# NORMAL ORDERED GRAMMARS 

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#### Abstract

We introduce the theory of normal ordered grammars, which gives a natural generalization of the normal ordering problem. To illustrate the main idea, we explore normal ordered grammars associated with the Eulerian polynomials and the second-order Eulerian polynomials. In particular, we present a normal ordered grammatical interpretation for the (cdes, cyc) $(p, q)$ Eulerian polynomials, where cdes and cyc are the cycle descent and cycle statistics, respectively. The exponential generating function for a family of polynomials, generated by a normal ordered grammar associated with the second-order Eulerian polynomials, reveals an interesting feature: its expression involves the generating function for Catalan numbers as its exponent. In the final part, we discuss some normal ordered grammars related to the type $B$ Eulerian polynomials. A normal ordered grammatical interpretation of the up-down run polynomial is also established.


Keywords: Normal ordering problems; Grammars; Increasing trees; Eulerian polynomials

## 1. Introduction

The Weyl algebra $W$ is the unital algebra generated by two symbols $D$ and $U$ satisfying the commutation relation $D U-U D=I$, where $I$ is the identity which we identify with " 1 ". In other words, $W=\langle D, U \mid D U-U D=I\rangle$. An example of the Weyl algebra is the algebra of differential operators acting on the ring of polynomials in $x$, generated by $D=\frac{\mathrm{d}}{\mathrm{d} x}$ and $U$ acting as multiplication by $x$. For any $w \in W$, the normal ordering problem is to find the normal order coefficients $c_{i, j}$ in the expansion:

$$
w=\sum_{i, j} c_{i, j} U^{i} D^{j} .
$$

The following expansion has been studied as early as 1823 by Scherk [1, Appendix A]:

$$
(U D)^{n}=\sum_{k=0}^{n}\left\{\begin{array}{l}
n  \tag{1}\\
k
\end{array}\right\} U^{k} D^{k},
$$

where $\left\{\begin{array}{l}n \\ k\end{array}\right\}$ is the Stirling number of the second kind, i.e., the number of partitions of the set $[n]=\{1,2, \ldots, n\}$ into $k$ blocks (non-empty subsets). According to [1, Proposition A.2], one has

$$
\left(\mathrm{e}^{x} D\right)^{n}=\mathrm{e}^{n x} \sum_{k=0}^{n}\left[\begin{array}{l}
n  \tag{2}\\
k
\end{array}\right] D^{k}
$$

where $\left[\begin{array}{l}n \\ k\end{array}\right]$ is the (signless) Stirling number of the first kind, i.e., the number of permutations of [ $n$ ] with $k$ cycles. Many generalizations and variations of (11) and (2) occur naturally in quantum physics, combinatorics and algebra. The reader is referred to Schork [29] for survey and [11, 16, 18] for recent progress on this subject.

A context-free grammar $G$ over an alphabet $V$ is defined as a set of substitution rules replacing a letter in $V$ by a formal function over $V$. As usual, the formal function may be a polynomial or a Laurent polynomial. The formal derivative $D_{G}$ with respect to $G$ satisfies the derivation rules: $D_{G}(u+v)=D_{G}(u)+D_{G}(v), D_{G}(u v)=D_{G}(u) v+u D_{G}(v)$. Recently, context-free grammars have been widely used, see [9, 10, 23, 27, 28, for instances.

In this paper, we always let $D_{G}$ be the formal derivative associated with the grammar $G$. As an illustration, we recall a classical result, which may be seen as a dual result of (1).

Proposition 1 ([6]). If $G=\{a \rightarrow a b, b \rightarrow b\}$, then $D_{G}^{n}(a)=a \sum_{k=0}^{n}\left\{\begin{array}{l}n \\ k\end{array}\right\} b^{k}$.
The following simple result suggests that it is natural to consider normal ordering problems associated with grammars.

Proposition 2. If $G=\{x \rightarrow 1\}$, then one has $\left(x D_{G}\right)^{n}=\sum_{k=0}^{n}\left\{\begin{array}{l}n \\ k\end{array}\right\} x^{k} D_{G}^{k}$.
Assume that $u:=u(x, y), v:=v(x, y)$ and $w:=w(x, y)$ are given functions. For the grammar $G=\{x \rightarrow u(x, y), y \rightarrow v(x, y)\}$, we note that the powers of $w(x, y) D_{G}$ can be expressed as

$$
\left(w(x, y) D_{G}\right)^{n}=\sum_{k=0}^{n} \xi_{n, k}(x, y) w^{k}(x, y) D_{G}^{k} .
$$

In Section 2, we consider normal ordered grammars associated with the Eulerian polynomials. In particular, in Theorem 7 we find that if $G=\{x \rightarrow y, y \rightarrow p y\}$, then

$$
\left.\left(x D_{G}\right)^{n}\right|_{D_{G}=q}=\sum_{\pi \in \mathfrak{S}_{n}} x^{n-\operatorname{exc}(\pi)} y^{\operatorname{exc}(\pi)} p^{\operatorname{cdes}(\pi)} q^{\operatorname{cyc}(\pi)}
$$

where exc, cdes and cyc are the excedance, cycle descent and cycle statistics, respectively. In Section 3, we consider normal ordered grammars associated with the second-order Eulerian polynomials. If $G=\left\{x \rightarrow y^{2}, y \rightarrow y^{2}\right\}$, one has

$$
\left(x D_{G}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} C_{n, k, \ell} x^{\ell} y^{2 n-k-\ell} D_{G}^{k} .
$$

Define

$$
\widetilde{C}_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=k}^{n} C_{n, k, \ell} x^{\ell} y^{2 n-k-\ell} z^{k}, \widetilde{C}(x, x, z ; t)=\sum_{n=0}^{\infty} \widetilde{C}_{n}(x, x, z) \frac{t^{n}}{n!} .
$$

In Theorem 13, we give a remarkable explicit formula:

$$
\widetilde{C}(x, x, z ; t)=\mathrm{e}^{x z t \cdot \operatorname{Cat}\left(x^{2} t / 2\right)}
$$

where $\operatorname{Cat}(z)=\frac{1-\sqrt{1-4 z}}{2 z}$ is the generating function for the Catalan numbers. In Section 4 we discuss some normal ordered grammars related to the type $B$ Eulerian polynomials. At the end of this paper, we point out that if $G^{\prime}=\{x \rightarrow y, y \rightarrow x\}$, then

$$
\left.\left(x D_{G^{\prime}}\right)^{n}\right|_{D_{G^{\prime}}=1}=y^{n} T_{n}\left(\frac{x}{y}\right),
$$

where $T_{n}(x)$ is the up-down run polynomial over permutations in the symmetric group $\mathfrak{S}_{n}$.

## 2. Normal ordered grammars associated with Eulerian polynomials

The (type A) Eulerian polynomials $A_{n}(x)$ can be defined by the differential expression:

$$
\left(x \frac{\mathrm{~d}}{\mathrm{~d} x}\right)^{n} \frac{1}{1-x}=\sum_{k=0}^{\infty} k^{n} x^{k}=\frac{A_{n}(x)}{(1-x)^{n+1}}
$$

They satisfy the recurrence relation

$$
\begin{equation*}
A_{n}(x)=n x A_{n-1}(x)+x(1-x) \frac{\mathrm{d}}{\mathrm{~d} x} A_{n-1}(x), A_{0}(x)=1 \tag{3}
\end{equation*}
$$

Let $\mathfrak{S}_{n}$ be the symmetric group of all permutations of $[n]$. For $\pi=\pi(1) \pi(2) \cdots \pi(n) \in \mathfrak{S}_{n}$, the index $i$ is a descent (resp. excedance) if $\pi(i)>\pi(i+1)$ (resp. $\pi(i)>i)$. Let des $(\pi)$ and exc $(\pi)$ be the numbers of descents and excedances of $\pi$, respectively. The Eulerian polynomials can also be defined by

$$
A_{n}(x)=\sum_{\pi \in \mathfrak{S}_{n}} x^{\operatorname{des}(\pi)+1}=\sum_{\pi \in \mathfrak{S}_{n}} x^{\operatorname{exc}(\pi)+1}=\sum_{k=1}^{n}\left\langle\begin{array}{l}
n \\
k
\end{array}\right\rangle x^{k}
$$

where $\left\langle\begin{array}{l}n \\ k\end{array}\right\rangle$ are known as the Eulerian numbers (see [30, A008292]). It is well known that

$$
\left\langle\begin{array}{l}
n  \tag{4}\\
k
\end{array}\right\rangle=k\left\langle\begin{array}{c}
n-1 \\
k
\end{array}\right\rangle+(n-k+1)\left\langle\begin{array}{l}
n-1 \\
k-1
\end{array}\right\rangle
$$

In [13], Dumont obtained the context-free grammar for Eulerian polynomials by using a grammatical labeling of circular permutations.

