subseteq M$ , if there exists a morphism  $U_1 \to U_2$  in  $\overline{\mathbf{C}(M)}$  from  $U_1 \in \overline{\mathbf{C}(V_1)} \subseteq \overline{\mathbf{C}(M)}$  to  $U_2 \in \overline{\mathbf{C}(V_2)} \subseteq \overline{\mathbf{C}(M)}$ , then  $U_1 \in \overline{\mathbf{C}(V_1 \cap V_2)} \subseteq \overline{\mathbf{C}(M)}$ .

**Example 4.13.** Using the explicit localization models from Example 2.10, we will now verify that the 2-functors  $\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}]$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  from (3.23) and  $\overline{\mathbf{RC}(-)}[W_{\mathrm{rc},(-)}^{-1}]$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  from (3.48) satisfy the properties of Definition 4.12. Hence, the time-sliced Haag-Kastler 2-functor  $\mathsf{HK}^W$  and the time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  are defined over localized net domains.

Let us start with the case of  $\overline{\mathbf{COpen}(-)}[W_{(-)}^{-1}]$ . We observe that the category underlying  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  is manifestly thin, for all  $M \in \mathbf{Loc}$ . Furthermore, given any D-stable causally convex open subset  $V \subseteq M$ , one has that the orthogonal functor  $\iota_V^M : \overline{\mathbf{COpen}(V)}[W_V^{-1}] \to \overline{\mathbf{COpen}(M)}[W_M^{-1}]$  is faithful and reflects orthogonality. To prove fullness, we observe that given any causally convex open subsets  $U, U' \subseteq V$  such that  $U \subseteq D_M(U')$ , the D-stability  $D_M(V) = V$  of V entails that  $D_V(U') = D_M(U')$ , hence  $U \subseteq D_V(U')$ . Finally, given any D-stable causally convex open subsets  $V_1, V_2 \subseteq M$  and any causally convex open subsets  $U_1 \subseteq V_1$  and  $U_2 \subseteq V_2$  such that  $U_1 \subseteq D_M(U_2)$ , it follows that  $U_1 \subseteq V_1 \cap D_M(U_2) = V_1 \cap D_{V_2}(U_2) \subseteq V_1 \cap V_2$ .

The case of  $\overline{\mathbf{RC}(-)}[W_{\mathrm{rc},(-)}^{-1}]$  follows by specializing the above arguments to causally convex open subsets  $U, U' \subseteq V, U_1 \subseteq V_2$  and  $U_2 \subseteq V_2$  which are also relatively compact.  $\nabla$ 

The following statement is the analogue of Lemma 4.8 in the present case.

**Lemma 4.14.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a localized net domain. Let  $\mathcal{U} = \{U_i \subseteq M\}$  be any *D*-stable causally convex open cover of any object  $M \in \mathbf{Loc}$ . Then the orthogonal category  $\overline{\mathbf{C}(\mathcal{U})}$  from Definition 4.3 admits the following explicit description:

- There exists a unique morphism  $(i, U) \to (j, V)$  if and only if there exists a morphism  $U \to V$  in  $\overline{\mathbf{C}(M)}$  from  $U \in \overline{\mathbf{C}(U_i)} \subseteq \overline{\mathbf{C}(M)}$  to  $V \in \overline{\mathbf{C}(U_j)} \subseteq \overline{\mathbf{C}(M)}$ .
- A pair of morphisms  $(i_1, U_1) \to (j, V) \leftarrow (i_2, U_2)$  in  $\overline{\mathbf{C}(\mathcal{U})}$  is orthogonal if and only if the pair of morphisms  $U_1 \to V \leftarrow U_2$  in  $\overline{\mathbf{C}(\mathcal{M})}$  is orthogonal.

*Proof.* Since the cover  $\mathcal{U} = \{U_i \subseteq M\}$  is by hypothesis *D*-stable, it follows that all intersections  $U_{ij} = U_i \cap U_j \subseteq M$  are *D*-stable too, i.e.  $D_M(U_{ij}) = U_{ij}$ . The proof is then identical to the one of Lemma 4.8.

**Theorem 4.15.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a localized net domain in the sense of Definition 4.12. Let  $\mathcal{U} = \{U_i \subseteq M\}$  be any D-stable causally convex open cover of any object  $M \in \mathbf{Loc}$ . Then the canonical functor

$$j_{\mathcal{U}!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \longrightarrow \mathsf{HK}_{\overline{\mathbf{C}}}(M)$$
 (4.18)

from the codescent category of  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}$  in this cover to its value on M is fully faithful. Hence, the adjoint pseudo-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger} : \mathbf{Loc} \to \mathbf{Pr}^{L}$  is a precostack with respect to the Grothendieck topology given by all D-stable causally convex open covers.

*Proof.* Using that the cover  $\mathcal{U}$  is *D*-stable and Lemma 4.14, one verifies that the orthogonal functor  $j_{\mathcal{U}}: \overline{\mathbf{C}(\mathcal{U})} \to \overline{\mathbf{C}(\mathcal{M})}$  from (4.7) is fully faithful and reflects orthogonality. Proposition 2.6 then implies that the associated operadic left Kan extension  $j_{\mathcal{U}}$  is a fully faithful functor.  $\Box$ 

As a direct consequence of Examples 4.2 and 4.13, we obtain the following result.

**Corollary 4.16.** The adjoint pseudo-functors  $\mathsf{HK}^{W\dagger}$  and  $\mathsf{HK}^{\mathrm{rc},W\dagger}$  of the time-sliced Haag-Kastler 2-functor and the time-sliced relatively compact Haag-Kastler 2-functor are both precostacks with respect to the Grothendieck topology given by all D-stable causally convex open covers.

### 4.3 Improving descent

Our results in Subsection 4.2 provide insights about why the Haag-Kastler-style 2-functors  $\mathsf{HK}_{\overline{\mathbf{C}}}$  fail to satisfy the descent conditions of a stack. From the dual perspective of the adjoint pseudofunctors  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}$ , we see that the canonical functors (4.15) and (4.18) from the (co)descent category  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  to  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$  are fully faithful but fail to be essentially surjective. (This failure follows by an argument as in Remark 4.10 from our results in Propositions 3.3, 3.11, 3.14 and 3.23.) Loosely speaking, this means that the category  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$  contains also 'bad objects' which do not interplay well with descent and it suggests that one should select a suitable class of 'good objects' in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . Our proposal for a selection criterion can be stated in simple terms as follows: We would like to intersect the essential images of the (co)descent categories  $\mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ over all covers  $\mathcal{U}$  and thereby define a full subcategory of 'good objects' in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . In this subsection we shall formalize and discuss this idea for both the case where  $\overline{\mathbf{C}(-)}$  is a net domain and the case where  $\overline{\mathbf{C}(-)}$  is a localized net domain. These two cases are very similar but, as already indicated in Theorems 4.9 and 4.15, they require slightly different choices of Grothendieck topologies on **Loc**. To avoid messy notations and case distinctions, we shall present each case in an individual subsection.

### 4.3.1 The case of no time-slice

Throughout this subsection, let us assume that  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a net domain as in Definition 4.6. We formalize our selection criterion for 'good objects' as follows.

**Definition 4.17.** Let  $\overline{\mathbf{C}(-)} : \mathbf{Loc} \to \mathbf{Cat}^{\perp}$  be a net domain. For every object  $M \in \mathbf{Loc}$ , we denote by

$$\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M) \tag{4.19a}$$

the full subcategory consisting of all objects  $\mathfrak{A} \in \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  which satisfy the following descent conditions: For every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the  $\mathfrak{A}$ -component of the counit

$$(\epsilon_{\mathcal{U}})_{\mathfrak{A}} : j_{\mathcal{U}} : j_{\mathcal{U}}^*(\mathfrak{A}) \stackrel{\cong}{\Longrightarrow} \mathfrak{A}$$

$$(4.19b)$$

of the adjunction  $j_{\mathcal{U}!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \rightleftharpoons \mathsf{HK}_{\overline{\mathbf{C}}}(M) : j_{\mathcal{U}}^*$  of (4.10) is an isomorphism in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ .

From this definition it is not immediately clear that the categories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M)$  are locally presentable and that the inclusion functors  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  are left adjoints. We will now show that  $\mathcal{HK}_{\overline{\mathbf{C}}}(M)$  arises as the bilimit of a suitable diagram in  $\mathbf{Pr}^L$  which will manifestly imply these properties. To set up this construction, we briefly recall the concept of refinements of covers.

**Definition 4.18.** Given any object  $M \in \mathbf{Loc}$ , we denote by  $\mathbf{cov}(M)$  the category whose objects are all causally convex open covers  $\mathcal{U} = \{U_i \subseteq M\}$  of M and whose morphisms  $\alpha : \mathcal{U} = \{U_i \subseteq M\} \rightarrow \mathcal{U}' = \{U'_{i'} \subseteq M\}$  are refinements, i.e.  $\alpha : \mathcal{I} \rightarrow \mathcal{I}'$ ,  $i \mapsto \alpha(i)$  is a map between the indexing sets such that  $U_i \subseteq U'_{\alpha(i)}$ , for all i. Note that the category  $\mathbf{cov}(M)$  has a terminal object, which is given by the coarsest cover  $\{M \subseteq M\}$ .

We observe that the assignment of the orthogonal categories from Definition 4.3 is 2-functorial

 $\overline{\mathbf{C}(-)} : \mathbf{cov}(M) \longrightarrow \mathbf{Cat}^{\perp} , \quad \mathcal{U} \longmapsto \overline{\mathbf{C}(\mathcal{U})} \quad .$  (4.20)

Using the simplification for a net domain from Lemma 4.8, the action of this 2-functor on refinements  $\alpha : \mathcal{U} \to \mathcal{U}'$  is given by the orthogonal functors (denoted with abuse of notation by the same symbol  $\alpha$ )

$$\alpha := \overline{\mathbf{C}(\alpha)} : \overline{\mathbf{C}(\mathcal{U})} \longrightarrow \overline{\mathbf{C}(\mathcal{U}')} , \qquad (4.21)$$
$$(i, U) \longmapsto (\alpha(i), U) , \\((i, U) \rightarrow (j, V)) \longmapsto ((\alpha(i), U) \rightarrow (\alpha(j), V)) .$$

For each refinement  $\alpha : \mathcal{U} \to \mathcal{U}'$ , the orthogonal functor (4.21) is fully faithful and reflects orthogonality. Applying Proposition 2.6, we then obtain an adjunction

$$\alpha_{!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \xrightarrow{\longrightarrow} \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}') : \alpha^{*}$$

$$(4.22)$$

whose left adjoint  $\alpha_!$  is fully faithful.

**Remark 4.19.** As a side remark which will become relevant in some of our proofs below, we observe that the descent conditions in Definition 4.17 are not independent because descent on finer covers implies descent on coarser ones. Let us provide the relevant argument. Given any refinement of covers  $\alpha : \mathcal{U} \to \mathcal{U}'$ , we obtain a commutative diagram of orthogonal functors

Suppose that an object  $\mathfrak{A} \in \mathsf{HK}_{\overline{\mathbb{C}}}(M)$  satisfies descent on the finer cover  $\mathcal{U}$ , i.e. the counit  $(\epsilon_{\mathcal{U}})_{\mathfrak{A}} : j_{\mathcal{U}}! j_{\mathcal{U}}^*(\mathfrak{A}) \Rightarrow \mathfrak{A}$  is an isomorphism  $\mathsf{HK}_{\overline{\mathbb{C}}}(M)$ . Applying  $(-)_!$  to the diagram (4.23) gives a diagram which commutes up to a natural isomorphism, so we find that our object

$$\mathfrak{A} \cong j_{\mathcal{U}!} j_{\mathcal{U}}^*(\mathfrak{A}) \cong j_{\mathcal{U}'!} \alpha_! j_{\mathcal{U}}^*(\mathfrak{A}) =: j_{\mathcal{U}'!}(\mathfrak{B})$$

$$(4.24)$$

lies in the essential image of  $j_{\mathcal{U}'}$ :  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}') \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  for the coarser cover. From this isomorphism we obtain a commutative diagram

with bottom part the triangle identity for the unit and counit of the adjunction  $j_{\mathcal{U}'} \dashv j_{\mathcal{U}'}^*$ . This implies that  $\mathfrak{A}$  satisfies descent on the coarser cover  $\mathcal{U}'$  because the unit of the adjunction  $j_{\mathcal{U}'} \dashv j_{\mathcal{U}'}^*$  is a natural isomorphism by Theorem 4.9.

Let us define the pseudo-functor

$$\mathsf{HK}^{\dagger}_{\overline{\mathbf{C}}} : \mathbf{cov}(M) \longrightarrow \mathbf{Pr}^{L}$$
 (4.26)

which assigns to each cover  $\mathcal{U}$  the locally presentable category  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  and to each refinement  $\alpha$  the corresponding left adjoint  $\alpha_1$  from (4.22).

**Proposition 4.20.** Let  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  be a net domain. For every object  $M \in \mathbf{Loc}$ , the category

$$\mathcal{HK}_{\overline{\mathbf{C}}}(M) \simeq \operatorname{bilim}\left(\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger}: \mathbf{cov}(M) \to \mathbf{Pr}^{L}\right)$$

$$(4.27)$$

from Definition 4.17 is a bilimit of the pseudo-functor (4.26), hence it is locally presentable  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \in \mathbf{Pr}^{L}$ . Furthermore, the full subcategory inclusion  $\iota_{M} : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  is coreflective, i.e. there exists an adjunction

$$\iota_M : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \xrightarrow{\subseteq} \mathsf{HK}_{\overline{\mathbf{C}}}(M) : \pi_M$$
(4.28)

with coreflector  $\pi_M$ .

*Proof.* The key properties which enable this result are 1.) all functors  $\alpha_1$  are fully faithful, and 2.) the category  $\mathbf{cov}(M)$  has a terminal object, given by the coarsest cover  $\{M \subseteq M\}$ . The proof then follows from our general bilimit computation in Appendix C.

The categories of covers from Definition 4.18 are 2-functorial  $\mathbf{cov}(-)$ :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{Cat}$  with respect to pullbacks of covers along **Loc**-morphisms. Explicitly, given any **Loc**-morphism  $f : M \to N$ , we obtain a functor

$$f^{-1} := \mathbf{cov}(f) : \mathbf{cov}(N) \longrightarrow \mathbf{cov}(M) , \qquad (4.29)$$
$$\mathcal{V} = \{V_j \subseteq N\} \longmapsto f^{-1}\mathcal{V} = \{f^{-1}(V_j) \subseteq M\} , \\(\alpha : \mathcal{V} \to \mathcal{V}') \longmapsto (\alpha : f^{-1}\mathcal{V} \to f^{-1}\mathcal{V}')$$

by taking preimages under f. (Since we focus on non-empty causally convex opens, we always discard all empty preimages  $f^{-1}(V_j) = \emptyset$  from the covers.) This 2-functorial structure endows the bilimits from Proposition 4.20 with a pseudo-functorial structure. Transferring this structure to our explicit models from Definition 4.17 yields the pseudo-functor

$$\mathcal{H}\mathcal{K}^{\dagger}_{\overline{\mathbf{C}}}: \mathbf{Loc} \longrightarrow \mathbf{Pr}^{L}$$
 (4.30a)

which assigns to each object  $M \in \mathbf{Loc}$  the locally presentable category  $\mathcal{HK}^{\dagger}_{\overline{\mathbf{C}}}(M) := \mathcal{HK}_{\overline{\mathbf{C}}}(M) \in \mathbf{Pr}^{L}$  from Definition 4.17 and to each **Loc**-morphism  $f: M \to N$  the restriction

$$\mathcal{HK}_{\overline{\mathbf{C}}}^{\dagger}(f) := f_! : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \longrightarrow \mathcal{HK}_{\overline{\mathbf{C}}}(N)$$
(4.30b)

of the left adjoint  $f_! : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(N) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(N)$ .

**Remark 4.21.** For readers who prefer a more direct and computational argument, let us also verify explicitly that the functors  $f_! : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  restrict to the full subcategories  $f_! : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \to \mathcal{HK}_{\overline{\mathbf{C}}}(N)$ . Given any object  $\mathfrak{A} \in \mathcal{HK}_{\overline{\mathbf{C}}}(M)$ , we have to show that  $f_!(\mathfrak{A}) \in \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  satisfies the descent condition from Definition 4.17 for every causally convex open cover  $\mathcal{V}$  of  $N \in \mathbf{Loc}$ . Taking the pullback  $f^{-1}\mathcal{V}$  of this cover, we obtain a commutative square

of orthogonal functors. The orthogonal functor  $\tilde{f}$  is defined on objects by  $(j, U) \mapsto (j, f(U))$  and on morphisms by  $((j, U) \to (j', U')) \mapsto ((j, f(U)) \to (j', f(U'))$ . We then obtain the commutative diagram

In the top square we use that  $\mathfrak{A} \in \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  satisfies the descent condition from Definition 4.17 for the cover  $f^{-1}\mathcal{V}$  of M and in the middle square we use that applying  $(-)_!$  to the commutative diagram (4.31) yields a diagram which commutes up to a natural isomorphism. In the bottom triangle we use the triangle identity for the unit and counit of the adjunction  $j_{\mathcal{V}!} \dashv j_{\mathcal{V}}^*$ , as well as the fact that the unit is a natural isomorphism since  $j_{\mathcal{V}}$  is fully faithful and reflects orthogonality. From this diagram it follows that  $(\epsilon_{\mathcal{V}})_{f_!(\mathfrak{A})}$  is an isomorphism, hence  $f_!(\mathfrak{A})$  satisfies the descent conditions from Definition 4.17.

**Definition 4.22.** Let  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  be a net domain. The *improved Haag-Kastler-style* pseudo-functor

$$\mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}} := \mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}^{\dagger\dagger} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{Pr}^{R}$$

$$(4.33)$$

is defined as the adjoint via (2.16) of the pseudo-functor  $\mathcal{HK}^{\dagger}_{\overline{\mathbf{C}}}$  in (4.30).

The improved Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$  is in general hard to work with because the right adjoints to the functors  $\mathcal{HK}_{\overline{\mathbf{C}}}^{\dagger}(f) = f_! : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \to \mathcal{HK}_{\overline{\mathbf{C}}}(N)$  in (4.30) are difficult to construct explicitly. Indeed, the pullback functors  $f^* : \mathsf{HK}_{\overline{\mathbf{C}}}(N) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  assigned by the (non-improved) Haag-Kastler-style 2-functor  $\mathsf{HK}_{\overline{\mathbf{C}}} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^R$  for a generic net domain  $\overline{\mathbf{C}}$  do not necessarily restrict to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(N) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ from Definition 4.17. To describe the pseudo-functorial structure of  $\mathcal{HK}_{\overline{\mathbf{C}}}$ , one can then use the coreflectors  $\pi_M$  from (4.28), with a possible model for the right adjoint functor  $\mathcal{HK}_{\overline{\mathbf{C}}}(f) \vdash f_! =$  $\mathcal{HK}_{\overline{\mathbf{C}}}^{\dagger}(f)$  given by

$$\mathcal{HK}_{\overline{\mathbf{C}}}(N) \xrightarrow{\iota_N} \mathsf{HK}_{\overline{\mathbf{C}}}(N) \xrightarrow{f^*} \mathsf{HK}_{\overline{\mathbf{C}}}(M) \xrightarrow{\pi_M} \mathcal{HK}_{\overline{\mathbf{C}}}(M) \quad . \tag{4.34}$$

The existence of these coreflectors was argued in Proposition 4.20 by abstract reasoning, but we are currently not aware of any explicit models for  $\pi_M$  which are useful for computations. In order

to simplify the remaining part of this subsection, we will now include a very useful, but possibly quite strong, assumption on the behavior of the Haag-Kastler-style 2-functor  $HK_{\overline{C}}$ . We will verify in Theorem 4.27 below that this assumption holds true for the relatively compact Haag-Kastler 2-functor  $HK^{rc}$ , but it is currently not clear to us if it also holds true for the Haag-Kastler 2-functor HK which is modeled on all causally convex opens.

Assumption 4.23. We assume that, for every Loc-morphism  $f: M \to N$ , the pullback functor  $f^*: \operatorname{HK}_{\overline{\mathbf{C}}}(N) \to \operatorname{HK}_{\overline{\mathbf{C}}}(M)$  restricts to a functor  $f^*: \mathcal{HK}_{\overline{\mathbf{C}}}(N) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  between the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(N) \subseteq \operatorname{HK}_{\overline{\mathbf{C}}}(N)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \operatorname{HK}_{\overline{\mathbf{C}}}(M)$  from Definition 4.17.

Provided that Assumption 4.23 holds true, one obtains a particularly simple model for the improved Haag-Kastler-style pseudo-functor from Definition 4.22 in terms of a 2-subfunctor  $\mathcal{HK}_{\overline{\mathbf{C}}} \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}$ . This 2-functor assigns to each object  $M \in \mathbf{Loc}$  the locally presentable category  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \in \mathbf{Pr}^R$  from Definition 4.17 and to each  $\mathbf{Loc}$ -morphism the restricted pullback functor  $f^* : \mathcal{HK}_{\overline{\mathbf{C}}}(N) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$ , which in this case is right adjoint to the functor  $f_! : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \to \mathcal{HK}_{\overline{\mathbf{C}}}(N)$  from (4.30). For every object  $M \in \mathbf{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the descent category of  $\mathcal{HK}_{\overline{\mathbf{C}}}$  is then given by the full subcategory

$$\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \tag{4.35}$$

consisting of all objects  $(\{\mathfrak{A}_i\}, \{\varphi_{ij}\}) \in \mathsf{HK}_{\overline{\mathbb{C}}}(\mathcal{U})$  such that  $\mathfrak{A}_i \in \mathcal{HK}_{\overline{\mathbb{C}}}(U_i) \subseteq \mathsf{HK}_{\overline{\mathbb{C}}}(U_i)$  lies in the full subcategory of objects satisfying the descent conditions from Definition 4.17, for all *i*. The canonical functor

$$j_{\mathcal{U}}^* : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \longrightarrow \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$$
 (4.36)

to the descent category is given by restricting the right adjoint  $j_{\mathcal{U}}^* : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  from (4.10) to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$ . The following result provides an explicit model for the left adjoint of (4.36).

**Proposition 4.24.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a net domain and that Assumption 4.23 holds true. Then, for every object  $M \in \mathbf{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the left adjoint  $j_{\mathcal{U}_1}: \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  from (4.10) restricts to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ , and thereby defines a left adjoint  $j_{\mathcal{U}_1}: \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  for the functor (4.36).

Proof. We have to prove that, given any object  $\mathfrak{A} := (\{\mathfrak{A}_i\}, \{\varphi_{ij}\}) \in \mathcal{HK}_{\overline{\mathbb{C}}}(\mathcal{U})$  in the improved descent category (4.35), i.e. every  $\mathfrak{A}_i \in \mathcal{HK}_{\overline{\mathbb{C}}}(U_i)$  satisfies the descent conditions from Definition 4.17 for all causally convex open covers of  $U_i$ , the resulting object  $j_{\mathcal{U}\,!}(\mathfrak{A}) \in \mathsf{HK}_{\overline{\mathbb{C}}}(M)$  satisfies the descent conditions from Definition 4.17 for all causally convex open covers of M. We shall denote these arbitrary covers by  $\mathcal{U}'$  since the symbol  $\mathcal{U}$  is already reserved by the choice of cover in the statement of this proposition.

To prove that  $j_{\mathcal{U}!}(\mathfrak{A}) \in \mathsf{HK}_{\overline{\mathbb{C}}}(M)$  satisfies descent on any causally convex open cover  $\mathcal{U}'$  of M, we can use Remark 4.19 and study instead descent on any cover which is finer than  $\mathcal{U}'$ . A suitable choice is given by intersecting the covers  $\mathcal{U}'$  and  $\mathcal{U}$ , yielding the causally convex open cover  $\mathcal{U} \cap \mathcal{U}' := \{U_i \cap U'_{i'} \subseteq M\}$  of M which is labeled by pairs of indices  $(i, i') \in \mathcal{I} \times \mathcal{I}'$ . The projection maps  $\operatorname{pr}_1 : \mathcal{I} \times \mathcal{I}' \to \mathcal{I}$  and  $\operatorname{pr}_2 : \mathcal{I} \times \mathcal{I}' \to \mathcal{I}'$  then define refinements which fit into the following commutative diagram of orthogonal functors

$$\underbrace{\mathbf{C}(\mathcal{U} \cap \mathcal{U}')}^{\mathrm{pr}_{1}} \xrightarrow{j_{\mathcal{U} \cap \mathcal{U}'}}_{j_{\mathcal{U} \cap \mathcal{U}'}} \xrightarrow{j_{\mathcal{U}}}_{\mathbf{C}(\mathcal{M})} \overline{\mathbf{C}(\mathcal{M})} \quad . \tag{4.37}$$

Taking the upper path of this diagram and using the same argument as the one at the end of Remark 4.19, we find that the descent condition  $(\epsilon_{\mathcal{U}\cap\mathcal{U}'})_{j\mathcal{U}!(\mathfrak{A})}: j_{\mathcal{U}\cap\mathcal{U}'}: j_{\mathcal{U}\cap\mathcal{U}'}: j_{\mathcal{U}\cap\mathcal{U}'}: \mathfrak{A}) \stackrel{\cong}{\Longrightarrow} j_{\mathcal{U}!}(\mathfrak{A}) \stackrel{\cong}{\Longrightarrow} j_{\mathcal{U}!}(\mathfrak{A})$  on the intersection cover holds true provided that  $\mathfrak{A}$  lies in the essential image of  $\mathrm{pr}_{1!}$ . To this end, we will argue that the counit component  $\epsilon_{\mathfrak{A}}: \mathrm{pr}_{1!} \mathrm{pr}_1^*(\mathfrak{A}) \Rightarrow \mathfrak{A}$  is an isomorphism, which follows from the fact that, for every *i*, the component  $\mathfrak{A}_i \in \mathcal{HK}_{\overline{\mathbf{C}}}(U_i)$  of the tuple  $\mathfrak{A} = ({\mathfrak{A}_i}, {\varphi_{ij}}) \in \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$ satisfies by definition the descent conditions on  $U_i$  and the fiber  $\mathcal{U}_i := \mathrm{pr}_1^{-1}(i) := {U_i \cap U'_{i'}: i' \in \mathcal{I}'}$ of  $\mathrm{pr}_1: \mathcal{U} \cap \mathcal{U}' \to \mathcal{U}$  defines a causally convex open cover of  $U_i$ .

Using Appendix A, one obtains an explicit model for the operadic left Kan extension  $pr_{1!}$  for which the counit component  $\epsilon_{\mathfrak{A}}$  is given by the canonical maps

$$(\epsilon_{\mathfrak{A}})_{(i,U)} : \operatorname{colim}\left(\mathcal{O}_{\operatorname{pr}_{1}}^{\otimes}/(i,U) \longrightarrow \mathcal{O}_{\overline{\mathbf{C}}(\mathcal{U}\cap\mathcal{U}')}^{\otimes} \xrightarrow{\mathcal{O}_{\operatorname{pr}_{1}}^{\otimes}} \mathcal{O}_{\overline{\mathbf{C}}(\mathcal{U})}^{\otimes} \xrightarrow{\mathfrak{A}^{\otimes}} \mathbf{T}\right) \longrightarrow \mathfrak{A}(i,U) \quad , \qquad (4.38)$$

for all  $(i, U) \in \overline{\mathbf{C}(\mathcal{U})}$ . Using also the explicit description of the orthogonal categories  $\overline{\mathbf{C}(\mathcal{U})}$  and  $\overline{\mathbf{C}(\mathcal{U} \cap \mathcal{U}')}$  from Lemma 4.8, one finds that an object in the comma category  $\mathcal{O}_{\mathrm{pr}_1}^{\otimes}/(i, U)$  is given by a tuple  $\left(((i_1, i'_1), V_1), \ldots, ((i_n, i'_n), V_n)\right)$ , with  $V_j \in \overline{\mathbf{C}(U_{i_j} \cap U'_{i'_j})} \subseteq \overline{\mathbf{C}(M)}$  for all  $j \in \{1, \ldots, n\}$ , together with an operation  $((i_1, V_1), \ldots, (i_n, V_n)) \to (i, U)$  in the operad  $\mathcal{O}_{\overline{\mathbf{C}(\mathcal{U})}}$ . Using the factorizations  $(i_j, V_j) \cong (i, V_j) \to (i, U)$  in  $\overline{\mathbf{C}(\mathcal{U})}$ , we observe that every object in  $\mathcal{O}_{\mathrm{pr}_1}^{\otimes}/(i, U)$  is isomorphic to one whose underlying tuple is of the form  $\left(((i, i'_1), V_1), \ldots, ((i, i'_n), V_n)\right)$ . This provides an equivalence  $\mathcal{O}_{\mathrm{pr}_1}^{\otimes}/(i, U) \simeq \mathcal{O}_{j\mathcal{U}_i}^{\otimes}/U$  with the comma category of the functor  $\mathcal{O}_{j\mathcal{U}_i}^{\otimes}$ :  $\mathcal{O}_{\overline{\mathbf{C}(\mathcal{U}_i)}}^{\otimes} \to \mathcal{O}_{\overline{\mathbf{C}(U_i)}}^{\otimes}$  which is associated with the cover  $\mathcal{U}_i = \mathrm{pr}_1^{-1}(i)$  of  $U_i$ . Under this equivalence, the family of maps in (4.38) gets identified with the counit components  $(\epsilon_{\mathcal{U}_i})_{\mathfrak{A}_i}: j_{\mathcal{U}_i}: \mathfrak{I}_i : \mathfrak{I}_{\mathcal{U}_i} : \mathfrak{A}_i$ , which are isomorphisms because  $\mathfrak{A}_i \in \mathcal{HK}_{\overline{\mathbf{C}}}(U_i)$  satisfies descent, for all i.

We can now prove the main result of this subsection.

**Theorem 4.25.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a net domain in the sense of Definition 4.6 and that Assumption 4.23 holds true. Then the improved Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$ :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^{R}$  from Definition 4.22 is a stack with respect to the Grothendieck topology given by all causally convex open covers.

*Proof.* By Assumption 4.23, we can present the improved Haag-Kastler-style pseudo-functor as a 2-subfunctor  $\mathcal{HK}_{\overline{\mathbb{C}}} \subseteq \mathsf{HK}_{\overline{\mathbb{C}}}$ . Using Proposition 4.24, we obtain for every object  $M \in \mathbf{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  the adjunction

$$j_{\mathcal{U}!} : \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \xrightarrow{\mathcal{HK}_{\overline{\mathbf{C}}}} \mathcal{HK}_{\overline{\mathbf{C}}}(M) : j_{\mathcal{U}}^*$$

$$(4.39)$$

whose right adjoint is the canonical functor (4.36) to the descent category. The unit  $\eta_{\mathcal{U}}$  of this adjunction is a natural isomorphism by Theorem 4.9 and the counit  $\epsilon_{\mathcal{U}}$  is a natural isomorphism by Definition 4.17 of the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . This implies that (4.39) is an (adjoint) equivalence, for every object  $M \in \mathbf{Loc}$  and every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , hence  $\mathcal{HK}_{\overline{\mathbf{C}}}$  is a stack.

The category of points of the Haag-Kastler-style stack  $\mathcal{HK}_{\overline{C}}$  from Theorem 4.25 is defined similarly to Definition 3.4 in terms of the category

$$\mathcal{HK}_{\overline{\mathbf{C}}}(\mathrm{pt}) := \mathrm{Hom}(\Delta \mathbf{1}, \mathcal{HK}_{\overline{\mathbf{C}}}) \in \mathbf{CAT}$$

$$(4.40)$$

of pseudo-natural transformations from the constant 2-functor  $\Delta \mathbf{1} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^{R}$  (which is a stack with respect to the Grothendieck topology given by all causally convex open covers) to  $\mathcal{HK}_{\overline{\mathbf{C}}} : \mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^{R}$  and their modifications.

**Proposition 4.26.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  is a net domain and that Assumption 4.23 holds true. Then there exists an equivalence

$$\mathcal{HK}_{\overline{\mathbf{C}}}(\mathrm{pt}) \simeq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})^{\mathrm{desc}}$$
 (4.41)

between the category of points (4.40) of the Haag-Kastler-style stack  $\mathcal{HK}_{\overline{\mathbf{C}}}$  and the full subcategory  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})^{\mathrm{desc}} \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})$  of the category of points of the Haag-Kastler-style 2-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}$  consisting of all objects  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})$  (see also Remark 3.5) such that  $\mathfrak{A}_M \in \mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  satisfies the descent conditions from Definition 4.17, for all  $M \in \mathbf{Loc}$ .

*Proof.* This follows immediately by using Assumption 4.23 to present the Haag-Kastler-style stack as a 2-subfunctor  $\mathcal{HK}_{\overline{\mathbf{C}}} \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}$  and the fact that  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  is a full subcategory, for all  $M \in \mathbf{Loc}$ .

It remains to verify that the above results apply to at least some of our examples. As already anticipated above, it is currently not clear to us if the Haag-Kastler 2-functor HK from Definition 3.1 satisfies Assumption 4.23. However, we have the following positive result for the relatively compact Haag-Kastler 2-functor HK<sup>rc</sup> from Definition 3.13.

**Theorem 4.27.** The relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  from Definition 3.13 satisfies the requirements of Assumption 4.23. Hence, as a consequence of Theorem 4.25, the improved relatively compact Haag-Kastler pseudo-functor  $\mathcal{HK}^{\mathrm{rc}}$  associated to the net domain  $\overline{\mathbf{RC}(-)}$  is a stack with respect to the Grothendieck topology given by all causally convex open covers.

Proof. We have to prove that, given any **Loc**-morphism  $f: M \to N$  and any object  $\mathfrak{A} \in \mathcal{HK}^{\mathrm{rc}}(N)$  which satisfies the descent conditions from Definition 4.17 for all causally convex open covers of N, the pullback  $f^*(\mathfrak{A}) \in \mathsf{HK}^{\mathrm{rc}}(M)$  satisfies these descent conditions for all causally convex open covers of M. Recalling the model for the operadic left Kan extension from Appendix A, this means that we have to show that, for every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of M, the canonical map

$$((\epsilon_{\mathcal{U}})_{f^*(\mathfrak{A})})_U : \operatorname{colim}\left(\mathcal{O}_{j_{\mathcal{U}}}^{\otimes}/U \longrightarrow \mathcal{O}_{\overline{\mathbf{RC}}(\mathcal{U})}^{\otimes} \xrightarrow{\mathcal{O}_{j_{\mathcal{U}}}^{\otimes}} \mathcal{O}_{\overline{\mathbf{RC}}(M)}^{\otimes} \xrightarrow{\mathcal{O}_{f}^{\otimes}} \mathcal{O}_{\overline{\mathbf{RC}}(N)}^{\otimes} \xrightarrow{\mathfrak{A}^{\otimes}} \mathbf{T}\right) \longrightarrow \mathfrak{A}(f(U))$$

$$(4.42)$$

is an isomorphism, for all  $U \in \mathbf{RC}(M)$ . Our proof strategy is to construct, for every fixed  $U \in \mathbf{RC}(M)$ , a causally convex open cover  $\mathcal{V}$  of N such that the descent conditions for  $\mathfrak{A}$  in this cover imply that (4.42) is an isomorphism. For this we use that  $U \subseteq M$  is a relatively compact causally convex open subset, hence the image of its closure  $f(cl(U)) \subseteq N$  is a compact subset of N. We choose any open cover  $\mathcal{W} = \{W_j \subseteq N \setminus f(cl(U))\}$  of the complement such that each  $W_j \subseteq N$  is causally convex in N. (Such cover exists because N is globally hyperbolic and hence strongly causal, so the open set  $N \setminus f(cl(U)) \subseteq N$  contains a causally convex open neighborhood of each of its points.) From this we define the causally convex open cover  $\mathcal{V} := f(\mathcal{U}) \cup \mathcal{W} = \{f(U_i) \subseteq N\} \cup \{W_j \subseteq N\}$  of N. It is important to observe that, by construction, the restriction  $f^{-1}\mathcal{V}|_U = \mathcal{U}|_U$  to  $U \subseteq M$  of the pullback cover agrees with the restriction of the given cover  $\mathcal{U}$ . This implies that we have an isomorphism

$$f : \mathcal{O}_{j_{\mathcal{U}}}^{\otimes}/U \xrightarrow{\cong} \mathcal{O}_{j_{\mathcal{V}}}^{\otimes}/f(U)$$

$$(4.43)$$

between the comma categories by taking images under f. Moreover, by direct inspection one verifies that the diagram

commutes. This allows us to identify the canonical map (4.42) with the canonical map

$$((\epsilon_{\mathcal{V}})_{\mathfrak{A}})_{f(U)} : \operatorname{colim}\left(\mathcal{O}_{j_{\mathcal{V}}}^{\otimes}/f(U) \longrightarrow \mathcal{O}_{\overline{\mathbf{RC}}(\mathcal{V})}^{\otimes} \xrightarrow{\mathcal{O}_{j_{\mathcal{V}}}^{\otimes}} \mathcal{O}_{\overline{\mathbf{RC}}(N)}^{\otimes} \xrightarrow{\mathfrak{A}^{\otimes}} \mathbf{T}\right) \longrightarrow \mathfrak{A}(f(U)) \quad , \qquad (4.45)$$

which is an isomorphism because  $\mathfrak{A} \in \mathcal{HK}^{\mathrm{rc}}(N)$  satisfies the descent conditions from Definition 4.17 for all causally convex open covers  $\mathcal{V}$  of N.