Proposition 3 ([13, Section 2.1]). Let $G=\{a \rightarrow a b, b \rightarrow a b\}$. Then for $n \geqslant 1$, one has

$$
D_{G}^{n}(a)=D_{G}^{n}(b)=b^{n+1} A_{n}\left(\frac{a}{b}\right)
$$

Note that Proposition 3 can be restated as

$$
\begin{align*}
\left(x D_{G^{\prime}}\right)^{n}(x) & =\left(x D_{G^{\prime}}\right)^{n}(y)=y^{n+1} A_{n}\left(\frac{x}{y}\right), \text { where } G^{\prime}=\{x \rightarrow y, y \rightarrow y\}  \tag{5}\\
\left(x y D_{G^{\prime \prime}}\right)^{n}(x) & =\left(x y D_{G^{\prime \prime}}\right)^{n}(y)=y^{n+1} A_{n}\left(\frac{x}{y}\right), \text { where } G^{\prime \prime}=\{x \rightarrow 1, y \rightarrow 1\} \tag{6}
\end{align*}
$$

In order to investigate the powers of $x D_{G^{\prime}}$ and $x y D_{G^{\prime \prime}}$, we need to introduce some definitions. The degree of a vertex in a tree is referred to the number of its children. We say that $T$ is a planted binary (resp. full binary) increasing plane tree on $[n]$ if it is a binary (resp. full binary) tree with $n$ (resp. $n+1$ ) unlabeled leaves and $n$ labeled internal vertices, and satisfying the following conditions (see Figures 1 and 3 for examples, where we give every right leaf a weight $y$, and each of the other leaves a weight $x$ ):
( $i$ ) Internal vertices are labeled by $1,2, \ldots, n$. The node labelled 1 is distinguished as the root and it has only one child (resp. it also has two children);
(ii) Excluding (resp. Including) the root, each internal node has exactly two ordered children, which are referred to as a left child and a right child;
(iii) For each $2 \leqslant i \leqslant n$, the labels of the internal nodes in the unique path from the root to the internal node labelled $i$ form an increasing sequence.


Figure 1. The planted binary increasing plane trees on [3] encoded by $x y^{2} D_{G^{\prime}}$ and $x^{2} y D_{G^{\prime}}$, respectively .


Figure 2. Three 2-forests on [3] encoded by $x^{2} y D_{G^{\prime}}^{2}$, and the 3 -forest on [3] encoded by $x^{3} D_{G^{\prime}}^{3}$.

Definition 4. We say that $F$ is a binary (resp. full binary) $k$-forest on $[n]$ if it has $k$ connected components, each connected component is a planted binary (resp. full binary) increasing plane tree, the labels of the roots are increasing from left to right and the labels of the $k$-forest form a partition of $[n]$.

Theorem 5. Let $G^{\prime}=\{x \rightarrow y, y \rightarrow y\}$. For any $n \geqslant 1$, one has

$$
\begin{equation*}
\left(x D_{G^{\prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell} x^{\ell} y^{n-\ell} D_{G^{\prime}}^{k}, \tag{7}
\end{equation*}
$$

where the coefficients $A_{n, k, \ell}$ satisfy the recurrence relation

$$
\begin{equation*}
A_{n+1, k, \ell}=\ell A_{n, k, \ell}+(n-\ell+1) A_{n, k, \ell-1}+A_{n, k-1, \ell-1}, \tag{8}
\end{equation*}
$$

with the initial conditions $A_{1,1,1}=1$ and $A_{1, k, \ell}=0$ if $(k, \ell) \neq(1,1)$. The coefficient $A_{n, k, \ell}$ counts binary $k$-forests on $[n]$ with $n-\ell$ right leaves.

Proof. (A) The first few $\left(x D_{G^{\prime}}\right)^{n}$ are given as follows:

$$
\begin{aligned}
& \left(x D_{G^{\prime}}\right)^{2}=x y D_{G^{\prime}}+x^{2} D_{G^{\prime}}^{2},\left(x D_{G^{\prime}}\right)^{3}=\left(x y^{2}+x^{2} y\right) D_{G^{\prime}}+3 x^{2} y D_{G^{\prime}}^{2}+x^{3} D_{G^{\prime}}^{3}, \\
& \left(x D_{G^{\prime}}\right)^{4}=\left(x y^{3}+4 x^{2} y^{2}+x^{3} y\right) D_{G^{\prime}}+\left(7 x^{2} y^{2}+4 x^{3} y\right) D_{G^{\prime}}^{2}+6 x^{3} y D_{G^{\prime}}^{3}+x^{4} D_{G^{\prime}}^{4} .
\end{aligned}
$$

Thus the expansion (77) holds for $n \leqslant 4$. Assume that it holds for $n$. Since

$$
\left(x D_{G^{\prime}}\right)^{n+1}=x D_{G^{\prime}}\left(x D_{G^{\prime}}\right)^{n}=x D_{G^{\prime}}\left(\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell} x x^{\ell} y^{n-\ell} D_{G^{\prime}}^{k}\right),
$$

it follows that

$$
\begin{equation*}
\left(x D_{G^{\prime}}\right)^{n+1}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell}\left[\left(\ell x^{\ell} y^{n-\ell+1}+(n-\ell) x^{\ell+1} y^{n-\ell}\right) D_{G^{\prime}}^{k}+x^{\ell+1} y^{n-\ell} D_{G^{\prime}}^{k+1}\right] . \tag{9}
\end{equation*}
$$

Extracting the coefficient of $x^{\ell} y^{n-\ell+1} D_{G^{\prime}}^{k}$ on both sides leads to the recursion (8).
(B) Let $F$ be a binary $k$-forest. We first give a labeling of $F$ as follows. Label each planted binary increasing plane tree by $D_{G^{\prime}}$, a right leaf by $y$, and all the other leaves are labeled by $x$. The weight of $F$ is defined to be the product of the labels of all trees in $F$. See Figure 2 for illustrations. Assume that the weight of $F$ is $x^{\ell} y^{n-\ell} D_{G^{\prime}}^{k}$. Let us examine how to generate a forest $F^{\prime}$ on $[n+1]$ by adding the vertex $n+1$ to $F$. We have the following three possibilities:
$c_{1}$ : When the vertex $n+1$ is attached to a leaf with label $x$, then $n+1$ becomes a internal node with two children. The weight of $F^{\prime}$ is $x^{\ell} y^{n-\ell+1} D_{G^{\prime}}^{k}$;
$c_{2}$ : When the vertex $n+1$ is attached to a leaf with label $y$, then $n+1$ becomes a internal node with two children. The weight of $F^{\prime}$ is $x^{\ell+1} y^{n-\ell} D_{G^{\prime}}^{k}$;
$c_{3}$ : If the vertex $n+1$ is added as a new root, then $F^{\prime}$ becomes a binary $(k+1)$-forest and the child of $n+1$ has a label $x$. The weight of $F^{\prime}$ is given by $x^{\ell+1} y^{n-\ell} D_{G^{\prime}}^{k+1}$.
As each case corresponds to a term in the right of (9), then $\left(x D_{G^{\prime}}\right)^{n+1}$ equals the sum of the weights of all binary $k$-forests on $[n+1]$, where $1 \leqslant k \leqslant n+1$. This completes the proof.

Comparing (8) with (44), we see that $A_{n+1,1, \ell}=\left\langle\begin{array}{l}n \\ \ell\end{array}\right\rangle$. We define

$$
A_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell} x^{\ell} y^{n-\ell} z^{k}
$$

Multiplying both sides of (8) by $x^{\ell} y^{n+1-\ell} z^{k}$ and summing over all $\ell$ and $k$, we get

$$
\begin{equation*}
A_{n+1}(x, y, z)=x(n+z) A_{n}(x, y, z)+x(y-x) \frac{\partial}{\partial x} A_{n}(x, y, z), A_{0}(x, y, z)=1 \tag{10}
\end{equation*}
$$

Combining (3) and (10), we find that $A_{n}(x, 1,1)=A_{n}(x)$, where $A_{n}(x)$ is the Eulerian polynomial. Note that the sum of exponents of $x$ and $y$ equals $n$ in a general term $x^{\ell} y^{n-\ell} z^{k}$. By induction, it is easy to verify that $y A_{n}(1, y, 1)=A_{n}(y)$. Using (8), we notice that $A_{n, k, k-1}=0$ and so $A_{n+1, k, k}=k A_{n, k, k}+A_{n, k-1, k-1}$. Thus $A_{n, k, k}$ satisfies the same recurrence and initial conditions as $\left\{\begin{array}{l}n \\ k\end{array}\right\}$. In conclusion, we obtain the following result.