**Remark 4.28.** Our proof of Theorem 4.27 does not generalize in any evident way to the Haag-Kastler 2-functor HK from Definition 3.1 because in the construction of the extended cover  $\mathcal{V} := f(\mathcal{U}) \cup \mathcal{W} = \{f(U_i) \subseteq N\} \cup \{W_j \subseteq N\}$  of N, which has the crucial restriction property  $f^{-1}\mathcal{V}|_U = \mathcal{U}|_U$ , it was essential to assume that  $U \subseteq M$  is relatively compact. It is therefore currently not clear to us if the improved Haag-Kastler pseudo-functor  $\mathcal{HK}$  is a stack too.

#### 4.3.2 The case of time-slice

Throughout this subsection, let us assume that  $\overline{\mathbf{C}(-)} : \mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a localized net domain. As a consequence of the similar formal properties of localized net domains from Definition 4.12 and net domains from Definition 4.6, with the only difference given by the additional assumption of Cauchy development stability in the localized case, all constructions and most of the results from Subsection 4.3.1 directly generalize to the present case if one consistently replaces general causally convex open covers by *D*-stable causally convex open covers. We shall briefly collect the relevant definitions and results in the present case, without repeating the proofs.

The analogue of Definition 4.17 in the present case is given as follows.

**Definition 4.29.** Let  $\overline{\mathbf{C}(-)}$ : Loc  $\to \mathbf{Cat}^{\perp}$  be a localized net domain. For every object  $M \in \mathbf{Loc}$ , we denote by

$$\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M) \tag{4.46a}$$

the full subcategory consisting of all objects  $\mathfrak{A} \in \mathsf{HK}_{\overline{\mathbb{C}}}(M)$  which satisfy the following descent conditions: For every *D*-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the  $\mathfrak{A}$ -component of the counit

$$(\epsilon_{\mathcal{U}})_{\mathfrak{A}} : j_{\mathcal{U}} : j_{\mathcal{U}}^*(\mathfrak{A}) \stackrel{\cong}{\Longrightarrow} \mathfrak{A}$$

$$(4.46b)$$

of the adjunction  $j_{\mathcal{U}!} : \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \rightleftharpoons \mathsf{HK}_{\overline{\mathbf{C}}}(M) : j_{\mathcal{U}}^*$  of (4.10) is an isomorphism in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ .

By the same arguments as in the proof of Proposition 4.20, one can show that the category from Definition 4.29 arises as the bilimit of a pseudo-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}^{\dagger} : \mathbf{Dcov}(M) \to \mathbf{Pr}^{L}$ , which in the present case is defined on the full subcategory  $\mathbf{Dcov}(M) \subseteq \mathbf{cov}(M)$  of *D*-stable causally convex open covers. (Note that this subcategory has a terminal object, given by the coarsest cover  $\{M \subseteq M\}$ .) From this one concludes that  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  is a locally presentable category which is embedded as a coreflective full subcategory into  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . Leveraging pseudofunctoriality of the bilimits over the categories of *D*-stable causally convex open covers, one obtain the pseudo-functor

$$\mathcal{H}\mathcal{K}^{\dagger}_{\overline{\mathbf{C}}}: \mathbf{Loc} \longrightarrow \mathbf{Pr}^{L}$$
 (4.47a)

which assigns to each object  $M \in \mathbf{Loc}$  the locally presentable category  $\mathcal{HK}^{\dagger}_{\overline{\mathbf{C}}}(M) := \mathcal{HK}_{\overline{\mathbf{C}}}(M) \in \mathbf{Pr}^{L}$  from Definition 4.29 and to each **Loc**-morphism  $f: M \to N$  the restriction

$$\mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}^{\dagger}(f) := f_{!} : \mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}(M) \longrightarrow \mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}(N)$$
(4.47b)

of the left adjoint  $f_! : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(N) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(N)$ . The analogue of Definition 4.22 in the present case is then as follows.

**Definition 4.30.** Let  $\overline{\mathbf{C}(-)}$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  be a localized net domain. The *improved Haag-Kastler-style pseudo-functor* 

$$\mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}} := \mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}^{\dagger\dagger} : \mathbf{Loc}^{\mathrm{op}} \longrightarrow \mathbf{Pr}^{R}$$

$$(4.48)$$

is defined as the adjoint via (2.16) of the pseudo-functor  $\mathcal{HK}^{\dagger}_{\overline{\mathbf{C}}}$  in (4.47).

As in the previous subsection, this pseudo-functor is difficult to work with, which is why we introduce an analogue of Assumption 4.23, but weaker since it only applies to **Loc**-morphisms with *D*-stable image.

Assumption 4.31. We assume that, for every Loc-morphism  $f: M \to N$  whose image  $f(M) \subseteq N$  is *D*-stable, i.e.  $D_N(f(M)) = f(M)$ , the pullback functor  $f^*: \mathsf{HK}_{\overline{\mathbf{C}}}(N) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  restricts to a functor  $f^*: \mathcal{HK}_{\overline{\mathbf{C}}}(N) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  between the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(N) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(N)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  from Definition 4.29.

Provided that Assumption 4.31 holds true, one can choose a model for the improved Haag-Kastler-style pseudo-functor such that  $\mathcal{HK}_{\overline{\mathbf{C}}}(f) = f^* : \mathcal{HK}_{\overline{\mathbf{C}}}(N) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  is the restriction of the pullback functor, for all **Loc**-morphisms  $f : M \to N$  with *D*-stable image. (For **Loc**morphisms whose image is not *D*-stable, the pseudo-functorial structure is more complicated because one has to use coreflectors as in (4.34).) This partially simplified description of the pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$  however suffices to conclude that, for every object  $M \in \mathbf{Loc}$  and every *D*-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the descent category of  $\mathcal{HK}_{\overline{\mathbf{C}}}$  is given by the full subcategory

$$\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \tag{4.49}$$

consisting of all objects  $(\{\mathfrak{A}_i\}, \{\varphi_{ij}\}) \in \mathsf{HK}_{\overline{\mathbb{C}}}(\mathcal{U})$  such that  $\mathfrak{A}_i \in \mathcal{HK}_{\overline{\mathbb{C}}}(U_i) \subseteq \mathsf{HK}_{\overline{\mathbb{C}}}(U_i)$  lies in the full subcategory of objects satisfying the descent conditions from Definition 4.29, for all *i*. The canonical functor

$$j_{\mathcal{U}}^* : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \longrightarrow \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$$
 (4.50)

to the descent category is given by restricting the right adjoint  $j_{\mathcal{U}}^* : \mathsf{HK}_{\overline{\mathbf{C}}}(M) \to \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  from (4.10) to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$ . With the same proof as in Proposition 4.24, one then shows the following result.

**Proposition 4.32.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a localized net domain and that Assumption 4.31 holds true. Then, for every object  $M \in \mathbf{Loc}$  and every D-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ , the left adjoint  $j_{\mathcal{U}_1}$ :  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \to \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  from (4.10) restricts to the full subcategories  $\mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  and  $\mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ , and thereby defines a left adjoint  $j_{\mathcal{U}_1}: \mathcal{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \to \mathcal{HK}_{\overline{\mathbf{C}}}(M)$  for the functor (4.50).

The main result of the present subsection is then similar to Theorem 4.25.

**Theorem 4.33.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  is a localized net domain in the sense of Definition 4.12 and that Assumption 4.31 holds true. Then the improved Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$ :  $\mathbf{Loc}^{\mathrm{op}} \to \mathbf{Pr}^{R}$  from Definition 4.30 is a stack with respect to the Grothendieck topology given by all D-stable causally convex open covers.

*Proof.* By the same arguments as in the proof of Theorem 4.25, this follows directly from Proposition 4.32 and Theorem 4.15.

The result from Proposition 4.26 about the category of points of the Haag-Kastler-style stack does not generalize to the present case because Assumption 4.31 is too weak to imply that  $\mathcal{HK}_{\overline{\mathbf{C}}}$  can be presented as 2-subfunctor of  $\mathsf{HK}_{\overline{\mathbf{C}}}$ . However, we have the following weaker result which does not rely on any additional assumptions but characterizes only a full subcategory of the category of points  $\mathcal{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})$ .

**Proposition 4.34.** Suppose that the 2-functor  $\overline{\mathbf{C}(-)}$ : Loc  $\rightarrow \mathbf{Cat}^{\perp}$  is a localized net domain. Then there exists a fully faithful functor

$$\mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})^{\mathrm{desc}} \longrightarrow \mathcal{HK}_{\overline{\mathbf{C}}}(\mathrm{pt}) \tag{4.51}$$

from the full subcategory  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})^{\mathrm{desc}} \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})$  of the category of points of the Haag-Kastlerstyle 2-functor  $\mathsf{HK}_{\overline{\mathbf{C}}}$  consisting of all objects  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})$  (see also Remark 3.5) such that  $\mathfrak{A}_M \in \mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  satisfies the descent conditions from Definition 4.29, for all  $M \in \mathbf{Loc}$ , to the category of points (4.40) of the improved Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$ .

*Proof.* We provide a direct construction of the fully faithful functor (4.51). For this we use the model for the Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$  which is given by the coreflected pullback functors in (4.34), i.e.  $\mathcal{HK}_{\overline{\mathbf{C}}}(f) = \pi_M f^* \iota_N$  for all **Loc**-morphisms  $f: M \to N$ . Note that this model is only pseudo-functorial with coherences

and

$$\eta_M : \operatorname{id}_{\mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}(M)} \xrightarrow{\cong} \pi_M \iota_M = \mathcal{H}\mathcal{K}_{\overline{\mathbf{C}}}(\operatorname{id}_M)$$

$$(4.52b)$$

given by the units of the coreflection adjunctions  $\iota_M : \mathcal{HK}_{\overline{\mathbf{C}}}(M) \rightleftharpoons \mathsf{HK}_{\overline{\mathbf{C}}}(M) : \pi_M$ , for all  $M \in \mathbf{Loc}$ . The unlabeled isomorphisms in the bottom row of (4.52a) are the natural isomorphisms  $\pi_M f^* \cong f^* \pi_N$  which are a consequence of the commutativity property  $\iota_N f_! = f_! \iota_M$  of the left adjoint functors. In the following we shall suppress all  $\iota$  because these are just full subcategory inclusions.

For every object  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathrm{pt})^{\mathrm{desc}}$ , the fact that  $\mathfrak{A}_M \in \mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$ lies in the full subcategory and the isomorphism  $\alpha_f : \mathfrak{A}_M \xrightarrow{\cong} f^*(\mathfrak{A}_N)$  in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$  imply that  $f^*(\mathfrak{A}_N) \in \mathcal{HK}_{\overline{\mathbf{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  lies in the full subcategory, for every **Loc**-morphism  $f : M \to N$ . We define the functor (4.51) on objects by sending  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathsf{pt})^{\mathrm{desc}}$  to the tuple

$$\left(\left\{\mathfrak{A}_{M}\in\mathcal{HK}_{\overline{\mathbf{C}}}(M)\right\},\left\{\tilde{\alpha}_{f}:\mathfrak{A}_{M}\overset{\alpha_{f}}{\Longrightarrow}f^{*}(\mathfrak{A}_{N})\overset{\eta_{f^{*}(\mathfrak{A}_{N})}}{\Longrightarrow}\pi_{M}f^{*}(\mathfrak{A}_{N})\right\}\right) \quad .$$
(4.53)

One directly checks that this tuple satisfies the conditions in Remark 3.5, hence it defines an object  $(\{\mathfrak{A}_M\}, \{\tilde{\alpha}_f\}) \in \mathcal{HK}_{\overline{\mathbb{C}}}(\mathrm{pt})$ . The action of the functor (4.51) on morphisms  $\{\zeta_M\}$ :  $(\{\mathfrak{A}_M\}, \{\alpha_f\}) \Rightarrow (\{\mathfrak{B}_M\}, \{\beta_f\})$  in  $\mathsf{HK}_{\overline{\mathbb{C}}}(\mathrm{pt})^{\mathrm{desc}}$  is given by the same tuple of maps  $\{\zeta_M\}$ :  $(\{\mathfrak{A}_M\}, \{\tilde{\alpha}_f\}) \Rightarrow (\{\mathfrak{B}_M\}, \{\tilde{\beta}_f\})$ . One directly checks that this tuple satisfies the conditions in Remark 3.5. Fully faithfulness then follows immediately from the fact that  $\mathcal{HK}_{\overline{\mathbb{C}}}(M) \subseteq \mathsf{HK}_{\overline{\mathbb{C}}}(M)$  is a full subcategory, for all  $M \in \mathbf{Loc}$ .

Analogously to the case where no time-slice axiom is implemented, see Theorem 4.27 and Remark 4.28, we can confirm the hypotheses of Theorem 4.33 only for the time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  from Definition 3.21. We currently do not know if the improvement construction from Definition 4.30 applied to the (non-relatively compact) time-sliced Haag-Kastler 2-functor  $\mathsf{HK}^W$  from Definition 3.9 defines a stack.

**Theorem 4.35.** The time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  from Definition 3.21 satisfies the requirements of Assumption 4.31. Hence, as a consequence of Theorem 4.33, the improved time-sliced relatively compact Haag-Kastler pseudo-functor  $\mathcal{HK}^{\mathrm{rc},W}$  associated to the localized net domain  $\overline{\mathbf{RC}}(-)[W_{\mathrm{rc},(-)}^{-1}]$  is a stack with respect to the Grothendieck topology given by all D-stable causally convex open covers.

*Proof.* We use the same proof strategy as in Theorem 4.27, but there are additional technical aspects (treated in Appendix D) arising from 1.) the D-stability requirement for causally convex open covers, and 2.) the fact that morphisms  $U \rightarrow U'$  in the localized orthogonal categories  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  from Example 2.10 exist whenever  $U \subseteq D_M(U')$ . This requires us to construct, for every Loc-morphism  $f: M \to N$  with D-stable image  $f(M) \subseteq N$ , every D-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of M and every relatively compact causally convex open subset  $U \subseteq M$ , a D-stable causally convex open cover  $\mathcal{V}$  of N which satisfies the restriction property  $f^{-1}\mathcal{V}|_{D_M(U)} = \mathcal{U}|_{D_M(U)}$  on the Cauchy development  $D_M(U) \subseteq M$ . As an immediate corollary of Proposition D.1, one can find an open cover  $\mathcal{W} = \{W_j \subseteq N \setminus cl(f(D_M(U)))\}$  of the complement of the closure  $cl(f(D_M(U))) \subseteq N$  such that each  $W_i \subseteq N$  is causally convex and D-stable in N. Using further that  $cl(f(D_M(U))) \subseteq cl(D_N(f(U))) \subseteq f(M)$  is contained in the image of f by Proposition D.2, we then obtain a D-stable causally convex open cover  $\mathcal{V} := f(\mathcal{U}) \cup \mathcal{W} = \{f(U_i) \subseteq N\} \cup \{W_i \subseteq N\}$  of N which, by construction, satisfies the desired restriction property  $f^{-1}\mathcal{V}|_{D_M(U)} = \mathcal{U}|_{D_M(U)}$ . The remaining steps in the proof are then identical to Theorem 4.27. 

### 4.4 Exhibiting examples of points

The aim of this subsection is to exhibit points of the relatively compact Haag-Kastler stack  $\mathcal{H}\mathcal{K}^{\mathrm{rc},W}$  from Theorem 4.27 and of the time-sliced relatively compact Haag-Kastler stack  $\mathcal{H}\mathcal{K}^{\mathrm{rc},W}$  from Theorem 4.35. These points correspond to AQFTs which are presented by generators and relations that satisfy certain simpler descent conditions. Explicit examples include free (i.e. non-interacting) AQFTs such as the free Klein-Gordon quantum field.

We start with some technical preparations for these results. Let  $\overline{\mathbf{C}(-)}$ :  $\mathbf{Loc} \to \mathbf{Cat}^{\perp}$  be a net domain (see Definition 4.6) or a localized net domain (see Definition 4.12). Given any causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  of any object  $M \in \mathbf{Loc}$ , which we assume to be *D*-stable in the localized case, there exists a diagram of adjunctions

The top horizontal adjunction  $j_{\mathcal{U}!} \dashv j_{\mathcal{U}}^*$  is the one determining the descent conditions from Definitions 4.17 and 4.29 for the improved Haag-Kastler-style pseudo-functor  $\mathcal{HK}_{\overline{\mathbf{C}}}$ , while the middle and bottom horizontal adjunctions  $\operatorname{Lan}_{j_{\mathcal{U}}} \dashv j_{\mathcal{U}}^*$  and  $\operatorname{lan}_{j_{\mathcal{U}}} \dashv j_{\mathcal{U}}^*$  are given by left Kan extensions of functors along the fully faithful functors  $j_{\mathcal{U}} : \mathbf{C}(\mathcal{U}) \to \mathbf{C}(\mathcal{M})$ . All horizontal left adjoints are fully faithful functors. The top vertical adjunctions  $p_{\mathcal{U}} \dashv \subseteq$  and  $p_{\mathcal{M}} \dashv \subseteq$  describe the reflectors for the full subcategory inclusions  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U}) \subseteq \mathbf{Fun}(\mathbf{C}(\mathcal{U}), \mathbf{Alg}_{uAs}(\mathbf{T}))$  and  $\mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{M}) \subseteq$  $\mathbf{Fun}(\mathbf{C}(M), \mathbf{Alg}_{uAs}(\mathbf{T}))$ . The left adjoints of these adjunctions enforce the  $\bot$ -commutativity axiom and they appeared before in [BSW21, Section 4.1] under the name  $\bot$ -abelianizations. The bottom vertical adjunctions  $\mathsf{F}_{\mathcal{U}} \dashv \mathsf{U}_{\mathcal{U}}$  and  $\mathsf{F}_M \dashv \mathsf{U}_M$  are the free-forget adjunctions for unital associative algebras in the functor categories  $\mathbf{Fun}(\mathbf{C}(\mathcal{U}), \mathbf{Alg}_{uAs}(\mathbf{T}))$ . Concretely, given any object  $X \in \mathbf{Fun}(\mathbf{C}(M), \mathbf{T})$ , then  $\mathsf{F}_M(X) \in \mathbf{Fun}(\mathbf{C}(M), \mathbf{Alg}_{uAs}(\mathbf{T}))$  is defined objectwise  $\mathsf{F}_M(X)(U) := \mathsf{F}_{uAs}(X(U))$  by taking the free unital associative algebra over  $X(U) \in \mathbf{T}$ , for all  $U \in \mathbf{C}(M)$ . (The functor  $\mathsf{F}_{\mathcal{U}}$  is defined similarly by taking object-wise free unital associative algebras.) Let us record the following commutativity properties of the diagram (4.54) of adjunctions:

- (1) The top square of right adjoints commutes  $\subseteq j_{\mathcal{U}}^* = j_{\mathcal{U}}^* \subseteq$ . Hence, also the top square of left adjoints commutes up to a natural isomorphism  $j_{\mathcal{U}} : p_{\mathcal{U}} \cong p_M \operatorname{Lan}_{j_{\mathcal{U}}}$ .
- (2) The bottom square of right adjoints commutes  $j_{\mathcal{U}}^* \mathsf{U}_M = \mathsf{U}_{\mathcal{U}} j_{\mathcal{U}}^*$ . Hence, also the bottom square of left adjoints commutes up to a natural isomorphism  $\operatorname{Lan}_{j_{\mathcal{U}}} \mathsf{F}_{\mathcal{U}} \cong \mathsf{F}_M \operatorname{lan}_{j_{\mathcal{U}}}$ .
- (3) In the bottom square we have also  $\mathsf{F}_{\mathcal{U}} j_{\mathcal{U}}^* = j_{\mathcal{U}}^* \mathsf{F}_M$  because the free algebra functors  $F_{\mathcal{U}}$  and  $F_M$  are defined object-wise, hence they commute with the pullback functors along  $j_{\mathcal{U}}: \mathbf{C}(\mathcal{U}) \to \mathbf{C}(M)$ .

Let us consider two objects  $\mathfrak{L}_M, \mathfrak{R}_M \in \mathbf{Fun}(\mathbf{C}(M), \mathbf{T})$  and two parallel morphisms  $\tilde{r}_1^M, \tilde{r}_2^M : \mathfrak{R}_M \Rightarrow \mathsf{U}_M\mathsf{F}_M(\mathfrak{L}_M)$  in  $\mathbf{Fun}(\mathbf{C}(M), \mathbf{T})$ . We define the object

$$\mathfrak{A}_{M} := \operatorname{colim}_{\operatorname{Alg}} \left( \mathsf{F}_{M}(\mathfrak{R}_{M}) \xrightarrow[r_{2}^{M}]{r_{2}^{M}} \mathsf{F}_{M}(\mathfrak{L}_{M}) \right) \in \operatorname{Fun} \left( \mathbf{C}(M), \operatorname{Alg}_{uAs}(\mathbf{T}) \right)$$
(4.55)

by taking the colimit (i.e. coequalizer) in  $\operatorname{Fun}(\mathbf{C}(M), \operatorname{Alg}_{uAs}(\mathbf{T}))$ , where  $r_1^M, r_2^M$  denote the adjuncts of  $\tilde{r}_1^M, \tilde{r}_2^M$  with respect to the free-forget adjunction  $\mathsf{F}_M \dashv \mathsf{U}_M$ . We assume that  $\mathfrak{A}_M$  satisfies the  $\bot$ -commutativity axiom from Definition 2.3, hence we obtain an object  $\mathfrak{A}_M \in \mathsf{HK}_{\overline{\mathbf{C}}}(M) \subseteq \operatorname{Fun}(\mathbf{C}(M), \operatorname{Alg}_{uAs}(\mathbf{T}))$  which we interpret as an AQFT that is presented by the generators  $\mathfrak{L}_M$  and the relations  $\tilde{r}_1^M, \tilde{r}_2^M$ .

Our goal is to establish criteria for this object to satisfy the descent conditions from Definitions 4.17 and 4.29, i.e. criteria such that the counit component  $(\epsilon_{\mathcal{U}})_{\mathfrak{A}_M} : j_{\mathcal{U}}! j_{\mathcal{U}}^*(\mathfrak{A}_M) \Rightarrow \mathfrak{A}_M$  is an isomorphism in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . Using commutativity of the top square of right adjoints in (4.54), we can compute the pullback  $j_{\mathcal{U}}^*(\mathfrak{A}_M) \in \mathsf{HK}_{\overline{\mathbf{C}}}(\mathcal{U})$  by

$$j_{\mathcal{U}}^{*}(\mathfrak{A}_{M}) = j_{\mathcal{U}}^{*}\left(\operatorname{colim}_{\operatorname{Alg}}\left(\mathsf{F}_{M}(\mathfrak{R}_{M}) \xrightarrow{\frac{r_{1}^{M}}{r_{2}^{M}}} \mathsf{F}_{M}(\mathfrak{L}_{M})\right)\right)$$
$$= \operatorname{colim}_{\operatorname{Alg}}\left(j_{\mathcal{U}}^{*}\mathsf{F}_{M}(\mathfrak{R}_{M}) \xrightarrow{\frac{j_{\mathcal{U}}^{*}(r_{1}^{M})}{j_{\mathcal{U}}^{*}(r_{2}^{M})}} j_{\mathcal{U}}^{*}\mathsf{F}_{M}(\mathfrak{L}_{M})\right) \quad , \tag{4.56}$$

where in the second step we used that colimits in functor categories are computed object-wise, hence they commute with pullback functors. Since the top vertical adjunctions in (4.54) exhibit reflective full subcategory inclusions, we can model the top horizontal left adjoint by  $j_{\mathcal{U}!} := p_M \operatorname{Lan}_{j_{\mathcal{U}}} \subseteq$ , see also [BSW21, Proposition 4.3] for more details on this point. We then compute

$$j_{\mathcal{U}} j_{\mathcal{U}}^{*}(\mathfrak{A}_{M}) = p_{M} \operatorname{Lan}_{j_{\mathcal{U}}} \left( \operatorname{colim}_{\mathbf{Alg}} \left( j_{\mathcal{U}}^{*} \mathsf{F}_{M}(\mathfrak{R}_{M}) \xrightarrow{j_{\mathcal{U}}^{*}(r_{2}^{M})} j_{\mathcal{U}}^{*} \mathsf{F}_{M}(\mathfrak{L}_{M}) \right) \right)$$
$$\cong p_{M} \left( \operatorname{colim}_{\mathbf{Alg}} \left( \operatorname{Lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*} \mathsf{F}_{M}(\mathfrak{R}_{M}) \xrightarrow{\operatorname{Lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(r_{2}^{M})} \operatorname{Lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*} \mathsf{F}_{M}(\mathfrak{L}_{M}) \right) \right) \quad . \quad (4.57)$$

In this model, the counit component  $(\epsilon_{\mathcal{U}})_{\mathfrak{A}_M} : j_{\mathcal{U}} : j_{\mathcal{U}}^*(\mathfrak{A}_M) \Rightarrow \mathfrak{A}_M \cong p_M(\mathfrak{A}_M)$  in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$  is given by applying the reflector  $p_M$  to the map between colimits which is induced by the map of

diagrams

where  $\epsilon_{\mathcal{U}}^{\text{Lan}}$  denotes the counit of the adjunction  $\text{Lan}_{j\mathcal{U}} \dashv j_{\mathcal{U}}^*$ . Using the commutativity properties in the diagram of adjunctions (4.54), we can rewrite this map of diagrams in the following more convenient form

$$\begin{array}{c|c} \mathsf{F}_{M} \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{R}_{M}) & \xrightarrow{s_{1}^{M}} & \mathsf{F}_{M} \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{L}_{M}) \\ \\ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}} & & & & & \\ & & & & & \\ \mathsf{F}_{M}(\mathfrak{R}_{M}) & \xrightarrow{r_{1}^{M}} & & & \mathsf{F}_{M}(\mathfrak{L}_{M}) \\ \end{array}$$

$$\begin{array}{c} \mathsf{F}_{M}(\mathfrak{R}_{M}) & \xrightarrow{r_{2}^{M}} & \mathsf{F}_{M}(\mathfrak{L}_{M}) \\ \end{array}$$

$$\begin{array}{c} \mathsf{K}_{M}(\mathfrak{R}_{M}) & \xrightarrow{r_{2}^{M}} & \mathsf{K}_{M}(\mathfrak{L}_{M}) \end{array}$$

$$\begin{array}{c} \mathsf{K}_{M}(\mathfrak{R}_{M}) & \xrightarrow{r_{2}^{M}} & \mathsf{K}_{M}(\mathfrak{L}_{M}) \end{array}$$

$$\begin{array}{c} \mathsf{K}_{M}(\mathfrak{R}_{M}) & \xrightarrow{r_{2}^{M}} & \mathsf{K}_{M}(\mathfrak{L}_{M}) \end{array}$$

where  $\epsilon_{\mathcal{U}}^{\text{lan}}$  denotes the counit of the adjunction  $\ln_{j_{\mathcal{U}}} \dashv j_{\mathcal{U}}^*$  and the relations  $s_1^M, s_2^M$  are defined implicitly through this identification. (In what follows we do not need explicit expressions for  $s_1^M, s_2^M$ .) This allows us to formulate a useful criterion for  $\mathfrak{A}_M \in \mathsf{HK}_{\overline{\mathbf{C}}}(M)$  to satisfy the descent conditions from Definitions 4.17 and 4.29.

**Proposition 4.36.** Suppose that the counit component  $(\epsilon_{\mathcal{U}}^{\mathrm{lan}})_{\mathfrak{L}_{M}}$ :  $\mathrm{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{L}_{M}) \Rightarrow \mathfrak{L}_{M}$  of the generators  $\mathfrak{L}_{M} \in \mathrm{Fun}(\mathbf{C}(M), \mathbf{T})$  is an isomorphism in  $\mathrm{Fun}(\mathbf{C}(M), \mathbf{T})$ . Then the counit component  $(\epsilon_{\mathcal{U}})_{\mathfrak{A}_{M}}$ :  $j_{\mathcal{U}} : j_{\mathcal{U}}^{*}(\mathfrak{A}_{M}) \Rightarrow \mathfrak{A}_{M}$  of the AQFT  $\mathfrak{A}_{M} \in \mathrm{HK}_{\overline{\mathbf{C}}}(M)$  from (4.55) is an isomorphism in  $\mathrm{HK}_{\overline{\mathbf{C}}}(M)$  if and only if the diagram

$$p_M \mathsf{F}_M \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^*(\mathfrak{R}_M) \xrightarrow[p_M(r_2^M \circ \mathsf{F}_M(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_M})]{} p_M \mathsf{F}_M(\mathfrak{L}_M) \Longrightarrow \mathfrak{A}_M$$
(4.59)

is a coequalizer in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . A sufficient condition for this is that the diagram

$$\mathsf{F}_{M} \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{R}_{M}) \xrightarrow{r_{1}^{M} \circ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}}} p_{M} \mathsf{F}_{M}(\mathfrak{L}_{M}) \Longrightarrow \mathfrak{A}_{M}$$
(4.60)

is a coequalizer in the functor category  $\operatorname{Fun}(\mathbf{C}(M), \operatorname{Alg}_{\mathsf{uAs}}(\mathbf{T}))$ , where the unit of the adjunction  $p_M \dashv \subseteq$  is left implicit.

*Proof.* Using the hypothesis that  $(\epsilon_{\mathcal{U}}^{\text{lan}})_{\mathfrak{L}_M}$  is an isomorphism and the diagrams (4.58), we obtain an isomorphic presentation for (4.57) which is given by

$$j_{\mathcal{U}} j_{\mathcal{U}}^{*}(\mathfrak{A}_{M}) \cong p_{M} \left( \operatorname{colim}_{\operatorname{Alg}} \left( \mathsf{F}_{M} \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{R}_{M}) \xrightarrow{\frac{r_{1}^{M} \circ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}}}{\frac{r_{2}^{M} \circ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}}}} \mathsf{F}_{M}(\mathfrak{L}_{M}) \right) \right)$$
$$\cong \operatorname{colim}_{\mathsf{HK}} \left( p_{M} \mathsf{F}_{M} \operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^{*}(\mathfrak{R}_{M}) \xrightarrow{\frac{p_{M}(r_{1}^{M} \circ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}})}{\frac{r_{2}^{M} \circ \mathsf{F}_{M}(\epsilon_{\mathcal{U}}^{\operatorname{lan}})_{\mathfrak{R}_{M}}}} \right) p_{M} \mathsf{F}_{M}(\mathfrak{L}_{M}) \left) \quad , \qquad (4.61)$$

where  $\operatorname{colim}_{\mathsf{HK}}$  denotes the colimit in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . Then  $(\epsilon_{\mathcal{U}})_{\mathfrak{A}_M}$  is an isomorphism if and only if the diagram (4.59) is a coequalizer in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$ . To prove the second statement, we apply the left adjoint functor  $p_M$  to the coequalizer (4.60), which yields a coequalizer in  $\mathsf{HK}_{\overline{\mathbf{C}}}(M)$  that is isomorphic to (4.59) since  $p_M \dashv \subseteq$  exhibits a reflective full subcategory, hence  $p_M p_M \mathsf{F}_M(\mathfrak{L}_M) \cong$  $p_M \mathsf{F}_M(\mathfrak{L}_M)$  and  $p_M(\mathfrak{A}_M) \cong \mathfrak{A}_M$ .  $\Box$  **Example 4.37.** We will show that the free Klein-Gordon quantum field on  $M \in \mathbf{Loc}$ , formulated in terms of a relatively compact Haag-Kastler-style AQFT  $\mathfrak{A}_M^{\mathrm{KG}} \in \mathsf{HK}^{\mathrm{rc}}(M)$  neglecting the timeslice axiom, satisfies the descent conditions from Definition 4.17 for every causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ . For this we shall test the criterion from Proposition 4.36. In this example we choose as target  $\mathbf{T} = \mathbf{Vec}_{\mathbb{C}}$  the closed symmetric monoidal category of complex vector spaces.

Recalling the standard construction of the free Klein-Gordon quantum field, see e.g. [BDH13, BD15] for reviews, the object  $\mathfrak{A}_M^{\mathrm{KG}} \in \mathsf{HK}^{\mathrm{rc}}(M)$  may be presented by the generators

$$\mathfrak{L}_{M}^{\mathrm{KG}} := \frac{C_{\mathrm{c}}^{\infty}(-)}{P_{M}C_{\mathrm{c}}^{\infty}(-)} : \mathbf{RC}(M) \longrightarrow \mathbf{Vec}_{\mathbb{C}} \quad , \tag{4.62}$$

where  $P_M := -\Box_M + m^2$  denotes the Klein-Gordon operator. More explicitly, this functor assigns to an object  $U \in \mathbf{RC}(M)$  the quotient vector space  $\mathfrak{L}_M^{\mathrm{KG}}(U) = \frac{C_c^{\infty}(U)}{P_M C_c^{\infty}(U)}$  and to a morphism  $U \subseteq U'$  in  $\mathbf{RC}(M)$  the pushforward (extension by zero) map, which we shall simply write as  $\mathfrak{L}_M^{\mathrm{KG}}(U) \to \mathfrak{L}_M^{\mathrm{KG}}(U')$ ,  $[\varphi] \mapsto [\varphi]$ . (Recall that these pushforward maps are injective, for all morphisms  $U \subseteq U'$  in  $\mathbf{RC}(M)$ .) The relations are given by the canonical commutation relations (CCR), i.e.

$$\mathfrak{R}_{M}^{\mathrm{KG}} := \mathfrak{L}_{M}^{\mathrm{KG}} \otimes \mathfrak{L}_{M}^{\mathrm{KG}} : \mathbf{RC}(M) \longrightarrow \mathbf{Vec}_{\mathbb{C}}$$
(4.63a)

is the object-wise tensor product of generators,

$$\tilde{r}_1^M := [-,-] : \mathfrak{R}_M^{\mathrm{KG}} \Longrightarrow \mathsf{U}_M\mathsf{F}_M(\mathfrak{L}_M^{\mathrm{KG}})$$
(4.63b)

is the commutator in the free algebra, and

$$\tilde{r}_2^M := i \hbar \tau_M(-,-) \mathbb{1} : \mathfrak{R}_M^{\mathrm{KG}} \Longrightarrow \mathsf{U}_M \mathsf{F}_M(\mathfrak{L}_M^{\mathrm{KG}})$$
(4.63c)

is given by weighting the unit 1 of the free algebra with  $i\hbar$  times the usual Poisson structure  $\tau_M(-,-) := \int_M (-) G_M(-) \operatorname{vol}_M$  which is constructed out of the retarded-minus-advanced Green's operator  $G_M := G_M^+ - G_M^-$  for the Klein-Gordon operator  $P_M$ . It is well-known [BDH13, BD15] that the AQFT  $\mathfrak{A}_M^{\text{KG}}$  constructed above satisfies the time-slice axiom, i.e. it sends Cauchy morphisms  $U \subseteq U'$  in  $\mathbf{RC}(M)$  to isomorphisms. This fact will be helpful for some of the computations performed below.