Corollary 6. For $n \geqslant 1$, we have

$$
\begin{aligned}
& \sum_{k=1}^{n} A_{n, k, k} z^{k}=\sum_{k=1}^{n}\left\{\begin{array}{l}
n \\
k
\end{array}\right\} z^{k}, y A_{n}(x, y, 1)=\sum_{\ell=1}^{n}\left\langle\begin{array}{l}
n \\
\ell
\end{array}\right\rangle x^{\ell} y^{n+1-\ell}, \\
& A_{n}(1,1, z)=z(z+1) \cdots(z+n-1)=\sum_{k=1}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] z^{k} \\
& A_{n}(x)=A_{n}(x, 1,1)=x A_{n}(1, x, 1)=\left.\frac{\partial}{\partial z} A_{n+1}(x, y, z)\right|_{y=1, z=0} .
\end{aligned}
$$

In [19], Foata and Schützenberger introduced the $q$-Eulerian polynomials

$$
A_{n}(x ; q)=\sum_{\pi \in \mathfrak{S}_{n}} x^{\operatorname{exc}(\pi)} q^{\operatorname{cyc}(\pi)}
$$

The polynomials $A_{n}(x ; q)$ satisfy the recurrence relation (see [4, Proposition 7.2]):

$$
\begin{equation*}
A_{n+1}(x ; q)=(n x+q) A_{n}(x ; q)+x(1-x) \frac{d}{d x} A_{n}(x ; q), A_{1}(x ; q)=1 \tag{11}
\end{equation*}
$$

In the following, we always write permutation by its standard cycle form, in which each cycle has its smallest element first and the cycles are written in increasing order of their first elements. The number of cycle descents of a permutation is the number of pairs $(a, b)$ where $a$ is the element just before $b$ in its cycle and $a>b$. Let cdes $(\pi)$ be the number of cycle descents of $\pi$. For example, cdes $((1,4,2)(3,5,7)(6, \mathbf{9}, 8))=2$. It is clear that exc $(\pi)+\operatorname{cdes}(\pi)+\operatorname{cyc}(\pi)=n$ for $\pi \in \mathfrak{S}_{n}$. We can now present a generalization of Theorem 5.

Theorem 7. Let $G=\{x \rightarrow y, y \rightarrow p y\}$. For any $n \geqslant 1$, one has

$$
\left.\left(x D_{G}\right)^{n}\right|_{D_{G}=q}=\sum_{\pi \in \mathfrak{S}_{n}} x^{n-\operatorname{exc}(\pi)} y^{\operatorname{exc}(\pi)} p^{\operatorname{cdes}(\pi)} q^{\operatorname{cyc}(\pi)}
$$

When $p=1$, it reduces to $\left.\left(x D_{G}\right)^{n}\right|_{p=1, D_{G}=q}=A_{n}(x, y, q)$.
Proof. The first few $\left(x D_{G}\right)^{n}$ are listed as follows:

$$
\begin{aligned}
& \left(x D_{G}\right)^{2}=x y D_{G}+x^{2} D_{G}^{2}, \quad\left(x D_{G}\right)^{3}=\left(x y^{2}+p x^{2} y\right) D_{G}+3 x^{2} y D_{G}^{2}+x^{3} D_{G}^{3} \\
& \left(x D_{G}\right)^{4}=\left(x y^{3}+4 p x^{2} y^{2}+p^{2} x^{3} y\right) D_{G}+\left(7 x^{2} y^{2}+4 p x^{3} y\right) D_{G}^{2}+6 x^{3} y D_{G}^{3}+x^{4} D_{G}^{4}
\end{aligned}
$$

Assume the following expansion holds for $n$ :

$$
\begin{equation*}
\left(x D_{G}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell}(p) x^{\ell} y^{n-\ell} D_{G}^{k} \tag{12}
\end{equation*}
$$

Clearly, $A_{1,1,1}(p)=1$ and $A_{1, k, \ell}(p)=0$ if $(k, \ell) \neq(1,1)$. Since

$$
\left(x D_{G}\right)^{n+1}=x D_{G}\left(x D_{G}\right)^{n}=x D_{G}\left(\sum_{k=1}^{n} \sum_{\ell=k}^{n} A_{n, k, \ell}(p) x^{\ell} y^{n-\ell} D_{G}^{k}\right)
$$

it follows that

$$
\begin{equation*}
A_{n+1, k, \ell}(p)=\ell A_{n, k, \ell}(p)+(n-\ell+1) p A_{n, k, \ell-1}(p)+A_{n, k-1, \ell-1}(p) \tag{13}
\end{equation*}
$$

which implies that (12) holds for $n+1$. We claim that

$$
\begin{equation*}
A_{n, k, \ell}(p)=\sum_{\substack{\pi \in \mathfrak{S}_{n} \\ \operatorname{exc}(\pi)=n-\ell \\ \operatorname{cyc}(\pi)=k}} p^{\operatorname{cdes}(\pi)} \tag{14}
\end{equation*}
$$

Given a $\pi^{\prime} \in \mathfrak{S}_{n+1}$. Suppose $\operatorname{exc}\left(\pi^{\prime}\right)=n+1-\ell$ and cyc $\left(\pi^{\prime}\right)=k$. In order to get $\pi^{\prime}$ from $\pi \in \mathfrak{S}_{n}$ by inserting the entry $n+1$, there are three ways:
(i) If exc $(\pi)=n-\ell$ and $\operatorname{cyc}(\pi)=k$, we can insert $n+1$ right after a drop (i.e., the index $i$ such that $i>\pi(i))$ or a fixed point. Note that there are $\ell$ choices for the position of $n+1$. The first term of the right-hand side of (13) is explained.
(ii) If $\operatorname{exc}(\pi)=n+1-\ell$ and $\operatorname{cyc}(\pi)=k$, we can insert $n+1$ right after an excedance. This means we have $n+1-\ell$ choices for the position of $n+1$. Note that the number of cycle descents will increase 1. The second term in the right hand side of (13) is explained.
(iii) If $\operatorname{exc}(\pi)=n+1-\ell$ and $\operatorname{cyc}(\pi)=k-1$, we can insert $n+1$ right after $\pi$ as a fixed point. The last term in the right hand side is explained.
This completes the proof of (14).

As a variant of Theorem [5, we now present the following result.
Theorem 8. Let $G^{\prime \prime}=\{x \rightarrow 1, y \rightarrow 1\}$. For any $n \geqslant 1$, we have

$$
\begin{equation*}
\left(x y D_{G^{\prime \prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} a_{n, k, \ell} x^{\ell} y^{n+k-\ell} D_{G^{\prime \prime}}^{k}, \tag{15}
\end{equation*}
$$

where the coefficients $a_{n, k, \ell}$ satisfy the recurrence relation

$$
\begin{equation*}
a_{n+1, k, \ell}=\ell a_{n, k, \ell}+(n+k-\ell+1) a_{n, k, \ell-1}+a_{n, k-1, \ell-1}, \tag{16}
\end{equation*}
$$

with the initial conditions $a_{1,1,1}=1$ and $a_{1, k, \ell}=0$ if $(k, \ell) \neq(1,1)$. The coefficient $a_{n, k, \ell}$ counts full binary $k$-forests on $[n]$ with $\ell$ left leaves. Moreover, we have

$$
\begin{equation*}
\left(x y D_{G^{\prime \prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{\lfloor(n+k) / 2\rfloor} \gamma(n, k, \ell)(x y)^{\ell}(x+y)^{n+k-2 \ell} D_{G^{\prime \prime}}^{k}, \tag{17}
\end{equation*}
$$

where the coefficients $\gamma(n, k, \ell)$ satisfy the recursion

$$
\begin{equation*}
\gamma(n+1, k, \ell)=\ell \gamma(n, k, \ell)+2(n+k-2 \ell+2) \gamma(n, k, \ell-1)+\gamma(n, k-1, \ell-1) \tag{18}
\end{equation*}
$$