Computing explicitly the left Kan extension  $\operatorname{lan}_{j\mathcal{U}} j^*_{\mathcal{U}}(\mathfrak{L}_M^{\mathrm{KG}})$  for any causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  by the usual object-wise colimit formula [Rie16, Chapter 6.2], one finds that  $(\epsilon_{\mathcal{U}}^{\mathrm{lan}})_{\mathfrak{L}_M^{\mathrm{KG}}}$  is an isomorphism if and only if

$$\bigoplus_{i,j} \mathfrak{L}_M^{\mathrm{KG}}(U_{ij} \cap U) \implies \bigoplus_i \mathfrak{L}_M^{\mathrm{KG}}(U_i \cap U) \longrightarrow \mathfrak{L}_M^{\mathrm{KG}}(U)$$
(4.64)

is a coequalizer in  $\operatorname{Vec}_{\mathbb{C}}$ , for all  $U \in \operatorname{RC}(M)$ . The unlabeled maps are given by applying the functor  $\mathfrak{L}_{M}^{\mathrm{KG}}$  to the inclusions  $U_{ij} \cap U \subseteq U_{i} \cap U$ ,  $U_{ij} \cap U \subseteq U_{j} \cap U$  and  $U_{i} \cap U \subseteq U$ . Picking a partition of unity  $\{\chi_{i}\}$  subordinate to the open cover  $\{U_{i} \cap U \subseteq U\}$  of U, one shows that the map  $\bigoplus_{i} \mathfrak{L}_{M}^{\mathrm{KG}}(U_{i} \cap U) \to \mathfrak{L}_{M}^{\mathrm{KG}}(U)$  in (4.64) is surjective. Indeed, given any element  $[\varphi] \in \mathfrak{L}_{M}^{\mathrm{KG}}(U)$ , then  $\bigoplus_{i} [\chi_{i}\varphi] \in \bigoplus_{i} \mathfrak{L}_{M}^{\mathrm{KG}}(U_{i} \cap U)$  maps to  $\sum_{i} [\chi_{i}\varphi] = [\sum_{i} \chi_{i}\varphi] = [\varphi]$ . It remains to show that every element  $\bigoplus_{i} [\varphi_{i}] \in \bigoplus_{i} \mathfrak{L}_{M}^{\mathrm{KG}}(U_{i} \cap U)$  which is mapped to zero, i.e.  $\sum_{i} [\varphi_{i}] = [\sum_{i} \varphi_{i}] = 0$  or equivalently  $\sum_{i} \varphi_{i} = P_{M}(\psi)$  for some  $\psi \in C_{c}^{\infty}(U)$ , is of the form  $\bigoplus_{i} [\varphi_{i}] = \bigoplus_{i} \sum_{j} ([\varphi_{ji}] - [\varphi_{ij}])$  for some  $\bigoplus_{i,j} [\varphi_{ij}] \in \bigoplus_{i,j} \mathfrak{L}_{M}^{\mathrm{KG}}(U_{ij} \cap U)$ . This can be achieved by setting  $\varphi_{ij} := \chi_{i}\varphi_{j} - \chi_{i} P_{M}(\chi_{j}\psi)$ , for all i, j, since

$$\sum_{j} \left( [\varphi_{ji}] - [\varphi_{ij}] \right) = \sum_{j} \left[ \chi_{j} \varphi_{i} - \chi_{j} P_{M}(\chi_{i} \psi) - \chi_{i} \varphi_{j} + \chi_{i} P_{M}(\chi_{j} \psi) \right]$$
$$= \left[ \varphi_{i} - P_{M}(\chi_{i} \psi) \right] - \left[ \chi_{i} \sum_{j} \varphi_{j} - \chi_{i} P_{M}(\psi) \right] = \left[ \varphi_{i} \right] \quad , \qquad (4.65)$$

for all *i*. Hence, (4.64) is a coequalizer and the hypothesis of Proposition 4.36 holds true.

It remains to investigate the diagram (4.60) from Proposition 4.36. Computing the left Kan extension  $\lim_{j_{\mathcal{U}}} j_{\mathcal{U}}^*(\mathfrak{R}_M^{\mathrm{KG}})$  again via the object-wise colimit formula, one finds that (4.60) is a coequalizer if and only if the object-wise diagrams

$$\mathsf{F}_{\mathsf{uAs}}\Big(\bigoplus_{i} \big(\mathfrak{L}_{M}^{\mathrm{KG}}(U_{i}\cap U)\otimes\mathfrak{L}_{M}^{\mathrm{KG}}(U_{i}\cap U)\big)\Big) \xrightarrow[(r_{1}^{M})_{U}]{\underset{(r_{2}^{M})_{U}}{\longrightarrow}} \big(p_{M}\mathsf{F}_{M}(\mathfrak{L}_{M}^{\mathrm{KG}})\big)(U) \longrightarrow \mathfrak{A}_{M}^{\mathrm{KG}}(U)$$
(4.66)

are coequalizers in  $\operatorname{Alg}_{uAs}(\operatorname{Vec}_{\mathbb{C}})$ , for all  $U \in \operatorname{RC}(M)$ , where the relations are obtained by restricting the CCR relations (4.63) along the map

$$\bigoplus_{i} \left( \mathfrak{L}_{M}^{\mathrm{KG}}(U_{i} \cap U) \otimes \mathfrak{L}_{M}^{\mathrm{KG}}(U_{i} \cap U) \right) \longrightarrow \mathfrak{L}_{M}^{\mathrm{KG}}(U) \otimes \mathfrak{L}_{M}^{\mathrm{KG}}(U) = \mathfrak{R}_{M}^{\mathrm{KG}}(U)$$
(4.67)

determined by the applying the functor  $\mathfrak{L}_{M}^{\mathrm{KG}}$  to the inclusions  $U_{i} \cap U \subseteq U$ . The algebra  $(p_{M}\mathsf{F}_{M}(\mathfrak{L}_{M}^{\mathrm{KG}}))(U) \in \mathbf{Alg}_{\mathsf{uAs}}(\mathbf{Vec}_{\mathbb{C}})$  in this expression is generated freely by all  $[\varphi] \in \mathfrak{L}_{M}^{\mathrm{KG}}(U)$ , modulo the minimal  $\perp$ -commutativity relations demanding vanishing of the commutator

$$\left[ \left[ \varphi_1^{\perp} \right], \left[ \varphi_2^{\perp} \right] \right] = 0 \quad , \tag{4.68}$$

for all  $[\varphi_1^{\perp}], [\varphi_2^{\perp}] \in \mathfrak{L}_M^{\mathrm{KG}}(U)$  such that  $[\varphi_a^{\perp}]$  comes from extension by zero along morphisms  $V_a \subseteq U$ in  $\mathbf{RC}(M)$  with  $V_1 \perp V_2$  causally disjoint. It thus remains to show that (4.68) and the restricted CCR relations

$$\left[ [\varphi_1^i], [\varphi_2^i] \right] = i \hbar \tau_M \left( [\varphi_1^i], [\varphi_2^i] \right) \mathbb{1} \quad , \tag{4.69}$$

for all  $[\varphi_1^i], [\varphi_2^i] \in \mathfrak{L}_M^{\mathrm{KG}}(U_i \cap U)$  and all i, imply together the general CCR relations in  $\mathfrak{A}_M^{\mathrm{KG}}(U)$  for all  $[\varphi_1], [\varphi_2] \in \mathfrak{L}_M^{\mathrm{KG}}(U)$ . This can be done by an argument which is similar to the one in [DL12, Lemma 3.2 and Proposition 3.2].<sup>6</sup> For this we pick any spacelike Cauchy surface  $\Sigma$  of U and a family of causally convex open subsets  $\{V_\alpha \subseteq U\}$  in U that covers  $\Sigma$ , i.e.  $\Sigma \subseteq \bigcup_\alpha V_\alpha \subseteq U$ , but does not necessarily cover U, and satisfies the following property: If  $V_\alpha$  and  $V_\beta$  are not causally disjoint then there exists an i such that  $V_\alpha \cup V_\beta \subseteq U_i$ . (Such a cover  $\{V_\alpha\}$  may be found as follows: For each point p in the Riemannian manifold  $\Sigma$ , pick an index  $i_p$  and a radius  $r_p$  such that the open ball in  $\Sigma$  centered at p with radius  $3r_p$  is contained in  $U_{i_p}$ . (In particular,  $p \in U_{i_p}$ .) The collection of smaller open balls of radius  $r_p$  around each p covers  $\Sigma$  and has the property that, whenever two such balls around  $p, q \in \Sigma$  intersect, their union is contained in  $U_{i_p}$ , where without loss of generality we assume  $r_q \leq r_p$ . The family  $\{V_\alpha\}$  can be taken as Cauchy developments of this cover of  $\Sigma$  by small balls, after possibly shrinking the balls further to ensure  $V_\alpha \subseteq U_i \cap U$ .) Let us also choose any partition of unity  $\{\chi_\alpha\}$  subordinate to the cover  $\{V_\alpha\}$ . Using the time-slice axiom and the Cauchy morphism  $V \subseteq U$  given by the inclusion in U of a causally convex open neighborhood  $V \subseteq \bigcup_\alpha V_\alpha$  of  $\Sigma$ , we can and will choose representatives for  $[\varphi_1], [\varphi_2] \in \mathfrak{L}_M^{\mathrm{KG}}(U)$ with support in  $V \subseteq U$ , and hence also in  $\bigcup_\alpha V_\alpha \subseteq U$ . This allows us to compute

$$\begin{bmatrix} [\varphi_1], [\varphi_2] \end{bmatrix} = \sum_{\alpha, \beta} \begin{bmatrix} [\chi_{\alpha}\varphi_1], [\chi_{\beta}\varphi_2] \end{bmatrix} = \sum_{\substack{\alpha, \beta \text{ s.t.} \\ \text{not } V_{\alpha} \perp V_{\beta}}} \begin{bmatrix} [\chi_{\alpha}\varphi_1], [\chi_{\beta}\varphi_2] \end{bmatrix} + \sum_{\substack{\alpha, \beta \text{ s.t.} \\ V_{\alpha} \perp V_{\beta}}} \begin{bmatrix} [\chi_{\alpha}\varphi_1], [\chi_{\beta}\varphi_2] \end{bmatrix} \\ = \sum_{\substack{\alpha, \beta \text{ s.t.} \\ \text{not } V_{\alpha} \perp V_{\beta}}} i \hbar \tau_M ([\chi_{\alpha}\varphi_1], [\chi_{\beta}\varphi_2]) \mathbb{1} + 0 = \sum_{\alpha, \beta} i \hbar \tau_M ([\chi_{\alpha}\varphi_1], [\chi_{\beta}\varphi_2]) \mathbb{1} \\ = i \hbar \tau_M ([\varphi_1], [\varphi_2]) \mathbb{1} \quad , \qquad (4.70)$$

where in the third step we used the relations (4.69) and (4.68), together with the specified property of the cover  $\{V_{\alpha}\}$ , and in the fourth step we used that the Poisson structure vanishes between causally disjoint generators.  $\nabla$ 

<sup>&</sup>lt;sup>6</sup>We refer to the published version, which differs from the preprint available on arXiv.

**Example 4.38.** We will now show that the free Klein-Gordon quantum field on  $M \in \mathbf{Loc}$ , formulated in terms of a time-sliced relatively compact Haag-Kastler-style AQFT  $\mathfrak{A}_M^{\mathrm{KG},W} \in \mathsf{HK}^{\mathrm{rc},W}(M)$ , satisfies the descent conditions from Definition 4.29 for every *D*-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$ . For this we shall test the criterion from Proposition 4.36. In this example we choose again as target  $\mathbf{T} = \mathbf{Vec}_{\mathbb{C}}$  the closed symmetric monoidal category of complex vector spaces.

To streamline our calculations, it will be convenient to describe  $\mathfrak{A}_{M}^{\mathrm{KG},W} \in \mathsf{HK}^{\mathrm{rc},W}(M)$  as the pullback along the full orthogonal subcategory inclusion  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}] \subseteq \overline{\mathbf{COpen}(M)}[W_{M}^{-1}]$  from Example 2.10 of a time-sliced Haag-Kastler-style AQFT  $\mathfrak{A}_{M}^{\mathrm{KG},W} \in \mathsf{HK}^{W}(M)$  (denoted with abuse of notation by the same symbol) defined on all causally convex open subsets, including those that are not relatively compact. For the generators of  $\mathfrak{A}_{M}^{\mathrm{KG},W} \in \mathsf{HK}^{W}(M)$  we take

$$\mathfrak{L}_{M}^{\mathrm{KG},W} := \frac{C_{c}^{\infty}(-)}{P_{M}C_{c}^{\infty}(-)} : \mathbf{COpen}(M)[W_{M}^{-1}] \longrightarrow \mathbf{Vec}_{\mathbb{C}}$$
(4.71a)

with the functorial structure on morphisms  $U \to U'$  in the localized category  $\mathbf{COpen}(M)[W_M^{-1}]$ from Example 2.10 given by

$$\mathfrak{L}_{M}^{\mathrm{KG},W}(U) \longrightarrow \mathfrak{L}_{M}^{\mathrm{KG},W}(U') , \quad [\varphi] \longmapsto \pm \left[ P_{M} \left( \chi_{\pm} G_{M}(\varphi) \right) \right] , \qquad (4.71b)$$

where  $\{\chi_+, \chi_-\}$  is any choice of partition of unity subordinate to the open cover  $\{I_M^+(\Sigma^-), I_M^-(\Sigma^+)\}$ of  $J_M(D_M(U')) = J_M^+(D_M(U')) \cup J_M^-(D_M(U')) \subseteq M$  which is associated with any choice of two spacelike Cauchy surfaces  $\Sigma^+, \Sigma^-$  of U' such that  $\Sigma^+ \subseteq I_M^+(\Sigma^-)$  lies in the chronological future of  $\Sigma^-$ . This concrete description of the functorial structure follows by applying<sup>7</sup> [BMS24, Theorem 3.13] to construct inverses for morphisms which are obtained by applying the functor (4.62) to Cauchy morphisms. Note that for morphisms  $U \to U'$  which correspond to subset inclusions  $U \subseteq U'$ , this functorial structure simplifies to the extension by zero map  $\mathfrak{L}_M^{\mathrm{KG},W}(U) \to$  $\mathfrak{L}_M^{\mathrm{KG},W}(U'), \ [\varphi] \mapsto \pm [P_M(\chi_{\pm}G_M(\varphi))] = [\varphi]$  because  $\pm P_M(\chi_{\pm}G_M(\varphi)) = \varphi + P_M(\psi)$  with  $\psi := -\chi_- G_M^+(\varphi) - \chi_+ G_M^-(\varphi) \in C_c^{\infty}(U')$  for all  $\varphi \in C_c^{\infty}(U)$  with support in  $U \subseteq U'$ . This observation will be very useful in our calculations below.

The relations for  $\mathfrak{A}_M^{\mathrm{KG},W} \in \mathsf{HK}^W(M)$  are given again by the CCR relations, i.e.

$$\mathfrak{R}_{M}^{\mathrm{KG},W} := \mathfrak{L}_{M}^{\mathrm{KG},W} \otimes \mathfrak{L}_{M}^{\mathrm{KG},W} : \mathbf{COpen}(M)[W_{M}^{-1}] \longrightarrow \mathbf{Vec}_{\mathbb{C}}$$
(4.72a)

is the tensor product of generators,

$$\tilde{r}_1^{M,W} := [-,-] : \mathfrak{R}_M^{\mathrm{KG},W} \Longrightarrow \mathsf{U}_M\mathsf{F}_M(\mathfrak{L}_M^{\mathrm{KG},W})$$
(4.72b)

is the commutator in the free algebra, and

$$\tilde{r}_{2}^{M,W} := i \hbar \tau_{M}(-,-) \mathbb{1} : \mathfrak{R}_{M}^{\mathrm{KG},W} \Longrightarrow \mathsf{U}_{M}\mathsf{F}_{M}(\mathfrak{L}_{M}^{\mathrm{KG},W})$$

$$(4.72c)$$

is given by weighting the unit 1 of the free algebra with  $i\hbar$  times the usual Poisson structure  $\tau_M(-,-) := \int_M (-) G_M(-) \operatorname{vol}_M$ .

Let us consider now the pullback of the above generators and relations along  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}] \subseteq \overline{\mathbf{COpen}(M)}[W_M^{-1}]$ . Computing explicitly the left Kan extension  $\operatorname{lan}_{j_{\mathcal{U}}} j_{\mathcal{U}}^*(\mathfrak{L}_M^{\mathrm{KG},W})$  for any *D*-stable causally convex open cover  $\mathcal{U} = \{U_i \subseteq M\}$  by the usual object-wise colimit formula [Rie16, Chapter 6.2], one finds that  $(\epsilon_{\mathcal{U}}^{\mathrm{lan}})_{\mathfrak{L}_M^{\mathrm{KG},W}}$  is an isomorphism if and only if

$$\bigoplus_{i,j} \mathfrak{L}_M^{\mathrm{KG},W} \big( U_{ij} \cap D_M(U) \big) \implies \bigoplus_i \mathfrak{L}_M^{\mathrm{KG},W} \big( U_i \cap D_M(U) \big) \longrightarrow \mathfrak{L}_M^{\mathrm{KG},W}(U)$$
(4.73)

 $<sup>^{7}</sup>$ Our opposite overall sign compared to [BMS24] is a consequence of the fact that the latter considers shifted cochain complexes.

is a coequalizer in  $\operatorname{Vec}_{\mathbb{C}}$ , for all  $U \in \operatorname{RC}(M)[W_{\operatorname{rc},M}^{-1}]$ . Note that  $U_i \cap D_M(U) \subseteq M$  and  $U_{ij} \cap D_M(U) \subseteq M$  are not necessarily relatively compact, which is why it was convenient to introduce the generators (4.71) on all of  $\operatorname{COpen}(M)[W_M^{-1}]$  instead of only on the full subcategory  $\operatorname{RC}(M)[W_{\operatorname{rc},M}^{-1}] \subseteq \operatorname{COpen}(M)[W_M^{-1}]$ . We can postcompose (4.73) with the isomorphism  $\mathfrak{L}_M^{\operatorname{KG},W}(U) \xrightarrow{\cong} \mathfrak{L}_M^{\operatorname{KG},W}(D_M(U))$ , which yields an equivalent diagram where all maps are determined by subset inclusions, hence the functorial structure (4.71) simplifies to the usual extension by zero maps. Then the same calculations as in Example 4.37 show that the diagram (4.73) is indeed a coequalizer for all  $U \in \operatorname{RC}(M)[W_{\operatorname{rc},M}^{-1}]$ , so the hypothesis of Proposition 4.36 is satisfied.