with the initial conditions $\gamma(1,1,1)=1$ and $\gamma(1, k, \ell)=0$ for all $(k, \ell) \neq(1,1)$.
Proof. (A) The first few $\left(x y D_{G^{\prime \prime}}\right)^{n}$ are given as follows:

$$
\begin{aligned}
\left(x y D_{G^{\prime \prime}}\right)^{2} & =\left(x y^{2}+x^{2} y\right) D_{G^{\prime \prime}}+x^{2} y^{2} D_{G^{\prime \prime}}^{2}, \\
\left(x y D_{G^{\prime \prime}}\right)^{3} & =\left(x y^{3}+4 x^{2} y^{2}+x^{3} y\right) D_{G^{\prime \prime}}+\left(3 x^{2} y^{3}+3 x^{3} y^{2}\right) D_{G^{\prime \prime}}^{2}+x^{3} y^{3} D_{G^{\prime \prime}}^{3}, \\
\left(x y D_{G^{\prime \prime}}\right)^{4} & =\left(x y^{4}+11 x^{2} y^{3}+11 x^{3} y^{2}+x^{4} y\right) D_{G^{\prime \prime}}+\left(7 x^{2} y^{4}+22 x^{3} y^{3}+7 x^{4} y^{2}\right) D_{G^{\prime \prime}}^{2}+ \\
& \left(6 x^{3} y^{4}+6 x^{4} y^{3}\right) D_{G^{\prime \prime}}^{3}+x^{4} y^{4} D_{G^{\prime \prime}}^{4} .
\end{aligned}
$$

Thus (15) holds for $n \leqslant 4$. Assume that the expansion holds for $n$. Then we have

$$
\begin{aligned}
& \left(x y D_{G^{\prime \prime}}\right)^{n+1} \\
& =x y D_{G^{\prime \prime}}\left(\sum_{k=1}^{n} \sum_{\ell=k}^{n} a_{n, k, \ell} x^{\ell} y^{n+k-\ell} D_{G^{\prime \prime}}^{k}\right) \\
& =\sum_{k=1}^{n} \sum_{\ell=k}^{n} a_{n, k, \ell}\left[\left(\ell x^{\ell} y^{n+k-\ell+1}+(n+k-\ell) x^{\ell+1} y^{n+k-\ell}\right) D_{G^{\prime \prime}}^{k}+x^{\ell+1} y^{n+k-\ell+1} D_{G^{\prime \prime}}^{k+1}\right] .
\end{aligned}
$$

Extracting the coefficient of $x^{\ell} y^{n+k-\ell+1} D_{G^{\prime \prime}}^{k}$ on both sides leads to the recursion (16).


Figure 3. The planted full binary increasing plane trees on [2] encoded by $x y^{2} D_{G^{\prime \prime}}$ and $x^{2} y D_{G^{\prime \prime}}$, respectively .
(B) Let $F$ be a full binary $k$-forest. We first give a labeling of $F$ as follows. Label each planted full binary increasing plane tree by $D_{G^{\prime \prime}}$, a left leaf by $x$ and a right leaf by $y$. The weight of $F$
is defined to be the product of the labels of all trees in $F$. See Figure 3 for illustrations. Assume that the weight of $F$ is $x^{\ell} y^{n+k-\ell} D_{G^{\prime \prime}}^{k}$. Let us examine how to generate a forest $F^{\prime}$ on $[n+1]$ by adding the vertex $n+1$ to $F$. We have the following three possibilities:
$c_{1}$ : When the vertex $n+1$ is attached to a leaf with label $x$, then $n+1$ becomes a internal node with two children. The weight of $F^{\prime}$ is $x^{\ell} y^{n+k-\ell+1} D_{G^{\prime \prime}}^{k}$;
$c_{2}$ : When the vertex $n+1$ is attached to a leaf with label $y$, then $n+1$ becomes a internal node with two children. The weight of $F^{\prime}$ is $x^{\ell+1} y^{n+k-\ell} D_{G^{\prime \prime}}^{k}$;
$c_{3}$ : If the vertex $n+1$ is added as a new root, then $F^{\prime}$ becomes a full binary ( $k+1$ )-forest, the left child of $n+1$ has a label $x$, while the right child of $n+1$ has a label $y$. The weight of $F^{\prime}$ is given by $x^{\ell+1} y^{n+k-\ell+1} D_{G^{\prime \prime}}^{k+1}$.
The above three cases exhaust all the possibilities. Thus $\left(x y D_{G^{\prime \prime}}\right)^{n+1}$ equals the sum of the weights of all full binary $k$-forests on $[n+1]$, where $1 \leqslant k \leqslant n+1$.
(C) We now consider a change of the grammar $G^{\prime \prime}$. Setting $u=x y$ and $v=x+y$, we get

$$
D_{G^{\prime \prime}}(u)=D_{G^{\prime \prime}}(x y)=v, D_{G^{\prime \prime}}(v)=D_{G^{\prime \prime}}(x+y)=2 .
$$

Let $G^{\prime \prime \prime}=\{u \rightarrow v, v \rightarrow 2\}$. Then we have $\left(x y D_{G^{\prime \prime}}\right)^{n}=\left(u D_{G^{\prime \prime \prime}}\right)^{n}$. Note that

$$
\left(u D_{G^{\prime \prime \prime}}\right)^{2}=u v D_{G^{\prime \prime \prime}}+u^{2} D_{G^{\prime \prime \prime}}^{2},\left(u D_{G^{\prime \prime \prime}}\right)^{3}=\left(u v^{2}+2 u^{2}\right) D_{G^{\prime \prime \prime}}+3 u^{2} v D_{G^{\prime \prime \prime}}^{2}+u^{3} D_{G^{\prime \prime \prime}}^{3} .
$$

By induction, it is easy to check that

$$
\left(u D_{G^{\prime \prime \prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{\lfloor(n+k) / 2\rfloor} \gamma(n, k, \ell) u^{\ell} v^{n+k-2 \ell} D_{G^{\prime \prime \prime}}^{k},
$$

where the coefficients $\gamma(n, k, \ell)$ satisfy the recursion 18. Then upon taking $u=x y$ and $v=x+y$, we get (17). This completes the proof.

Comparing (16) with (4), we notice that $a_{n, 1, \ell}=\left\langle\begin{array}{l}n \\ \ell\end{array}\right\rangle$. Define

$$
a_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=k}^{n} a_{n, k, \ell} x^{\ell} y^{n+k-\ell} z^{k}, a_{0}(x, y, z)=1 .
$$

Multiplying both sides of (16) by $x^{\ell} y^{n+k-\ell+1} z^{k}$ and summing over all $\ell$ and $k$, we obtain

$$
a_{n+1}(x, y, z)=x(n+y z) a_{n}(x, y, z)+x(y-x) \frac{\partial}{\partial x} a_{n}(x, y, z)+x z \frac{\partial}{\partial z} a_{n}(x, y, z) .
$$

In particular,

$$
a_{n+1}(1,1, z)=(n+z) a_{n}(1,1, z)+z \frac{\mathrm{~d}}{\mathrm{~d} z} a_{n}(1,1, z), a_{0}(1,1, z)=1 .
$$

Let $a_{n}(1,1, z)=\sum_{k=1}^{n} L(n, k) z^{k}$. It follows that $L(n+1, k)=(n+k) L(n, k)+L(n, k-1)$, from which we notice that $L(n, k)$ is the (signless) Lah number, see [17] for instance. Explicitly,

$$
L(n, k)=\binom{n-1}{k-1} \frac{n!}{k!} .
$$

Corollary 9. For $n \geqslant 1$, we have

$$
a_{n}(1,1, z)=\sum_{k=1}^{n}\binom{n-1}{k-1} \frac{n!}{k!} z^{k} .
$$

A partition of $[n]$ into lists is a set partition of $[n]$ for which the elements of each block are linearly ordered. It is well known that $L(n, k)$ counts set partitions of $[n]$ into $k$ lists (see [30, A008297]). We always assume that each list is prepended and appended by 0 . Given a list $\sigma_{1} \sigma_{2} \cdots \sigma_{i}$. We identify it with the word $0 \sigma_{1} \sigma_{2} \cdots \sigma_{i} 0$. We say that an index $p \in\{0,1,2, \ldots, i-1\}$ is an ascent if $\sigma_{p}<\sigma_{p+1}$, and $q \in\{1,2, \ldots, i\}$ is a descent if $\sigma_{p}>\sigma_{p+1}$, where we set $\sigma_{0}=$ $\sigma_{i+1}=0$. Let $F$ be a full binary $k$-forest. Following [31, p. 51], a bijection from full binary $k$-forests to set partitions with $k$ lists can be given as follows: Read the internal vertices of trees (from left to right) of $F$ in symmetric order, i.e., read the labels of the left subtree (in symmetric order, recursively), then the label of the root, and then the labels of the right subtree. Using this correspondence, we get the following result.

Corollary 10. Let $a_{n, k, \ell}$ be defined by (15). Then $a_{n, k, \ell}$ is the number of set partitions of $[n]$ into $k$ lists with $\ell$ ascents and $n+k-\ell$ descents.

For a permutation $\pi \in \mathfrak{S}_{n}$ with $\pi(0)=\pi(n+1)=0$, we say that the entry $\pi(i)$

- is a valley if $\pi(i-1)>\pi(i)<\pi(i+1)$;
- is a double descent if $\pi(i-1)>\pi(i)>\pi(i+1)$.

Let $\operatorname{val}(\pi)($ resp. $\operatorname{dd}(\pi))$ denote the number of valleys (resp. double descents) in $\pi$. Define

$$
\gamma(n, \ell)=\#\left\{\pi \in \mathfrak{S}_{n}: \operatorname{val}(\pi)=\ell, \operatorname{dd}(\pi)=0\right\}
$$

A classical result of Foata-Schützenberger [20] states that the Eulerian polynomials have the following $\gamma$-expansion:

$$
A_{n}(x)=x \sum_{\ell=0}^{\lfloor(n-1) / 2\rfloor} \gamma(n, \ell) x^{\ell}(1+x)^{n-1-2 \ell} .
$$

Brändén [2] reproved this expansion by introducing the modified Foata-Strehl action. Let $\mathcal{S}(n, k)$ be the set of partitions of $[n]$ into $k$ lists. Applying the modified Foata-Strehl action on each list of an element in $\mathcal{S}(n, k)$, we find the following result, and omit the proof for simplicity.

Corollary 11. For $n \geqslant 1$, the polynomials $a_{n}(x, y, z)$ is partial $\gamma$-positive, i.e.,

$$
\begin{aligned}
\sum_{k=1}^{n} \sum_{\ell=k}^{n} a_{n, k, \ell} x^{\ell} y^{n+k-\ell} z^{k} & =\sum_{k=1}^{n} z^{k} \sum_{\ell=k}^{\lfloor(n+k) / 2\rfloor} \gamma(n, k, \ell)(x y)^{\ell}(x+y)^{n+k-2 \ell} \\
& =\sum_{k=1}^{n}(x y z)^{k} \sum_{i=0}^{\lfloor(n-k) / 2\rfloor} \gamma(n, k, k+i)(x y)^{i}(x+y)^{n-k-2 i},
\end{aligned}
$$

where $\gamma(n, k, k+i)$ counts partitions of $[n]$ into $k$ lists with $i$ valleys and with no double descents.

## 3. Normal ordered grammars associated with second-order Eulerian POLYNOMIALS

Following Carlitz [5], the second-order Eulerian polynomials $C_{n}(x)$ are defined by

$$
\sum_{k=0}^{\infty}\left\{\begin{array}{c}
n+k \\
k
\end{array}\right\} x^{k}=\frac{C_{n}(x)}{(1-x)^{2 n+1}}
$$

which have been well studied in recent years, see [5, 7, 14, 15, 21, 27].
For $\mathbf{m}=\left(m_{1}, m_{2}, \ldots, m_{n}\right) \in \mathbb{N}^{n}$, let $\mathbf{n}=\left\{1^{m_{1}}, 2^{m_{2}}, \ldots, n^{m_{n}}\right\}$ be a multiset, where $i$ appears $m_{i}$ times. We say that a multipermutation $\sigma$ of $\mathbf{n}$ is Stirling permutation if $\sigma_{s} \geqslant \sigma_{i}$ as soon as $\sigma_{i}=\sigma_{j}$ and $i<s<j$. Denote by $\mathcal{Q}_{n}$ the set of Stirling permutations of $\left\{1^{2}, 2^{2}, \ldots, n^{2}\right\}$. Let $\sigma=\sigma_{1} \sigma_{2} \cdots \sigma_{2 n} \in \mathcal{Q}_{n}$. In the following discussion, we always set $\sigma_{0}=\sigma_{2 n+1}=0$. For $0 \leqslant i \leqslant 2 n$, we say that an index $i$ is a descent (resp. ascent, plateau) of $\sigma$ if $\sigma_{i}>\sigma_{i+1}$ (resp. $\left.\sigma_{i}<\sigma_{i+1}, \sigma_{i}=\sigma_{i+1}\right)$. Let des $(\sigma)$, asc $(\sigma)$ and plat $(\sigma)$ be the number of descents, ascents and plateaus of $\sigma$, respectively. It is now well known that descents, ascents and plateaus have the same distribution over $\mathcal{Q}_{n}$, and their common enumerative polynomials are the second-order Eulerian polynomials $C_{n}(x)$. As a variant of [7. Theorem 2.3], the grammatical description of $C_{n}(x)$ can be restated as follows:

$$
\begin{equation*}
\left(x D_{G}\right)^{n}(x)=y^{2 n+1} C_{n}\left(\frac{x}{y}\right), \text { where } G=\left\{x \rightarrow y^{2}, y \rightarrow y^{2}\right\} . \tag{19}
\end{equation*}
$$

We say that $T$ is a planted ternary (resp. full ternary) increasing plane tree on $[n]$ if it is a ternary tree with $2 n-1$ (resp. $2 n+1$ ) unlabeled leaves and $n$ labeled internal vertices, and satisfying the following conditions (see Figures 4 and 5, where we give each leaf a weight):
(i) Internal vertices are labeled by $1,2, \ldots, n$. The node labelled 1 is distinguished as the root and it has only one child (resp. it also has three children);
(ii) Excluding (resp. Including) the root, each internal node has exactly three ordered children, which are referred to as a left child, a middle child and a right child;
(iii) For each $2 \leqslant i \leqslant n$, the labels of the internal nodes in the unique path from the root to the internal node labelled $i$ form an increasing sequence.

We say that $F$ is a ternary (resp. full ternary) $k$-forest on $[n]$ if it has $k$ connected components, each component is a planted ternary (resp. full ternary) increasing plane tree, the labels of the roots are increasing from left to right and the labels of the $k$-forest form a partition of $[n]$.


Figure 4. The planted ternary increasing plane trees on [3] encoded by $x y^{4} D_{G}$ and $x^{2} y^{3} D_{G}$, respectively.

Let $F$ be a ternary $k$-forest. We introduce a labeling of $F$ as follows (see Figure 4 for illustrations). Label each planted ternary increasing plane tree by $D_{G}$, a left leaf by $x$, and middle and right leaves are both labeled by $y$. If a tree has only one internal vertex and a leaf, then label the leaf by $x$. Along the same lines as in the proof of Theorem 5, it is routine to verify the following.

Theorem 12. Let $G=\left\{x \rightarrow y^{2}, y \rightarrow y^{2}\right\}$. For any $n \geqslant 1$, we have

$$
\left(x D_{G}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=k}^{n} C_{n, k, \ell} x^{\ell} y^{2 n-k-\ell} D_{G}^{k},
$$

where the coefficients $C_{n, k, \ell}$ satisfy the recurrence relation

$$
\begin{equation*}
C_{n+1, k, \ell}=\ell C_{n, k, \ell}+(2 n-k-\ell+1) C_{n, k, \ell-1}+C_{n, k-1, \ell-1}, \tag{20}
\end{equation*}
$$

with the initial conditions $C_{1,1,1}=1$ and $C_{1, k, \ell}=0$ if $(k, \ell) \neq(1,1)$. The coefficient $C_{n, k, \ell}$ counts ternary $k$-forests on [ $n$ ] with $2 n-k-\ell$ middle and right leaves. Moreover, we have $C_{n+1,1, \ell}=C_{n, \ell}$, where $C_{n, \ell}$ is the second-order Eulerian number, i.e., the number of Stirling permutations of order $n$ with $\ell$ descents.