It remains to investigate the diagram (4.60) from Proposition 4.36. Again via the colimit formula for the left Kan extension  $\ln_{j_{\mathcal{U}}} j_{\mathcal{U}}^*(\mathfrak{R}_M^{\mathrm{KG},W})$ , one finds that (4.60) is a coequalizer if and only if the object-wise diagrams

$$\mathsf{F}_{\mathsf{uAs}}\Big(\bigoplus_{i} \left(\mathfrak{L}_{M}^{\mathrm{KG},W}(U_{i}\cap U)\otimes\mathfrak{L}_{M}^{\mathrm{KG},W}(U_{i}\cap U)\right)\Big) \xrightarrow[r_{1}^{M}]{}_{U_{i}} (p_{M}\mathsf{F}_{M}(\mathfrak{L}_{M}^{\mathrm{KG},W}))(U) \longrightarrow \mathfrak{A}_{M}^{\mathrm{KG},W}(U) \quad (4.74)$$

are coequalizers in  $\operatorname{Alg}_{\mathsf{uAs}}(\operatorname{Vec}_{\mathbb{C}})$ , for all  $U \in \operatorname{RC}(M)[W_{\mathrm{rc},M}^{-1}]$ . To understand this claim, it is crucial to observe that the restriction of the CCR relations (4.72) along the map

$$\bigoplus_{i} \left( \mathfrak{L}_{M}^{\mathrm{KG},W} (U_{i} \cap D_{M}(U)) \otimes \mathfrak{L}_{M}^{\mathrm{KG},W} (U_{i} \cap D_{M}(U)) \right) \longrightarrow \mathfrak{L}_{M}^{\mathrm{KG},W}(U) \otimes \mathfrak{L}_{M}^{\mathrm{KG},W}(U) = \mathfrak{R}_{M}^{\mathrm{KG},W}(U) \quad (4.75)$$

can be restricted further along the isomorphisms  $\mathfrak{L}_{M}^{\mathrm{KG},W}(U_{i}\cap U) \xrightarrow{\cong} \mathfrak{L}_{M}^{\mathrm{KG},W}(U_{i}\cap D_{M}(U))$ , leading to an equivalent description of the relations. Then the same calculations as in Example 4.37 show that the diagram (4.74) is indeed a coequalizer, for all  $U \in \mathbf{RC}(M)[W_{\mathrm{rc},M}^{-1}]$ .

To state and prove the main result of this subsection, let us recall that the Klein-Gordon quantum field can be constructed also as a locally covariant AQFT  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})$ , see e.g. [BDH13, BD15]. It is well-known and easy to verify that this locally covariant AQFT satisfies both the time-slice axiom and the additivity property [BPS19], i.e. we even obtain an object  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add},W}$ . The restriction of  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})$  along the orthogonal functor  $k_M : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{Loc}}$  from item (3) of Example 2.2 then coincides with the relatively compact Haag-Kastler-style AQFT  $\mathfrak{A}^{\mathrm{KG}}_M \in \mathrm{HK}^{\mathrm{rc}}(M)$  from Example 4.37, for all  $M \in \mathbf{Loc}$ . Furthermore, passing also to the orthogonal localization  $L_{\mathrm{rc},M} : \overline{\mathbf{RC}(M)} \to \overline{\mathbf{RC}(M)}[W^{-1}_{\mathrm{rc},M}]$  from Example 2.10, one obtains the time-sliced relatively compact Haag-Kastler-style AQFT  $\mathfrak{A}^{\mathrm{KG},W}_M \in \mathrm{HK}^{\mathrm{rc},W}(M)$  from Example 4.38, for all  $M \in \mathbf{Loc}$ .

**Theorem 4.39.** Let  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add},W} \subseteq \mathbf{AQFT}(\overline{\mathbf{Loc}})$  be the free Klein-Gordon quantum field formulated as a locally covariant AQFT.

- (1) The point of the relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc}}$  which is obtained by forgetting the time-slice axiom  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add}}$  and applying the fully faithful functor from Corollary 3.20 defines via Proposition 4.26 a point of the relatively compact Haag-Kastler stack  $\mathcal{HK}^{\mathrm{rc}}$  from Theorem 4.27.
- (2) The point of the time-sliced relatively compact Haag-Kastler 2-functor  $\mathsf{HK}^{\mathrm{rc},W}$  which is obtained by applying the fully faithful functor from Corollary 3.25 to  $\mathfrak{A}^{\mathrm{KG}} \in \mathbf{AQFT}(\overline{\mathbf{Loc}})^{\mathrm{add},W}$  defines via Proposition 4.34 a point of the time-sliced relatively compact Haag-Kastler stack  $\mathcal{HK}^{\mathrm{rc},W}$  from Theorem 4.35.

*Proof.* These claims hold true because the descent conditions required for Propositions 4.26 and 4.34 have already been verified in Examples 4.37 and 4.38 above.  $\Box$ 

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### A Operadic left Kan extensions

In this appendix we present a concrete model for the operadic left Kan extensions from Proposition 2.5. For this we have to recall the explicit description of the AQFT operads  $\mathcal{O}_{\overline{C}}$  from [BSW21], see also [BS19].

**Definition A.1.** Let  $\overline{\mathbf{C}} = (\mathbf{C}, \perp_{\mathbf{C}})$  be an orthogonal category. The associated *AQFT operad*  $\mathcal{O}_{\overline{\mathbf{C}}}$  is the colored operad which is defined by the following data:

- (i) the objects are the objects of **C**;
- (ii) the set of operations from  $\underline{M} := (M_1, \ldots, M_n)$  to N is the quotient set

$$\mathcal{O}_{\overline{\mathbf{C}}}\binom{N}{\underline{M}} := \left( \Sigma_n \times \prod_{i=1}^n \operatorname{Hom}_{\mathbf{C}}(M_i, N) \right) / \sim_{\perp_{\mathbf{C}}} , \qquad (A.1)$$

where  $\operatorname{Hom}_{\mathbf{C}}(M_i, N)$  denotes the set of **C**-morphisms from  $M_i$  to N,  $\Sigma_n$  denotes the permutation group on n letters, and the equivalence relation is defined as follows:  $(\sigma, \underline{f}) \sim_{\perp_{\mathbf{C}}} (\sigma', \underline{f}')$  if and only if  $\underline{f} := (f_1, \ldots, f_n) = (f'_1, \ldots, f'_n) =: \underline{f}'$  and the right permutation  $\sigma\sigma'^{-1} : \underline{f}\sigma^{-1} := (f_{\sigma^{-1}(1)}, \ldots, f_{\sigma^{-1}(n)}) \to \underline{f}\sigma'^{-1} := (f_{\sigma'^{-1}(1)}, \ldots, f_{\sigma'^{-1}(n)})$  is generated by transpositions of adjacent orthogonal pairs;

(iii) the composition of  $[\sigma, \underline{f}] : \underline{M} \to N$  with  $[\sigma_i, \underline{g}_i] : \underline{K}_i \to M_i$ , for  $i = 1, \ldots, n$ , is

$$[\sigma, \underline{f}] [\underline{\sigma}, \underline{\underline{g}}] := \left[ \sigma(\sigma_1, \dots, \sigma_n), \underline{f} \, \underline{\underline{g}} \right] : \underline{\underline{K}} \longrightarrow N \quad , \tag{A.2a}$$

where  $\sigma(\sigma_1, \ldots, \sigma_n)$  denotes the composition in the unital associative operad and

$$\underline{f} \underline{\underline{g}} := \left( f_1 g_{11}, \dots, f_1 g_{1k_1}, \dots, f_n g_{n1}, \dots, f_n g_{nk_n} \right)$$
(A.2b)

is given by compositions in the category  $\mathbf{C}$ ;

- (iv) the unit elements are  $1 := [e, id_N] : N \to N$ , where  $e \in \Sigma_1$  is the identity permutation;
- (v) the permutation action of  $\sigma' \in \Sigma_n$  on  $[\sigma, f] : \underline{M} \to N$  is

$$[\sigma, \underline{f}] \cdot \sigma' := [\sigma \sigma', \underline{f} \sigma'] : \underline{M} \sigma' \longrightarrow N \quad , \tag{A.3}$$

where  $\underline{f}\sigma' = (f_{\sigma'(1)}, \ldots, f_{\sigma'(n)})$  and  $\underline{M}\sigma' = (M_{\sigma'(1)}, \ldots, M_{\sigma'(n)})$  denote the permuted tuples and  $\sigma\overline{\sigma'}$  is given by the composition of permutations in  $\Sigma_n$ .

The key property of the AQFT operad  $\mathcal{O}_{\overline{\mathbf{C}}}$  is that the category of **T**-valued AQFTs over  $\overline{\mathbf{C}}$  from Definition 2.3 is isomorphic to the category of  $\mathcal{O}_{\overline{\mathbf{C}}}$ -algebras with values in **T**, i.e.  $\mathbf{AQFT}(\overline{\mathbf{C}}) \cong \mathbf{Alg}_{\mathcal{O}_{\overline{\mathbf{C}}}}(\mathbf{T})$ .

Given any orthogonal functor  $F : \overline{\mathbf{C}} \to \overline{\mathbf{D}}$ , one defines an operad morphism  $\mathcal{O}_F : \mathcal{O}_{\overline{\mathbf{C}}} \to \mathcal{O}_{\overline{\mathbf{D}}}$ by sending each object  $M \in \mathbf{C}$  to  $F(M) \in \mathbf{D}$  and each operation  $[\sigma, \underline{f}] : \underline{M} \to N$  in  $\mathcal{O}_{\overline{\mathbf{C}}}$  to the operation  $\mathcal{O}_F([\sigma, \underline{f}]) := [\sigma, F(\underline{f})] : F(\underline{M}) \to F(N)$  in  $\mathcal{O}_{\overline{\mathbf{D}}}$ , where  $F(\underline{f}) := (F(f_1), \ldots, F(f_n))$  and  $F(\underline{M}) := (F(M_1), \ldots, F(M_n))$  denote the actions of F on tuples. The pullback functor  $F^* = \mathcal{O}_F^*$ from (2.3) then coincides with the pullback functor of operad algebras.

A model for the operadic left Kan extension  $F_!$ :  $\mathbf{AQFT}(\overline{\mathbf{C}}) \to \mathbf{AQFT}(\overline{\mathbf{D}})$  can be given in terms of an ordinary left Kan extension along the induced functor  $\mathcal{O}_F^{\otimes} : \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes} \to \mathcal{O}_{\overline{\mathbf{D}}}^{\otimes}$  between the monoidal envelopes of the AQFT operads. For details about these concepts, we refer the reader to [Hor17, Section 1.1] and also to [BPSW21, Section 6] where the notations are closer to our present ones. More explicitly, given any  $\mathfrak{A} \in \mathbf{AQFT}(\overline{\mathbf{C}})$ , the operadic left Kan extension  $F_!(\mathfrak{A}) \in \mathbf{AQFT}(\overline{\mathbf{D}})$  is defined object-wise by the colimit

$$F_{!}(\mathfrak{A})(K) := \operatorname{colim}\left(\mathcal{O}_{F}^{\otimes}/K \longrightarrow \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes} \xrightarrow{\mathfrak{A}^{\otimes}} \mathbf{T}\right) \quad , \tag{A.4}$$

for all  $K \in \mathbf{D}$ , together with its canonically defined  $\mathcal{O}_{\overline{\mathbf{D}}}$ -algebra structure. Let us briefly describe the building blocks of this colimit in more detail:

- The monoidal envelope  $\mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  of the AQFT operad  $\mathcal{O}_{\overline{\mathbf{C}}}$  from Definition A.1 is the category whose objects are all (possibly empty) tuples  $\underline{M} := (M_1, \ldots, M_n)$  of objects in  $\mathcal{O}_{\overline{\mathbf{C}}}$ . A morphism  $\underline{M} \to \underline{N}$  in  $\mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  from  $\underline{M} = (M_1, \ldots, M_n)$  to  $\underline{N} = (N_1, \ldots, N_p)$  is a pair  $(\alpha, [\underline{\sigma}, \underline{f}])$  consisting of a map of sets  $\alpha : \{1, \ldots, n\} \to \{1, \ldots, p\}$  and a tuple  $[\underline{\sigma}, \underline{f}] := ([\sigma_1, \underline{f}_1], \ldots, [\sigma_p, \underline{f}_p])$ of operations  $[\sigma_j, \underline{f}_j] : \underline{M}_{\alpha^{-1}(j)} \to N_j$  in  $\mathcal{O}_{\overline{\mathbf{C}}}$ , for all  $j \in \{1, \ldots, p\}$ . Concatenation of tuples endows the category  $\mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  with a symmetric monoidal structure.
- The symmetric monoidal functor  $\mathfrak{A}^{\otimes} : \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes} \to \mathbf{T}$  is canonically defined from the operad algebra  $\mathfrak{A} \in \mathbf{AQFT}(\overline{\mathbf{C}}) \cong \mathbf{Alg}_{\mathcal{O}_{\overline{\mathbf{C}}}}(\mathbf{T})$  as follows: To each object  $\underline{M} = (M_1, \dots, M_n) \in \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  it assigns the tensor product  $\mathfrak{A}^{\otimes}(\underline{M}) := \bigotimes_{i=1}^n \mathfrak{A}(M_i) \in \mathbf{T}$ , and to each morphism  $(\alpha, [\sigma, \underline{f}]) : \underline{M} \to \underline{N}$  in  $\mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  it assigns the **T**-morphism

$$\mathfrak{A}^{\otimes}\left(\alpha, \underline{[\sigma, \underline{f}]}\right) : \mathfrak{A}^{\otimes}(\underline{M}) \xrightarrow{\text{permute}} \bigotimes_{j=1}^{p} \mathfrak{A}^{\otimes}(\underline{M}_{\alpha^{-1}(j)}) \xrightarrow{\bigotimes_{j=1}^{p} \mathfrak{A}([\sigma_{j}, \underline{\underline{f}}_{j}])} \mathfrak{A}^{\otimes}(\underline{N}) \quad , \quad (A.5)$$

where the permutation of tensor factors is via the symmetric braiding of  $\mathbf{T}$ .

• The category  $\mathcal{O}_F^{\otimes}/K$  is the comma category of the functor  $\mathcal{O}_F^{\otimes}: \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes} \to \mathcal{O}_{\overline{\mathbf{D}}}^{\otimes}$  over the length one tuple  $K \in \mathcal{O}_{\overline{\mathbf{D}}}^{\otimes}$  consisting of the given object  $K \in \mathbf{D}$ . Hence, an object in  $\mathcal{O}_F^{\otimes}/K$  is a pair  $(\underline{M}, [\rho, \underline{g}])$  consisting of an object  $\underline{M} \in \mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  and an operation  $[\rho, \underline{g}]: F(\underline{M}) \to K$  in  $\mathcal{O}_{\overline{\mathbf{D}}}$ . A morphism  $(\underline{M}, [\rho, \underline{g}]) \to (\underline{N}, [\tau, \underline{h}])$  in  $\mathcal{O}_F^{\otimes}/K$  is a morphism  $(\alpha, [\underline{\sigma}, \underline{f}]): \underline{M} \to \underline{N}$  in  $\mathcal{O}_{\overline{\mathbf{C}}}^{\otimes}$  such that the triangle

$$F(\underline{M}) \xrightarrow{(\alpha, [\underline{\sigma}, F(\underline{f})])} F(\underline{N}) \xrightarrow{[\rho, \underline{g}]} K \xrightarrow{[\tau, \underline{h}]} F(\underline{N})$$
(A.6)

in  $\mathcal{O}_{\overline{\mathbf{D}}}^{\otimes}$  commutes.

# **B** Orthogonal localizations

We develop via the calculus of fractions [GZ67] the explicit model from Example 2.10 for the orthogonal localization  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  of  $\overline{\mathbf{COpen}(M)}$  at all Cauchy morphisms  $W_M$ . The

same construction will apply to give the explicit model from Example 2.10 for the orthogonal localization  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$ . Our conventions are those of [KS06, Chapter 7].

**Lemma B.1.** The set of Cauchy morphisms  $W_M$  in the category  $\mathbf{COpen}(M)$  is a right multiplicative system.

*Proof.* We must verify that  $W_M$  satisfies the four properties (S1)–(S4) listed in [KS06, Definition 7.1.5].

- (S1) All isomorphisms in  $\mathbf{COpen}(M)$  are identities, hence Cauchy morphisms.
- (S2) Any Cauchy morphism  $U \subseteq U'$  exhibits Cauchy surfaces in U as Cauchy surfaces in U'. It follows that Cauchy morphisms are closed under composition.
- (S3) Given any Cauchy morphism  $U \subseteq U'$  and any morphism  $U \subseteq V$ , we argue that the union  $U' \cup V \subseteq M$  is causally convex, and moreover that the inclusion  $V \subseteq U' \cup V$  is a Cauchy morphism. This gives a (necessarily commuting) square

$$\begin{array}{cccc} U & \longrightarrow & V \\ \text{Cauchy} & & & \downarrow \text{Cauchy} \\ U' & \longrightarrow & U' \cup V \end{array} \tag{B.1}$$

in **COpen**(M). To show causal convexity of  $U' \cup V \subseteq M$ , one verifies first that  $J_M^{\pm}(U') \subseteq U' \cup J_M^{\pm}(V)$  because  $U \subseteq U'$  is a Cauchy morphism. It then suffices to consider any causal curve  $\gamma : [0,1] \to M$  with  $\gamma(0) \in U'$  and  $\gamma(1) \in V$ . If  $\gamma$  is future-directed, then for any  $t \in [0,1]$  it holds that

$$\gamma(t) \in J_M^+(U') \cap J_M^-(V)$$

$$\subseteq (U' \cup J_M^+(V)) \cap J_M^-(V)$$

$$= (U' \cap J_M^-(V)) \cup (J_M^+(V) \cap J_M^-(V))$$

$$\subseteq U' \cup V , \qquad (B.2)$$

where the last step involves also the causal convexity of V. Thus  $\gamma$  does not exit  $U' \cup V$ . A similar argument holds when  $\gamma$  is past-directed. To see that  $V \subseteq U' \cup V$  is a Cauchy morphism, observe that any inextendable causal curve in M which intersects  $U' \cup V$  must necessarily intersect V. Indeed, if it intersects U' then, because  $U \subseteq U'$  is a Cauchy morphism, it also intersects U, which is contained in V.