Define

$$
\widetilde{C}_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=k}^{n} C_{n, k, \ell} x^{\ell} y^{2 n-k-\ell} z^{k} .
$$

It follows from (20) that

$$
\widetilde{C}_{n+1}(x, y, z)=(x z+2 n x y) \widetilde{C}_{n}(x, y, z)+x y(y-x) \frac{\partial}{\partial x} \widetilde{C}_{n}(x, y, z)-x y z \frac{\partial}{\partial z} \widetilde{C}_{n}(x, y, z),
$$

with $\widetilde{C}_{0}(x, y, z)=1$. When $x=y$, one has

$$
\begin{equation*}
\widetilde{C}_{n+1}(x, x, z)=\left(x z+2 n x^{2}\right) \widetilde{C}_{n}(x, x, z)-x^{2} z \frac{\partial}{\partial z} \widetilde{C}_{n}(x, x, z), \tag{21}
\end{equation*}
$$

Let

$$
\widetilde{C}(x, x, z ; t)=\sum_{n=0}^{\infty} \widetilde{C}_{n}(x, x, z) \frac{t^{n}}{n!} .
$$

Then (21) can be written as

$$
\left(1-2 x^{2} t\right) \frac{\partial}{\partial t} \widetilde{C}(x, x, z ; t)=x z \widetilde{C}(x, x, z ; t)-x^{2} z \frac{\partial}{\partial z} \widetilde{C}(x, x, z ; t), \widetilde{C}(x, x, z ; 0)=1 .
$$

With help of mathematical programming, we find the following result.
Theorem 13. We have

$$
\widetilde{C}(x, x, z ; t)=\mathrm{e}^{x z t \cdot \operatorname{Cat}\left(x^{2} t / 2\right)},
$$

where $\operatorname{Cat}(z)=\frac{1-\sqrt{1-4 z}}{2 z}$ is the generating function for the Catalan numbers $\frac{1}{n+1}\binom{2 n}{n}$.
Corollary 14. For all $n \geqslant 0$, we have

$$
\widetilde{C}_{n+1}(x, x, z)=\sum_{j=0}^{n} \frac{(n+j)!}{2^{j}(n-j)!j!} x^{n+1+j} z^{n+1-j}=\sum_{j=0}^{n} b(n, j) x^{n+1+j} z^{n+1-j}
$$

where $b(n, j)$ is the Bessel number of first kind [30, A001498].

Proof. Using [32, Eq. (2.5.16)], we get

$$
\begin{aligned}
\widetilde{C}(x, x, z ; t) & =\sum_{j \geq 0} \frac{x^{j} z^{j} t^{j} \mathrm{Cat}^{j}\left(x^{2} t / 2\right)}{j!} \\
& =1+\sum_{j \geq 1} \sum_{i \geq 0} \frac{j}{(i+j) j!2^{i}}\binom{2 i-1+j}{i} x^{2 i+j} z^{j} t^{i+j} \\
& =1+\sum_{i \geq 0} \sum_{j=0}^{i} \frac{j+1}{(i+1)(j+1)!2^{i-j}}\binom{2 i-j}{i-j} x^{2 i-j+1} z^{j+1} t^{i+1} .
\end{aligned}
$$

Hence, for all $n \geqslant 1$, we get

$$
\widetilde{C}_{n}(x, x, z)=n!\sum_{j=0}^{n-1} \frac{j+1}{n(j+1)!2^{n-1-j}}\binom{2 n-j-2}{n-1-j} x^{2 n-j-1} z^{j+1}
$$

which is equivalent to

$$
\widetilde{C}_{n}(x, x, z)=\sum_{j=0}^{n-1} \frac{j!}{2^{j}}\binom{n-1}{j}\binom{n+j-1}{j} x^{n+j} z^{n-j} .
$$

After simplifying, we get the desired explicit formula.
The trivariate second-order Eulerian polynomials are defined by

$$
C_{n}(x, y, z)=\sum_{\sigma \in \mathcal{Q}_{n}} x^{\operatorname{asc}(\sigma)} y^{\operatorname{des}(\sigma)} z^{\operatorname{plat}(\sigma)} .
$$

In [12, p. 317], Dumont found that

$$
\begin{equation*}
C_{n+1}(x, y, z)=x y z\left(\frac{\partial}{\partial x}+\frac{\partial}{\partial y}+\frac{\partial}{\partial z}\right) C_{n}(x, y, z), \tag{22}
\end{equation*}
$$

which implies that $C_{n}(x, y, z)$ is symmetric in the variables $x, y$ and $z$. By (22), it is clear that

$$
\begin{equation*}
D_{G}^{n}(x)=C_{n}(x, y, z), \tag{23}
\end{equation*}
$$

where $G=\{x \rightarrow x y z, y \rightarrow x y z, z \rightarrow x y z\}$. In [21], Haglund-Visontai introduced a refinement of the polynomial $C_{n}(x, y, z)$ by indexing each ascent, descent and plateau by the values where they appear. Using (23), Chen-Fu [8] found that $C_{n}(x, y, z)$ is $e$-positive, i.e.,

$$
\begin{equation*}
C_{n}(x, y, z)=\sum_{i+2 j+3 k=2 n+1} \gamma_{n, i, j, k}(x+y+z)^{i}(x y+y z+z x)^{j}(x y z)^{k} \tag{24}
\end{equation*}
$$

where the coefficient $\gamma_{n, i, j, k}$ equals the number of 0-1-2-3 increasing plane trees on $[n]$ with $k$ leaves, $j$ degree one vertices and $i$ degree two vertices.

A ternary increasing tree of size $n$ is an increasing plane tree with $3 n+1$ nodes where each interior node is labeled and has three children (a left child, a middle child and a right child), while exterior nodes have no children and no labels. Let $\mathcal{T}_{n}$ denote the set of ternary increasing trees of size $n$, see Figure 5 for instance. For any $T \in \mathcal{T}_{n}$, it is clear that $T$ has exactly $2 n+1$ exterior nodes. Let $\operatorname{exl}(T)($ resp. $\operatorname{exm}(T), \operatorname{exr}(T))$ denotes the number of exterior left nodes
(resp. exterior middle nodes, exterior right nodes) in $T$. Using a recurrence relation that is equivalent to $(\sqrt[22]{22})$, Dumont $[12$, Proposition 1] found that

$$
\begin{equation*}
C_{n}(x, y, z)=\sum_{T \in \mathcal{T}_{n}} x^{\operatorname{exl}(T)} y^{\operatorname{exm}(T)} z^{\operatorname{exr}(T)} \tag{25}
\end{equation*}
$$



Figure 5. The planted full ternary increasing plane trees on [2] encoded by $x y^{2} z^{2} D_{G}, x^{2} y z^{2} D_{G}$ and $x^{2} y^{2} z D_{G}$.

Let $F$ be a full ternary $k$-forest. We now give a labeling of $F$ as follows. Label each planted full ternary increasing plane tree by $D_{G}$, a left leaf by $x$, a middle leaf by $y$ and a right leaf by $z$, see Figure 5. Along the same lines as in the proof of Theorem 5. we find the following result.

Theorem 15. If $G=\{x \rightarrow 1, y \rightarrow 1, z \rightarrow 1\}$, then we have

$$
\begin{equation*}
\left(x y z D_{G}\right)^{n}=\sum_{k=1}^{n} \sum_{i=0}^{n-k} \sum_{j=0}^{n-k} \eta_{n, i, j, k} x^{i} y^{j} z^{2 n-2 k-i-j}(x y z)^{k} D_{G}^{k}, \tag{26}
\end{equation*}
$$

where the coefficient $\eta_{n, i, j, k}$ counts full ternary $k$-forests on $[n]$ with $i+k$ left leaves, $j+k$ middle leaves and $2 n-k-i-j$ right leaves.

Definition 16. A partition of $\left\{1^{2}, 2^{2}, \ldots, n^{2}\right\}$ into Stirling-lists is a set partition of $\left\{1^{2}, 2^{2}, \ldots, n^{2}\right\}$ for which the elements of each block are Stirling permutations and for all $i \in[n]$, the two copies of $i$ appear in exactly one block. We always assume that each Stirling-list is prepended and appended by 0 .

Let $\mathrm{SL}_{n}$ denote the set of partition of $\left\{1^{2}, 2^{2}, \ldots, n^{2}\right\}$ into Stirling-lists, and let bk be the block statistic. For example, $\mathrm{SL}_{2}=\{\{1122\},\{1221\},\{2211\},\{11\}\{22\}\}$, where the last set partition $\{11\}\{22\}$ has two blocks. Combining (25) and Theorem 15, we get the following.

Corollary 17. If $G=\{x \rightarrow 1, y \rightarrow 1, z \rightarrow 1\}$, then

$$
\left.\left(x y z D_{G}\right)^{n}\right|_{D_{G}=q}=\sum_{p \in \mathrm{SL}_{n}} x^{\operatorname{asc}(p)} y^{\mathrm{plat}} z^{\operatorname{des}(p)} q^{\mathrm{bk}(p)}
$$

In particular, the coefficient $q$ in $\left.\left(x y z D_{G}\right)^{n}\right|_{D_{G}=q}$ is $C_{n}(x, y, z)$.
Theorem 18. Let $G=\{x \rightarrow 1, y \rightarrow 1, z \rightarrow 1\}$. Then we have

$$
\begin{equation*}
\left(x y z D_{G}\right)^{n}=\sum_{k=1}^{n} \sum_{2 j+3 \ell=0}^{2 n-2 k} \beta_{n, k, j, \ell}(x+y+z)^{2 n-2 k-2 j-3 \ell}(x y+x z+y z)^{j}(x y z)^{\ell+k} D_{G}^{k} \tag{27}
\end{equation*}
$$

where the coefficients $\beta_{n, k, j, \ell}$ satisfy the recursion

$$
\begin{align*}
& \beta_{n+1, k, j, \ell}=(\ell+k) \beta_{n, k, j-1, \ell}+2(j+1) \beta_{n, k, j+1, \ell-1}+  \tag{28}\\
& 3(2 n-2 k-2 j-3 \ell+3) \beta_{n, k, j, \ell-1}+\beta_{n, k-1, j, \ell}
\end{align*}
$$

with the initial conditions $\beta_{1,1,0,0}=1$ and $\beta_{1, k, j, \ell}=0$ for any $(k, j, \ell) \neq(1,0,0)$.
Proof. Consider a change of the grammar $G=\{x \rightarrow 1, y \rightarrow 1, z \rightarrow 1\}$. Setting

$$
u=x+y+z, v=x y+x z+y z, w=x y z,
$$

we have $D_{G}(u)=3, D_{G}(v)=2 u$ and $D_{G}(w)=v$. Let $G^{\prime}=\{u \rightarrow 3, v \rightarrow 2 u, w \rightarrow v\}$. Then we have $\left(x y z D_{G}\right)^{n}=\left(w D_{G^{\prime}}\right)^{n}$. Note that

$$
\left(w D_{G^{\prime}}\right)^{2}=w v D_{G^{\prime}}+w^{2} D_{G^{\prime}}^{2},\left(w D_{G^{\prime}}\right)^{3}=\left(v^{2}+2 w u\right) w D_{G^{\prime}}+3 v w^{2} D_{G^{\prime}}^{2}+w^{3} D_{G^{\prime}}^{3} .
$$

By induction, it is routine to check that there exist nonnegative integers $\alpha_{n, k, i, j, \ell}$ such that

$$
\begin{aligned}
\left(w D_{G^{\prime}}\right)^{n} & =\sum_{k=1}^{n} \sum_{i+2 j+3 \ell=2 n-2 k} \alpha_{n, k, i, j, \ell} u^{i} v^{j} w^{\ell+k} D_{G^{\prime}}^{k} \\
& =\sum_{k=1}^{n} \sum_{2 j+3 \ell=0}^{2 n-2 k} \beta_{n, k, j, \ell} u^{2 n-2 k-2 j-3 \ell} v^{j} w^{\ell+k} D_{G^{\prime}}^{k}
\end{aligned}
$$

where $\beta_{n, k, j, \ell}$ satisfy the recursion 28. Then upon taking $u=x+y+z, v=x y+x z+y z$ and $w=x y z$, we get (27). This completes the proof.

Let

$$
\beta_{n}:=\beta_{n}(u, v, w, q)=\sum_{k=1}^{n} \sum_{2 j+3 \ell=0}^{2 n-2 k} \beta_{n, k, j, \ell} u^{2 n-2 k-2 j-3 \ell} v^{j} w^{\ell+k} q^{k} .
$$

It follows from (28) that

$$
\beta_{n+1}=w q \beta_{n}+3 w \frac{\partial}{\partial u} \beta_{n}+2 u w \frac{\partial}{\partial v} \beta_{n}+v w \frac{\partial}{\partial w} \beta_{n} .
$$

Below are these polynomials for $n \leqslant 4$ :

$$
\begin{aligned}
& \beta_{1}=w q, \beta_{2}=v w q+w^{2} q^{2}, \beta_{3}=\left(v^{2} w+2 u w^{2}\right) q+3 v w^{2} q^{2}+w^{3} q^{3}, \\
& \beta_{4}=\left(v^{3} w+8 u v w^{2}+6 w^{3}\right) q+\left(7 v^{2} w^{2}+8 u w^{3}\right) q^{2}+6 v w^{3} q^{3}+w^{4} q^{4} .
\end{aligned}
$$

Let $\eta_{n, i, j, k}$ be defined by (26). Define

$$
\eta_{n}(x, y, z, q)=\sum_{k=1}^{n} \sum_{i=0}^{n-k} \sum_{j=0}^{n-k} \eta_{n, i, j, k} x^{i} y^{j} z^{2 n-2 k-i-j}(x y z)^{k} q^{k} .
$$

Corollary 19. The multivariate polynomials $\eta_{n}(x, y, z, q)$ are partial e-positive, i.e.,

$$
\eta_{n}(x, y, z, q)=\sum_{k=1}^{n} q^{k} \sum_{2 j+3 \ell=0}^{2 n-2 k} \beta_{n, k, j, \ell}(x+y+z)^{2 n-2 k-2 j-3 \ell}(x y+x z+y z)^{j}(x y z)^{\ell+k}
$$

## 4. Normal ordered grammars related to type $B$ Eulerian polynomials

In the previous sections, we illustrate the basic idea of normal ordered grammars. Along the same lines as in the proof of Theorem 5, one can explore normal ordered grammars associated with the other polynomials. In the sequel, we investigate some normal ordered grammars related to the type $B$ Eulerian polynomials.

Let $\pm[n]=[n] \cup\{-1,-2, \ldots,-n\}$, and let $B_{n}$ be the hyperoctahedral group of rank $n$. Elements of $B_{n}$ are signed permutations of $\pm[n]$ with the property that $\sigma(-i)=-\sigma(i)$ for all $i \in[n]$. The type $B$ Eulerian polynomials are defined by

$$
B_{n}(x)=\sum_{\sigma \in B_{n}} x^{\operatorname{des}_{B}(\sigma)}
$$

where $\operatorname{des}_{B}(\sigma)=\#\{i \in\{0,1,2, \ldots, n-1\}: \sigma(i)>\sigma(i+1)\}$ and $\sigma(0)=0$ (see [3] for details). They satisfy the recursion (see [3, Eq. (11)]):

$$
B_{n}(x)=(1+(2 n-1) x) B_{n-1}(x)+2 x(1-x) \frac{\mathrm{d}}{\mathrm{~d} x} B_{n-1}(x), B_{0}(x)=1
$$

Let $B_{n}(x)=\sum_{k=0}^{n} B(n, k) x^{k}$. One has

$$
\begin{equation*}
B(n, k)=(1+2 k) B(n-1, k)+(2 n-2 k+1) B(n-1, k-1), B(0,0)=1 \tag{29}
\end{equation*}
$$

Let $G=\left\{x \rightarrow x y^{2}, y \rightarrow x^{2} y\right\}$. According to [24, Theorem 10], we have

$$
D_{G}^{n}(x y)=x y^{2 n+1} B_{n}\left(\frac{x^{2}}{y^{2}}\right)
$$

which can be restated as

$$
\begin{gather*}
\left(x y D_{G^{\prime}}\right)^{n}(x y)=x y^{2 n+1} B_{n}\left(\frac{x^{2}}{y^{2}}\right), \text { where } G^{\prime}=\{x \rightarrow y, y \rightarrow x\}  \tag{30}\\
\left(x D_{G^{\prime \prime}}\right)^{n}(x y)=x y^{2 n+1} B_{n}\left(\frac{x^{2}}{y^{2}}\right), \text { where } G^{\prime \prime}=\left\{x \rightarrow y^{2}, y \rightarrow x y\right\} \tag{31}
\end{gather*}
$$