(S4) The property (S4) is trivially satisfied since  $\mathbf{COpen}(M)$  is thin.

As a consequence of this lemma, the calculus of fractions applies to give a model for the localization  $L_M : \mathbf{COpen}(M) \to \mathbf{COpen}(M)[W_M^{-1}]$ , see [KS06, Theorem 7.1.16]. Furthermore, orthogonal localization endows the localized category  $\mathbf{COpen}(M)[W_M^{-1}]$  with the orthogonality relation pushed forward along the localization functor  $L_M$ , see [BCS23, Proposition 2.11]. The resulting model for the orthogonal localization  $L_M : \mathbf{COpen}(M) \to \mathbf{COpen}(M)[W_M^{-1}]$  is then given as follows:

The category COpen(M)[W<sub>M</sub><sup>-1</sup>] has the same objects as COpen(M), and its morphisms [X]: U → V are equivalence classes of objects X ∈ COpen(M) with U ⊆ X ⊇ V such that (V ⊆ X) ∈ W<sub>M</sub> is a Cauchy morphism. Two such X, X' ∈ COpen(M) are equivalent if there exists a third X" ∈ COpen(M) with X ⊆ X" ⊇ X' such that (V ⊆ X") ∈ W<sub>M</sub> is a Cauchy morphism. The composite of [X]: U → V and [Y]: V → W is given by [Y] ∘ [X] = [X ∪ Y], using (B.1).

- The orthogonality relation on  $\overline{\mathbf{COpen}(M)}[W_M^{-1}]$  is characterized as follows:  $([X_1]: U_1 \to V) \perp ([X_2]: U_2 \to V)$  if and only if  $(U_1 \subseteq X_1 \cup X_2) \perp (U_2 \subseteq X_1 \cup X_2)$  in  $\overline{\mathbf{COpen}(M)}$ , or equivalently  $U_1$  and  $U_2$  are causally disjoint in M.
- The orthogonal localization functor  $L_M : \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(M)}[W_M^{-1}]$  acts as identity on objects and sends a morphism  $U \subseteq V$  in  $\mathbf{COpen}(M)$  to  $[V] : U \to V$ .

This model can be simplified significantly. Let us recall that the Cauchy development  $D_M(S) \subseteq M$  of a subset  $S \subseteq M$  is the set of points  $p \in M$  such that every inextendable causal curve through p also intersects S. An inclusion  $U \subseteq V$  of causally convex open subsets of M is a Cauchy morphism if and only if  $D_M(U) = D_M(V)$ . Other useful properties of Cauchy developments include that  $D_M(D_M(S)) = D_M(S)$  and  $f(D_M(S)) \subseteq D_N(f(S))$ , for every subset  $S \subseteq M$  and every **Loc**-morphism  $f: M \to N$ , see also Lemma D.4.

**Proposition B.2.** The category  $\mathbf{COpen}(M)[W_M^{-1}]$  is thin, i.e. there exists at most one morphism between every two objects. Moreover, the unique morphism  $U \to V$  exists if and only if  $U \subseteq D_M(V)$  is contained in the Cauchy development of V in M.

*Proof.* For any two parallel morphisms  $[X], [X'] : U \to V$ , one verifies using (B.1) that  $[X] = [X \cup X'] = [X']$  since both  $V \subseteq X$  and  $V \subseteq X'$  are Cauchy morphisms.

For the second statement, suppose that a morphism  $[X] : U \to V$  exists. Then we have a morphism  $U \subseteq X$  and a Cauchy morphism  $V \subseteq X$  in  $\operatorname{COpen}(M)$ , hence  $U \subseteq X \subseteq D_M(X) = D_M(V)$ . Conversely, suppose that  $U \subseteq D_M(V)$ . We show that  $[J_M^{+\cap-}(U \cup V)] : U \to V$  is a valid morphism<sup>8</sup> in  $\operatorname{COpen}(M)[W_M^{-1}]$ , where  $J_M^{+\cap-}(S) := J_M^+(S) \cap J_M^-(S)$  is the causally convex hull of  $S \subseteq M$ . There are clearly inclusions  $U \subseteq J_M^{+\cap-}(U \cup V)$  and  $V \subseteq J_M^{+\cap-}(U \cup V)$ . Using the hypothesis  $U \subseteq D_M(V)$ , one has that  $J_M^{\pm}(U) \subseteq J_M^{\pm}(D_M(V))$ . Then also  $J_M^{\pm}(U \cup V) = J_M^{\pm}(U) \cup J_M^{\pm}(V) \subseteq J_M^{\pm}(D_M(V))$ , so that  $J_M^{+\cap-}(U \cup V) \subseteq J_M^{+\cap-}(D_M(V)) = D_M(V)$ , where the last equality expresses causal convexity of  $D_M(V)$ . It follows that  $D_M(J_M^{+\cap-}(U \cup V)) = D_M(V)$ , i.e. the inclusion  $V \subseteq J_M^{+\cap-}(U \cup V)$  is a Cauchy morphism.  $\Box$ 

For every Loc-morphism  $f: M \to N$ , the orthogonal functor  $f: \overline{\mathbf{COpen}(M)} \to \overline{\mathbf{COpen}(N)}$ from (3.2) preserves Cauchy morphisms, i.e. it maps  $W_M$  to  $W_N$ , hence it induces an orthogonal functor  $f_W: \overline{\mathbf{COpen}(M)}[W_M^{-1}] \to \overline{\mathbf{COpen}(N)}[W_N^{-1}]$  such that  $L_N \circ f = f_W \circ L_M$ . On objects,  $f_W(U) := f(U)$  takes images under f. Note that, by Proposition B.2, this defines a valid functor since  $U \subseteq D_M(V)$  implies  $f(U) \subseteq f(D_M(V)) \subseteq D_N(f(V))$ .

**Proposition B.3.** The orthogonal functor  $f_W : \overline{\mathbf{COpen}(M)}[W_M^{-1}] \to \overline{\mathbf{COpen}(N)}[W_N^{-1}]$  associated to any Loc-morphism  $f : M \to N$  is fully faithful and reflects orthogonality.

Proof. The functor  $f_W$  both preserves and reflects orthogonality because  $U_1, U_2 \subseteq M$  are causally disjoint if and only if  $f(U_1), f(U_2) \subseteq N$  are causally disjoint. From Proposition B.2, fully faithfulness of  $f_W$  means equivalently that  $f(U) \subseteq D_N(f(V))$  if and only if  $U \subseteq D_M(V)$ , for all causally convex opens  $U, V \in \mathbf{COpen}(M)$ . Suppose that  $U \subseteq D_M(V)$ . Then  $f(U) \subseteq f(D_M(V)) \subseteq$  $D_N(f(V))$  by the properties of Cauchy development noted above Proposition B.2. Now suppose that  $f(U) \subseteq D_N(f(V))$  and take any point  $p \in U$  and any inextendable causal curve  $\gamma : (-1,1) \to M$  with  $\gamma(0) = p$ . Pick an extension  $\tilde{\gamma}$  of  $f \circ \gamma : (-1,1) \to N$ , i.e. an inextendable causal curve  $\tilde{\gamma} : (a,b) \to N$  with  $(-1,1) \subseteq (a,b)$  such that  $\tilde{\gamma}|_{(-1,1)} = f \circ \gamma$ . Because  $\tilde{\gamma}(0) = f(\gamma(0)) \in f(U) \subseteq D_N(f(V))$ , there exists  $t \in (a,b)$  with  $\tilde{\gamma}(t) \in f(V)$ . Since  $\gamma$  is inextendable in  $M, \tilde{\gamma}(-1)$  and  $\tilde{\gamma}(1)$  (if defined) do not lie in f(M). Thus  $t \in (-1,1)$  because f(M)is causally convex. We therefore have  $f(\gamma(t)) = \tilde{\gamma}(t) \in f(V)$ , so  $\gamma(t) \in V$  because f is injective. This demonstrates that  $p \in D_M(V)$ .

<sup>&</sup>lt;sup>8</sup>It is also true that  $[D_M(V)] : U \to V$  is a valid morphism of  $\mathbf{COpen}(M)[W_M^{-1}]$ . However, for  $U, V \in \mathbf{RC}(M)$ ,  $D_M(V) \in \mathbf{COpen}(M)$  may fail to be relatively compact and hence may not define a morphism  $U \to V$  in  $\mathbf{RC}(M)[W_{\mathrm{rc},M}^{-1}]$ . We prefer to present a proof that adapts straightforwardly to the relatively compact case.

The above results specialize to the full orthogonal subcategory  $\overline{\mathbf{RC}(M)} \subseteq \overline{\mathbf{COpen}(M)}$  of all relatively compact causally convex open subsets of M because the constructions used in their proofs preserve relative compactness.

**Lemma B.4.** Let  $M \in \text{Loc}$  be any object and  $S \subseteq M$  a relatively compact subset. Then the causally convex hull  $J_M^{+\cap-}(S) \subseteq M$  is also relatively compact.

Proof. Because the closure  $cl(S) \subseteq M$  is by hypothesis compact, it is a consequence of global hyperbolicity of M [Min19, Definition 4.117 and Theorem 4.12] that  $J_M^{+\cap-}(cl(S)) \subseteq M$  is compact and that  $J_M^+(cl(S)) \subseteq M$  and  $J_M^-(cl(S)) \subseteq M$  are closed. It follows that  $cl(J_M^{+\cap-}(S)) \subseteq cl(J_M^+(S)) \cap cl(J_M^-(S)) \subseteq J_M^+(cl(S)) \cap J_M^-(cl(S))$  is a closed subset of a compact set, and hence is compact.

**Corollary B.5.** The orthogonal localization  $\overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  of  $\overline{\mathbf{RC}(M)}$  at all Cauchy morphisms  $W_{\mathrm{rc},M}$  is thin and admits the following explicit description: Its objects are all objects  $U \in \overline{\mathbf{RC}(M)}$ , a morphism  $U \to V$  exists uniquely if and only if  $U \subseteq D_M(V)$ , and  $(U_1 \to V) \perp (U_2 \to V)$  are orthogonal if and only if  $U_1$  and  $U_2$  are causally disjoint in M. The orthogonal localization functor  $L_{\mathrm{rc},M}: \overline{\mathbf{RC}(M)} \to \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}]$  acts as the identity on objects and sends the morphism  $U \subseteq V$  in  $\mathbf{RC}(M)$  to  $U \to V$ . Furthermore, the orthogonal functor  $f_W: \overline{\mathbf{RC}(M)}[W_{\mathrm{rc},M}^{-1}] \to \overline{\mathbf{RC}(N)}[W_{\mathrm{rc},N}^{-1}]$  associated to any  $\mathbf{Loc}$ -morphism  $f: M \to N$  is fully faithful and reflects orthogonality.

*Proof.* The proofs of Lemma B.1 and Propositions B.2 and B.3 hold without alteration for  $\mathbf{RC}(M)$  in place of  $\mathbf{COpen}(M)$ , since unions and causally convex hulls of relatively compact subsets are relatively compact.

### C Technical details for Proposition 4.20

In this appendix we supply the technical details which are needed to prove Proposition 4.20. To simplify notation, let us denote the pseudo-functor whose bilimit we wish to compute by

$$X: \mathbf{D} \longrightarrow \mathbf{Pr}^L \quad , \tag{C.1}$$

where **D** is a small 1-category with terminal object  $* \in \mathbf{D}$ . As in the context of Proposition 4.20, we assume that the left adjoint functor  $X(g) : X(d) \to X(d')$  is fully faithful, for all **D**-morphisms  $g : d \to d'$ , and we denote its right adjoint (coreflector) by  $X^{\dagger}(g) : X(d') \to X(d)$ .

Following Construction 2.23, we compute the bilimit of X by starting from the explicit model

$$\operatorname{bilim}(X) = \operatorname{Hom}(\Delta \mathbf{1}, X) \tag{C.2}$$

given by the category of pseudo-natural transformations and modifications. Spelling out these data, one finds the following explicit description:

• An object in bilim(X) is a tuple  $(\{x_d\}, \{\varphi_g\})$  consisting of a family of objects  $x_d \in X(d)$ , for all  $d \in \mathbf{D}$ , and a family of isomorphisms  $\varphi_g : X(g)(x_d) \to x_{d'}$  in X(d'), for all **D**-morphisms  $g : d \to d'$ . These data have to satisfy the conditions that

$$\begin{array}{cccc} X(g')X(g)(x_d) & \xrightarrow{X(g')(\varphi_g)} & X(g')(x_{d'}) \\ & \cong & & & \downarrow \varphi_{g'} \\ X(g'g)(x_d) & \xrightarrow{\varphi_{g'g}} & & x_{d''} \end{array}$$
(C.3a)

commutes in X(d''), for all composable **D**-morphisms  $g: d \to d'$  and  $g': d' \to d''$ , and that

$$\begin{array}{ccc} X(\mathrm{id}_d)(x_d) & \xrightarrow{\varphi_{\mathrm{id}_d}} & x_d \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

commutes in X(d), for all  $d \in \mathbf{D}$ .

• A morphism in bilim(X) is a tuple  $\{\psi_d\}$  :  $(\{x_d\}, \{\varphi_g\}) \to (\{x'_d\}, \{\varphi'_g\})$  consisting of a family of morphisms  $\psi_d : x_d \to x'_d$  in X(d), for all  $d \in \mathbf{D}$ , such that

commutes in X(d'), for all **D**-morphisms  $g: d \to d'$ .

We will now simplify this description by using our hypotheses on the category  $\mathbf{D}$  and the pseudo-functor X. Consider the canonical projection functor

$$\operatorname{bilim}(X) \longrightarrow X(*) \tag{C.5}$$

from the bilimit to the value of X on the terminal object. Explicitly, this functor assigns to an object  $(\{x_d\}, \{\varphi_g\}) \in \text{bilim}(X)$  the component  $x_* \in X(*)$  at the terminal object, and to a morphism  $\{\psi_d\} : (\{x_d\}, \{\varphi_g\}) \to (\{x'_d\}, \{\varphi'_g\})$  in bilim(X) the component  $\psi_* : x_* \to x'_*$  in X(\*)at the terminal object.

**Lemma C.1.** The functor  $\operatorname{bilim}(X) \to X(*)$  of (C.5) is fully faithful.

*Proof.* Specializing the commutative diagrams (C.4) to the terminal **D**-morphisms  $t_d : d \to *$ , we obtain commutative diagrams

$$\begin{array}{cccc} X(t_d)(x_d) & \xrightarrow{\varphi_{t_d}} & x_* \\ X(t_d)(\psi_d) & & & \downarrow \psi_* \\ X(t_d)(x'_d) & \xrightarrow{\cong} & x'_* \end{array} \tag{C.6}$$

which, together with the fact that  $X(t_d)$  is fully faithful by our hypotheses, imply that all components  $\psi_d$  of a morphism  $\{\psi_d\} : (\{x_d\}, \{\varphi_g\}) \to (\{x'_d\}, \{\varphi'_g\})$  in bilim(X) are uniquely determined by  $\psi_*$ . This shows faithfulness. To prove fullness, we have to show that, given any  $\psi_*$ , the components  $\psi_d$  defined uniquely by (C.6) make the diagrams (C.4) commute, for all **D**-morphisms  $g: d \to d'$ . Note that this is equivalent to verifying that the diagrams obtained by applying the fully faithful functor  $X(t_{d'})$  to (C.4) commute. The latter can be expanded as



The bottom and top square commute as a consequence of (C.3), the left square commutes because X is a pseudo-functor, and the right and outer squares commute as a consequence of (C.6). Hence, the middle square commutes too, which completes the proof.

In order to characterize the bilimit of our pseudo-functor X, it remains to compute the essential image  $\mathcal{X} \subseteq X(*)$  of the fully faithful functor (C.5). This then yields a factorization

$$\operatorname{bilim}(X) \xrightarrow{\simeq} \mathcal{X} \xrightarrow{\subseteq} X(*) \tag{C.8}$$

which provides a model for the bilimit as a coreflective full subcategory of X(\*), i.e. there exists a right adjoint coreflector  $X(*) \to \mathcal{X}$ .

**Lemma C.2.** The essential image of the functor (C.5) is the full subcategory  $\mathcal{X} \subseteq X(*)$  consisting of all objects  $x_* \in X(*)$  which satisfy the following conditions: For every object  $d \in \mathbf{D}$ , the  $x_*$ -component of the counit

$$(\epsilon_{t_d})_{x_*} : X(t_d) X^{\dagger}(t_d)(x_*) \xrightarrow{\cong} x_*$$
(C.9)

of the adjunction  $X(t_d) \dashv X^{\dagger}(t_d)$  associated with the terminal **D**-morphism  $t_d : d \to *$  is an isomorphism.

*Proof.* To show that the essential image is contained in  $\mathcal{X}$ , consider any object  $(\{x_d\}, \{\varphi_g\}) \in \text{bilim}(X)$  and observe that we have isomorphisms  $\varphi_{t_d} : X(t_d)(x_d) \to x_*$ , for every object  $d \in \mathbf{D}$ . The counit condition then follows from the commutative diagram

$$X(t_d) X^{\dagger}(t_d)(x_*) \xrightarrow{(\epsilon_{t_d})_{x_*}} x_*$$

$$X(t_d) X^{\dagger}(t_d)(\varphi_{t_d}) \stackrel{\cong}{\cong} \stackrel{\cong}{\cong} \stackrel{\cong}{\cong} \stackrel{\varphi_{t_d}}{\cong}$$

$$X(t_d) X^{\dagger}(t_d) X(t_d)(x_d) \xrightarrow{(\epsilon_{t_d})_{X(t_d)(x_d)}} X(t_d)(x_d)$$

$$X(t_d)(\eta_{t_d})_{x_d} \stackrel{\cong}{\cong} \xrightarrow{id_{X(t_d)(x_d)}} X(t_d)(x_d)$$

$$X(t_d)(x_d)$$

$$(C.10)$$

where we recall that the unit  $\eta_{t_d}$  is a natural isomorphism because  $X(t_d)$  is by hypothesis fully faithful.

To show that each object  $x_* \in \mathcal{X}$  lies in the essential image, let us define the tuple of objects

$$\{x_d := X^{\dagger}(t_d)(x_*) \in X(d)\}$$
 (C.11a)

and the tuple of morphisms

$$\begin{cases} X(g)x_d & \xrightarrow{\varphi_g} & x_{d'} \\ \| & & \| \\ X(g)X^{\dagger}(t_d)(x_*) & \xrightarrow{\cong} & X(g)X^{\dagger}(g)X^{\dagger}(t_{d'})(x_*) & \xrightarrow{(\epsilon_g)_{X^{\dagger}(t_{d'})(x_*)}} & X^{\dagger}(t_{d'})(x_*) \end{cases} , \quad (C.11b)$$

where in the unnamed isomorphism we used the unique factorization  $t_d = t_{d'} g$  of the terminal morphism. Note that the morphisms  $\varphi_g$  are isomorphisms because, applying the fully faithful functor  $X(t_{d'})$  to the relevant component of  $\epsilon_g$ , one obtains the commutative diagram

where the bottom horizontal and right vertical morphisms are isomorphisms by definition of the full subcategory  $\mathcal{X} \subseteq X(*)$ . One directly checks that the tuple  $(\{x_d\}, \{\varphi_g\})$  introduced above defines an object in bilim(X). This object maps under the functor (C.5) to  $X^{\dagger}(t_*)(x_*) \cong x_* \in \mathcal{X}$ , which completes the proof of essential surjectivity.

# D Technical details for Theorem 4.35

Our proof of Theorem 4.35 requires the following facts about Lorentzian geometry, which we prove in this appendix.

**Proposition D.1.** Let  $p \in M$  be any point in any object  $M \in \mathbf{Loc}$  and  $U \subseteq M$  any neighborhood of p. Then there exists a D-stable causally convex open subset  $V \subseteq M$  such that  $p \in V \subseteq U$ .

**Proposition D.2.** Let  $f : M \to N$  be any Loc-morphism with *D*-stable image, i.e.  $D_N(f(M)) = f(M)$ , and  $U \subseteq M$  any relatively compact subset. Then one has  $cl(D_N(f(U))) \subseteq f(M)$ , where the closure is taken inside N.

**Remark D.3.** In Subsections 4.2.2 and 4.3.2 we make use of the Grothendieck topology on Loc given by all *D*-stable causally convex open covers. Proposition D.1 shows that any  $M \in$  Loc has arbitrarily small *D*-stable causally convex open neighborhoods around each of its points. In other words, the aforementioned Grothendieck topology contains arbitrarily fine refinements.  $\triangle$ 

The proofs of Propositions D.1 and D.2 below will require statements of a Lorentz geometric nature concerning the properties of Cauchy developments. We refer to [Min19] for a comprehensive review of Lorentzian causality theory, and cite this review rather than original sources to give a unified resource for the reader. For the remainder of this appendix a *spacetime* will mean a time-oriented Lorentzian manifold. (Objects of **Loc** are thus the oriented globally hyperbolic spacetimes of a chosen dimension.)

**Lemma D.4.** Let M and N be any spacetimes of the same dimension,  $f : M \to N$  a timeorientation preserving isometric embedding with causally convex image, and  $U \subseteq M$  any subset. Then  $f(D_M(U)) = D_N(f(U)) \cap f(M)$ . Moreover, if M is globally hyperbolic and  $D_M(U) \subseteq M$ is a relatively compact subset, then  $D_N(f(U)) \subseteq f(M)$ .

*Proof.* The inclusion  $f(D_M(U)) \subseteq D_N(f(U)) \cap f(M)$  follows from the fact that, under the above assumptions, each inextendable causal curve in N admits a unique restriction (along f) to an

inextendable causal curve in M. For the inclusion  $f(D_M(U)) \supseteq D_N(f(U)) \cap f(M)$ , take  $p \in M$ such that  $f(p) \in D_N(f(U))$  and consider an inextendable causal curve  $\gamma$  in M through p. Under the above assumptions, there exists an inextendable causal curve  $\hat{\gamma}$  in N that restricts (along f) to  $\gamma$ . By construction,  $\hat{\gamma}$  goes through  $f(p) \in D_N(f(U))$ . This entails that  $\hat{\gamma}$  hits f(U) and therefore  $\gamma$  hits U. This shows that  $p \in D_M(U)$ .

It remains to show that  $D_N(f(U)) \subseteq f(M)$  when  $D_M(U) \subseteq M$  is a relatively compact subset and M is globally hyperbolic. We will in fact not need global hyperbolicity of M, but merely a weaker causal property which is implied by it: Every globally hyperbolic spacetime M is also non-partially imprisoning [Min19, Definition 4.68], i.e. inextendable causal curves in M are proper maps. Take  $p \in D_N(f(U))$ , and let  $\gamma : \mathbb{R} \to N$  be any future-directed inextendable causal curve with  $\gamma(0) = p$ . Define  $(a, b) := \gamma^{-1}(f(M))$ , which is an interval because  $f(M) \subseteq N$  is causally convex. We show that a < 0 < b, from which it follows that  $p = \gamma(0) \in f(M)$ .

Assume that  $b \leq 0$ . Let  $\hat{\gamma} : (a, b) \to M$  be the unique restriction of  $\gamma$  along f, i.e.  $f \circ \hat{\gamma} = \gamma|_{(a,b)}$ . Then  $\hat{\gamma}$  is a future-directed inextendable causal curve in M. Our hypotheses imply that  $\operatorname{cl}(D_M(U)) \subseteq M$  is compact and  $\hat{\gamma}$  is a proper map, so  $\hat{\gamma}^{-1}(\operatorname{cl}(D_M(U))) \subseteq (a, b)$  is compact. Thus there exists some  $t_0 \in (a, b)$  with  $\hat{\gamma}(t) \notin D_M(U)$  for all  $t_0 \leq t < b$ . It follows that there exists a future-directed past-inextendable causal curve  $\eta$  in M with future-endpoint  $\hat{\gamma}(t_0)$  which does not intersect U. The concatenation of  $\gamma|_{[t_0,\infty)}$  after  $f \circ \eta$  is thus a future-inextendable causal curve in N which does not intersect f(U). Let  $\delta$  be any future-directed inextendable causal curve in N extending the above concatenated curve. It follows by past-inextendability of  $\eta$  in M and causal convexity of  $f(M) \subseteq N$  that no past extension of  $f \circ \eta$  in N intersects  $f(U) \subseteq f(M)$ . So,  $\delta$  never intersects f(U). But our assumption  $b \leq 0$  gives that  $\delta$  passes through  $p = \delta(0) = \gamma|_{[t_0,\infty)}(0)$ , which is a contradiction with  $p \in D_N(f(U))$ . We conclude that b > 0. A similar argument shows that a < 0.

Our proof of Proposition D.1 will make key use of strictly convex normal neighborhoods [Min19, Definition 2.3], via the Lemma D.5 below. It is generically true that, under the exponential map  $\exp_p : U_p \subseteq T_p M \to U \subseteq M$  at a point  $p \in M$ , the image of the future (past) light-cone in  $U_p \subseteq T_p M$  is included in the causal future  $J_U^+(p)$  (causal past  $J_U^-(p)$ , respectively) of p. Similar inclusions hold for the time-cone and chronological future/past  $I_U^{\pm}(p)$ . Strictly convex normal neighborhoods  $U \subseteq M$  have the special property that these inclusions are equalities [Min19, Corollary 2.10].

**Lemma D.5.** Let M be a spacetime,  $U \subseteq M$  a strictly convex normal, globally hyperbolic, causally convex open subset and  $p_1, p_2 \in U$ . Then  $I_U^+(p_1) \cap I_U^-(p_2) \subseteq M$  is a D-stable relatively compact open subset.

*Proof.* Relative compactness both in U and in M follows from the inclusion  $I_U^+(p_1) \cap I_U^-(p_2) \subseteq J_U^+(p_1) \cap J_U^-(p_2)$  into a compact (by global hyperbolicity of U) subset. We will show that, for  $p \in U$ ,  $I_U^{\pm}(p) \subseteq U$  is D-stable. This entails D-stability of  $I_U^+(p_1) \cap I_U^-(p_2) \subseteq U$  via

$$D_U \left( I_U^+(p_1) \cap I_U^-(p_2) \right) \subseteq D_U \left( I_U^+(p_1) \right) \cap D_U \left( I_U^-(p_2) \right) = I_U^+(p_1) \cap I_U^-(p_2) \quad . \tag{D.1}$$

Then, because U is globally hyperbolic, Lemma D.4 applies to the inclusion  $U \hookrightarrow M$  and the subset  $I_U^+(p_1) \cap I_U^-(p_2) \subseteq U$  to give that  $D_U(I_U^+(p_1) \cap I_U^-(p_2)) = D_M(I_U^+(p_1) \cap I_U^-(p_2)) \cap U$  and  $D_M(I_U^+(p_1) \cap I_U^-(p_2)) \subseteq U$ , hence

$$D_M \left( I_U^+(p_1) \cap I_U^-(p_2) \right) = D_U \left( I_U^+(p_1) \cap I_U^-(p_2) \right) = I_U^+(p_1) \cap I_U^-(p_2) \quad . \tag{D.2}$$

Given  $p \in U$ , let us now show that  $I_U^+(p) \subseteq U$  is *D*-stable. The proof for  $I_U^-(p)$  is similar. Let  $q \in D_U(I_U^+(p))$ , so any inextendable future-directed causal curve  $\gamma : \mathbb{R} \to U$  with  $\gamma(0) = q$  intersects  $I_U^+(p)$  at some  $t \in \mathbb{R}$ . Then  $\gamma$  itself exhibits  $\gamma(t') \in J_U^+(I_U^+(p)) = I_U^+(p)$  for all  $t' \geq t$ , where we have used the "push-up lemma" [Min19, Theorem 2.24] in the last equality. Because  $I_U^+(p) \subseteq U$  is open, it follows that there exists  $t_0 \in \mathbb{R}$  such that  $\gamma(t) \in I_U^+(p)$  for all  $t > t_0$  and  $\gamma(t) \notin I_U^+(p)$  for all  $t \leq t_0$ . In particular,  $\gamma(t_0) \in \partial I_U^+(p)$  is a boundary point. We show that  $t_0 < 0$ , from which  $q = \gamma(0) \in I_U^+(p)$  follows.

Assume  $t_0 \geq 0$ . Because U is globally hyperbolic and hence  $J_U^+(p) \subset U$  is closed, we have  $\gamma(t_0) \in \partial I_U^+(p) = \partial J_U^+(p) = J_U^+(p) \setminus I_U^+(p)$ . It follows from convex normality of  $U \subseteq M$  [Min19, Corollary 2.10] that either  $\gamma(t_0) = p$  or there exists a unique future-directed null vector  $v \in T_p M$  such that  $\gamma(t_0) = \exp_p(v)$ . In the latter case,  $t \mapsto \eta(t) := \exp_p((t+1)v)$  describes an inextendible future-directed null geodesic in U through p, with  $\eta(0) = \gamma(t_0)$  and  $\eta(t) \in J_U^+(p) \setminus I_U^+(p)$  for all  $t \geq 0$ . In the former case of  $\gamma(t_0) = p$ , pick any future-directed null geodesic with  $\eta(0) = p = \gamma(t_0)$  and  $\eta(t) \in J_U^+(p) \setminus I_U^+(p)$  for all  $t \geq 0$ . In either case, concatenating  $\eta|_{[0,\infty)}$  after  $\gamma|_{(-\infty,t_0)}$  gives an inextendable causal curve in U through  $q = \gamma(0) \in D_U(I_U^+(p))$  that does not hit  $I_U^+(p)$ , a contradiction.

The preceding Lemma D.5 allows us to construct the arbitrarily small D-stable neighborhoods stipulated in Proposition D.1.

Proof of Proposition D.1. It is a standard result [Min19, Theorems 1.35 and 2.7] that, because the spacetime M is globally hyperbolic and hence strongly causal, each point  $p \in M$  has a nested neighborhood basis  $\{V_k\}_{k\in\mathbb{N}}$  consisting of strictly convex normal, globally hyperbolic, causally convex, relatively compact and open neighborhoods  $V_k \subseteq M$ . Thus for sufficiently large k,  $V_k \subseteq U$ . Pick  $p_1 \in I_{V_k}^-(p)$  and  $p_2 \in I_{V_k}^+(p)$ . By Lemma D.5,  $V := I_{V_k}^+(p_1) \cap I_{V_k}^-(p_2) \subseteq M$  is a D-stable relatively compact open subset. Furthermore, by construction  $p \in V \subseteq V_k \subseteq U$ . That the chronological diamond is also causally convex in  $V_k$  and hence in M is a straightforward consequence of the "push-up lemma" [Min19, Theorem 2.24].

Our proof of Proposition D.2 will make use of certain subsets of a spacetime M which bound above or below the Cauchy development of  $U \subseteq M$ . These subsets are constructed by taking the double causal complement (i.e. the causal complement of the causal complement) of U. Recall that, for  $U \subseteq M$ , the *causal complement* U' of U in M is the maximal subset of M that is causally disjoint from U, i.e.

$$U' := M \setminus J_M(U) = M \setminus \left(J_M^+(U) \cup J_M^-(U)\right) \subseteq M \quad . \tag{D.3}$$

**Lemma D.6.** Let M be any spacetime. Then  $D_M(U) \subseteq U''$  for any subset  $U \subseteq M$ .

Proof. Let us check the equivalent complementary inclusion  $M \setminus D_M(U) \supseteq J_M(M \setminus J_M(U))$ . Take  $p \in J_M(M \setminus J_M(U))$ . Then there exists an inextendible future-directed causal curve  $\gamma$  through p that hits some  $q \in M \setminus J_M(U)$ . Such  $\gamma$  does not meet U (otherwise  $q \in J_M(U)$ , a contradiction), hence  $p \in M \setminus D_M(U)$  is not in the Cauchy development of U.  $\Box$ 

**Lemma D.7.** Let M be a globally hyperbolic spacetime. Then  $U'' \subseteq D_M(J_M^{+\cap-}(U))$  for any relatively compact open subset  $U \subseteq M$ .

Proof. Take  $p \in U''$  and any inextendable future-directed causal curve  $\gamma$  in M through p. Observe first that  $\gamma$  lies inside  $J_M(U) = M \setminus U'$  because U' and U'' are causally disjoint. Using that  $U \subseteq M$  is relatively compact and M is globally hyperbolic, there exist Cauchy surfaces  $\Sigma^+$  and  $\Sigma^- \subseteq I_M^-(\Sigma^+)$  of M which lie in the future and past of U respectively, i.e.  $\Sigma^{\pm} \cap J_M^{\pm}(U) = \emptyset$ . Then  $\gamma$  intersects  $\Sigma^{\pm}$  at a unique point, call it  $\gamma(t^{\pm}) \in \Sigma^{\pm}$ . It follows that  $\gamma(t^{\pm}) \in \Sigma^{\pm} \cap J_M(U) =$  $\Sigma^{\pm} \cap J_M^{\pm}(U)$ . Note that  $t^+ > t^-$  because  $\gamma$  is future-directed and  $\Sigma^- \subseteq I_M^-(\Sigma^+)$  and recall that  $\gamma(t) \in J_M(U)$  for all  $t \in [t^-, t^+]$ . Because  $J_M^{\pm}(U) \subseteq M$  are open subsets, the curve  $\gamma$  is continuous, and the endpoints of the interval are such that  $\gamma(t^{\pm}) \in J_M^{\pm}(U)$ , there exists  $t \in (t^-, t^+)$  with  $\gamma(t) \in J_M^{+\cap -}(U)$ . This shows that  $p \in D_M(J_M^{+\cap -}(U))$ . The next statement is an immediate consequence of Lemmas D.6 and D.7.

**Corollary D.8.** Let M be a globally hyperbolic spacetime. Then  $U'' = D_M(U)$  for any relatively compact causally convex open subset  $U \subseteq M$ .

Proof of Proposition D.2. From Lemma D.6, we have  $D_N(f(U)) \subseteq D_N(f(\operatorname{cl}(U))) \subseteq f(\operatorname{cl}(U))''$ . Because  $\operatorname{cl}(U) \subseteq M$  is a compact subset and N is a globally hyperbolic spacetime, it follows that  $J_N(f(\operatorname{cl}(U))) \subseteq N$  is closed [Min19, Theorem 4.12], i.e.  $f(\operatorname{cl}(U))' \subseteq N$  is open. Therefore  $f(\operatorname{cl}(U))'' \subseteq N$  is closed, and hence

$$\operatorname{cl}(D_N(f(U))) \subseteq f(\operatorname{cl}(U))'' \quad . \tag{D.4}$$

We claim that there exists a relatively compact causally convex open subset  $V \subseteq N$  such that  $f(cl(U)) \subseteq V \subseteq f(M)$ . We have

$$V'' = D_N(V) \subseteq D_N(f(M)) = f(M) \quad , \tag{D.5}$$

where the first equality follows from Corollary D.8, while the last equality uses the hypothesis that  $f(M) \subseteq N$  is *D*-stable. Then the inclusion  $cl(D_N(f(U))) \subseteq f(M)$  is obtained combining (D.4) and (D.5) with the inclusion  $f(cl(U))'' \subseteq V''$ , which follows from  $f(cl(U)) \subseteq V$ .

To conclude the proof, let us construct a relatively compact causally convex open subset  $V \subseteq N$  such that  $f(cl(U)) \subseteq V \subseteq f(M)$ . For each  $p \in f(cl(U))$ , take a relatively compact, causally convex and open neighborhood  $V_p \subseteq f(M)$  of p, which exists because N is globally hyperbolic and hence strongly causal [Min19, Theorem 1.35]. Since  $\{V_p\}$  is an open cover of the compact subset  $f(cl(U)) \subseteq N$ , one finds a finite subcover  $\{V_{p_1}, \ldots, V_{p_n}\}$ . Recalling also Lemma B.4,  $V = J_N^{+\cap-}(\bigcup_{i=1}^n V_{p_i}) \subseteq N$  is a relatively compact causally convex open subset. Furthermore,  $f(cl(U)) \subseteq V \subseteq f(M)$  holds by construction and because  $f(M) \subseteq N$  is causally convex.

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