It is easy to verify the following two results.
Proposition 20. If $G^{\prime}=\{x \rightarrow y, y \rightarrow x\}$, then

$$
\left(x y D_{G^{\prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} B_{n, k, \ell} x^{k+2 \ell} y^{2 n-k-2 \ell} D_{G^{\prime}}^{k}
$$

where the coefficients $B_{n, k, \ell}$ satisfy the recurrence relation

$$
\begin{equation*}
B_{n+1, k, \ell}=(k+2 \ell) B_{n, k, \ell}+(2 n-k-2 \ell+2) B_{n, k, \ell-1}+B_{n, k-1, \ell} \tag{32}
\end{equation*}
$$

with the initial conditions $B_{1,1,0}=1$ and $B_{1, k, \ell}=0$ if $(k, \ell) \neq(1,0)$.
Proposition 21. If $G^{\prime \prime}=\left\{x \rightarrow y^{2}, y \rightarrow x y\right\}$, then

$$
\left(x D_{G^{\prime \prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} E_{n, k, \ell} x^{k+2 \ell} y^{2 n-2 k-2 \ell} D_{G^{\prime \prime}}^{k}
$$

where the coefficients $E_{n, k, \ell}$ satisfy the recurrence relation

$$
\begin{equation*}
E_{n+1, k, \ell}=(k+2 \ell) E_{n, k, \ell}+(2 n-2 k-2 \ell+2) E_{n, k, \ell-1}+E_{n, k-1, \ell} \tag{33}
\end{equation*}
$$

with the initial conditions $E_{1,1,0}=1$ and $E_{1, k, \ell}=0$ if $(k, \ell) \neq(1,0)$.
Comparing (32) with (29), we see that $B_{n+1,1, \ell}=B(n, \ell)$, and so we obtain a normal ordered grammatical interpretation of the type $B$ Eulerian polynomials:

$$
\begin{equation*}
B_{n}(x)=\sum_{\ell=0}^{n} B_{n+1,1, \ell} x^{\ell} . \tag{34}
\end{equation*}
$$

Let

$$
B_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} B_{n, k, \ell} x^{k+2 \ell} y^{2 n-k-2 \ell} z^{k} .
$$

It follows from (32) that

$$
\begin{equation*}
B_{n+1}(x, y, z)=\left(x y z+2 n x^{2}\right) B_{n}(x, y, z)+x\left(y^{2}-x^{2}\right) \frac{\partial}{\partial x} B_{n}(x, y, z), B_{0}(x, y, z)=1 . \tag{35}
\end{equation*}
$$

In particular,

$$
B_{1}(x, 1,1)=x, B_{2}(x, 1,1)=x+x^{2}+x^{3}, B_{3}(x, 1,1)=x+3 x^{2}+7 x^{3}+3 x^{4}+x^{5} .
$$

We now recall two statistics of Stirling permutations. An occurrence of an ascent-plateau of a Stirling permutation $\sigma \in \mathcal{Q}_{n}$ is an index $i$ such that $\sigma_{i-1}<\sigma_{i}=\sigma_{i+1}$, where $i \in\{2,3, \ldots, 2 n-1\}$. Let ap $(\sigma)$ be the number of ascent-plateaus of $\sigma$. The flag ascent-plateau statistic is defined by

$$
\operatorname{fap}(\sigma)= \begin{cases}2 \operatorname{ap}(\sigma)+1, & \text { if } \sigma_{1}=\sigma_{2} ; \\ 2 \operatorname{ap}(\sigma), & \text { otherwise }\end{cases}
$$

Let $F_{n}(x)=\sum_{\sigma \in \mathcal{Q}_{n}} x^{\mathrm{fap}(\sigma)}$. It follows from [26, Eq. (16)] that

$$
\begin{equation*}
F_{n+1}(x)=\left(x+2 n x^{2}\right) F_{n}(x)+x\left(1-x^{2}\right) \frac{\mathrm{d}}{\mathrm{~d} x} F_{n}(x) . \tag{36}
\end{equation*}
$$

Comparing (36) with (35), we see that

$$
\begin{equation*}
B_{n}(x, 1,1)=\sum_{\sigma \in \mathcal{Q}_{n}} x^{\operatorname{fap}(\sigma)} . \tag{37}
\end{equation*}
$$

From (34) and (37), we see that Stirling permutations are closely related to signed permutations. Moreover, by (33), we see that

$$
\begin{equation*}
E_{n+1,1, \ell}=(1+2 \ell) E_{n, 1, \ell}+(2 n-2 \ell) E_{n, 1, \ell-1} . \tag{38}
\end{equation*}
$$

Using [25, Eq. (6)], we find that $E_{n+1,1, \ell}=\left\{\sigma \in \mathcal{Q}_{n}: \operatorname{ap}(\sigma)=\ell\right\}$, i.e., $E_{n+1,1, \ell}$ equals the number of Stirling permutations in $\mathcal{Q}_{n}$ with $\ell$ ascent-plateaus.

Let

$$
E_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} E_{n, k, \ell} x^{k+2 \ell} y^{2 n-2 k-2 \ell} z^{k} .
$$

It follows from (33) that

$$
E_{n+1}(x, y, z)=\left(x z+2 n x^{2}\right) E_{n}(x, y, z)+x\left(y^{2}-x^{2}\right) \frac{\partial}{\partial x} E_{n}(x, y, z)-x^{2} z \frac{\partial}{\partial z} E_{n}(x, y, z)
$$

with $E_{0}(x, y, z)=1$. In particular, one has

$$
E_{n+1}(1,1, z)=(z+2 n) E_{n}(1,1, z)-z \frac{\partial}{\partial z} E_{n}(1,1, z)
$$

Using (21) and Corollary [14, we arrive at

$$
E_{n}(1,1, z)=\widetilde{C}_{n}(1,1, z)=\sum_{j=0}^{n-1} \frac{(n+j-1)!}{2^{j}(n-1-j)!j!} z^{n-j} \text { for any } n \geqslant 1,
$$

and so $E_{n}(1,1, z)$ is the Bessel polynomial of the first kind.
Let $\pi \in \mathfrak{S}_{n}$. The up-down runs of a permutation $\pi \in \mathfrak{S}_{n}$ are the alternating runs of $\pi$ endowed with a 0 in the front. Let udrun $(\pi)$ denote the number of up-down runs of $\pi$. The up-down run polynomials $T_{n}(x)$ are defined by $T_{n}(x)=\sum_{\pi \in \mathfrak{S}_{n}} x^{\text {udrun }(\pi)}$. The polynomials $T_{n}(x)$ satisfy the recurrence relation

$$
\begin{equation*}
T_{n+1}(x)=x(1+n x) T_{n}(x)+x\left(1-x^{2}\right) \frac{\mathrm{d}}{\mathrm{~d} x} T_{n}(x), \tag{39}
\end{equation*}
$$

with initial conditions $T_{0}(x)=1$ and $T_{1}(x)=x$ (see [9, 26, 33] for details).
We end this paper by giving the following result, and omit the proof for simplicity.
Proposition 22. Let $G^{\prime}=\{x \rightarrow y, y \rightarrow x\}$.
(i) For $n \geqslant 1$, we have

$$
\left(x D_{G^{\prime}}\right)^{n}=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} W_{n, k, \ell} x^{k+2 \ell} y^{n-k-2 \ell} D_{G^{\prime}}^{k},
$$

where the coefficients $W_{n, k, \ell}$ satisfy the recurrence relation

$$
W_{n+1, k, \ell}=(k+2 \ell) W_{n, k, \ell}+(n-k-2 \ell+2) W_{n, k, \ell-1}+W_{n, k-1, \ell},
$$

with the initial conditions $W_{1,1,0}=1$ and $W_{1, k, \ell}=0$ if $(k, \ell) \neq(1,0)$.
(ii) Let

$$
W_{n}(x, y, z)=\sum_{k=1}^{n} \sum_{\ell=0}^{\lfloor(2 n-k) / 2\rfloor} W_{n, k, \ell} x^{k+2 \ell} y^{n-k-2 \ell} D_{G^{\prime}}^{k} .
$$

Then we have

$$
W_{n+1}(x, y, z)=x\left(z+n \frac{x}{y}\right) W_{n}(x, y, z)+x y\left(1-\frac{x^{2}}{y^{2}}\right) \frac{\mathrm{d}}{\mathrm{~d} x} W_{n}(x, y, z),
$$

with the initial condition $W_{0}(x, y, z)=1$. In particular,

$$
\begin{gathered}
W_{n}(1,1, z)=z(z+1)(z+2) \cdots(z+n-1)=\sum_{k=1}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] z^{k}, \\
W_{n}(x, y, 1)=y^{n} T_{n}\left(\frac{x}{y}\right),
\end{gathered}
$$

where $T_{n}(x)$ is the up-down run polynomial over permutations in $\mathfrak{S}_{n}$.

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